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3 **Transcription activation in *Escherichia coli* and *Salmonella***

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12 **Section titles:**

13 SUMMARY

14 Introduction

15 Transcript initiation: the key role of sigma (σ)

16 Overview of activation

17 Activation by σ subunit replacement

18 Activators that recruit RNAP via α CTD

19 Activators that target RNAP σ Domain 4

20 Activators that target promoter structure

21 Activation by factors that interact with RNAP but not DNA

22 Activation by anti-repression

23 Activation at promoters dependent on σ^{54}

24 Integration of activatory signals at promoters

25 Transcription activation: the big picture

26 Transcription activation: what we can learn from other bacteria

27 Transcription activation: its biological role

28 ACKNOWLEDGEMENTS & BIBLIOGRAPHY

29 Figure Legends

30 Biography of authors

31 *Appendix*: list of gene names and ECOCYC Accession IDs

32 SUMMARY

33 Promoter-specific activation of transcript initiation provides an important regulatory
34 device in *Escherichia coli* and *Salmonella*. Here, we describe the different
35 mechanisms that operate, focussing on how they have evolved to manage the
36 'housekeeping' bacterial transcription machinery. Some mechanisms involve
37 assisting the bacterial DNA-dependent RNA polymerase, or replacing or remodelling
38 one of its subunits. Others are directed to chromosomal DNA, improving promoter
39 function or relieving repression. We discuss how different activators work together at
40 promoters, and how the present complex network of transcription factors evolved.

41

42 Introduction

43 Changes in the expression of individual genes are essential for bacteria to cope with
44 fluctuating environments, and *Escherichia coli* and *Salmonella* are 'virtuosos' in gene
45 regulation. In fact, gene expression is regulated at many different levels, but here we
46 focus solely on the activation of gene transcription at promoters. Promoters are
47 defined as segments of genomic DNA that direct transcript initiation at a defined
48 location. Each promoter will contain one or more key sequence elements that are
49 recognised by the DNA-dependent RNA polymerase (RNAP) (Fig. 1). Many bacterial
50 promoters are subject to regulation by activation, and several strategies are used,
51 with regulatory factors primarily interacting either with specific promoter DNA
52 elements (promoter-centric regulation) or with the DNA-dependent RNA polymerase
53 (RNAP-centric regulation) (1-3). Mechanisms to activate the expression of specific
54 transcripts have evolved to exploit the 'hardware' that assures transcription, so,
55 firstly, here, we briefly outline the molecular biology of bacterial transcript initiation
56 and its context, before describing different regulatory mechanisms.

57 Transcript initiation: the key role of sigma (σ)

58 Transcription of DNA into RNA in all bacteria is done by a highly-conserved multi-
59 subunit DNA-dependent RNA polymerase enzyme (RNAP), which consists of two
60 large subunits, denoted β and β' , two α subunits and the small ω subunit (4). Each α
61 subunit comprises two domains; the larger N-terminal domain (α NTD) dimerises to
62 provide the socle for the assembly of the other subunits. The enzyme active site,
63 located in a cleft between the β and β' subunits, is organised to carry single-stranded
64 DNA that acts as a template for incoming nucleoside triphosphates (NTPs). During
65 transcript elongation, RNAP catalyses the formation of a phosphodiester bond
66 between the 3'-OH of the 3'-end nucleotide of the nascent RNA chain in the enzyme
67 product site and the incoming NTP in the acceptor site. This requires local unwinding
68 of 12-15 base pairs of the duplex DNA double helix, in order for the single stranded
69 template-strand DNA to be routed into the active site. This transcription 'bubble' and
70 the β - β' - α_2 - ω form of RNAP (known as the core enzyme) translocate efficiently along
71 the DNA during the elongation phase of transcription, powered by the incoming
72 NTPs (and helped by various elongation factors). RNAP core enzyme can also
73 catalyse the initiation of a transcript by attaching an incoming NTP in the acceptor
74 site to the 3'-OH of an NTP or a short oligonucleotide, bound in the product site, with

75 both initiating entities specified by base-pairing to the DNA template strand.
76 However, transcript initiation by core RNAP is very inefficient, simply because it is
77 not equipped to create the local DNA unwinding that is essential for a single-
78 stranded DNA template to access the RNAP active site and, in addition, it is unable
79 to select locations for transcription to begin (4-6).

80 Comparison of multisubunit RNAPs from the three kingdoms of life reveals a
81 common enzymatic mechanism for DNA-templated RNA synthesis, and eukaryotic
82 and archaeal RNAPs contain subunits that are highly similar to the bacterial β , β' , α ,
83 and ω subunits (7). In contrast, different strategies are adopted by RNAP in each of
84 the three kingdoms to facilitate transcript initiation: in bacteria, an additional RNAP
85 subunit, σ , assures this function by recognising specific sequence elements at
86 promoters and then opening the DNA duplex to create the transcription bubble, so
87 that the single stranded DNA template is guided into the RNAP active site (Fig. 1)
88 (8). Core RNAP carries determinants on the β and β' subunits that provide the
89 docking site for just one σ subunit (9, 10): bacterial RNAP associated with a σ factor
90 is known as holo enzyme, and is competent for transcript initiation at locations
91 specified by its σ factor.

92 *Escherichia coli* and *Salmonella* chromosomes carry genes for seven different σ
93 factors (denoted *rpoD*, *rpoS*, *rpoH*, *rpoE*, *rpoF*, *fecI* and *rpoN* from studies with *E.*
94 *coli* lab strains). In most growth conditions, σ^{70} , encoded by *rpoD*, is the predominant
95 factor, known as the housekeeping σ factor, which orchestrates transcript initiation at
96 the majority of promoters. Housekeeping σ factors always contain four independently
97 folding domains (known as Domains 1, 2, 3 and 4), each of which has a discrete
98 function (Fig. 1) (11). Domain 2, found in all σ^{70} -family σ factors, is directly
99 responsible for the formation of the transcription bubble, and Domain 1 acts as a
100 gatekeeper, preventing entry of DNA into the active site cleft (6). Domains 4 and 3
101 are essentially promoter binding modules that recognise specific sequence elements
102 in promoter DNA, thereby positioning holo RNAP for transcript initiation. Key bases
103 in the promoter -35 element and promoter extended -10 element are recognised by σ
104 Domains 4 and 3 respectively, and this positions Domain 2 for interaction with the
105 upstream end of the -10 hexamer element (consensus 5'-TATAAT-3') (Fig. 1).

106 The engagement of holo RNAP with a promoter starts a series of isomerisations
107 leading to the formation of a transcriptionally-competent RNAP-promoter complex,
108 known as the 'open' complex, as the DNA around the transcript start point (usually
109 referred to as position +1) is unwound (Fig. 1) (12, 13). A stepwise process then
110 creates the transcription bubble by opening 12-15 base pairs, displacing σ Domain 1
111 from the active site cleft, and facilitating the entry of the template strand into the
112 enzyme active site. DNA unwinding by σ Domain 2 starts precisely at the A:T base
113 pair at position 2 of the -10 element, but appears to be initiated by transient distortion
114 of the T:A base pair at position 1 (13). A major driver of stepwise DNA unwinding is
115 the deployment of several conserved tryptophan residues in σ Domain 2 that trap the
116 unwound non-template strand A at position 2 in a flipped conformation in a binding
117 pocket, and also provide a wedge to trap the upstream end of the bubble. Other σ
118 Domain 2 determinants interact with non-template bases at positions 3-6 of the -10
119 element, as well as several bases immediately downstream, known as the

120 discriminator element (Fig. 1) (5, 13-15). The consequence of this is that the non-
121 template strand is held firmly, whilst the template strand is free to enter the RNAP
122 active site. Usually, the template bases 7 and 8 bases downstream from the
123 promoter -10 hexamer element guide the choice of nucleotides, bound in the product
124 and acceptor sites, for transcript initiation, but there is some flexibility (16, 17).

125 In most conditions, the number of potential binding targets for RNAP on the *E. coli*
126 chromosome is far greater than the number of available RNAP holoenzyme
127 molecules. As well as bona fide promoters, these targets include thousands of other
128 sites where RNAP binds transiently without the formation of a transcriptionally-
129 competent open complex (18-20). Efficient duplex opening by RNAP carrying the σ^{70}
130 housekeeping factor at any target promoter depends on precise positioning of σ
131 Domain 2 with respect to the -10 element, and this is assured by Domains 3 and 4 of
132 σ binding to the extended -10 element and the -35 element, respectively (Fig. 1).
133 Additionally, at some promoters, the C-terminal domain (α CTD) of one or both of the
134 RNAP α subunits contributes to RNAP binding. These independently-folded α CTDs
135 are linked to the corresponding α NTD by a flexible linker and they can interact with
136 the DNA via the so-called α 265-determinant, which contains residue R265 that
137 interacts with the DNA minor groove, with a preference for AT-tracts, known as UP-
138 elements (Fig. 1) (21). These are found at some promoters just upstream of the -35
139 element, and they contribute to RNAP recruitment. Additionally, at some promoters
140 the promoter-proximal UP-element is positioned so that the bound α CTD is
141 immediately adjacent to σ Domain 4 (22). This results in a productive interaction
142 involving a surface of α CTD known as the α 261-determinant (including α residue
143 E261) and a surface of σ Domain 4 known as the 593-604 determinant (because of
144 the σ^{70} residues involved). Hence, transcript initiation at any location will depend on
145 the efficiency of 'capture' of RNAP, guided by the different promoter-RNAP
146 interactions, and subsequent formation of a transcription bubble driven by
147 engagement of σ Domain 2 (23). Note that efficient capture of RNAP at a promoter
148 does not require input from every possible promoter element, and, as long as a
149 certain number of RNAP-promoter interactions are assured, transcript initiation will
150 proceed (23-25). For each promoter element that interacts with a determinant in
151 RNAP during transcript initiation at a promoter, there is a consensus 'best binding'
152 sequence, and promoters located in regulatory regions adjacent to functional genes
153 appear to have evolved different combinations of promoter elements to assure
154 appropriate levels of gene expression. However, deep sequencing of transcripts has
155 now shown low levels of transcript initiation (known as spurious or pervasive
156 transcription) driven by thousands of -10 elements that were, hitherto, thought to
157 have no function (26, 27). Moreover, at many loci, the intrinsic symmetry of the -10
158 element leads to bidirectional transcription (28).

