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## Development of a novel polymer-based carrier for deformable liposomes for the controlled dermal delivery of naringenin

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### ABSTRACT

In recent years, the incidence of skin cancer has increased worldwide, presenting a significant burden on healthcare services. Chemotherapy intervention is often not appropriate for all patients due to localized adverse effects on skin physiology. The aim of this study was, therefore, to consider the development of a novel phytochemical-based deformable liposomal formulation suspended in an aqueous gel for the controlled-release of naringenin. Naringenin is an antioxidant, free radical scavenger, anti-inflammatory agent, and immune system modulator thus may be potentially useful as a pharmacological anti-cancer agent. Formulated liposomes incorporating an increasing loading of Tween 20 (from 0% w/w to 10% w/w) demonstrated a significant decrease in deformability index (DI) ( $80.71 \pm 2.02$ – $59.17 \pm 4.42$  %), indicating an increase in elasticity. The release of naringenin over 24 h was directly affected by Tween-20 concentration, decreasing from  $100.72\% \pm 4.98\%$  to  $79.53\% \pm 3.68\%$  for 0% and 2% w/w Tween 20, respectively. Further, the incorporation of deformable liposomes into hydroxyethylcellulose (HEC) and hydroxypropyl methylcellulose (HPMC) gels resulting in a further retardation of naringenin release,  $23.21\% \pm 1.17\%$  and  $19.83\% \pm 1.50\%$ , respectively, over 24 h. Incubation of 1,1'-dioctadecyl-3,3,3',3'-tetramethylindocarbocyanine perchlorate-loaded liposomes with human dermal fibroblast (HDF) and keratinocyte cells demonstrated intracellular accumulation within 2 h, confirming deformable liposomes may be beneficial in improving drug penetration across dermal cells and would be valuable in emerging controlled-release formulations.

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## 1. Introduction

Presently, up to 3 million non-melanoma skin cancers and 132 000 melanoma skin cancers occur worldwide each year (World Health Organisation 2017). With an increase in the prevalence of skin cancer, the burden on public healthcare systems, particularly in developed countries is increasing (Diepgen and Mahler 2002, Donaldson and Coldiron 2011, World Health Organisation 2017). Whilst the majority of skin cancers are treatable; poor treatment, in addition to malignant tumors, results in the death of over 9000 people annually (American Cancer Society 2017). Existing treatments include local surgery to remove tumors which may not be suitable for all patients, as well as topical creams linked with poor patient compliance stemming from a high dose frequency requirement and unpleasant side effects resulting in treatment failure (Kaplan and Moy 2000, Ali *et al.* 2007, Neville *et al.* 2007, Felicio *et al.* 2009). Thus, an ideal formulation would offer a modified release profile for the chemotherapeutic compound to minimize product application with marginal side effects to normal, non-cancerous skin cells.

Many chemotherapeutic agents have poor safety profiles resulting in unpleasant side effects, therefore, effective novel

non-toxic agents are urgently required to overcome these issues and improve treatment outcomes (Carey and Burish 1988, Kanadaswami *et al.* 2005, Bansal *et al.* 2009). Emerging trends in chemoprevention and chemoprotection have focused on naturally occurring non-toxic anti-oxidant agents (Kanadaswami *et al.* 2005, Hwang *et al.* 2007, Siddiqui *et al.* 2009, Tsai *et al.* 2015). Reactive oxygen species (ROS) play a major role in many pathological conditions including photocarcinogenesis and immune suppression. The use of anti-oxidants to prevent oxidative skin damage appears to be a promising approach (Albini and Sporn 2007, Huang *et al.* 2011, Casey *et al.* 2015). Naringenin, a member of the flavanone group of polyphenols, is an antioxidant, free radical scavenger, anti-inflammatory agent, and immune system modulator and thus may be potentially useful as a pharmacological anti-cancer agent (Chen *et al.* 2003, Huang *et al.* 2011, Casey *et al.* 2015, Kaur and Badhan 2015, 2017). Furthermore, naringenin has been found to regulate fibrosis. Fibroblasts and myofibroblasts play a critical role in the formation of the extra-cellular matrix and inducing fibrosis within growing tumors (Casey *et al.* 2015). Tissue fibrosis is frequently observed in the tumor microenvironment

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 Supplemental data for this article can be accessed [here](#).

