

The COVID-19 Pandemic and the Performance of Healthcare Supply Chains

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Abstract

Recent pandemic outbreaks, including the COVID-19 and SARS, have revealed that supply chains (SCs) are unable to respond to such disasters. To mitigate the destructive impacts and improve the performance of SCs, Operations Research (OR) techniques have been applied to address the issues over the last two decades. The objective of this paper is to develop a network data envelopment analysis (NDEA) model to measure the resilience and sustainability of healthcare SCs in response to the COVID-19 pandemic outbreak. In the proposed NDEA model, for the first time, outputs' weak disposability, chance-constrained programming (CCP), the convexity assumption, and the semi-oriented radial approach are aggregated. Moreover, a modified directional distance function (DDF) measure is developed to measure the overall and divisional efficiency scores. Furthermore, the proposed model can deal with different types of data such as integer-valued data, negative data,

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stochastic data, ratio data, and undesirable outputs. Also, several useful and interesting properties of the novel efficiency measure are presented. Finally, we measure the performance of 28 healthcare SCs to demonstrate the applicability and capability of our proposed approach.

Keywords: Data envelopment analysis (DEA); COVID-19; Network data envelopment analysis (NDEA); Healthcare supply chains; Resilience and sustainability.

1. Introduction

The recent outbreak of COVID-19 was first diagnosed in late 2019 in the Chinese city of Wuhan (Belhadi et al. 2021). The World Health Organization (WHO) in March 2020 officially declared COVID-19 as a global pandemic (Nagurney, 2021). The pandemic rapidly spread all over the world and has caused devastating damages to the global community. The WHO officially reported 1,831,703 death tolls and 83,326,479 cases by 3 January 2021 owing to the COVID-19 pandemic². The number of death tolls and new cases are increasing dramatically, particularly in the US, Brazil, and the UK. The pandemic also has resulted in irreparable economic consequences around the globe. International financial institutes report that the global economic growths in advanced and developing economies have decreased by 6% and 1%, respectively (Karmaker et al. 2021). The COVID-19 epidemic has negatively affected over 94% of the top 1,000 firms. The unprecedented increase in unemployment rate, considerable reductions in income, and huge disruptions in goods and services are among the serious consequences of the COVID-19 outbreak (Pak et al. 2020).

Preventive and precautionary measures taken by countries to control the spread of the coronavirus virus have resulted in severe disruptions of supply chains (SCs) (Tang, Chin & Lee 2020). Managing disruptions of SCs due to unexpected events such as natural disasters and man-made disasters has been an interesting research topic over the last few decades (Ivanov & Das 2020). The disruptions caused by Covid-19 epidemic and other global epidemics, including SARS and Influenza, have highlighted the strategic importance of SCs to supply goods and services. The COVID-19 outbreak is testing the resilience SCs in terms of flexibility, robustness, and recovery (Ivanov & Dolgui 2020). Furthermore, the pandemic has shown that the triple-bottom-line of sustainable SCs (economic, social, and environmental dimensions) are associated with one another (Karmaker et al. 2021; Sarkis 2020). Disruptions in providing goods and services across industries reveal the economic aspect of sustainable SCs. Also, the source of the virus (sales markets of animal products) and new social norms such as social distancing reveal the environmental and social dimensions of sustainable SCs (Sarkis 2020). As such, the recent unprecedented crisis has prompted and compelled many organizations to adopt strategies to tackle the sustainability issues in their SCs (Ivanov & Dolgui 2020).

² www.who.int

Pandemic diseases outbreaks such as Influenza, SARS, and the recent COVID-19 have been a major issue for policymakers, managers, and decision-makers in the healthcare strategic sector over the two last decades (Sharma, Borah & Moses 2020). Disruptions of hospital SCs are mostly owing to demand increase in the necessary equipment, resulting in huge shortages of such equipment, causing considerable surge in the number of infected people and death toll, as well as healthcare costs (Chakrabarty & Roy 2021). As such, the performance of healthcare systems is negatively affected by such pandemic outbreaks for an uncertain period. To decrease the negative impacts of epidemic outbreaks, healthcare SCs need to be resilient and sustainable (Govindan, Mina & Alavi 2020). In response to patients' needs during epidemic outbreaks, the healthcare SC plays a key role in healthcare systems. Therefore, there is an essential need to develop apposite approaches and frameworks to measure the performance of healthcare systems, especially during pandemic outbreak periods (Göleç & Karadeniz 2020).

Management Science (MS) and Operations Research (OR) methods and techniques are of considerable importance to address epidemic outbreaks problems such as scarce resource allocation, increased demand forecast, and SC risk management (Ivanov & Dolgui 2020). Data envelopment analysis (DEA) derived from OR is a powerful nonparametric method for assessing the performance of a set of decision-making units (DMUs) in many strategic and key sectors such as healthcare, education, and services (Kaffash et al. 2020; Muir et al. 2019; Su et al. 2018; Min & Ahn, 2017; Buffa & Ross, 2011). Since the performance of healthcare SCs has been affected by the recent pandemic, it is crucial to develop and apply advanced OR approaches such as network DEA to measure the performance of healthcare SCs. To this end, in this paper, the resilience and sustainability of healthcare SCs are assessed. This paper makes the following contributions:

- A novel network DEA model is proposed to deal with different types of data such as integer-valued data, negative data, stochastic data, ratio data, and undesirable outputs.
- Ideas of outputs' weak disposability, chance-constrained programming (CCP), the convexity assumption, and the partitioning approach of the semi-oriented radial model (SORM) are aggregated in a unified framework.
- A modified directional distance function (DDF) is developed to derive both the overall and divisional efficiency scores.
- Several interesting properties of the new efficiency measure are presented.
- A case study is presented to measure the resilience and sustainability of healthcare SCs under the COVID-19 pandemic crisis.

The rest of this paper is organized as follows: In Section 2 we present the related works. The proposed method is presented in Section 3. Next, we present the case study in Section 4. Finally, conclusions and future researches are provided in Section 5.

2. Literature review

2.1 Epidemic diseases and healthcare supply chains

Healthcare SCs play a strategic and pivotal role in supplying medical devices and providing the required services to patients (Leite, Lindsay & Kumar 2020). If a pandemic disease occurs, healthcare SCs cannot act efficiently due to the increased demands in medical devices and services (Hoyos, Morales & Akhavan-Tabatabaei 2015). Weak efficiency management may lead to considerable shortages in crucial medical devices and services.

2.2 Performance assessment in healthcare SCs

Al-Saa'da et al. (2013) assessed different dimensions of healthcare SCs, including standards, requirements, compatibility, relationship with vendors, and delivery of quality healthcare services. Chen, Preston & Xia (2013) evaluated the impact of the hospital-supplier collaboration on the efficiency of healthcare SCs. Nyaga, Young & Zepeda (2015) examined the effect of internal and external indicators on the efficiency of healthcare SCs. Supeekit, Somboonwiwat & Kritchanchai (2016) applied the analytic network process (ANP) to assess the performance of hospital SCs. Leksono, Suparno & Vanany (2019) adopted the balanced scorecard (BSC) and ANP to measure the efficiency of sustainable healthcare SCs. Chorfi, Berrado & Benabbou (2019) proposed a DEA model for evaluating the performance of healthcare SCs in the presence of interval data. Göleç & Karadeniz (2020) developed a fuzzy model for analyzing the performance of healthcare SCs.

2.3 Data envelopment analysis (DEA)

DEA is the most popular approach for evaluating the relative efficiency of a set of decision-making units (DMUs) (Visani et al. 2016; Uludağ, 2020; Mahmoudi et al. 2019; Saeedi et al. 2019; Kazemi Matin et al., 2022) To derive the relative efficiency of DMUs, DEA forms an efficiency frontier using combinations of inputs and outputs (Emrouznejad, Cabanda & Gholami 2010). The Charnes, Cooper & Rhodes (1978) (CCR) and Banker, Charnes & Cooper (1984) (BCC) models are two basic DEA models. Owing to its numerous advantages, DEA has been used in many decision-making areas over the last two decades (Izadikhah et al. 2022). DEA assumes that the DMUs that produce more outputs with fewer inputs are more efficient compared with the other DMUs. However, this assumption is not valid to measure the efficiency of DMUs if there are some undesirable outputs such as pollution and noise (Halkos & Petrou 2019). Färe et al. (1989) presented a DEA model to address both undesirable

outputs and desirable outputs. Seiford & Zhu (2002) proposed a DEA model for increasing the efficiency of DMUs in the existence of both good outputs and bad outputs. Jahanshahloo et al. (2005) developed a non-radial DEA model for addressing bad outputs in the performance evaluation of DMUs. Yang & Pollitt (2010) presented a DEA model for distinguishing the weak and strong disposability assumptions among different bad outputs, considering some of their technical features. Mahdiloo et al. (2014) developed a game-theoretic method to decompose the efficiency score in DEA. Liu et al. (2017) developed a DEA cross-efficiency model for dealing with bad outputs. Mozaffari et al. (2021) proposed a two-stage DEA model to measure the efficiency of DMUs in the existence of fuzzy data and undesirable outputs. For more discussions on undesirable outputs in DEA, the reader may refer to the review by Halkos & Petrou (2019).

The presence of ratio data presents another challenge to deploy the conventional DEA model. To tackle this challenge, Emrouznejad & Amin (2009) proposed the convexity assumption for the DEA structure. Olesen, Petersen & Podinovski (2015) proposed production technologies for handling ratio data. To cope with ratio data, Hatami-Marbini & Toloo (2019) modified the proposed approach of Emrouznejad & Amin (2009). The traditional DEA models also are unable to deal with integer data for measuring the efficiency of DMUs. In the traditional DEA model, it is assumed that the inputs and outputs take only real values. However, there might be inputs and outputs that only take integer-valued data. Lozano & Villa (2006), for the first time, presented integer-valued DEA. Kazemi Matin & Kuosmanen (2009) revised their model by developing an axiomatic foundation for DEA models in the existence of integer values. Over the last decade, various researchers have addressed integer data in DEA (e.g., Azadi & Saen 2014; Chen et al. 2012; Khoveyni et al. 2019; Kordrostami, Amirteimoori & Noveiri 2019; Wu & Zhou 2015).