159 The *E. coli* housekeeping σ factor, σ^{70} , is capable of orchestrating transcript initiation
160 by RNAP, as described above. However, in some bacterial clades, the housekeeping
161 σ is insufficient, and other protein factors support its function. For example, some
162 factors act as tethers, promoting σ binding to core RNAP, and, in some cases,
163 directly interacting with the promoter DNA. Thus, though *E. coli* and *Salmonella* use
164 a single protein subunit (σ) to 'solve' the problems of transcript initiation, other

165 bacteria adopt more complex solutions (29). This underscores that evolution does
166 not always adopt what, to us, seems the simplest solution. Similarly, the majority of
167 alternative σ factors appear to have evolved from the same ancestor as the
168 housekeeping σ , all containing the crucial Domain 2, but, sometimes, lacking one or
169 two of the other domains (11). These alternative σ factors can be assigned to
170 different groups, depending on their domain structure. Hence members of one group
171 carry just Domains 2 and 4, whilst members of other groups carry Domains 2, 3 and
172 4, or even all four domains. The DNA-binding domains of alternative σ factors carry
173 different DNA-recognition determinants, thereby guiding RNAP to different promoter
174 sequences. In each case, σ appears to have evolved to steer Domain 2 to the -10
175 element at target promoters, where it initiates local DNA unwinding and transcription
176 bubble formation, holding the non-template strand, thereby moving the DNA
177 template strand into the RNAP active site. The observed variation in σ domain
178 structure shows that there are several different ways to manoeuvre Domain 2 to its
179 target. However, most alternative σ factors employ a Domain 4, and the ubiquity of
180 Domains 2 and 4 in different σ factors probably reflects that they contact the major
181 RNAP core determinants for σ binding. Hence σ Domain 2 contacts a determinant in
182 β 'subunit, whilst σ Domain 4 contacts a determinant in β subunit. Note that all the
183 above applies to the alternative sigma factors in *E. coli* and *Salmonella*, with the
184 single exception of σ^{54} , encoded by *rpoN*, that differs in domain structure and
185 competence to orchestrate formation of transcriptionally competent open complexes
186 (30) (see below).

187 The current textbook view is that the strength of any bacterial promoter depends on
188 a combination of different promoter element sequences that, together, direct RNAP
189 to initiate transcription. However, this is an oversimplification because it assumes
190 that, *in vivo*, all promoters are equally available to RNAP holoenzyme. The biggest
191 driver of inequality is likely to be the ~1000-fold compaction required to fit 1 mm of
192 DNA into a cell that is 1 μm in length. This compaction is managed by DNA
193 supercoiling, and, also, a set of proteins known as nucleoid associated proteins
194 (NAPs), that include H-NS, Fis, HU and IHF (integration host factor), whose primary
195 task is to sculpture the bacterial chromosome by bending, wrapping and looping
196 bacterial DNA. Many of these proteins are abundant with widespread binding across
197 the genome, and this likely explains the >20-fold variation in transcriptional
198 propensity across the *E. coli* genome with positions of high and low transcription (31-
199 34). In addition, the activity of many promoters is affected by supercoiling and again,
200 the local supercoiling regime depends on location. Note that DNA supercoiling and
201 the abundance of NAPs vary according to conditions and, also, the binding of NAPs
202 and local DNA supercoiling are connected, and hence variation of either can easily
203 cause changes in patterns of gene expression (35-37). Other global factors that can
204 influence bacterial promoter activities are fluctuations in the levels of nucleotides,
205 variations in the levels of signalling molecules such as ppGpp (38), as well as
206 epigenetic markers such as DNA methylation (39).

207 **Overview of activation**

208 The rationale for the evolution of bacterial transcription activatory circuits is to couple
209 gene expression to environmental change (40). Hence the activity of the protein

210 factors involved is tightly regulated (Fig. 2). Mechanisms of regulation include the
211 reversible binding of small ligands and covalent modification that can be either
212 inhibitory or activatory, and often involves an independently folding regulatory
213 domain. Alternatively, activatory factors can be sequestered by binding to other
214 proteins, or by restriction to a particular location such as the inner membrane of the
215 cell. In some cases, regulation is mediated by setting the cellular level of
216 transcription activatory factors, and this can be driven either at the level of their
217 biosynthesis or their degradation which, in turn, can be regulated by environmental
218 factors (1, 23). Another key issue for DNA-binding activators is the number of
219 competing binding sites on the bacterial chromosome compared to functional targets
220 (41). Experimental approaches such as chromatin immunoprecipitation have shown
221 that, for many factors, binding preferences for specific operator sequences are not
222 as strong as previously supposed (42). Hence the effective functional concentration
223 for any activator protein is highly buffered by competing sites and, in some cases,
224 this competition is exploited to control activation.

225 *E. coli* holo RNAP containing housekeeping σ^{70} is fully competent for sequence-
226 specific transcript initiation, with the potential activity of any promoter being set by
227 the precise sequence of each promoter element, together with local factors such as
228 supercoiling and NAP binding, as described in the previous section. Regulation can
229 be mediated either by repressors or activator proteins that are targeted to certain
230 promoters by DNA-binding modules that have evolved to recognise specific base
231 sequences. However, whilst all promoters are susceptible to regulation by
232 repression, regulation by activation can only be effective if the promoter has the
233 potential to work better. Thus, activator-dependent promoters must be defective in
234 some way, either due to some promoter elements being sub-optimal, or due to
235 repression, either by specific repressors or by NAPs. There are many ways by which
236 transcript initiation at specific promoters can be activated, and evolution has
237 exploited these to manage beneficial changes in gene expression. We stress that
238 activation mechanisms depend on the 'problem' faced by the RNAP at specific
239 promoters. Hence, for RNAP σ^{70} holoenzyme at weaker promoters, the main
240 problem is competition with other promoters, and so activators work by recruiting
241 more RNAP. Some activators simply assist RNAP to engage, whilst others remodel
242 or even replace RNAP domains or subunits (Fig. 2).

243 More complicated mechanisms are required at promoters where the problem is the
244 formation or the stability of the open complex and these invariably require RNAP
245 remodelling. Hence, for RNAP σ^{54} holoenzyme, because the pathway to open
246 complex formation is blocked (see below), the principal action of activators is to
247 remodel σ^{54} (30). In contrast, at promoters where the problem is repression,
248 activators often simply act as anti-repressors (Fig. 2). Below we discuss each of the
249 main types of activation found in *E. coli* and *Salmonella*, but we also highlight novel
250 mechanisms found in other bacterial clades. Several themes are recurring, with
251 activators targeting promoter DNA and/or RNAP and functioning by mechanisms
252 involving assistance, remodelling, repositioning or replacement. We also consider
253 how different activators can work together at target promoters, thereby coupling
254 gene expression to two or more independent signals.

255

256 **Activation by σ subunit replacement**

257 Since the specificity of holo RNAP for promoters is mainly determined by its σ factor,
258 alternative σ factors provide an easy route to channel RNAP to particular promoters
259 (Fig. 2). For *E. coli*, in many nutrient-rich conditions, the activity of each of the five
260 alternative σ^{70} -family members is kept low, either by regulating transcription of the
261 cognate gene, inefficient translation, sequestration, or proteolysis (43). However,
262 these circuits are wired so that the activity of specific alternative σ factors increases
263 in response to particular signals, and this results in a number of core RNAP
264 molecules being captured by the alternative σ (6, 19, 29, 44). These alternative σ
265 factors carry Domain 2 and Domain 4 determinants that target different promoter
266 sequences, and hence some promoters can only be served by a specific RNAP
267 holoenzyme. High resolution structural analysis suggests that the initiation pathway
268 orchestrated by most (if not all) σ^{70} -family factors is similar (45-47). Although
269 originally, it was thought that each bacterial promoter was highly specific for a
270 particular σ factor, it is now clear that, for many cases, there is substantial overlap
271 (48, 49).

272 Efficient activation by an alternative σ requires displacement of the resident
273 housekeeping σ in a sub-population of RNAP molecules. Since both housekeeping
274 and alternative σ factors bind to the same core RNAP determinants, each RNAP can
275 carry only one σ factor and so relative binding affinities are important (44). In some
276 cases, the activity of an alternative σ factor is dependent on helpers such as *E. coli*
277 Crl that tethers σ^{38} , encoded by *rpoS*, to RNAP (50). In parallel, Rsd binding to σ^{70} ,
278 reduces the activity of the competing housekeeping σ (51). Hence transcription
279 activation mediated by an alternative σ may not only require a signal-dependent
280 increase in the activity of that factor but also the induction of factors to facilitate σ
281 exchange (52).