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associated with rapid proliferation of fibroblast cells (Kerker and Restifo 2012).

The use of such naturally occurring compounds for skin cancer management has met with limited success due to their limited oral bioavailability and poor delivery systems (Basnet *et al.* 2012, Zhao *et al.* 2013, Wang *et al.* 2017). Consequently, to achieve maximum clinical efficacy, novel approaches are required to enhance bioavailability for topical drug delivery. Nanoparticles formulation systems have been used in cancer management and therapeutics, primarily to aid in the solubility of the compound (Nishiyama 2007, Siddiqui *et al.* 2009). Naringenin is a poorly water soluble compound ( $41.76 \mu\text{g/mL} \pm 0.51 \mu\text{g/mL}$  (Tsai *et al.* 2015)), and, therefore, a solubility enhancing nanoparticle mediated delivery system would be ideal for dermal delivery (Hsiu *et al.* 2002, Semalty *et al.* 2010, Tsai *et al.* 2015).

The skin with its multilayer anatomy is an efficient physical barrier to the external environment including xenobiotics (Lopez *et al.* 2011). Accordingly, there is a need to develop carrier systems to enhance penetrability. The use of liposomal formulations as drug-delivery vehicles provide a novel approach to the delivery and targeting of the dermal layer with benefits for the controlled delivery of poorly permeable molecules (du Plessis *et al.* 1994, Park *et al.* 2013). Deformable liposomes have successfully been employed in the transdermal delivery of lipophilic and hydrophilic drugs including anti-inflammatory agents, plasmid DNA, anti-tumor agents, and hormones with deeper penetration compared with conventional liposomes (El Maghraby *et al.* 1999, Cevc and Blume 2001, Oh *et al.* 2006, Romero *et al.* 2013).

Due to the nature of liposomes, preparations intended for application to the skin will need to be transported in a carrier. Liposomes are compatible with viscosity-increasing agents such as cellulose-based gels including hydroxyethylcellulose (HEC) and hydroxypropyl methylcellulose (HPMC) (Foldvari 1996). Aqueous semi-solid polymeric gels, such as those based on HEC and HPMC are often used in transdermal drug delivery and are useful in designing controlled delivery formulations (Valenta and Auner 2004, Ghosal and Nanda 2013).

This study developed a deformable liposomal gel formulation able to pass through the stratum corneum for targeting toward intracellular uptake into dermal cells. With this intent, HEC and HPMC gels as well as different liposomal formulations with varying loadings of Tween 20 were prepared. The vesicle size, surface charge, encapsulation efficiency, and deformability index (DI) were determined. The release of naringenin from gel, liposomes, and liposomal gel formulations into release media was studied. Deformable liposomes loaded with naringenin were applied to human dermal fibroblast (HDF) and keratinocyte cells to observe liposomal uptake. The stability of these formulations was also evaluated for assessing the clinical value of deformable liposomes.

## 2. Materials and methods

### 2.1. Materials

Soy phosphatidylcholine (PC) was obtained from Avanti Polar Lipids (grade  $\geq 99\%$ ). HEC, HPMC, cholesterol (grade  $\geq 99\%$ ),

Tween 20, and naringenin (grade  $\geq 95\%$ , N5893) were obtained from Sigma-Aldrich (Dorset, England). All other reagents including methanol and chloroform were obtained from Fisher Scientific (Waltham, MA). Ultrapure water was obtained from a Milli-Q purification system (Millipore, Billerica, MA). Polycarbonate filters (pore size 50 and 100 nm) were obtained from Sigma-Aldrich (WHA800309). HDFa isolated from adult skin along with all cell culture reagents (Medium 106 and low serum growth supplement (LSGS) kit consisting of fetal bovine serum, 2% v/v, hydrocortisone  $1 \mu\text{g/mL}$ , human epidermal growth factor,  $10 \text{ ng/mL}$ , basic fibroblast growth factor,  $3 \text{ ng/mL}$ , heparin,  $10 \mu\text{g/mL}$ ; DMEM media supplemented with 1% L-glutamine, 10% FBS, 1% Penicillin Streptomycin and 0.25% amphotericin) were obtained from Life technologies (Carlsbad, CA). Immortalized human keratinocytes (HaCat) cells were gifted by Dr Andrew Sanders (Cardiff China Medical Research Collaborative, Cardiff University, Henry Wellcome Building, Heath Park, Cardiff, CF14 4XN). Finally, 4',6-diamidino-2-phenylindole (DAPI) and 1,1'-Dioctadecyl-3,3',3'-Tetramethylindocarbocyanine Perchlorate (DiIc) was obtained from ThermoFisher Scientific (D1306 and D282, respectively).