The classical DEA model assumes that the inputs and outputs are deterministic. However, there are many situations in which the inputs and outputs can be stochastic. Sengupta (1982) developed the first stochastic DEA model. Cooper et al. (2002) developed the CCP version of DEA models for determining efficient and inefficient resources. Tavana, Shiraz & Hatami-Marbini (2014) presented the chance-constrained DEA (CCDEA) model in the presence of random inputs and outputs. Chen & Zhu (2019) investigated the computational tractability of CCDEA models using optimization models. There are studies on stochastic DEA models (e.g., Cooper et al. 2004; Cooper et al. 1998; Huang & Li 2001; Morita & Seiford 1999; Olesen & Petersen 1995).

Another pitfall of the traditional DEA model is that it is unable to deal with negative data. For example, profit as an output may take positive and negative values. Scheel (2001) addressed the negative values by considering negative outputs as inputs. Sharp, Meng & Liu (2007) modified the

slacks-based measure (SBM) model to deal with negative outputs and inputs. Over the last decade, researchers have addressed the negative data in DEA (e.g., Allahyar & Rostamy-Malkhalifeh 2015; Emrouznejad, Anouze & Thanassoulis 2010; Izadikhah & Saen 2016; Tavana et al. 2020; Lin & Chen 2018; Tavassoli, Farzipoor Saen & Faramarzi 2015; Tavassoli & Saen 2019). Tavassoli, Farzipoor Saen & Faramarzi (2014) developed a super-efficiency DEA model in the existence of undesirable outputs.

Ignoring the internal transactions of DMUs is another major drawback of the classical DEA model. In other words, in real-world applications, many DMUs such as SCs deal with network structures. Nevertheless, the classical DEA models treat DMUs as black boxes and do not take into account the internal transactions of DMUs. Färe & Grosskopf (2000) proposed network DEA to measure the efficiency in network structures. Liang, Cook & Zhu (2008) developed a two-stage DEA model based on game theory. Tone & Tsutsui (2009) presented a network SBM model to evaluate the performance of electric power companies. Kao & Hwang (2010) developed a network DEA model to investigate inefficiency in the banking sector. Fathi & Saen (2018) presented a bidirectional network DEA model to assess the sustainability of SCs in the transport sector. Shi et al. (2021) introduced a network DEA model for two-stage processes. For a review of the most important studies on network DEA, the reader may refer to Chang, Tone & Wu (2020), Chen, Cook & Zhu (2020), and Chen & Zhu (2020).

2.4 Research gap

The literature review shows that despite the significance of performance measurement of healthcare SCs, it has not been sufficiently addressed. Moreover, resilience and sustainability issues have not been considered simultaneously in the performance evaluation of healthcare SCs. Besides, none of the existing DEA and network DEA models can deal with integer-valued data, ratio data, stochastic data, negative data, and undesirable data, simultaneously. To address the above-mentioned shortcomings, we propose a novel network DEA model with several distinctive features, which are discussed in the next section.

3. Proposed method

Suppose that there are n observed DMUs, where DMU_j ($j = 1, \dots, n$) consists of p divisions (sub-processes). The k th division ($k = 1, \dots, p$) consumes external inputs $\mathbf{x}_i^{(k)}$ ($i = m^{(k-1)} + 1, \dots, m^{(k)}$) and the intermediate inputs $\mathbf{z}_g^{(k-1)}$ ($g = h^{(k-2)} + 1, \dots, h^{(k-1)}$) to produce final output $\mathbf{y}_r^{(k)}$ ($r = s^{(k-1)} + 1, \dots, s^{(k)}$) and intermediate product $\mathbf{z}_g^{(k)}$ ($g = h^{(k-1)} + 1, \dots, h^{(k)}$). Note that the first

division consumes only the external inputs and the last division produces only the final outputs. As shown in Fig. 1, the divisions are connected by the intermediate products.

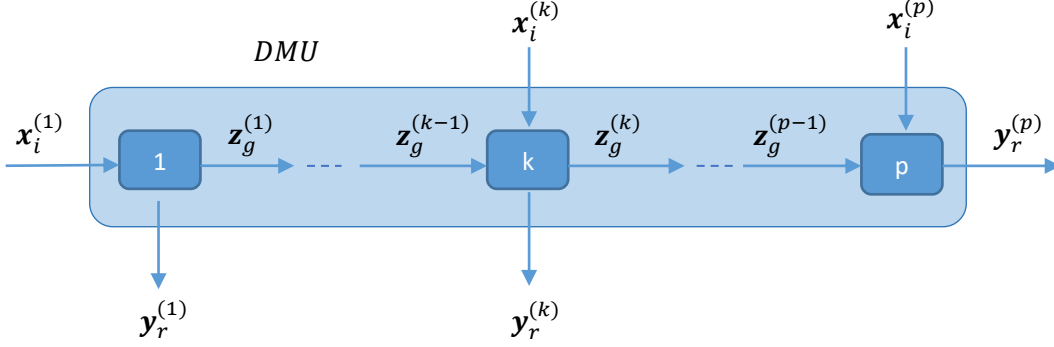


Fig. 1. General series network

3.1 Production set

Following Färe & Grosskopf (2000), the production technology set for general series production systems under variable returns to scale assumption is as follows:

$$\begin{aligned}
 T = \{ & (\mathbf{x}, \mathbf{z}, \mathbf{y}) \in \mathbb{R}_+^{m^{(p)}+h^{(p)}+s^{(p)}} \mid \\
 & \sum_{j=1}^n \lambda_j^{(k)} x_{ij}^{(k)} \leq x_i^{(k)}, \quad i = m^{(k-1)} + 1, \dots, m^{(k)} \\
 & \sum_{j=1}^n \lambda_j^{(k)} z_{gj}^{(k)} \geq z_g^{(k)}, \quad g = h^{(k-1)} + 1, \dots, h^{(k)} \\
 & z_g^{(k)} \geq \sum_{j=1}^n \lambda_j^{(k+1)} z_{gj}^{(k)}, \quad g = h^{(k-1)} + 1, \dots, h^{(k)} \\
 & y_r^{(k)} \leq \sum_{j=1}^n \lambda_j^{(k)} y_{rj}^{(k)}, \quad k = 1, \dots, p, \quad r = s^{(k-1)} + 1, \dots, s^{(k)} \\
 & \sum_{j=1}^n \lambda_j^{(k)} = 1, \quad k = 1, \dots, p \\
 & \lambda_j^{(k)} \geq 0, \quad k = 1, \dots, p, j = 1, \dots, n \} \quad (1)
 \end{aligned}$$

where $\lambda_j^{(k)}$ denotes the intensity weight of DMU_j in division k .

3.2 Modelling different types of data

The conventional DEA model assumes that the data in the production processes are positive real values. However, in many real applications, the inputs, intermediates, and outputs may not be positive real values. Here, without loss of generality and in line with our case study, we assume the following types of data:

- Non-integer (NI) and integer (I) inputs
- Deterministic (D) and stochastic (S) intermediates
- Desirable or good (G) and undesirable or bad (B) outputs
- Positive (P) and negative (N) desirable outputs
- Absolute (A) and ratio (R) positive outputs

Now, the inputs, intermediate products, and outputs are decomposed as follows:

$$\text{Inputs} = NI \cup I,$$

$$\text{Intermediates} = D \cup S,$$

$$\text{Outputs} = G \cup B = (P \cup N) \cup B = ((A \cup R) \cup N) \cup B.$$

The associated partitioning of the input, intermediate, and output vectors are as follows:

$$\mathbf{x} = (\mathbf{x}^{NI}, \mathbf{x}^I), \mathbf{z} = (\mathbf{z}^D, \mathbf{z}^S), \mathbf{y} = (\mathbf{y}^G, \mathbf{y}^B), \mathbf{y}^G = (\mathbf{y}^P, \mathbf{y}^N), \text{ and } \mathbf{y}^P = (\mathbf{y}^A, \mathbf{y}^R). \quad (2)$$

For simplicity, assume that all the divisions have the same data structure. Extending to the case of a hybrid index in which integer, stochastic, undesirable, negative, and ratio data co-exist in any specific division is straightforward. Here, we discuss our proposed strategies for modelling different types of data, including integer-valued, ratio, negative, stochastic, and undesirable.

- a) Note that in the presence of integer-valued inputs, i.e., $\mathbf{x}^{I(k)} \in \mathbb{Z}_+^{|I|}$, the reference technology is a non-convex set of disconnected points in the input space. Therefore, a modified version of traditional efficiency measures is needed. To deal with this case, the proposed approach of Kuosmanen & Kazemi Matin (2009) and Kuosmanen, Keshvari & Kazemi Matin (2015) is followed, in which the integer-valued targets are imposed on efficiency analysis of the observed production units. This approach gauges the efficiency score relative to the monotonic hull of the production technology set in the input space and requires integer variables for computing the efficiency scores and target setting.
- b) The stochastic intermediate products $\tilde{\mathbf{z}}_g^{S(k)}$ follow the normal distribution. The CCP proposed by Charnes and Cooper (1959) is one of the most commonly used approaches for modelling stochastic data in DEA. In this study, we adopt the CCP approach developed by Cooper et al.

(2002, 2004). The associated deterministic constraints are used in the efficiency evaluation of DMUs.