282 **Activators that recruit RNAP via α CTD**

283 Many activators bind to a specific DNA operator at target promoters and display a
284 surface (known as an Activating Region) that interacts directly with α CTD, thereby
285 assisting the recruitment of RNAP (Figs. 2 & 3). Such promoters are usually
286 defective for one or more promoter elements, and the activator- α CTD contact
287 compensates for the missing RNAP-promoter element interactions to recruit RNAP
288 so that the σ subunit is positioned to open the transcription bubble (2). In many
289 cases, the activator binds to an α CTD surface that is distinct from the DNA-
290 interacting 265-determinant and this supports α CTD-promoter interactions (Fig. 3A)
291 (53). In other cases, the activator binds to an α CTD surface that includes the 265-
292 determinant, thereby 'docking' that α CTD and effectively changing its DNA-binding
293 specificity (Fig. 3B) (54-56).

294 A well-understood example is the *E. coli* homodimeric cyclic AMP receptor protein
295 (CRP, also known as CAP) that activates transcription by binding upstream from
296 scores of different promoters. The activating surface of CRP (known as AR1) in the
297 downstream subunit of the CRP dimer interacts directly with a surface of α CTD

298 (known as the 287-determinant) and this promotes interaction between the 265-
299 determinant and promoter DNA, thereby supporting recruitment of RNAP (Fig. 3C)
300 (57). Here, CRP and α CTD bind adjacent to each other on the same face of the
301 promoter DNA, but for this interaction to be productive, the recruited RNAP must be
302 correctly juxtaposed with respect to the different downstream promoter elements.
303 Hence, activation is dependent on precise positioning of CRP, though the flexibility of
304 the linker that connects α CTD to α NTD permits different architectures (53). The best
305 studied case is the *E. coli lac* operon promoter where high resolution structural
306 analysis shows AR1 of CRP interacting with the 287-determinant of a single α CTD
307 that simultaneously interacts with promoter DNA via its 265-determinant and also
308 with σ Domain 4 via its 261-determinant (Fig. 3C) (58, 59). A similar situation is seen
309 with 'phage λ cII activator protein that also positions α CTD so that it can interact both
310 with promoter DNA and with σ Domain 4 (Fig. 3D). However, in this case, two cII
311 dimers bind on the opposite face of target promoter DNA from RNAP, but side
312 chains in the upstream subunit make contact with a determinant in α CTD that
313 includes α residue K271 (60). In all these cases, the activator- α CTD interaction
314 assists RNAP, positioning it so that it can engage with the 'normal' transcript
315 initiation pathway at the target promoter.

316 A different situation is found with other activators that bind to the α CTD surface that
317 includes α R265. Hence *E. coli* SoxS and MarA, and *Salmonella* RamA, that can
318 function as monomers, 're-educate' one of the RNAP α CTDs to a different DNA
319 binding specificity, and this results in recruitment of RNAP to promoters carrying the
320 operator sequence for each activator (54, 55, 61, 62). Here, essentially, the activator
321 replaces one of the RNAP promoter binding modules and, apparently because of the
322 α subunit inter-domain linker, many different organisations are possible (Figs. 3B &
323 3E). Hence, with SoxS, the activator can be oriented in either direction according to
324 how its operator sequence (known as the Sox box) is positioned, and, whilst one
325 α CTD is bound to SoxS, off the promoter DNA, the other α CTD can bind promoter
326 DNA immediately upstream of the -35 region and interact with σ Domain 4 via its
327 261-determinant (61). It has been noted that, for SoxS, and similar activators that
328 hold α CTD off the promoter DNA, the interacting surface is more extensive than for
329 activators, like CRP, that hold α CTD on the promoter DNA. Hence activator binding
330 to free RNAP is possible, underscoring that these activators work by altering the
331 binding preferences of RNAP (56, 61). This mode of activation is known as
332 'prerecruitment', where a DNA-binding transcription factor binds first to RNAP
333 holoenzyme, in contrast to 'recruitment', where the activator binds first to its operator
334 at the target promoter (63, 64).

335 **Activators that target RNAP σ Domain 4**

336 For some activators, their primary target is σ Domain 4, and so their binding site
337 abuts or overlaps a promoter -35 region. Some of these just assist σ Domain 4
338 binding to their target promoter -35 element, whilst others reposition or remodel the
339 domain (Fig. 4A & 4B)(65). The simplest example is the 'phage λ cI regulator protein
340 which activates the 'phage λ P_{RM} promoter after binding to a target immediately
341 adjacent to the promoter -35 element. This facilitates an interaction between a
342 surface-exposed cI activating region and a target in the σ Domain 4 593-604

343 determinant that assists promoter binding by σ Domain 4, and hence recruitment of
344 RNAP and subsequent transcript initiation. Many bacterial transcription factors
345 appear to work by this simple mechanism, whereby the activator occupies the
346 position that would normally be taken by the promoter proximal α CTD (2). The
347 consequence of this is that α CTD is displaced and, due to the flexible α subunit
348 interdomain linker, has the possibility of making upstream interactions either with
349 promoter DNA or with other transcription factors (as discussed later).

350 For some activators, their contact with σ Domain 4 results in its occlusion from the
351 promoter -35 element, effectively replacing the binding specificity of σ Domain 4 with
352 that of the operator for the activator (61, 66). An extreme case is that of 'phage T4
353 AsiA protein that binds and remodels σ^{70} Domain 4 such that it becomes susceptible
354 to interactions with the T4 MotA transcription factor that is responsible for activating
355 middle order transcription during the 'phage T4 infection cycle (Fig. 4C) (67, 68).

356 Surface-exposed activating regions are a key feature of transcription factors that
357 activate by contacting specific RNAP determinants (53). Some transcription factors
358 with a σ Domain 4-directed activating region also carry an activating region that can
359 interact with α CTD. Such activators are referred to as 'ambidextrous' and can
360 therefore activate by exploiting either RNAP target, depending on the promoter
361 architecture (69, 70). This is the case for *E. coli* SoxS, MarA and Rob, and
362 *Salmonella* RamA, and, when they bind close to target promoter -35 regions and
363 interact with σ Domain 4, the 265-determinant in one of the RNAP α CTDs binds to
364 the α CTD-directed activating region, and this contributes to RNAP recruitment (Fig.
365 4D) (61, 62, 66). Similarly, for some homodimeric members of the CRP family of
366 transcription factors, activation is primarily driven by an activating region in the
367 downstream subunit of the promoter-bound dimer interacting with the σ Domain 4
368 593-604 determinant, but AR1 in the upstream subunit interacts with the displaced
369 α CTD that docks to the first available upstream minor groove on the same face of
370 the promoter DNA (Fig. 4E) (71, 72). Interestingly, for CRP itself, when bound to
371 DNA sites that overlap promoter -35 regions, the major contact made by the
372 downstream subunit is with α NTD rather than σ Domain 4 (73). This underscores
373 that interactions which recruit RNAP can be made with any convenient surface
374 determinant, the key criterion being that RNAP is positioned so that σ Domain 2 can
375 orchestrate transcript bubble opening and subsequent transcript initiation. Note that
376 these simple adhesive contacts can sometimes stabilise RNAP-promoter
377 intermediates that form after initial binding (74) (see Fig. 4E).

378 **Activators that target promoter structure**

379 Some transcription activator proteins alter promoter structure. This is the case for the
380 action of MerR-family activators at target promoters where the spacing between
381 the -10 and -35 elements is longer than the optimal 17 base pairs (Fig. 2) (75).
382 Activators such as MerR, SoxR and CueR bind to operators located between the -10
383 and -35 elements, inducing a distortion that repositions the key promoter elements.
384 Several high resolution structures show that this involves DNA bending triggered by
385 distortion at specific base pairs that reduces the distance between the -10 and -35
386 elements, so that σ Domain 2 is then correctly positioned (76-78). In another

387 scenario, the activator binds upstream and alters the conformation of downstream
388 DNA to promote transcript initiation, likely, this involves constraining negative
389 supercoiling in promoter DNA. This was first reported for the *E. coli ilvG* promoter
390 that is activated by upstream binding of IHF: the proposed working model is that IHF
391 binding facilitates downstream promoter opening due to local increased negative
392 supercoiling (79). Other promoters may be similarly induced by mechanisms
393 involving alternative DNA structures such as G quadruplex formation (80, 81).

394 **Activation by factors that interact with RNAP but not DNA**

395 The secondary channel is an important feature in RNAP. It provides a direct pathway
396 to the enzyme active site, thereby facilitating the supply of nucleoside triphosphate
397 (NTP) precursors and access for certain elongation factors (82). In open complexes,
398 the secondary channel is the target for a factor dubbed DksA and small nucleotides,
399 collectively known as ppGpp (derived from GDP/GTP and ATP) that accumulate
400 during growth arrest. Together, ppGpp-DksA destabilises open complexes at certain
401 promoters, but promotes open complex formation at others (38, 83, 84). Both effects
402 are thought to result from changes in the kinetics of different steps in the sequential
403 process of transcription bubble opening and displacement of σ Domain 1 from the
404 RNAP active site cleft. Whether the changes result in activation or repression is
405 determined by the starting parameters at the target promoter (83). Remarkably,
406 many bacteriophage and plasmids encode DksA paralogues that work in a similar
407 way, but without the requirement for ppGpp (85, 86).

408 **Activation by anti-repression**

409 Initiation at many bacterial promoters is repressed by promoter-specific transcription
410 factors (87). The paradigm is the *E. coli* lactose (*lac*) operon promoter where the *lac*
411 operon repressor binds with high affinity to specific operator sequences at the
412 promoter region, thereby hindering RNAP access. Activation follows ligand-
413 dependent reduction in *lac* repressor binding: essentially, the ligand effector, which is
414 often a metabolite, is the inducer of expression from the target promoter. However,
415 the action of repressors can also be countered by activator proteins that behave as
416 anti-repressors, simply by displacing the repressor (Fig. 2) (88).