## 2.2. Methods

### 2.2.1. Deformable liposome preparation

Liposomes were prepared by adapting the film hydration method developed by Bangham *et al.* (1965). Soy PC, cholesterol ( $16.8 \mu\text{M}$ ) and  $1 \text{ mg/mL}$  naringenin was dispersed in a mixture of chloroform and methanol in a 9:1 v/v ratio in a round bottomed flask (Bangham *et al.* 1965, Hiruta *et al.* 2006, Oh *et al.* 2006, Ita *et al.* 2007, Tsai *et al.* 2015). Up to 10 w/w % of Tween 20 was added to the formulation into the lipid mixing stage to prepare deformable liposomes. The organic solvent was then removed by rotary evaporation for 5 min at  $35^\circ\text{C}$ , and then purged with nitrogen gas. The resultant dry film residue was hydrated by the addition of 4 mL water at room temperature (Pagano and Weinstein 1978) and vortexed for 5 min to form multilamellar vesicles (MLVs). The resulting MLV's were extruded 21 times through polycarbonate membranes with 100-nm diameter pore size, using an Avanti Mini Extruder to produce unilamellar vesicles. The resultant liposomes were equilibrated for 30 min at room temperature prior to characterization studies (Pagano and Weinstein 1978, Lasic and Barenholz 1996, Ali *et al.* 2013).

### 2.2.2. Liposome characterization: particle size, polydispersity, and zeta potential

The average liposome diameter and the polydispersity index (measurement of the level of homogeneity of particle sizes) of liposomes were measured by dynamic light scattering (DLS) using a Zetaplus (Brookhaven Instruments, Holtsville, NY). All formulations were diluted with distilled water (1:4 ratio) to ensure intensity adjustment. A polydispersity value  $< 0.2$  indicates a homogenous particle population, whilst values  $> 0.3$  indicates heterogeneity (Song and Kim 2006). The

liposome surface charge was quantified as zeta potential ( $\zeta$ ) and was determined by photon correlation spectroscopy using a Zetaplus (Brookhaven Instruments). Formulation were diluted three-fold preceding analysis.

### 2.2.3. Liposomal deformability assessment

To assess the deformability of liposome formulations, a 6 mL liposome suspension of a 16  $\mu$ M PC and 8  $\mu$ M cholesterol formulated with up to 10% w/w of Tween 20 solution (diluted 1:4 with distilled water) was passed through a polycarbonate filter of (50 nm pore size) using a syringe driver (Cole Parmer, Saint Neots, UK) set at 0.6 mL/min for 10 min. The mean liposome size and the polydispersity index of liposomes were quantified by DLS. The deformability was calculated as the DI (Equation (1)) (Goindi *et al.* 2013, Marwah *et al.* 2020):

$$D = 100 - \frac{L_e}{L} \times 100 \quad (1)$$

where  $D$  is deformability,  $L_e$  is size of extruded liposomes (nm), and  $L$  is size of liposomes (nm) prior to extrusion.

### 2.2.4. HPLC methodology

Detection of naringenin was assessed using a reverse phase HPLC method. A Waters Alliance separation module HPLC with UV detection was utilized at an operating wavelength of 287 nm (Wen *et al.* 2010). A Waters Xselect column (5  $\mu$ m C18 4.6  $\times$  150 mm column) was used with a 10  $\mu$ L sample injected at room temperature. The mobile phase comprised of a 50:50 ratio of 0.1% TFA in water to acetonitrile at a flow rate of 1 mL/min.