- c) To deal with the undesirable outputs, the weak disposability (WD) approach is used in this study. The WD axiom implies that any radial feasible contraction of undesirable outputs requires contraction of desirable outputs with the same rate, i.e., $(\mathbf{x}, \mathbf{z}, \mathbf{y}^G, \mathbf{y}^B) \in T$ implies that $(\mathbf{x}, \mathbf{z}, \alpha \mathbf{y}^G, \alpha \mathbf{y}^B) \in T$ for all $\alpha \in [0,1]$. Kuosmanen (2005) introduced the production technology set to satisfy the underlying axioms, including WD of desirable and undesirable outputs. Kuosmanen & Kazemi Matin (2011) discussed the economic interpretations of WD technology from a duality viewpoint. Note that modelling the undesirable outputs using the WD approach requires different abatement factors associated with individual DMUs. This requires some variable substitutions to avoid non-linear constraints in the production technology set.
- d) The SORM proposed by Emrouznejad, Anouze & Thanassoulis (2010) is used for modelling negative desirable outputs. This approach can be applied in the case where the outputs have both positive and negative values. SORM suggests a partitioning approach for this type of outputs as $\mathbf{y}^{N(k)} = \mathbf{y}^{1(k)} - \mathbf{y}^{2(k)}$, where the non-negative vectors $\mathbf{y}^{1(k)}$ and $\mathbf{y}^{2(k)}$ are defined as follows:

$$y_{rj}^{1(k)} = \begin{cases} y_{rj}^{N(k)} & \text{if } y_{rj}^{N(k)} \geq 0 \\ 0 & \text{otherwise} \end{cases} \quad \text{and} \quad y_{rj}^{2(k)} = \begin{cases} y_{rj}^{N(k)} & \text{if } y_{rj}^{N(k)} < 0 \\ 0 & \text{otherwise} \end{cases}. \quad (3)$$

As a result, the output constraints associated with $\mathbf{y}^{N(k)}$ are needed to replace the two different sets of constraints for $\mathbf{y}^{1(k)}$ and $\mathbf{y}^{2(k)}$.

- e) For modelling the desirable positive ratio outputs \mathbf{y}^R , note that such data do not satisfy the standard production assumptions like convexity. In this study, we follow the idea of using the ratio measure as is, without any transformation or use of the underlying volume measures proposed by Oleson et al. (2015). Under the variable returns to scale (VRS) assumption for the production technology set, the axiom of selective convexity introduced by Podinovski (2005) is used. This allows the convex combination of feasible DMUs, provided that the ratio outputs have equal values. As a result, the condition $\lambda_j^{(k)} y_r^{R(k)} \leq \lambda_j^{(k)} y_{rj}^{R(k)}$ is imposed on the constraints of the VRS production technology set. This means that the observed DMUs in the convex combinations of inputs and outputs are not allowed to be more than $y_r^{R(k)}$ in all the ratio outputs.

Given the above discussions, the production technology set is as follows:

$$T_{WD-SORM}^{Int-Ratio-Sto} = \{((x^{NI}, x^I), (z^D, \tilde{z}^S), (y^A, y^R, y^1, y^2, y^B))\}$$

$$\sum_{j=1}^n \lambda_j^{(k)} x_{ij}^{NI(k)} \leq x_i^{NI(k)},$$

$$\sum_{j=1}^n \lambda_j^{(k)} x_{ij}^{(k)} \leq x_i^{I(k)},$$

$$\sum_{j=1}^n \lambda_j^{(k)} z_{gj}^{D(k)} \geq z_g^{D(k)},$$

$$\sum_{j=1}^n \lambda_j^{(k+1)} z_{gj}^{D(k)} \leq z_g^{D(k)},$$

$$\sum_{j=1}^n \lambda_j^{(k)} \tilde{z}_{gj}^{S(k)} \geq \tilde{z}_g^{S(k)},$$

$$\sum_{j=1}^n \lambda_j^{(k+1)} \tilde{z}_{gj}^{S(k)} \leq \tilde{z}_g^{S(k)},$$

$$y_r^{A(k)} \leq \sum_{j=1}^n \alpha_j \lambda_j^{(k)} y_{rj}^{A(k)},$$

$$\lambda_j^{(k)} y_r^{R(k)} \leq \lambda_j^{(k)} y_{rj}^{R(k)},$$

$$y_r^{1(k)} \leq \sum_{j=1}^n \alpha_j \lambda_j^{(k)} y_{rj}^{1(k)},$$

$$y_{rj}^{2(k)} \geq \sum_{j=1}^n \alpha_j \lambda_j^{(k)} y_{rj}^{2(k)},$$

$$y_r^{B(k)} = \sum_{j=1}^n \alpha_j \lambda_j^{(k)} y_{rj}^{B(k)},$$

$$\sum_{j=1}^n \lambda_j^{(k)} = 1,$$

$$\lambda_j \geq 0, 0 \leq \alpha_j \leq 1, \forall k, \forall i, \forall r, \forall g\} . \quad (4)$$

By applying the CCP approach, the production set can be stated as follows:

$$T_{WD-SORM}^{Int-Ratio-Sto} = \{((x^{NI}, x^I), (z^D, \tilde{z}^S), (y^A, y^R, y^1, y^2, y^B))\}$$

$$\sum_{j=1}^n \lambda_j^{(k)} x_{ij}^{NI(k)} \leq x_i^{NI(k)},$$

$$\begin{aligned}
& \sum_{j=1}^n \lambda_j^{(k)} x_{ij}^{(k)} \leq x_i^{I(k)}, \\
& \sum_{j=1}^n \lambda_j^{(k)} z_{gj}^{D(k)} \geq z_g^{D(k)}, \\
& \sum_{j=1}^n \lambda_j^{(k+1)} z_{gj}^{D(k)} \leq z_g^{D(k)}, \\
& Pr \left\{ \sum_{j=1}^n \lambda_j^{(k)} \tilde{z}_{gj}^{S(k)} \geq \tilde{z}_g^{S(k)} \right\} \geq 1 - \alpha, \\
& Pr \left\{ \sum_{j=1}^n \lambda_j^{(k+1)} \tilde{z}_{gj}^{S(k)} \leq \tilde{z}_g^{S(k)} \right\} \geq 1 - \alpha, \\
& y_r^{A(k)} \leq \sum_{j=1}^n \alpha_j \lambda_j^{(k)} y_{rj}^{A(k)}, \\
& \lambda_j^{(k)} y_r^{R(k)} \leq \lambda_j^{(k)} y_{rj}^{R(k)}, \\
& y_r^{1(k)} \leq \sum_{j=1}^n \alpha_j \lambda_j^{(k)} y_{rj}^{1(k)}, \\
& y_r^{2(k)} \geq \sum_{j=1}^n \alpha_j \lambda_j^{(k)} y_{rj}^{2(k)}, \\
& y_r^{B(k)} = \sum_{j=1}^n \alpha_j \lambda_j^{(k)} y_{rj}^{B(k)}, \\
& \sum_{j=1}^n \lambda_j^{(k)} = 1, \\
& \lambda_j^{(k)} \geq 0, 0 \leq \alpha_j \leq 1, \forall k, \forall i, \forall r, \forall g\}, \quad (5)
\end{aligned}$$

where Pr denotes “probability” and “ \sim ” denotes random data following the normal distribution. $\alpha \in (0,1]$ shows a pre-defined value of allowable chance of failing constraints to satisfy.

3.3 Computational issues

(i) By assuming the normal distribution for the stochastic intermediate products $\tilde{z}_{gj}^{S(k)}$, the probability constraint $Pr \left\{ \sum_{j=1}^n \lambda_j^{(k)} \tilde{z}_{gj}^{S(k)} \geq \tilde{z}_g^{S(k)} \right\} \geq 1 - \alpha$ can be stated as $\sum_{j=1}^n \lambda_j^{(k)} z_{gj}^{S(k)} + \Phi^{-1}(\alpha) u_g^{(k)} \geq$

$z_g^{S(k)}$, with $(u_g^{(k)})^2 = \sum_{j=1}^n \sum_{l=1}^n \lambda_j^{(k)} \lambda_l^{(k)} Cov(\tilde{z}_{gj}^{S(k)}, \tilde{z}_{gl}^{S(k)}) + Var(\tilde{z}_g^{S(k)}) - 2 \sum_{j=1}^n \lambda_j^{(k)} Cov(\tilde{z}_{gj}^{S(k)}, \tilde{z}_g^{S(k)})$, where $E(\tilde{z}_{gj}^{S(k)}) = z_{gj}^{S(k)}$ and $E(\tilde{z}_g^{S(k)}) = z_g^{S(k)}$ denote the expected values. Φ^{-1} is the inverse of the cumulative distribution function (CDF). $Var(\cdot)$ and $Cov(\cdot, \cdot)$ are the variance and covariance operators, respectively. Similarly, the stochastic inequality constraint $Pr\left\{\sum_{j=1}^n \lambda_j^{(k+1)} \tilde{z}_{gj}^{S(k)} \leq \tilde{z}_g^{S(k)}\right\} \geq 1 - \alpha$ can be transformed into $\sum_{j=1}^n \lambda_j^{(k+1)} z_{gj}^{S(k)} - \Phi^{-1}(\alpha) u_g^{(k+1)} \leq z_g^{S(k)}$. See Cooper et al. (2002, 2004) for more details.

(ii) In $T_{WD-SORM}^{Int-Ratio-Sto}$, α_j denotes the individual abatement factor associated with the WD axiom for the undesirable outputs of DMU_j . Note that these factors should be considered for all the outputs, including positive and negative outputs. Due to the multiplication of the abatement factors α_j by the intensity weights $\lambda_j^{(k)}$, the associated output constraints in $T_{WD-SORM}^{Int-Ratio-Sto}$ are nonlinear. To overcome this issue, we use the following variable substitutions $\forall j, \forall k: \alpha_j \lambda_j^{(k)} = \delta_j^{(k)}$, $(1 - \alpha_j) \lambda_j^{(k)} = \mu_j^{(k)}$, $\lambda_j^{(k)} = \delta_j^{(k)} + \mu_j^{(k)}$ (see Kuosmanen (2005) for more details).