417 In many cases, unlike with the *lac* operon repressor, repression is due to nucleoid
418 associated proteins (33, 34, 89). A prime example is H-NS, that forms filaments that
419 occlude promoters (90). Repression can be reversed by the targeted binding of
420 specific transcription factors, usually in response to a signal, that disrupts the
421 repression. For example, PhoP can function as a 'disruptive counter-silencer',
422 though, at some target promoters, it activates directly by interacting with RNAP (91).
423 Here activation is simply due to the unmasking of promoters, and this can be done
424 with any targeted DNA binding protein, even the *lac* operon repressor (92).
425 Alternatively, repression by H-NS filaments can be disrupted by the insertion of full-
426 length or partial H-NS paralogues, forming heterodimers with H-NS (93).

427 **Activation at promoters dependent on σ^{54}**

428 The vast majority of bacterial σ factors share common features with σ^{70} . However, a
429 small number are unrelated, and belong to the σ^{54} family, named after the *E. coli*

430 *rpoN* gene product, σ^{54} , that is structurally unrelated to σ^{70} . *E. coli* σ^{54} contains three
431 functional regions (RI, RII and RIII) (30). Moreover, promoters recognised by holo
432 RNAP carrying a σ^{54} -family σ subunit have a different organisation, with the key
433 promoter elements located 12 and 24 base pairs upstream from the transcript starts
434 (94). The -24 element at such promoters is recognised by a highly conserved domain
435 known as the RpoN box that is located at the C-terminal end of RIII (Fig. 5Ai). In σ^{54} ,
436 the RpoN box is preceded by a structure known as the ELH-HTH, which is a 55 Å
437 extra-long-helix running into a helix-turn-helix, and the HTH is responsible for
438 recognition of the promoter -12 element and the initiation of DNA melting (95, 96).
439 RNAP σ^{54} -family holoenzyme is competent for promoter recognition, but, unlike
440 RNAP holoenzyme carrying a σ^{70} -family σ factor, is unable to drive formation of the
441 fully open transcription bubble. Structural studies show that this is because σ^{54}
442 Region I obstructs the ELH-HTH and, thus, DNA duplex opening (95, 96). Hence a
443 supplementary factor is required and this is provided by the specialised AAA+
444 domain, found in a family of activatory transcription factors, known as enhancer-
445 binding proteins (EBPs). AAA+ domains (ATPases Associated with a variety of
446 cellular Activities) contribute to a variety of protein functions across all kingdoms of
447 life and can couple ATP hydrolysis to protein remodelling (97). In EBPs, AAA+
448 domains have been recruited to implement ATP-driven reorganisation of RI of σ^{54} -
449 family σ factors, so that a transcriptionally-competent RNAP-promoter complex with
450 local DNA unwinding can form (98, 99). To do this, the active surface of the AAA+
451 domain, which contains protruding loops that interact with the σ^{54} -family σ factor, is
452 delivered to the face of the holo RNAP that is engaging the promoter -12 element. To
453 facilitate this, most EBPs bind as multimers to a target upstream of the promoter and
454 the intervening DNA must be bent to facilitate the activator-RNAP interaction (100).
455 At some promoters, the bending requires the intervention of one or more nucleoid-
456 associated proteins, such as IHF (Fig. 5Ai) (101). Note that the fundamental
457 mechanism of action of σ^{54} -family members differs from that of σ^{70} -family members,
458 likely because it evolved independently to 'solve' the problems of transcript initiation.
459 A consequence of this is that distinctive activation mechanisms are found at
460 promoters served by RNAP with a σ^{54} -family σ subunit (94).

461 **Integration of activatory signals at promoters**

462 The variety of different activatory mechanisms has been exploited by evolution so
463 that activation of a particular promoter can be coupled to two signals (102, 103). In
464 some cases, activation can be assured by either one factor or another, but in many
465 cases, activation is co-dependent on two factors, both of which are essential for full
466 promoter activity. Hence, the promoter essentially acts as an integrator to couple
467 different inputs to the output of transcript initiation.

468 Sometimes, σ factors are involved in signal integration, for example, at certain target
469 promoters served by RNAP σ^{28} holoenzyme, CRP is required for optimal activity
470 (104). At σ^{54} -dependent promoters, whilst the EBP facilitates open complex
471 formation in response to one signal, sometimes a supplementary factor, often
472 triggered by a second effector, is also required (Fig. 5Ai). Dependent on the
473 promoter, this second factor may bend upstream DNA to facilitate 'delivery' of the

474 EBP to the RNAP σ^{54} holoenzyme, or interact with α CTD to promote the initial
475 recruitment of σ^{54} holoenzyme (98).

476 In *E. coli*, the most common co-activation scenario is when the promoter is
477 dependent on two activators that each contact a separate RNAP target surface
478 independently (Fig. 5B). Because of the flexibility of the linker connecting α NTD and
479 α CTD, in most of these cases, at least one of the activators makes a recruiting
480 contact with α CTD, and, often, the other contacts σ Domain 4. Clearly, for co-
481 dependence, the promoter must be organised so that either activator on its own is
482 unable to do the job, and this is often due to non-optimal spacing of individual
483 promoter elements or non-optimal binding sites for either of the factors (70, 102).

484 At most promoters that are co-dependent on two activators, the primary activator
485 factor has a shortcoming. In some case, the primary factor binds at a location where
486 it is unable to activate transcription, and the role of the second activator is to
487 reposition it to a location where it can activate (Fig. 5Aii) (105). In other cases, the
488 primary activator binds at the correct place, but its action is suppressed by repressor
489 proteins, such as NAPs, so the role of the second activator is to relieve this
490 repression (Fig. 5C) (106). Finally, at a small number of promoters, the binding of the
491 primary activator at the target promoter requires direct interaction with the second
492 activator (Fig. 5D) (107).

493 **Transcription activation: the big picture**

494 When RNAP σ^{70} holoenzyme encounters a bacterial promoter, the pathway to
495 transcript initiation is certainly not simple, but very similar processes occur at other
496 promoters, and it is easy to grasp the logic of the different transactions. The same
497 can be said for promoter escape, transcript elongation and transcript termination,
498 but, when it comes to regulation, we are faced with a bewildering array of different
499 mechanisms, and it is not clear why, for any promoter, one is used rather than
500 another. One way to appreciate this complexity is to consider an evolutionary
501 pathway that begins with the simple stark fact that managing DNA and transcribing
502 DNA are both absolutely essential for cellular life, whereas regulation is an optional
503 extra, albeit a useful and desirable one, that appeared later. Hence, chromosomal
504 DNA was compacted by a combination of NAPs and supercoiling, and core RNAP
505 assured transcription, albeit inefficiently with little or no specificity. In this context, it is
506 then easy to imagine how σ Domain 2 evolved to improve the efficiency of
507 transcription bubble opening, how Domain 1 evolved to be the gatekeeper for
508 template DNA entry into the active site, and how Domains 3 and 4 evolved to specify
509 transcript starts to intergenic regions. The benefits of being able to initiate transcripts
510 at specific locations are twofold. First it ensures that transcripts encode complete
511 proteins rather than just fragments. Second, it opens the gate for regulation, and,
512 given the importance of NAPs and the link between DNA compaction and
513 transcription repression, it is plausible that the first bacterial attempts at regulation
514 involved NAPs and relief of NAP-mediated repression at specific locations. This may
515 explain why NAPs play such an important role in the current regulatory hierarchy
516 (108, 109), and it has been argued that many bacterial transcription factors evolved
517 from NAPs by increasing their DNA-binding selectivity and by the addition of

518 regulatory domains (110-112). The subsequent evolution of activating regions gave
519 some of these proteins the ability to interact with and recruit RNAP to promoter DNA,
520 resulting in the finely tuned activation that we see now at many promoters in *E. coli*
521 and *Salmonella*. However, because each promoter is different, and each regulatory
522 feature evolved separately as an add-on, there is no single model for activation. Key
523 evidence for this is that remnants of the initial regime, such as pervasive
524 transcription (26, 27), bidirectional transcription (28), and non-functional binding of
525 transcription factors, remain, and, possibly, have been retained to provide ‘fodder’ for
526 future evolution (113).

527 In this context, it is worth considering σ^{54} as different to other alternative RNAP σ
528 factors, since its activity appears not to be subject to environment-sensitive
529 modulation (18). Rather, transcript initiation at each σ^{54} - dependent promoter is
530 activated by an EBP, most of which carry a regulatory domain, controlled either by
531 ligand binding, covalent modification, or interaction with a protein partner, that
532 modulates DNA binding and AAA-domain activity (98). Hence, we might regard σ^{54}
533 as the product of a parallel attempt by evolution to facilitate transcription bubble
534 opening at specific locations on bacterial chromosomes, and although it never
535 succeeded to become a housekeeping σ , it has been retained, likely because it
536 confers strong activator-dependence when incorporated into RNAP holoenzyme
537 (114).