Stock solutions and standard solutions of naringenin were prepared with both water and ethanol ranging from 0.05 to 250  $\mu$ g/mL. The method was fully validated and resulted in a final calibration curve with an  $R^2$  of 0.9393 and a polynomial equation of  $y = (-3 \times 10^7 x^2) + (9 \times 10^6 x)$  was obtained.

### 2.2.5. Determination of entrapment efficiency

The entrapment efficiency of naringenin-loaded deformable liposomes was determined following centrifugation of samples and quantifying drug in the supernatant. To separate the encapsulated drug from the free drug, liposomes were centrifuged at 18 000 rpm for 30 min at 4  $^\circ$ C in an Optima<sup>TM</sup> MAX-XP ultracentrifuge. The supernatant was then analyzed using HPLC-UV analysis to determine the encapsulation efficiency of naringenin in liposomal formulations (Equation (2)):

$$E = \frac{D_t - D_s}{D_t} \times 100\% \quad (2)$$

where  $E$  is the encapsulation efficiency (%),  $D_t$  is the total drug content (mg), and  $D_s$  is drug content in supernatant (mg).

### 2.2.6. Differential scanning calorimetry investigations of naringenin and naringenin-lipid blends

To evaluate thermal properties materials including melting temperatures, phase transitions and heat capacity changes of liposomes, naringenin and lipid ratios, surfactant, and drug mixtures corresponding to that of the liposome formulation, were investigated in the solid state using a TA Instruments Q200 Thermal Analysis Differential scanning calorimetry (DSC). An amount of 3 mg of naringenin was weighed into T-Zero aluminum pans and hermetically sealed. All experimental runs began with an initial temperature of 0  $^\circ$ C, purged under nitrogen gas, with a scan rate of 10  $^\circ$ C/min up to 300  $^\circ$ C.

### 2.2.7. Naringenin loaded aqueous gel formulation

Aqueous gels in distilled water were prepared using HEC (3% w/v) and HPMC (3% w/v) and mixed overnight using a mechanical mixer (Polytron PT 3100D) at a speed of 3000 rpm. Gels with a final naringenin loading of 1% w/w were produced.

### 2.2.8. In-vitro release of naringenin from formulations

Naringenin release from gels, liposomes and liposomal gels was quantified over 24 h using a variety of methods.

**2.2.8.1 Side-by-side diffusion study.** To evaluate the effect of inclusion of Tween 20 on the release of naringenin from liposomal formulations, a side-by-side diffusion cell (PermeGear diffusion cell, Hellertown, PA) was maintained at 35  $^\circ$ C. Release was observed over a 24 h period from a naringenin aqueous solution (0.1 mg/mL) as well as naringenin-loaded gels and liposomes (final loading for liposomes formulated with 0, 2, 6, and 10% w/w Tween 20: 0.91, 0.85, 0.75, and 0.64 mg/mL respectively). The donor side of the diffusion cell was loaded with 10 mL of each formulation and release across a membrane with 50 nm pore size (Whatman<sup>®</sup>) into the receiver side containing 100 mL of PBS with a stirrer was quantified at defined time points over 24 h with volume replacement (0.5 mL) using HPLC-UV quantification.

**2.2.8.2 Liposomal gel release study.** To investigate naringenin released from naringenin-loaded liposomes loaded into polymer gels, a permeable insert models system was employed (Marwah *et al.* 2020). This system was used to evaluate if naringenin/liposomes loaded with naringenin were able to diffuse out from the gel rather than to compare release rates. A 4 cm<sup>2</sup> cylindrical cell culture Thincert<sup>TM</sup> insert (400  $\mu$ m pore size) was filled with 1 mL of formulation and placed into a 6-well Thincert<sup>TM</sup> plate. Release into 4 mL of PBS from solution, and liposomal gels was quantified. HEC and HPMC (3% w/v of polymer) were loaded with non-surfactant loaded liposomes formulated or liposomes formulated with 2% w/w Tween 20. Thincert<sup>TM</sup> plates were maintained at 35  $^\circ$ C on a shaking plate and release media was sampled with volume replacement (0.5 mL) over 24 h and analyzed using HPLC quantification with UV analysis.