Finally, the production technology set takes the following form:

$$\begin{aligned}
T_{WD-SORM}^{Int-Ratio-Sto} = \{ & ((\mathbf{x}^{NI}, \mathbf{x}^I), (\mathbf{z}^D, \tilde{\mathbf{z}}^S), (\mathbf{y}^A, \mathbf{y}^R, \mathbf{y}^1, \mathbf{y}^2, \mathbf{y}^B)) | \\
& \sum_{j=1}^n (\delta_j^{(k)} + \mu_j^{(k)}) x_{ij}^{NI(k)} \leq x_i^{NI(k)}, \\
& \sum_{j=1}^n (\delta_j^{(k)} + \mu_j^{(k)}) x_{ij}^{(k)} \leq x_i^{I(k)}, \\
& \sum_{j=1}^n (\delta_j^{(k)} + \mu_j^{(k)}) z_{gj}^{D(k)} \geq z_g^{D(k)}, \\
& \sum_{j=1}^n (\delta_j^{(k+1)} + \mu_j^{(k+1)}) z_{gj}^{D(k)} \leq z_g^{D(k)}, \\
& \sum_{j=1}^n (\delta_j^{(k)} + \mu_j^{(k)}) z_{gj}^{S(k)} + \Phi^{-1}(\alpha) u_g^{(k)} \geq z_g^{S(k)}, \\
& \sum_{j=1}^n (\delta_j^{(k+1)} + \mu_j^{(k+1)}) z_{gj}^{S(k)} - \Phi^{-1}(\alpha) u_g^{(k+1)} \leq z_g^{S(k)},
\end{aligned}$$

$$\begin{aligned} (u_g^{(t)})^2 &= \sum_{j=1}^n \sum_{l=1}^n (\delta_j^{(t)} + \mu_j^{(t)}) (\delta_l^{(t)} + \mu_l^{(t)}) Cov(\tilde{z}_{gj}^{S(t)}, \tilde{z}_{gl}^{S(t)}) + Var(\tilde{z}_g^{S(t)}) \\ &\quad - 2 \sum_{j=1}^n (\delta_j^{(t)} + \mu_j^{(t)}) Cov(\tilde{z}_{gj}^{S(t)}, \tilde{z}_g^{S(t)}), t \in \{k, k+1\}, \end{aligned}$$

$$y_r^{A(k)} \leq \sum_{j=1}^n \delta_j^{(k)} y_{rj}^{A(k)},$$

$$(\delta_j^{(k)} + \mu_j^{(k)}) y_r^{R(k)} \leq (\delta_j^{(k)} + \mu_j^{(k)}) y_{rj}^{R(k)},$$

$$y_r^{1(k)} \leq \sum_{j=1}^n \delta_j^{(k)} y_{rj}^{1(k)},$$

$$y_r^{2(k)} \geq \sum_{j=1}^n \delta_j^{(k)} y_{rj}^{2(k)},$$

$$y_r^{B(k)} = \sum_{j=1}^n \delta_j^{(k)} y_{rj}^{B(k)},$$

$$\sum_{j=1}^n (\delta_j^{(k)} + \mu_j^{(k)}) = 1,$$

$$\delta_j^{(k)} \geq 0, \mu_j^{(k)} \geq 0 \quad \forall k, \forall i, \forall r, \forall g. \quad (6)$$

3.4 Efficiency measurement: A modified DDF

Based on the introduced production technology set $T_{WD-SORM}^{Int-Ratio-Sto}$, the efficiency scores of DMUs are assessed. The traditional DDF for the performance evaluation of DMU_o (the DMU under evaluation) concerning the known production possibility set T can be represented as $Max\{\varphi | (\mathbf{x}_o, \mathbf{z}_o, \mathbf{y}_o) + \varphi(-\mathbf{x}_o, \mathbf{0}, \mathbf{y}_o) \in T\}$, where the uniform distance parameter φ is used in the natural directional vector $(\mathbf{g}^x, \mathbf{g}^z, \mathbf{g}^y) = (-\mathbf{x}_o, \mathbf{0}, \mathbf{y}_o)$. For more details, see Chambers et al. (1996).

To incorporate both the overall and stage efficiency scores in $T_{Network-SORM}^{WD-Integer}$, we introduce a modified version of DDF in which the natural improvement direction $(\mathbf{g}^{x^{NI}}, \mathbf{g}^{x^I}, \mathbf{g}^z, \mathbf{g}^{y^A}, \mathbf{g}^{y^R}, \mathbf{g}^{y^1}, \mathbf{g}^{y^2}, \mathbf{g}^{y^B}) = (-\mathbf{x}_o^{NI}, -\mathbf{x}_o^I, \mathbf{0}, \mathbf{y}_o^A, \mathbf{0}, \mathbf{y}_o^1, -\mathbf{y}_o^2, -\mathbf{y}_o^B)$ is used for evaluating the DMUs in which different distance parameters are applied for different stages. Let $\varphi^{(k)}$ denote the distance parameters for division k . Also, let $w^{(k)}$ denote the associated predefined positive weights corresponding to the k th division in which $\sum_{k=1}^p w^{(k)} = 1$. For the performance assessment of DMU_o : $(\mathbf{x}_o^{NI}, \mathbf{x}_o^I, \mathbf{z}_o^D, \tilde{\mathbf{z}}_o^S, \mathbf{y}^A, \mathbf{y}^R, \mathbf{y}^1, \mathbf{y}^2, \mathbf{y}^B)$, we suggest the following modified DDF model:

$$\max_{\varphi, \delta, \mu, \bar{x}} \sum_{k=1}^p w^{(k)} \varphi_o^{(k)} \quad (7)$$

subject to

$$\sum_{j=1}^n (\delta_j^{(k)} + \mu_j^{(k)}) x_{ij}^{NI(k)} \leq (1 - \varphi_o^{(k)}) x_{io}^{NI(k)}, i \in NI \quad (7-1)$$

$$\sum_{j=1}^n (\delta_j^{(k)} + \mu_j^{(k)}) x_{ij}^{(k)} \leq \bar{x}_{io}^{(k)} \leq (1 - \varphi_o^{(k)}) x_{io}^{I(k)}, i \in I \quad (7-2)$$

$$\sum_{j=1}^n (\delta_j^{(k)} + \mu_j^{(k)}) z_{gj}^{D(k)} \geq z_{go}^{D(k)}, g \in D \quad (7-3)$$

$$\sum_{j=1}^n (\delta_j^{(k+1)} + \mu_j^{(k+1)}) z_{gj}^{D(k)} \leq z_{go}^{D(k)}, g \in S \quad (7-4)$$

$$\sum_{j=1}^n (\delta_j^{(k)} + \mu_j^{(k)}) z_{gj}^{S(k)} + \Phi^{-1}(\alpha) u_g^{(k)} \geq z_{go}^{S(k)}, g \in S \quad (7-5)$$

$$\sum_{j=1}^n (\delta_j^{(k+1)} + \mu_j^{(k+1)}) z_{gj}^{S(k)} - \Phi^{-1}(\alpha) u_g^{(k+1)} \leq z_{go}^{S(k)}, g \in S \quad (7-6)$$

$$\begin{aligned} (u_g^{(t)})^2 = & \sum_{j=1}^n \sum_{l=1}^n (\delta_j^{(t)} + \mu_j^{(t)}) (\delta_l^{(t)} + \mu_l^{(t)}) Cov(\tilde{z}_{gj}^{S(t)}, \tilde{z}_{gl}^{S(t)}) + Var(\tilde{z}_{go}^{S(t)}) - 2 \sum_{j=1}^n (\delta_j^{(t)} + \\ & \mu_j^{(t)}) Cov(\tilde{z}_{gj}^{S(t)}, \tilde{z}_{go}^{S(t)}), t \in \{k, k+1\}, g \in S \end{aligned} \quad (7-7)$$

$$\sum_{j=1}^n \delta_j^{(k)} y_{rj}^{A(k)} \geq (1 + \varphi_o^{(k)}) y_{ro}^{A(k)}, r \in A \quad (7-8)$$

$$(\delta_j^{(k)} + \mu_j^{(k)}) y_{ro}^{R(k)} \leq (\delta_j^{(k)} + \mu_j^{(k)}) y_{rj}^{R(k)}, r \in R \quad (7-9)$$

$$\sum_{j=1}^n \delta_j^{(k)} y_{rj}^{1(k)} \geq (1 + \varphi_o^{(k)}) y_{ro}^{1(k)}, r \in N \quad (7-10)$$

$$\sum_{j=1}^n \delta_j^{(k)} y_{rj}^{2(k)} \leq (1 - \varphi_o^{(k)}) y_{ro}^{2(k)}, r \in N \quad (7-11)$$

$$\sum_{j=1}^n \delta_j^{(k)} y_{rj}^{B(k)} = (1 - \varphi_o^{(k)}) y_{ro}^{B(k)}, r \in B \quad (7-12)$$

$$\sum_{j=1}^n (\delta_j^{(k)} + \mu_j^{(k)}) = 1, \quad (7-13)$$

$$\bar{x}_{io}^{(k)} \in \mathbb{Z}_+, 0 \leq \varphi_o^{(k)} \leq 1, \delta_j^{(k)} \geq 0, \mu_j^{(k)} \geq 0 \forall k, \forall i, \forall r, \forall g. \quad (7-14)$$

Remark 1. In Model (7), new integer variables \bar{x}_i are used to avoid non-integer targets for integer-valued factors. Thus, a subset of the variables is restricted to integer values (see Kuosmanen, Keshvari & Matin, 2015).