538 **Transcription activation: what we can learn from other bacteria**

539 Jacques Monod, the founding father of this research field, famously stated that what
540 is true for *Escherichia coli* is true for elephants (115), but, given the view that
541 regulation in general, and activation in particular, are add-ons to gene expression,
542 restricting consideration to *E. coli* and *Salmonella* is short-sighted. Hence studies of
543 transcription regulation in other bacterial clades have already suggested alternative
544 activatory mechanisms that may or may not be operative in *E. coli* and *Salmonella*
545 (29). A good example is to be found with transcription activators that interact with
546 RNAP σ domain 2 (116). In Actinobacteria, the housekeeping σ factor is defective at
547 many promoters and the CarD and RbpA proteins support Domain 2 function by
548 tethering it to core RNAP, and by making complementary interactions with promoter
549 DNA (117). Here, CarD and RbpA are more akin to being part of the RNAP
550 holoenzyme than response-driven activators. In contrast, *Caulobacter* GcrA, which
551 consists of a DNA binding domain flexibly linked to a σ -interacting domain, binds to a
552 specific operator either upstream or downstream of target promoter -10 elements,
553 such that the σ -interacting domain binds to and co-operates with the housekeeping σ
554 factor Domain 2 to stabilise the open complex, making several direct interactions
555 with certain bases (118). Here, as with CarD and RbpA, the activator can be
556 considered as a helper for σ Domain 2. Similarly, outside of *E. coli* and *Salmonella*,
557 clade-specific factors that cooperate with the RNAP α subunit are readily found (119-
558 122). Monod’s colleague, Francois Jacob, remarked that the process of evolution
559 resembles the work of a tinkerer who “works with no specific end in mind, collecting
560 any materials at his disposal, and rearranges them into a workable object” (123).
561 Half a century after this comment, continuing studies of the different mechanisms by
562 which transcript initiation can be activated reinforce this comment, and suggest that

563 there will be more to come, as we explore more genes and more genomes. Hence,
564 for example, a recent study with the *Rhodobacter* GafA transcription factor showed
565 that it targets the small ω subunit of RNAP (124). This underscores the significance
566 of a previous report in which the *E. coli* *rpoZ* gene (encoding ω) was engineered so
567 as to interact with an engineered ‘phage λ *cl* regulator protein, creating an artificial
568 ‘tinkered’ system, where transcription at a target promoter was activated by an
569 ‘arbitrary’ protein-protein interaction (125).

570 **Transcription activation: its biological role**

571 A century ago, before the advent of molecular biology, it was known that the activity
572 of many microbial enzymes changed substantially as microbial growth conditions
573 altered. The biological significance of this was clear to all at the time, but the
574 mechanism was not, and the working hypothesis was that the enzymes themselves
575 adapted to their hosts’ growth conditions (111). The great achievement of Jacob and
576 Monod was to deduce that many adaptation processes are due to the regulation of
577 transcription by promoter-targeted repressors (115), and, shortly afterwards, Ellis
578 Englesberg showed that, for the *E. coli* arabinose operon genes, changes in
579 expression were due to an activator, AraC (126). Following this, hundreds of
580 transcription activators and repressors have been discovered in *E. coli* (127), with
581 most of the research driven by the notion that the activity of each individual factor is
582 triggered by a distinct environmental signal via the action of an effector (40). Hence,
583 activators contribute to the ability of *E. coli* cells to adapt in order optimally to benefit
584 from their environment. This comforting view dominates the literature, and yet it may
585 not be the whole story since we know that, within bacterial populations, especially
586 during infections, there is cell-to-cell variation in the expression of certain gene
587 products, and this variation may ensure the survival of some in really hostile
588 environments, such as a mammalian host. Whilst there are several possible sources
589 of such variation (128, 129), one involves transcription activators, whose activity may
590 not be coupled to any effector, but, rather, is subject to random cell-to-cell variation.
591 For activators whose transcription depends on the activator itself via a feed-forward
592 loop, this variation is accentuated, and can be a major source of cell-to-cell
593 phenotypic variation (130, 131). In such cases, the bacterial community overall
594 benefits from variation rather than uniformity. A good example can be found with
595 AggR, a transcription activator protein that is directly responsible for activation of
596 dozens of virulence genes in the enteroaggregative *E. coli* pathotype (132). Current
597 models for its regulation suggest that its activity is controlled by a feed-forward loop,
598 with dampening, such that, in any bacterial population, only a small proportion of
599 cells are actually virulent (133), and this may well explain why many strains of this
600 pathotype are harmless for many individuals (134). Such regulation ‘by lottery’ may
601 be widespread for certain key bacterial activators, and adds a new dimension to our
602 understanding of bacterial adaptation and the role of transcription activation.

603

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970 **Figure Legends**

971 **Figure 1: Interactions between holo RNA polymerase and promoters leading to**
972 **transcript initiation.** Panel (A) shows the key promoter sequence elements: each
973 element is denoted by a coloured rectangle positioned to indicate its location relative
974 to position +1, the transcript start point. The sequence below each box denotes the
975 consensus for *E. coli* σ^{70} holoenzyme. The labels -35, Ext, -10, Dis, and CRE,
976 denote the promoter -35 hexamer element, the extended -10 element, the -10
977 hexamer element, the discriminator element, and the core recognition element,
978 respectively. Panel (B) illustrates the interaction of parts of the holo RNAP with
979 different promoter elements in the closed complex. RNAP is drawn as a brown oval
980 with the α subunit N- and C-terminal domains shown as blue circles. The 4
981 independently folding domains of the housekeeping σ subunit are shown as purple-
982 shaded ovals marked σ^1 , σ^2 , σ^3 , and σ^4 , located to indicate the interactions
983 described in the text. Panel (C) illustrates the interaction of parts of the holo RNAP
984 with different promoter elements in the open complex. Using the same convention as
985 in (B), the figure shows the transcription bubble with the template strand (orange)
986 held by the CRE, and the non-template strand (blue) held by σ Domain 2. The figure
987 has been adapted from (111).

988

989 **Figure 2: Regulation and roles of activatory transcription factors in bacteria.**

990 The left side of the figure notes that the function of an activatory factor (denoted by a
991 yellow oval) can be regulated by (i) its level (set by its synthesis and/or its
992 degradation), (ii) interaction with a protein partner, (iii) interaction with a ligand, (iv)
993 sequestration to a location (e.g., the cytoplasmic face of the bacterial inner
994 membrane), or (v) covalent modification. The right side of the figure illustrates how
995 the active form of the factor may function by (i) replacing an RNAP subunit (e.g. the
996 housekeeping σ subunit is replaced by an alternative σ), (ii) assisting RNAP to follow
997 the 'normal' pathway to transcript initiation, as in Fig. 1 (e.g. by promoting the
998 interaction of α CTD with promoter DNA and σ), (iii) remodelling part of RNAP (e.g.
999 by binding to the 265-determinant of α CTD, thereby changing its base sequence
1000 preferences), (iv) remodelling the promoter (e.g. by altering the juxtaposition
1001 between the promoter -10 and -35 elements), or (v) by removing a repressor (shown
1002 as a red oval bound at a target promoter). In each case, specificity is determined by
1003 recognition of target promoter sequence elements by the activatory factor. The figure
1004 is adapted from (102) and, for simplicity, just one α CTD is shown.

1005

1006 **Figure 3: Activation by RNAP recruitment via α CTD.** The upper line of the figure
1007 illustrates the two major strategies used by activators to recruit RNAP to target
1008 promoters via α CTD. The figure uses drawing conventions from Figs. 1 and 2, with
1009 functional interactions denoted by coloured dots, listed in the inset box. Panel (A)
1010 illustrates assistance in which an activator- α CTD interaction promotes α CTD binding
1011 to promoter DNA and contact with σ Domain 4. Panel (B) illustrates remodelling, in
1012 which the activator contacts the DNA-binding 265-determinant of α CTD, thereby
1013 altering its binding specificity. The lower line illustrates three specific examples: in

1014 each case specificity is determined by an operator sequence that is targeted by the
1015 activator. Panel (C) illustrates activation of the *E. coli lac* operon promoter by CRP.
1016 An activating region (AR1) in the downstream subunit of the CRP dimer interacts
1017 with a determinant in α CTD (the 287-determinant), thereby promoting the interaction
1018 of the 265- and 261-determinants of α CTD with promoter DNA and σ Domain 4
1019 respectively (58, 59). Here, for a productive interaction, CRP and α CTD must be
1020 bound to the same face of the DNA helix, and this can facilitate activation at other
1021 promoters by CRP bound at locations further upstream (73). Note that this type of
1022 activation is sometimes referred to as Class I activation (2). Panel (D) illustrates
1023 activation of the $\lambda_{p_{RE}}$ promoter by cII protein. An activating region in the
1024 upstream subunit of the cII tetramer interacts with the 271-determinant in α CTD,
1025 thereby promoting the interaction of the 265- and 261-determinants of α CTD with
1026 promoter DNA and σ Domain 4 respectively (60). Here, for a productive interaction,
1027 cII and α CTD must be bound to opposite faces of the DNA helix, and a similar
1028 arrangement is found at some promoters that are activated by members of the
1029 response-regulator family (135). Panel (E) illustrates activation of the *E. coli zwf*
1030 promoter by SoxS that makes interactions with the DNA-binding 265-determinant of
1031 one α CTD. A second activating region contacts the other α CTD, thereby promoting
1032 its interaction with promoter DNA and σ Domain 4 (61). Note that other
1033 arrangements can be found at different SoxS-activated promoters (61, 136).

1034

1035 **Figure 4: Activation by targeting Domain 4 of the RNAP σ subunit.** The upper
1036 line of the figure illustrates the two major strategies used by activators that target
1037 RNAP σ^4 . Panel (A) illustrates assistance, in which an activator- σ^4 interaction
1038 promotes σ Domain 4 binding to the promoter -35 element, and, thereby open
1039 complex formation and transcript initiation: the activator binds to an operator
1040 sequence that abuts the promoter -35 element. Panel (B) illustrates remodelling, in
1041 which the activator contacts and repositions RNAP σ Domain 4. Essentially, the DNA
1042 binding specificity of σ Domain 4 is complemented by, or replaced with, that of the
1043 activator protein. The figure uses the same drawing style as Fig. 3 and functional
1044 interactions are denoted by coloured dots, listed in the inset box. The lower line
1045 illustrates three specific examples. Panel (C) illustrates activation at λ phage T4
1046 middle promoters by the early phage-encoded AsiA and MotA proteins. Essentially,
1047 AsiA remodels host RNAP σ Domain 4 so that it becomes susceptible to activation
1048 by MotA (67, 68, 137, 138). Panel (D) illustrates activation of the *E. coli micF*
1049 promoter by SoxS that makes interactions with both the DNA-binding 265-
1050 determinant of one α CTD and with σ Domain 4 (61). The interactions, together, alter
1051 the binding preferences for RNAP in and upstream of the -35 region. Panel (E)
1052 illustrates activation of the *E. coli gal* operon P1 promoter by CRP: note that *galP1* is
1053 typical of the many *E. coli* promoters where CRP binds to a target that abuts the
1054 promoter -35 region (139). Here, α CTD is displaced and binds upstream, making a
1055 productive interaction with an activating region (AR1) in the upstream subunit of the
1056 CRP dimer. A second activating region (AR2) in the downstream CRP subunit
1057 interacts with a determinant in α NTD, whilst a third activating region (AR3) in the
1058 downstream CRP can contact σ Domain 4 (73, 140). Note that this type of activation

1059 is sometimes referred to as Class II activation (2). During Class II CRP-dependent
1060 activation, AR2 is the predominant activating region whilst, for other CRP family
1061 members, such as FNR, AR3 is predominant (71-73), and different adhesive
1062 activator-RNAP interactions can stabilise different intermediates along the pathway
1063 to transcript initiation (72, 74).

1064

1065 **Figure 5: Mechanisms of promoter co-dependence on two activatory factors.**

1066 The figure shows illustrations of each of the known mechanisms whereby the activity
1067 of a bacterial promoter can be dependent on two activators, shown as rust-coloured
1068 and yellow ovals, denoted Activator 1 and Activator 2. The figure uses the same
1069 drawing style as Figs. 3 and 4, with some functional interactions denoted by coloured
1070 dots, listed in the inset box. Panel (A) illustrates mechanisms in which Activator 2 is
1071 needed to position Activator 1 at a location where it is functional for activation. (i)
1072 illustrates activation with RNAP σ^{54} holoenzyme. The atypical σ^{54} is illustrated as a
1073 series of tangerine-shaded ovals, labelled according to (96). RNAP σ^{54} holo enzyme
1074 contacts promoter -24 and -12 elements (pink rectangles) but is unable to proceed
1075 from the closed to open complex, as the activity of determinants in the ELH-HTH
1076 region is occluded by the RI domain. The ATP-driven action of an Enhancer Binding
1077 Protein (Activator 1) is required to relieve this blockage so that the transcription
1078 bubble can open (facilitated by ELH-HTH, see text). At some σ^{54} -dependent
1079 promoters, Activator 2 is required to assure the correct positioning of Activator 1, by
1080 bending the upstream DNA. Note that, in some cases, Activator 2 also assists with
1081 initial recruitment of RNAP σ^{54} holoenzyme by interacting with α CTD (141, 142). (ii)
1082 illustrates the original report of repositioning (105), where the binding of Activator 2
1083 repositions Activator 1 from a location where it is unable to activate transcription to a
1084 location where it is able to activate transcription (in this case, Activator 2 is CRP and
1085 Activator 1 is MalT). Panel (B) illustrates co-dependence in which Activator 1 and
1086 Activator 2 bind independently to their targets and make independent but
1087 complementary contacts with different parts of RNAP σ^{70} holoenzyme. In the majority
1088 of such cases, as illustrated here, one activator contacts σ Domain 4, whilst the other
1089 contacts one of the displaced α CTDs, but there are some promoters where both
1090 activators bind further upstream and only contact α CTD (143). Panel (C) illustrates
1091 co-dependence in which a repressor blocks the function of Activator 1, and the role
1092 of Activator 2 is to stop the action of the repressor. This mechanism was discovered
1093 at the *E. coli nir* operon promoter, where FNR-dependent activation is suppressed by
1094 the repressive action of two NAPs, IHF and Fis, but repression is countered by the
1095 binding of NarL (106, 144). Panel (D) illustrates co-dependence in which the binding
1096 of one factor requires binding of the other and vice-versa. The scenario requires
1097 direct interaction between Activator 1 and Activator 2. Whilst infrequent in *E. coli* and
1098 *Salmonella*, direct interactions between different transcription factors are being
1099 discovered in other bacterial clades (145-147). The figure is adapted and redrawn
1100 from (102).

1101

1102

1103 **Biographies**

1104 Steve Busby (right) is currently Professor of
 1105 Biochemistry at the University of Birmingham,
 1106 U.K. His interest in bacterial promoters began
 1107 whilst he was a postdoctoral research fellow at
 1108 the Pasteur Institute in Paris and, since moving
 1109 the Birmingham in 1983, he has focussed his
 1110 research on both fundamental and applied
 1111 aspects of bacterial gene regulation.

1112 Doug Browning (left) is currently a Lecturer in
 1113 Biosciences at Aston University in Birmingham,
 1114 U.K. Doug's current research interests are in
 1115 bacterial genomics, gene regulation, and outer
 1116 membrane assembly, but, for many years, he
 1117 worked with Steve Busby on how signals
 1118 conveyed by different effectors are integrated at
 1119 bacterial promoters.



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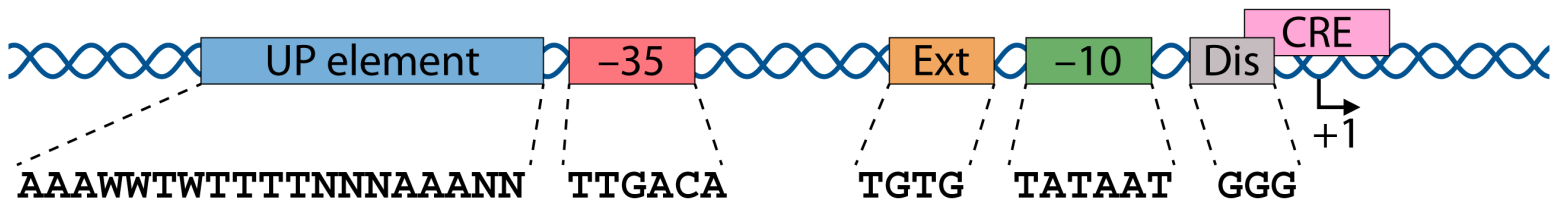
1123

1124 **Appendix: list of relevant *E. coli* genes and EcoCyc accession numbers**

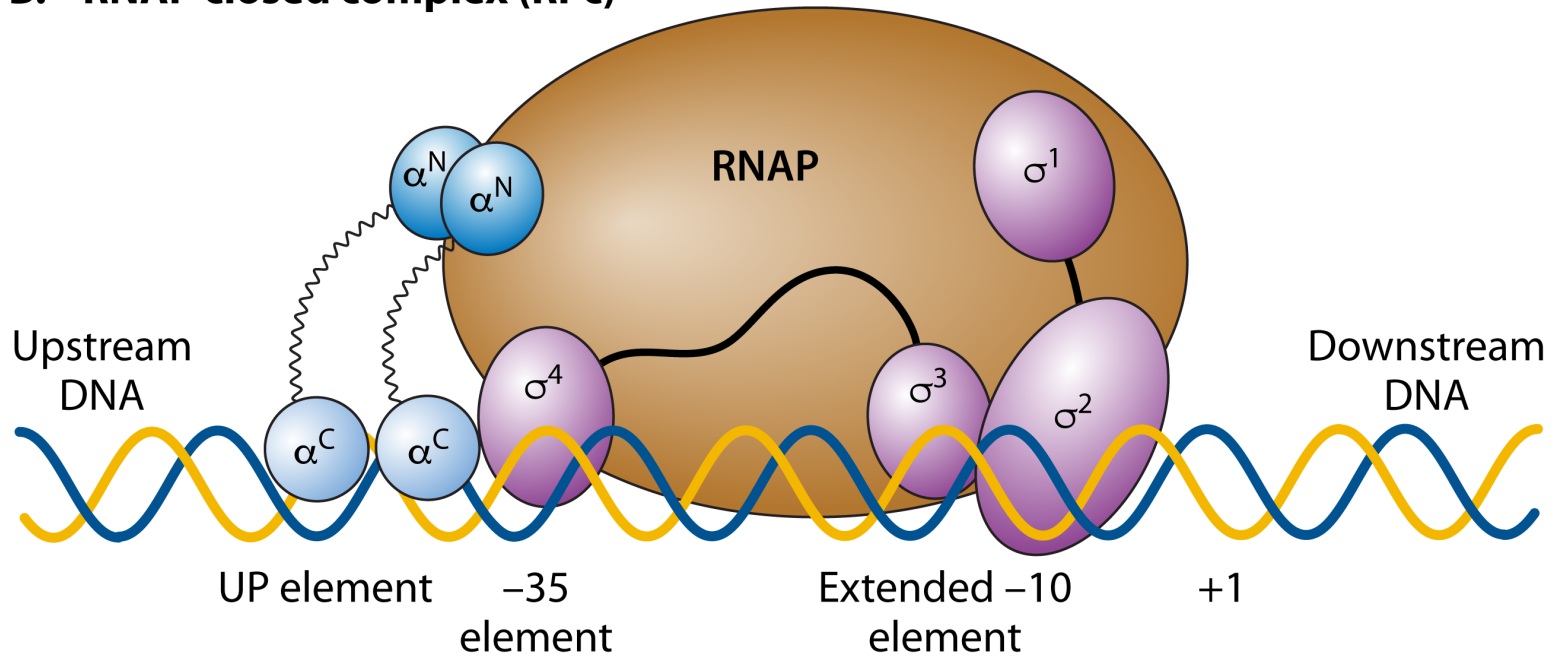
gene name	product	EcoCyc accession ID
RNA polymerase core subunits		
<i>rpoA</i>	DNA-dependent RNA polymerase α subunit	EG10893
<i>rpoB</i>	DNA-dependent RNA polymerase β subunit	EG10894
<i>rpoC</i>	DNA-dependent RNA polymerase β' subunit	EG10895
<i>rpoZ</i>	DNA-dependent RNA polymerase ω subunit	EG10899
RNA polymerase σ subunits		
<i>rpoD</i>	Major ('housekeeping') σ^{70} subunit	EG10896
<i>rpoS</i>	General stress/stationary phase σ^{38} subunit	EG10510
<i>rpoH</i>	Alternative σ^{32} subunit involved in heat shock	EG10897
<i>rpoE</i>	Alternative σ^{24} subunit involved in periplasmic stress	EG11897
<i>rpoF</i>	Alternative σ^{28} subunit involved in motility	EG11355
<i>fecI</i>	Alternative σ^{19} 'iron starvation' σ	EG10291
<i>rpoN</i>	Alternative non-canonical σ^{54} subunit	EG10898
RNA polymerase accessory proteins		
<i>dksA</i>	DksA RNAP-binding transcript initiation modulator	EG10230
<i>crl</i>	Promotes the activity of σ^{38} by acting as a tether	EG11092
<i>rsd</i>	Reduces the activity of 'housekeeping' σ^{70}	EG11738