Remark 2. If we assume that the intermediate products among the different production units are independent, then $Cov(\tilde{z}_{gj}^{S(k)}, \tilde{z}_{gl}^{S(k)}) = 0$ for $j \neq l$. This independence assumption leads to the following relation: $(u_g^{(t)})^2 = \sum_{j=1}^n (\delta_j^{(t)} + \mu_j^{(t)})^2 Var(\tilde{z}_{gj}^{S(t)}) + (1 - 2(\delta_o^{(t)} + \mu_o^{(t)})) Var(\tilde{z}_{go}^{S(t)})$.

Theorem 1. Model (7) is always feasible and bounded.

Proof. For all k , let $\varphi_o^{(k)} = 0$, $\delta_o^{(k)} = \delta_o^{(k+1)} = 1$, $\delta_j^{(k)} = \delta_o^{(k+1)} = 0$ ($\forall j \neq o$), and $\mu_j^{(k)} = \mu_j^{(k+1)} = 0$ ($\forall k \forall j$). Furthermore, let $\bar{x}_i^{(k)} = x_{io}^{I(k)}$ ($\forall i \in I$). It is easy to verify that these values satisfy all the constraints of Model (7). Note that with these values, we have

$$\left(u_g^{(t)}\right)^2 = \left(\delta_o^{(t)} + \mu_o^{(t)}\right)^2 \text{Var}\left(\tilde{z}_{go}^{S(t)}\right) + \text{Var}\left(\tilde{z}_{go}^{S(t)}\right) - 2\left(\delta_o^{(t)} + \mu_o^{(t)}\right)\text{Var}\left(\tilde{z}_{go}^{S(t)}\right) = \text{Var}\left(\tilde{z}_{go}^{S(t)}\right) + \text{Var}\left(\tilde{z}_{go}^{S(t)}\right) - 2\text{Var}\left(\tilde{z}_{go}^{S(t)}\right) = 0.$$

Therefore, the model is feasible. To prove the boundedness, given the constraints of the inputs, undesirable, and second part of the negative outputs, we conclude that $\varphi_o^{(k)} \leq 1$. Thus, we have $\forall k: 0 \leq \varphi_o^{(k)} \leq 1$, which shows the objective value is bounded. ■

Theorem 2. Model (7) has a global optimal solution for $\alpha \leq 0.5$.

Proof. For $\alpha \leq 0.5$, we have $\Phi^{-1}(\alpha) \leq 0$. In this case, $-\sum_{j=1}^n \left(\delta_j^{(k)} + \mu_j^{(k)}\right) z_{gj}^{S(k)} - \Phi^{-1}(\alpha) u_g^{(k)} + z_{go}^{S(k)}$ and $\sum_{j=1}^n \left(\delta_j^{(k+1)} + \mu_j^{(k+1)}\right) z_{gj}^{S(k)} - \Phi^{-1}(\alpha) u_g^{(k+1)} - z_{go}^{S(k)}$ are convex functions as $u_g^{(k)}$ and $u_g^{(k+1)}$ are convex functions. All the other linear constraints of Model (7) are also convex functions, as well as the objective function. In solving this optimization model, due to the existence of integer variables \bar{x}_i for $i \in I$, any created branches would be a convex programming problem, which has a global optimal solution. Therefore, the model has the global optimal solution. ■

In optimality, the overall and division efficiency scores of DMU_o are suggested as follows, which are inspired from the hyperbolic measure introduced by Färe et al. (1989):

$$Eff_{Overall} (DMU_o) = \frac{1 - \sum_{k=1}^p w^{(k)} \varphi_o^{*(k)}}{1 + \sum_{k=1}^p w^{(k)} \varphi_o^{*(k)}}, \quad (8)$$

$$Eff_k (DMU_o) = \frac{1 - \varphi_o^{*(k)}}{1 + \varphi_o^{*(k)}} \quad (k = 1, \dots, p), \quad (9)$$

where $\varphi_o^{*(k)}$ shows the maximum percentage of proportional improvements of the inputs and outputs of division k .

Theorem 3. For $\alpha \leq 0.5$, the objective value of Model (7) is an increasing function of α .

Proof. Let $\alpha_2 > \alpha_1$ and $(\bar{\varphi}_o^{(k)}, \bar{\delta}_j, \bar{\mu}_j, \bar{x}_i, \bar{u}_g^{(k)})$ for all $k, j, i \in I$ and $g \in S$, be a feasible solution of Model (7) at probability level α_1 . Since $\alpha_2 > \alpha_1$, $0 < -\Phi^{-1}(\alpha_2) < -\Phi^{-1}(\alpha_1)$, and from the constraints of Model (7) associated with the stochastic intermediate products, we have

$$z_{go}^{S(k)} \leq \sum_{j=1}^n (\bar{\delta}_j^{(k)} + \bar{\mu}_j^{(k)}) z_{gj}^{S(k)} + \Phi^{-1}(\alpha_1) \bar{u}_g^{(k)} \leq \sum_{j=1}^n (\bar{\delta}_j^{(k)} + \bar{\mu}_j^{(k)}) z_{gj}^{S(k)} + \Phi^{-1}(\alpha_2) \bar{u}_g^{(k)}$$

$$\sum_{j=1}^n (\bar{\delta}_j^{(k+1)} + \bar{\mu}_j^{(k+1)}) z_{gj}^{S(k)} - \Phi^{-1}(\alpha_2) \bar{u}_g^{(k+1)} \leq \sum_{j=1}^n (\bar{\delta}_j^{(k+1)} + \bar{\mu}_j^{(k+1)}) z_{gj}^{S(k)} - \Phi^{-1}(\alpha_1) \bar{u}_g^{(k+1)} \leq z_{go}^{S(k)} .$$

This shows that $(\bar{\varphi}_o^{(k)}, \bar{\delta}_j, \bar{\mu}_j, \bar{x}_i, \bar{u}_g^{(k)})$ for all $k, j, i \in I$ and $g \in S$ is a feasible solution of Model (7) at α_2 probability level. Therefore, at optimality, we have $\sum_{k=1}^p w^{(k)} \varphi_{o, \alpha_1}^{*(k)} \leq \sum_{k=1}^p w^{(k)} \varphi_{o, \alpha_2}^{*(k)}$. This completes the proof. ■

Remark 3. The new proposed model satisfies the following properties, the proofs of which are straightforward:

P1. $0 < Eff_{Overall} \leq 1$ and $0 < Eff_k \leq 1$ for all k .

P2. $Eff_{Overall} = 1$ if and only if $Eff_k = 1$ for all k .

P3. $Eff_{Overall}$ and Eff_k ($k = 1, \dots, p$) are unit invariant.

P4. $Eff_{Overall}$ and Eff_k ($k = 1, \dots, p$) are monotonic in the inputs and outputs.

P5. $Eff_{Overall}$ and Eff_k ($k = 1, \dots, p$) are decreasing functions of the α values.

4. Case study

Iran is one of the most vulnerable countries due to the COVID-19 pandemic with 1,320,000 total cases and over 56,000 death tolls by January 2021. To mitigate the devastating effects of the COVID-19 pandemic, resilience and sustainability assessment of healthcare SCs have attracted considerable attention from healthcare managers. In this study, the healthcare SC is comprised of suppliers (division 1) and hospitals (division 2). The suppliers produce COVID-19 testing kits. The kits can detect infected people, which are one of the most important medical devices.

In this study, several meetings were held with managers and experts of suppliers and hospitals for identifying the most significant indicators to assess the resilience and sustainability of healthcare SCs. Table 1 provides the dataset of 28 healthcare SCs. The dataset dates back to August 2020 till November 2020, which is extracted by observing the documents of the suppliers and hospitals of healthcare SCs. The external inputs of division 1 are raw material cost ($x_1^{(1)}$), environmental costs ($x_2^{(1)}$), and personnel costs ($x_3^{(1)}$). The number of produced COVID-19 testing kits ($z_1^{I(1)}$) and the average inventory ($\tilde{z}_2^{S(1)}$) are intermediate measures, which exit from division 1 and enter division 2. The external inputs of division 2 include employees' health and safety costs ($x_1^{(2)}$), the number of personnel ($x_2^{I(2)}$), the personnel costs ($x_3^{(2)}$), the number of patients ($x_4^{I(2)}$), and the number of beds ($x_5^{I(2)}$). The outputs of stage 2 are the number of errors in diagnosing COVID-19 disease ($y_1^{UD(2)}$), the number of discharged patients ($y_2^{R(2)}$), and profit ($y_3^{N(2)}$). Figure 2 shows the network structure of the healthcare SC.