Nucleoid-associated proteins		
<i>fis</i>	Nucleoid-associated DNA-bending protein	EG10317
<i>hns</i>	Nucleoid-structuring nucleoid-associated protein	EG10457
<i>hupA</i> & <i>hupB</i>	Subunits of HU, nucleoid-organising protein	EG10466 & EG10467
<i>ihfA</i> & <i>ihfB</i>	Integration host factors α & β subunits	EG10440 & EG10441
Transcription Factors		
<i>lacI</i>	Lactose utilisation operon repressor	EG10525
<i>malT</i>	Activator of maltose utilisation operons	EG10562
<i>araC</i>	Activator of arabinose utilisation operons	EG10054
<i>phoP</i>	Global response regulator	EG10731
<i>narL</i>	Global response regulator triggered by nitrate/nitrite	EG10643
<i>crp</i>	Cyclic AMP receptor protein: a global regulator	EG10164
<i>fnr</i>	Global transcription factor controlled by oxygen	EG10325
<i>soxS</i>	Regulator that controls oxidative stress responses	EG10958
<i>marA</i>	Regulator involved in diverse stress responses	EG11434
<i>rob</i>	Regulator with similar targets to SoxS and MarA	EG11366
<i>soxR</i>	Redox-regulated transcription factor	EG10957
<i>cueR</i>	Copper-triggered transcription regulator	G6263
Genes adjacent to exemplar regulatory targets		
<i>lacZ</i>	β -galactosidase enzyme	EG10527
<i>galE</i>	UDP glucose 4-epimerase	EG10362
<i>zwf</i>	NADP-coupled glucose-6-phosphate dehydrogenase	EG11221
<i>micF</i>	Small regulatory RNA	EG30063
<i>ilvG</i>	Enzyme in branched chain aminoacid biosynthesis	G8221

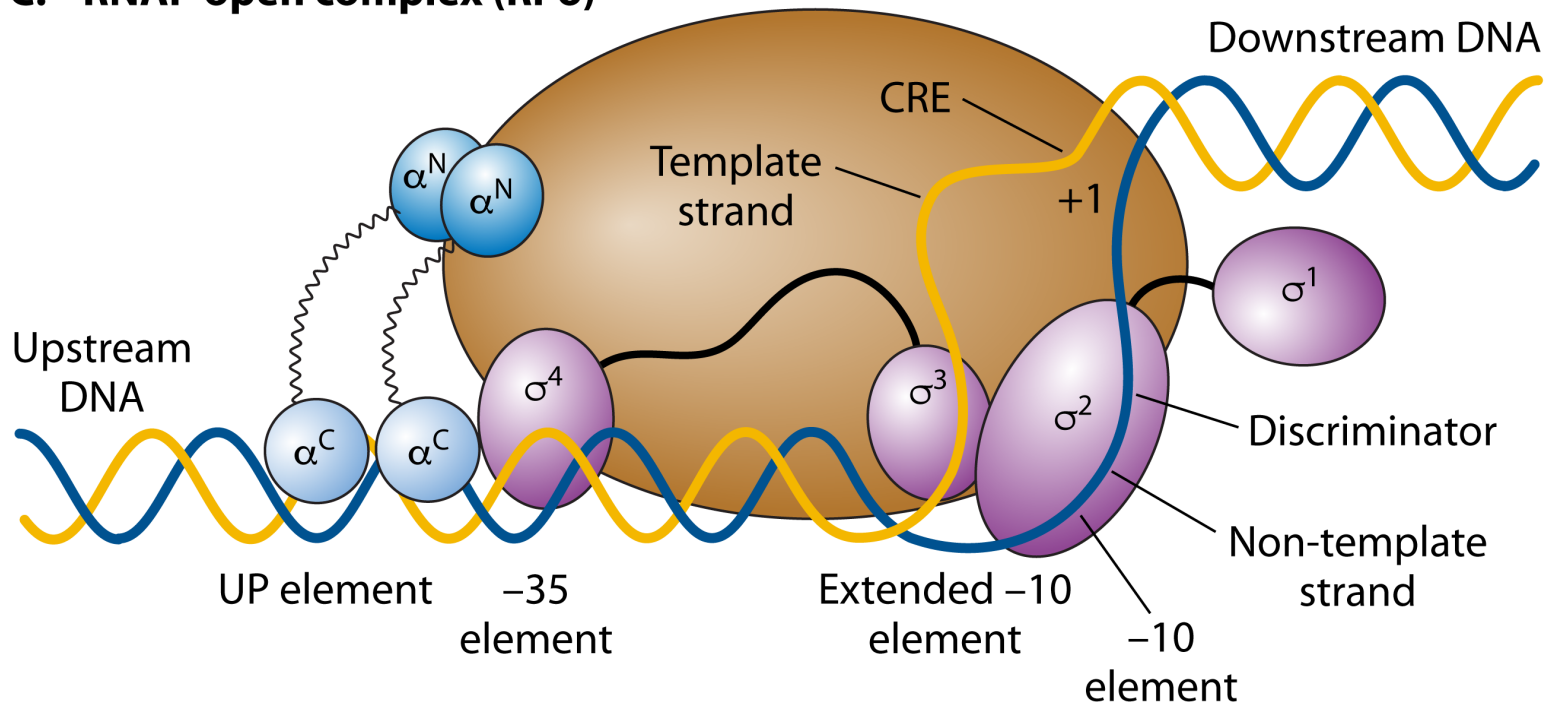
A. Bacterial promoter elements

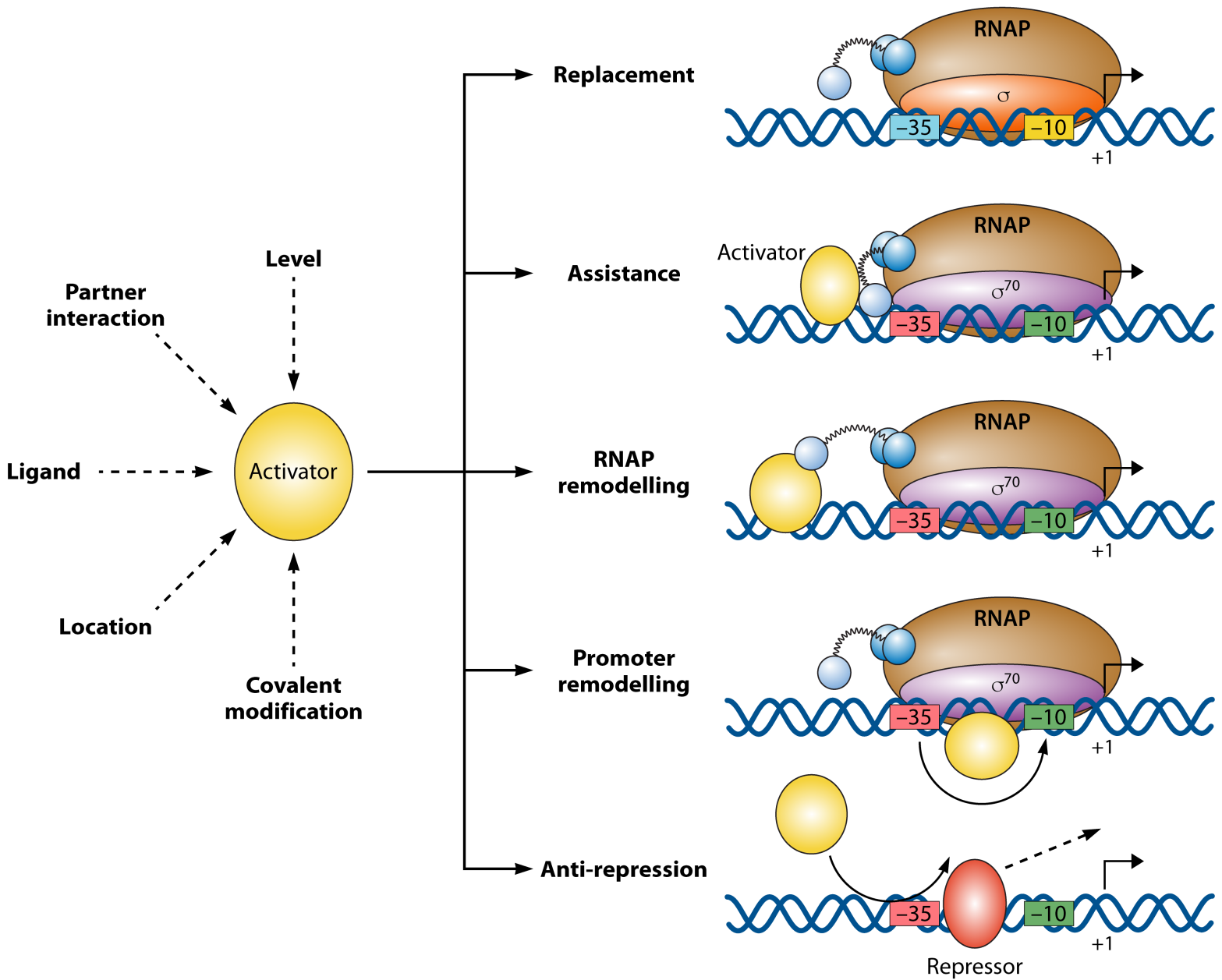


B. RNAP closed complex (RPc)

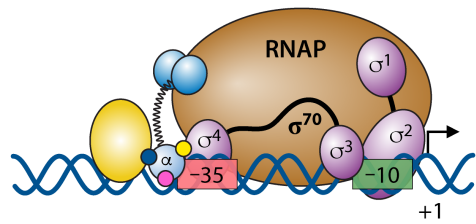


C. RNAP open complex (RPO)

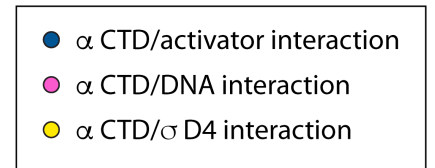
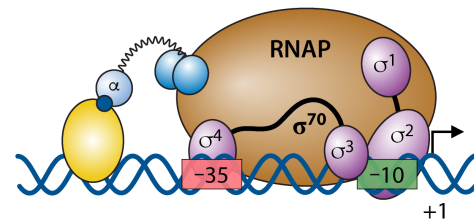




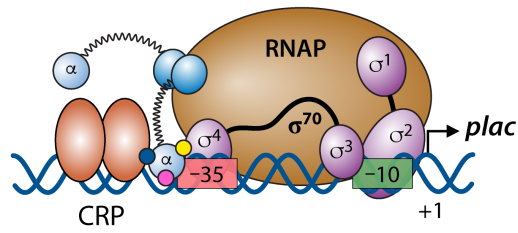
A. Assistance



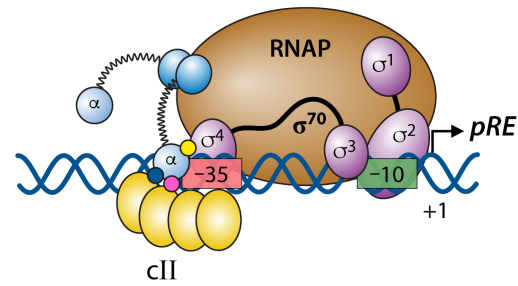
B. Remodelling



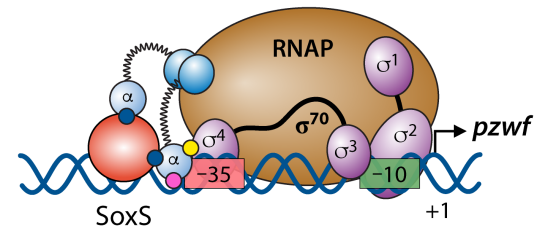
C. *plac*



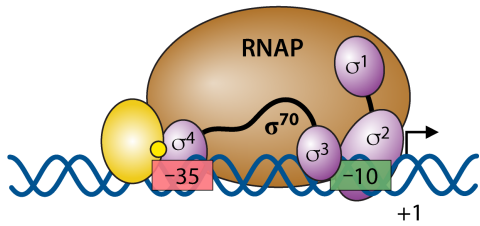
D. λ pRE



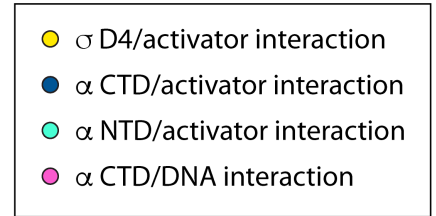
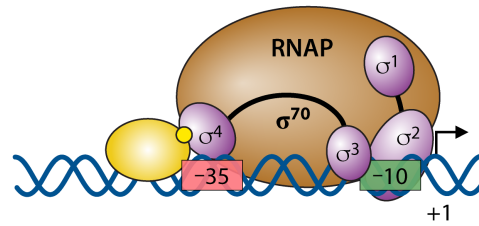
E. *pzwf*



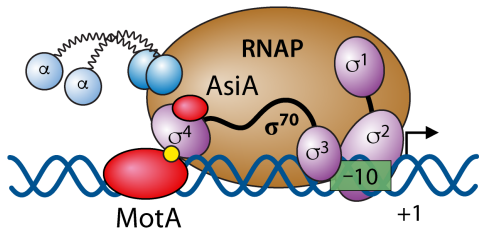
A. Assistance



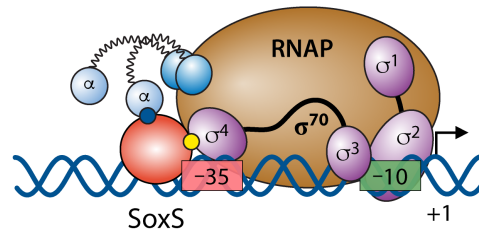
B. Remodelling



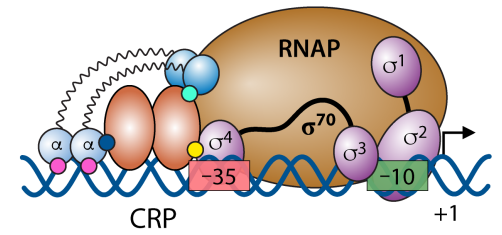
C. T4 middle promoters



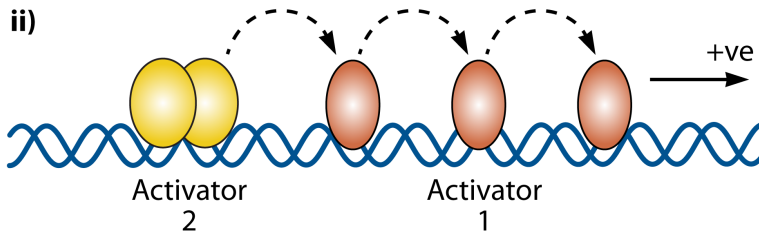
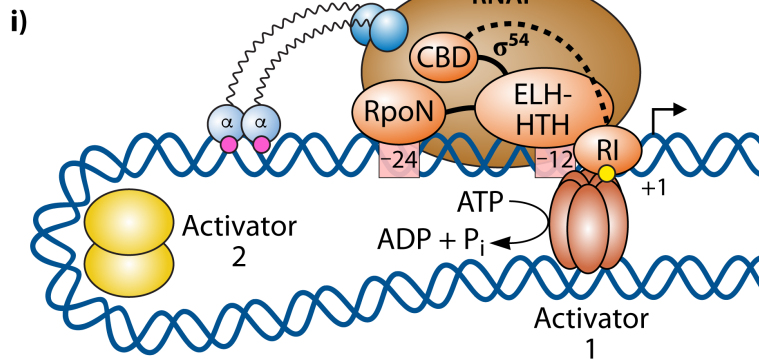
D. *pmicF*



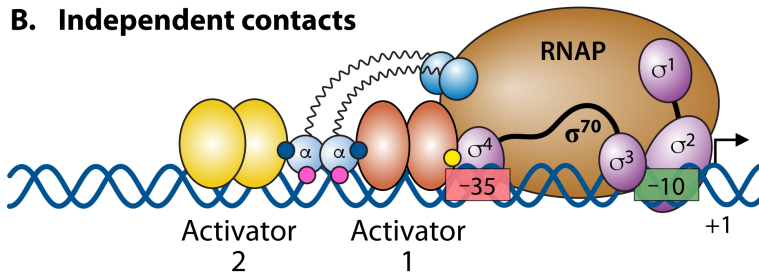
E. *pgal*



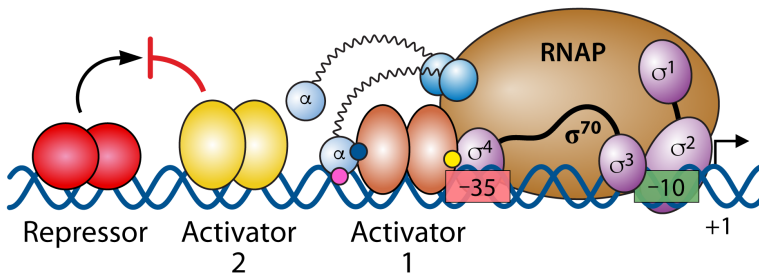
A. Repositioning



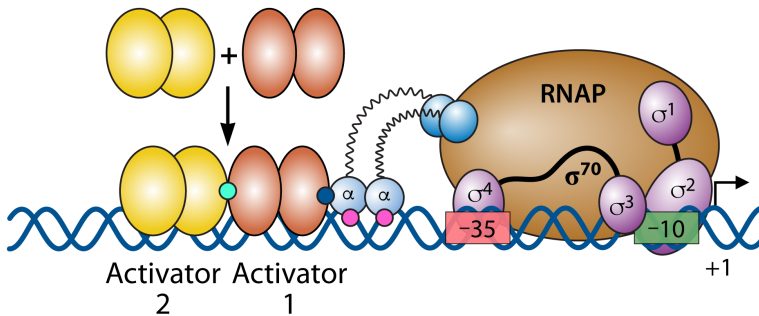
B. Independent contacts



C. Anti-repression



D. Cooperative binding



- α CTD/activator interaction
- α CTD/DNA interaction
- σ /activator interaction
- activator/activator interaction