Table 1. The factors used to measure resilience and sustainability of healthcare SCs

Stages	Factors	Factor type	Data type	Notations	Sustainability/resilience dimension	Measurement units
Supplier	Raw material costs	Input	Real	$x_1^{(1)}$	Economic	Rial
	Environmental costs	Input	Real	$x_2^{(1)}$	Environmental	Rial
	Personnel costs	Input	Real	$x_3^{(1)}$	Economic	Rial
	The number of produced kits	Intermediate	Integer	$z_1^{I(1)}$	Economic	Number
	Average inventory	Intermediate	Stochastic	$\bar{z}_2^{S(1)}$	Resilience	Number of days, which the available kits satisfy the demands of hospitals
Hospital	Employees' health and safety costs	Input	Real	$x_1^{(2)}$	Social	Rial
	The number of personnel	Input	Integer	$x_2^{I(2)}$	Economic	Number
	Personnel costs	Input	Real	$x_3^{(2)}$	Economic	Rial
	The number of patients	Input	Integer	$x_4^{I(2)}$	Economic	Number
	The number of beds	input	Integer	$x_5^{I(2)}$	Economic	Number
	The number of errors in diagnosing the COVID-19 disease	Undesirable output	Integer	$y_1^{UD(2)}$	Economic	Number
	The percentage of discharged patients	Desirable output	Real	$y_2^{R(2)}$	Economic	Ratio
	Profit	Desirable output	Negative and positive	$y_3^{N(2)}$	Economic	Rial

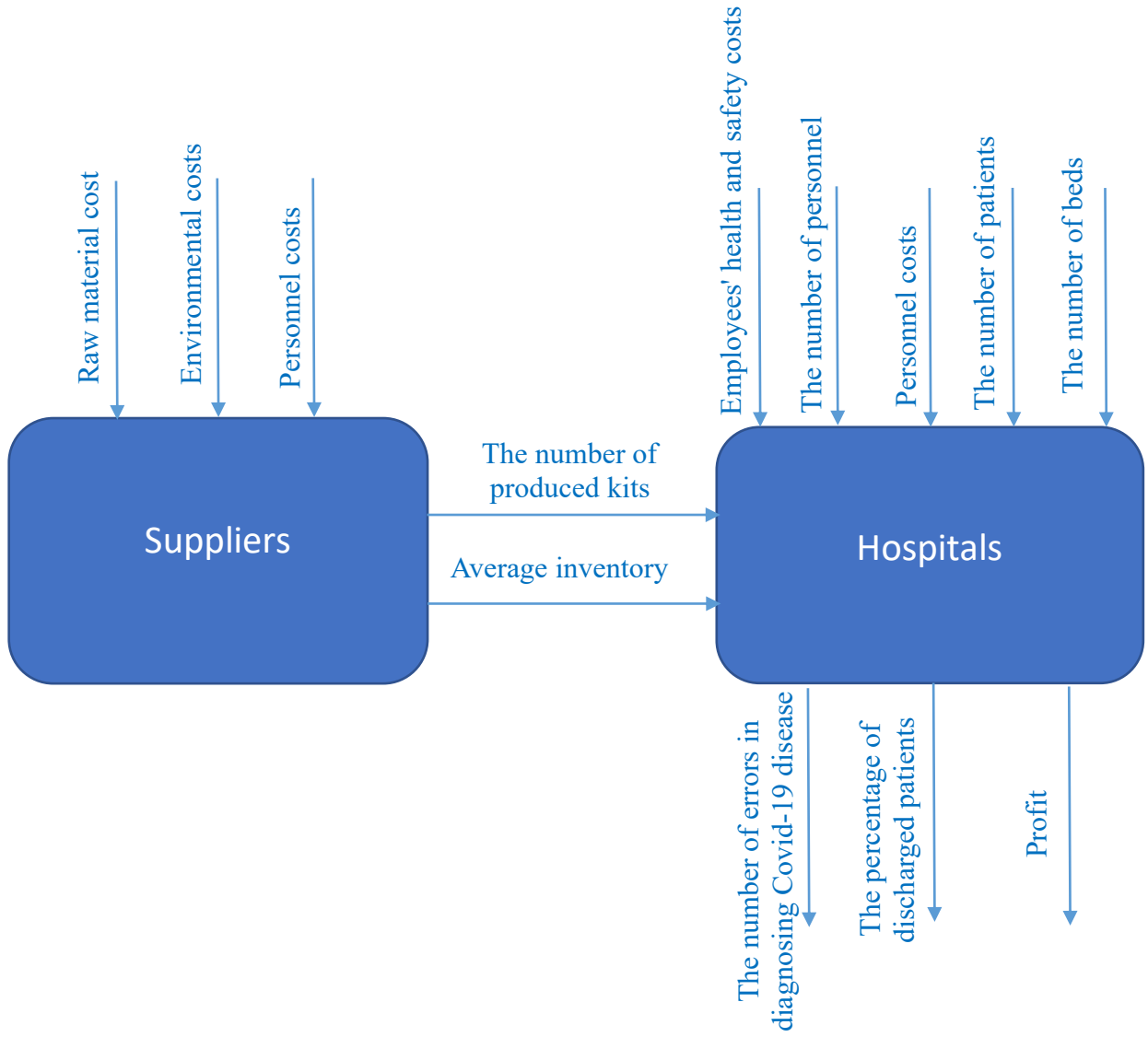


Figure 2. The healthcare SC

The following model is based on Model (7), which is customized for this case study. Assume that the intermediate stochastic variables are independent.

$$\text{Max}_{\varphi, \lambda, \delta, \mu, \bar{x}, \bar{y}} w^{(1)}\varphi_o^{(1)} + w^{(2)}\varphi_o^{(2)} \quad (10)$$

subject to

$$\sum_{j=1}^{28} \lambda_j^{(1)} x_{ij}^{(1)} \leq (1 - \varphi_o^{(1)})x_{i0}^{(1)}, i = 1, 2, 3 \quad (10-1)$$

$$\sum_{j=1}^{28} \lambda_j^{(1)} z_{1j}^{I(1)} \geq z_{10}^{I(1)} \quad (10-2)$$

$$\sum_{j=1}^{28} \lambda_j^{(2)} z_{1j}^{I(1)} \leq z_{10}^{I(1)} \quad (10-3)$$

$$\sum_{j=1}^{28} \lambda_j^{(1)} z_{2j}^{S(1)} + \Phi^{-1}(\alpha)u_2^{(1)} \geq z_{20}^{S(1)}, \quad (10-4)$$

$$\left(u_2^{(1)}\right)^2 = \sum_{j=1}^{28} (\lambda_j^{(1)})^2 \text{Var}\left(\tilde{z}_{2j}^{S(1)}\right) + (1 - 2\lambda_o^{(1)})\text{Var}\left(\tilde{z}_{20}^{S(1)}\right) \quad (10-5)$$

$$\sum_{j=1}^{28} (\delta_j^{(2)} + \mu_j^{(2)})z_{2j}^{S(1)} - \Phi^{-1}(\alpha)u_2^{(2)} \leq z_{20}^{S(1)}, \quad (10-6)$$

$$\left(u_2^{(2)}\right)^2 = \sum_{j=1}^{28} (\delta_j^{(2)} + \mu_j^{(2)})^2 \text{Var}\left(\tilde{z}_{2j}^{S(1)}\right) + (1 - 2(\delta_o^{(2)} + \mu_o^{(2)}))\text{Var}\left(\tilde{z}_{20}^{S(1)}\right) \quad (10-7)$$

$$\sum_{j=1}^{28} (\delta_j^{(2)} + \mu_j^{(2)}) x_{ij}^{(2)} \leq (1 - \varphi_o^{(2)}) x_{io}^{(2)}, i = 1, 3 \quad (10-8)$$

$$\sum_{j=1}^{28} (\delta_j^{(2)} + \mu_j^{(2)}) x_{ij}^{(2)} \leq \bar{x}_{io}^{(2)} \leq (1 - \varphi_o^{(2)}) x_{io}^{I(2)}, i = 2, 4, 5 \quad (10-9)$$

$$\sum_{j=1}^{28} \delta_j^{(2)} y_{1j}^{I,UD(2)} = \bar{y}_{1o}^{(2)} \leq (1 - \varphi_o^{(2)}) y_{1o}^{I,UD(2)} \quad (10-10)$$

$$(\delta_j^{(2)} + \mu_j^{(2)}) y_{2o}^{R(2)} \leq (\delta_j^{(2)} + \mu_j^{(2)}) y_{2j}^{R(2)}, j = 1, \dots, 28 \quad (10-11)$$

$$\sum_{j=1}^{28} \delta_j^{(2)} y_{3j}^{1(2)} \geq (1 + \varphi_o^{(2)}) y_{3o}^{1(3)} \quad (10-12)$$

$$\sum_{j=1}^{28} \delta_j^{(2)} y_{3j}^{(2)} \leq (1 - \varphi_o^{(2)}) y_{3o}^{2(3)} \quad (10-13)$$

$$\sum_{j=1}^{28} \lambda_j^{(1)} = 1 \quad (10-14)$$

$$\sum_{j=1}^{28} (\delta_j^{(2)} + \mu_j^{(2)}) = 1 \quad (10-15)$$

$$\lambda_j^{(1)}, \delta_j^{(2)}, \mu_j^{(2)} \geq 0, \quad j = 1, \dots, 28, \quad (10-16)$$

$$\bar{x}_{io}^{(2)}, \bar{y}_{1o}^{(2)} \in \mathbb{Z}_+, i = 2, 4, 5 \quad (10-17)$$

$$0 \leq \varphi_o^{(k)} \leq 1, k = 1, 2. \quad (10-18)$$

Table 2 shows the dataset. Table 3 reports the results given Model (8). Table 3 shows the results for the 28 healthcare SCs. We ran Model (8) with different α values, including $\alpha = 0.001$, $\alpha = 0.01$, $\alpha = 0.05$, $\alpha = 0.1$, $\alpha = 0.3$, and $\alpha = 0.5$. The α is a pre-determined acceptable risk, which is determined by the decision-maker. φ_o^1 and φ_o^2 imply the maximum percentages of proportional improvements of inputs and outputs of divisions 1 and 2, respectively. The resilience and sustainability scores of division 1 and division 2 with $\alpha = 0.001$ are shown in column 4 and column 5 of Table 3, respectively. As shown, while 22 out of the 28 healthcare SCs are resilient and sustainable in division 1, all the 28 healthcare SCs are resilient and sustainable in division 2. Only six out of the 28 healthcare SCs are not overall resilient and sustainable. By increasing α , the results change. For $\alpha = 0.5$, only 15 and 16 out of the 28 healthcare SCs are resilient and sustainable in division 1 and division 2, respectively. As shown, for $\alpha = 0.001$, 22 healthcare SCs are resilient and sustainable, and for $\alpha = 0.5$, seven healthcare SCs are resilient and sustainable. Note that for $\alpha=0.5$, we have $\Phi(\alpha)^{-1} = 0$ and deterministic efficiency is computed.

Table 2. The dataset

Healthcare SCs (DMUs)	Division 1 (Supplier)					Division 2 (Hospital)							
	Inputs			Intermediates		Inputs					Outputs		
	Raw material cost (Rial)	Environmental costs (Rial)	Personnel costs (Rial)	The number of produced kits	Average inventory (Days)	Employees' health and safety costs (Rial)	The number of personnel	Personnel costs (Rial)	The number of patients	The number of beds	The number of errors in diagnosing Covid-19	Percentage of discharged patients (Ratio)	Profit (Rial)
1	7,145,000,000	301,450,000	2,471,000,000	279,180	Mean (9.875) Variance (2.29)	198,620,000	57	7,394,000,000	6,143	198	209	0.92	4,150,000,000
2	4,810,000,000	254,600,000	1,650,000,000	186,341	Mean (7.75) Variance (1.18)	102,572,000	44	6,438,000,000	4,919	130	124	0.90	2,973,000,000
3	6,317,000,000	231,320,000	2,742,000,000	258,144	Mean (10.25) Variance (1.81)	162,816,000	61	7,973,000,000	5,315	174	178	0.91	1,392,000,000
4	2,852,000,000	122,758,000	1,025,000,000	151,297	Mean (6.25) Variance (1.68)	91,297,000	40	4,851,000,000	3,372	158	163	0.89	1,124,000,000
5	5,138,000,000	265,171,000	2,521,000,000	243,510	Mean (7.25) Variance (5.31)	148,350,000	49	7,126,000,000	4,543	195	219	0.92	-168,200,000
6	7,534,000,000	344,180,000	2,928,000,000	285,594	Mean (9) Variance (2.5)	215,394,000	54	7,597,000,000	5,745	241	224	0.93	2,157,000,000
7	4,350,000,000	140,746,000	1,387,000,000	151,452	Mean (7.25) Variance (1.18)	147,152,000	47	5,915,000,000	3,692	145	157	0.90	984,300,000
8	4,741,000,000	155,360,000	1,486,200,000	149,160	Mean (8) Variance (2)	194,320,000	53	6,845,000,000	3,963	150	193	0.91	-124,150,000
9	3,329,000,000	163,950,000	945,000,000	165,523	Mean (6.5) Variance (2.07)	110,539,000	45	5,423,000,000	3,975	142	125	0.91	0
10	6,425,000,000	325,200,000	3,092,000,000	261,200	Mean (8) Variance (1.5)	196,525,000	57	5,960,100,000	5,685	185	217	0.93	1,025,000,000
11	6,740,000,000	402,190,000	2,875,000,000	267,410	Mean (8.5) Variance (1.5)	120,510,000	42	6,251,000,000	5,951	200	241	0.95	1,860,000,000
12	5,658,000,000	320,150,000	2,842,000,000	260,185	Mean (7.87) Variance (1.04)	245,318,000	54	7,924,000,000	5,280	245	269	0.91	-245,500,000
13	3,249,000,000	294,500,000	1,325,000,000	160,205	Mean (8.25) Variance (2.18)	140,633,000	39	5,640,000,000	3,715	172	101	0.91	612,500,000
14	5,342,000,000	268,412,000	2,254,120,000	137,411	Mean (6.375) Variance (1.60)	265,800,000	47	7,170,000,000	4,427	240	199	0.92	0
15	6,148,000,000	265,180,000	2,145,000,000	235,142	Mean (8.75) Variance (2.18)	168,254,000	52	6,940,000,000	5,254	225	244	0.93	2,490,000,000
16	4,275,000,000	212,750,000	1,419,000,000	170,371	Mean (8) Variance (1.5)	264,115,000	45	6,429,000,000	4,482	231	271	0.93	-932,500,000
17	3,419,000,000	120,552,000	1,172,000,000	193,410	Mean (6.625) Variance (1.42)	172,340,000	49	6,118,000,000	3,815	135	164	0.96	552,900,000
18	5,622,000,000	198,340,000	1,412,000,000	252,710	Mean (7.5) Variance (3.25)	168,100,000	49	6,772,000,000	4,785	233	160	0.93	1,483,310,000
19	4,385,000,000	135,870,000	1,052,000,000	170,496	Mean (8) Variance (2.5)	146,930,000	40	5,375,000,000	4,217	212	202	0.91	4,192,000,000
20	5,419,000,000	178,600,000	2,218,000,000	251,712	Mean (6.5) Variance (2.25)	182,400,000	51	7,012,000,000	4,520	247	213	0.96	1,357,000,000
21	4,385,000,000	241,530,000	1,592,600,000	171,235	Mean (6.75) Variance (2.18)	317,100,000	44	6,583,000,000	4,746	232	197	0.95	297,300,000
22	6,720,000,000	275,300,000	3,368,000,000	257,982	Mean (7) Variance (1.5)	295,339,000	47	8,356,000,000	5,593	220	249	0.94	0

23	5,190,000,000	195,250,000	1,925,000,000	247,350	Mean (8.5) Variance (3.25)	139,400,000	45	6,813,000,000	4,665	256	235	0.91	184,500,000
24	7,834,000,000	418,291,000	3,480,000,000	290,125	Mean (9) Variance (0.5)	273,150,000	58	8,146,000,000	6,012	263	268	0.94	153,370,000
25	3,670,000,000	203,800,000	1,914,000,000	174,312	Mean (7.5) Variance (0.75)	168,900,000	42	6,037,000,000	4,263	210	193	0.93	572,000,000
26	4,530,000,000	226,310,000	1,750,000,000	186,200	Mean (8) Variance (0.5)	144,820,000	39	5,680,000,000	4,252	155	151	0.95	2,339,000,000
27	2,642,000,000	145,093,000	1,193,000,000	148,745	Mean (7.75) Variance (2.74)	113,200,000	42	4,532,000,000	3,540	192	185	0.93	193,200,000
28	3,741,000,000	225,180,000	2,315,000,000	161,208	Mean (6.5) Variance (5)	183,340,000	40	6,018,000,000	4,018	170	143	1	276,190,000

Table 3. Divisional and overall resilience and sustainability at different α levels

DMUs	$\alpha=0.001$					$\alpha=0.01$					$\alpha=0.05$					$\alpha=0.1$					$\alpha=0.3$					$\alpha=0.5$				
	φ_0^1	φ_0^2	Div. 1	Div. 2	Overall	φ_0^1	φ_0^2	Div. 1	Div. 2	Overall	φ_0^1	φ_0^2	Div. 1	Div. 2	Overall	φ_0^1	φ_0^2	Div. 1	Div. 2	Overall	φ_0^1	φ_0^2	Div. 1	Div. 2	Overall	φ_0^1	φ_0^2	Div. 1	Div. 2	Overall
1	0	0	1	1	1	0	0	1	1	1	0	0	1	1	1	0	0	1	1	1	0	0	1	1	1	0	0	1	1	1
2	0.25E-04	0	0.9999505	1	0.9999753	0.42E-04	0	0.9999158	1	0.9999579	0.76E-04	0	0.9998472	1	0.9999236	0.14E-03	0	0.9997158	1	0.9998579	0.1974222	0	0.6702546	1	0.8203147	0.2640614	0	0.5822016	1	0.7667365
3	0	0	1	1	1	0	0	1	1	1	0	0	1	1	1	0	0.1379310	1	0.7575758	0.8709677	0	0.1379310	1	0.7575758	0.8709677	0	0.1379310	1	0.7575758	0.8709677
4	0	0	1	1	1	0	0	1	1	1	0	0	1	1	1	0	0	1	1	1	0	0	1	1	1	0	0	1	1	1
5	0	0	1	1	1	0.21E-06	0	0.9999996	1	0.9999998	0.21E-06	0	0.9999996	1	0.9999998	0.14E-06	0	0.9999997	1	0.9999999	0.77E-06	0	0.9999985	1	0.9999992	0.14E-01	0.60E-01	0.9715745	0.8872178	0.9284741
6	0	0	1	1	1	0	0	1	1	1	0	0	1	1	1	0	0.95E-01	1	0.8266262	0.9093855	0	0.1190024	1	0.7873063	0.8876807	1	0.7873063	0.8876807	0.8876807	

14	13	12	11	10	9	8	7
0	0	0	0.88E-05	0	0.26E-05	0.46E-05	0.22E-04
0	0	0	0	0	0	0	0
1	1	1	0.9999823	1	0.9999948	0.9999908	0.9999571
1	1	1	1	1	1	1	1
1	1	1	0.999912	1	0.999974	0.999954	0.9999786
0.80E-04	0	0	0.10E-04	0.41E-04	0	0.55E-05	0.24E-04
0	0	0	0	0	0	0	0
0.9998407	1	1	0.9999796	0.9999173	1	0.9999889	0.9999522
1	1	1	1	1	1	1	1
0.9999204	1	1	0.9999898	0.9999587	1	0.9999945	0.9999761
0.1940607	0	0	0.10E-04	0.79E-05	0	0.64E-05	0.35E-04
0	0	0	0	0	0	0	0
0.6749568	1	1	0.9999796	0.9999842	1	0.9999874	0.9999294
1	1	1	1	1	1	1	1
0.8231037	1	1	0.9999898	0.9999921	1	0.9999937	0.9999647
0.3037745	0	0	0.10E-04	0.46E-02	0	0.68E-05	0.43E-04
0	0	0	0	0	0	0	0
0.5340076	1	1	0.9999796	0.9907884	1	0.9999864	0.9999139
1	1	1	1	1	1	1	1
0.7362810	1	1	0.9999898	0.9953836	1	0.9999932	0.9999571
0.4874184	0	0	0.49E-01	0.73E-01	0.63E-05	0.86E-05	0.19E-06
0	0	0	0	0.44E-01	0	0	0
0.3446116	1	1	0.9073849	0.8638002	0.9999875	0.9999828	0.9999996
1	1	1	1	0.9161561	1	1	1
0.6080930	1	1	0.9525948	0.8896156	0.9999937	0.9999914	0.9999998
0.4906731	0	0	0.74E-01	0.95E-01	0.1186924	0.1111670	0.94E-01
0	0	0.2225663	0	0.1055512	0	0.47E-01	0
0.3416758	1	1	0.8612624	0.8263387	0.7878015	0.7999095	0.8281399
1	1	0.6359032	1	0.8090524	1	0.9108280	1
0.6059916	1	0.7997214	0.9281387	0.8176545	0.8879569	0.8537110	0.9102122

22	0	0	1	1	1	0	0	0	15
	0	0.11E-06	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0
	1	0.9999998	1	1	1	1	1	1	1
	1	1	1	1	1	1	1	1	1
	1	0.9999999	1	1	1	1	1	1	1
	0.34E-04	0.22E-07	0	0	0	0	0.12E-04	0	0
	0	0	0	0	0	0	0	0	0
	0.9999319	1	1	1	1	1	0.9999759	1	1
	1	1	1	1	1	1	1	1	1
	0.9999659	1	1	1	1	1	0.9999879	1	1
	0.1020971	0.11E-07	0	0	0	0	0.22E-06	0	0
	0	0	0	0	0	0	0	0	0
	0.8147221	1	1	1	1	1	0.9999996	1	1
	1	1	1	1	1	1	1	1	1
	0.9028617	1	1	1	1	1	0.9999998	1	1
	0.13	0.10E-01	0	0	0	0	0.11E-05	0	0
	0	0	0	0	0	0	0	0	0
	0.7766321	0.9795711	1	1	1	1	0.9999977	1	1
	1	1	1	1	1	1	1	1	1
	0.8817105	0.9897331	1	1	1	1	0.9999989	1	1
	0.1436472	0.2752627	0	0	0	0	0.28E-01	0.45E-07	0
	0	0	0	0	0	0	0.44E-01	0	0
	0.7487910	0.5683043	1	1	1	1	0.9451419	0.9999999	0.9999999
	0	1	1	1	1	1	0.9148936	1	1
	0.8659787	0.7580387	1	1	1	1	0.9298992	1	1
	0.1468622	0.3082691	0	0	0	0	0.2410981	0.1694410	0.1694410
	0.64E-01	0	0	0	0	0	0.1041946	0	0
	0.7438886	0.5287374	1	1	1	1	0.6114762	0.7102189	0.7102189
	0.8800000	1	1	1	1	1	0.8112750	1	1
	0.8093882	0.7329002	1	1	1	1	0.7055441	0.8437930	0.8437930

28	27	26	25	24	23
0	0	0	0	0	0
0	0	0	0	0	0
1	1	1	1	1	1
1	1	1	1	1	1
1	1	1	1	1	1
0	0	0.40E-08	0	0	0
0	0	0	0	0.1724138	0
1	1	1	1	1	1
1	1	1	1	0.7058824	1
1	1	1	1	0.8412698	1
0.13E-04	0	0.33E-08	0	0	0
0	0	0	0	0.2755276	0
0.9999735	1	1	1	1	1
1	1	1	1	0.5679786	1
0.9999867	1	1	1	0.7578341	1
0.13E-04	0	0.35E-05	0.31E-07	0	0
0	0	0	0	0.2931034	0
0.9999735	1	0.9999931	0.9999999	1	1
1	1	1	1	0.5466667	1
0.9999867	1	0.9999965	1	0.7443609	1
0.2030898	0	0.13E-04	0.22E-04	0	0
0	0	0	0	0.3653712	0.67E-01
0.6623863	1	0.9999740	0.9999565	1	1
1	1	1	1	0.4648031	0.8750000
0.8156318	1	0.9999870	0.9999783	0.6910665	0.9354839
0.2358170	0	0.2051056	0.1312768	0	0
0	0	0	0	0.3653712	0.89E-01
0.6183625	1	0.6596056	0.7679140	1	1
1	1	1	1	0.4648031	0.8367347
0.7890552	1	0.8139721	0.8768093	0.6910665	0.9148936

4.1. Managerial implications

Performance assessment and management of healthcare systems are key challenges for healthcare researchers to deal with in disasters such as the COVID-19 pandemic. The proposed approach determines the divisional and overall resilience and sustainability of healthcare SCs in face of crises such as the pandemic COVID-19. Given $\alpha=0.5$ (deterministic efficiency), Figure 3 summarizes the results. As is seen, healthcare SCs 1, 4, 13, 17, 19, 20, and 27 are overall resilient and sustainable.

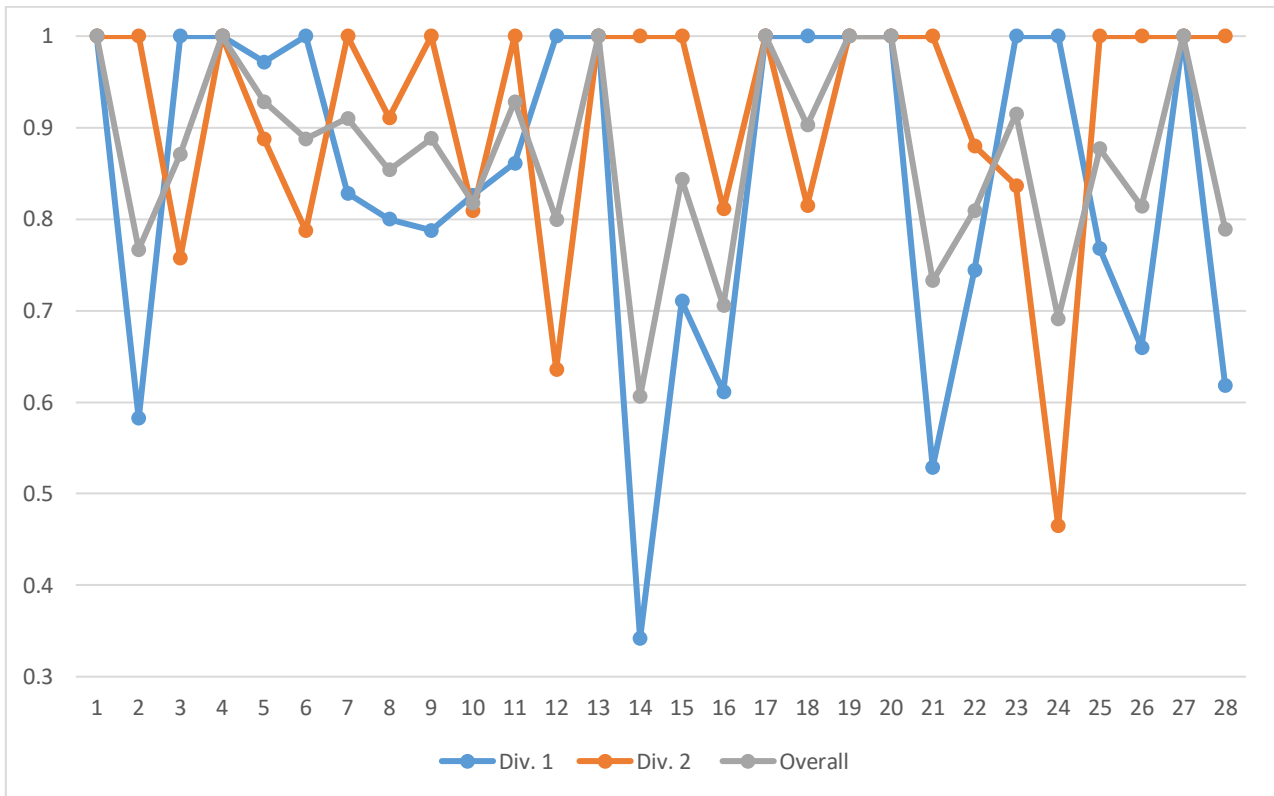


Figure 3. Summary of the results at $\alpha=0.5$

Moreover, being able to reasonably and accurately assess the sustainability and resilience of healthcare SCs, healthcare SC managers can better mitigate the pressure from public opinions and social media to address the sustainability issues of healthcare systems. This study shows how well the resilience and sustainability of healthcare SCs can be estimated using apposite DEA models. Specifically, the proposed method can address different types of data in the network DEA structure. This, in turn, assists researchers to develop a variety of DEA and network DEA models in different settings.

5. Conclusions and future research

Disasters such as the COVID-19 epidemic outbreak result in devastating damages to human lives and causing huge economic losses. Pandemic diseases also lead to a large number of infected people, as

well as an extraordinary increase in the demand for limited health care resources. As such, the resilience and sustainability of healthcare SCs are major challenges of healthcare managers. In this regard, OR approaches and techniques are very useful address the challenges arising from such crises.

In this paper, we propose a novel network DEA model for assessing the resilience and sustainability of healthcare SCs in face of the COVID-19 pandemic outbreak. Our proposed network DEA model can deal simultaneously with different types of data such as negative, stochastic, ratio, integer, and undesirable. In the proposed approach, the outputs' WD, CCP approach, the convexity assumption, and SORM are aggregated. Furthermore, a modified DDF model is developed to measure the overall and divisional resilience and sustainability of healthcare SCs. Moreover, we present several significant and interesting properties of the proposed model. Through a case study, we apply the developed method to evaluate the resilience and sustainability of 28 healthcare SCs in response to the COVID-19 pandemic outbreak.

For future research, we suggest two directions. One direction is to develop a new network DEA model to deal with the dual-role factors. The other direction is to propose a dynamic network DEA model for assessing healthcare SCs in several periods.

Compliance with Ethical Standards:

Conflict of Interest: Authors declare that they have no conflict of interest.

Ethical approval: This article does not contain any studies with human participants or animals performed by any of the authors.

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