### 2.2.9. In-vitro drug release kinetics

Numerous kinetic drug release mathematical models were used in the evaluation of drug release from the formulations (Equations (3–6)). The best-fit ( $R^2$ ) to the mathematical models described below established the appropriate release kinetics:

$$\text{Zero order model: } \frac{M_t}{M_\infty} = k_0 \cdot t \quad (3)$$

where  $M_t/M_\infty$  is the drug fraction released at time  $t$  and  $k_0$  is the zero-order release constant.

$$\text{First order model: } \frac{M_t}{M_\infty} = 1 - e^{-k_1 t} \quad (4)$$

where  $M_t/M_\infty$  is the drug fraction released at time  $t$  and  $k_1$  is the first-order release constant.

$$\text{Higuchi model: } \frac{M_t}{M_\infty} = k_H \cdot t^{\frac{1}{2}} \quad (5)$$

where  $M_t/M_\infty$  is the drug fraction released at time  $t$  and  $k_H$  is the Higuchi constant.

$$\text{Korsmeyer–Peppas Model: } \frac{C_t}{C} = Kt^n \quad (6)$$

where  $C_t/C$  is fraction of drug released at time  $t$ ,  $k$  is the release rate constant. The value of  $n$  is important in understanding drug release mechanisms. When  $n \leq 0.45$ , drug release is diffusion controlled and termed as 'Fickian' diffusion, conversely when  $n > 0.89$ , the diffusion is indicating erosion-controlled drug release (class-II kinetics). When  $0.45 < n \leq 0.89$ , the diffusion is a multifaceted mixture of both processes termed anomalous transport. Regardless, in all cases this is based on the assumption of release from a cylinder and applied to cumulative release rates  $< 60\%$  (Korsmeyer *et al.* 1983).

Mathematical models to assess release kinetics were fit using Microsoft Excel<sup>®</sup>. Zero order, first order, Higuchi and Korsmeyer–Peppas release profiles were applied to release from naringenin solution and naringenin loaded liposome solution after which regression analysis techniques were used to determine the likely drug-release. The release kinetic model displaying the highest  $r^2$  metric ( $\geq 0.95$ ) was determined to be the release mechanism.

### 2.2.10. Development of an in-vitro dermal model

To develop an *in-vitro* system to represent human dermal tissue two dermal cell lines were used to assess cellular toxicity and cellular uptake of deformable liposomes. HDFa were cultured in Medium 106 supplemented with LSGS. Human epidermal keratinocytes (HaCat) cells sustained in high glucose supplemented DMEM media (as outlined in materials and methods). Media was replaced every 3 d unless at 70–80% confluency in which case media was discarded and Trypsin/EDTA added to detach cells. Cells were incubated for 5 min, following which 3 mL growth media was added to neutralize the trypsin. Cells were then centrifuged at 1200 rpm for 10 min and resuspended in media prior to use in the following experimental studies.

### 2.2.11. Cellular toxicity of naringenin toward HDFa and HaCat cells

The cytotoxicity profile of naringenin toward HDFa and HaCat cells was determined with a (2,3-bis-(2-methoxy-4-nitro-5-sulphophenyl)-2H-tetrazolium-5-carboxanilide (XTT) assay (Scudiero *et al.* 1988). Cell viability after exposure to increasing concentrations from 0.1 to 100  $\mu\text{M}$  of naringenin for 24 h was observed. Cells were seeded at a density of  $50 \times 10^3$  cells per well into a 96-well plate and grown for 3 d. Media was removed, and cells were exposed to 100  $\mu\text{L}$  of 0.1–100  $\mu\text{M}$  naringenin and incubated at 37°C. After 24 h, 25  $\mu\text{L}$  of a 12.5:1 (XTT: menadione) was added to each well and incubated for a further 3 h at 37°C prior to the absorbance being read at 450 nm (Tecan, Männedorf, Switzerland). Assessment of naringenin toxicity toward cells was conducted after analysis of changes in XTT absorbance with changing drug concentration.

### 2.2.12. Intracellular deformable liposomal uptake assay on HDFa and HaCat cells

Conventional and deformable liposomes were formulated with the addition of 25  $\mu\text{g}$  DiIC during the lipid mixing stage. Liposomes were centrifuged at 18 000 g for 30 min to remove untrapped DiIC. Coverslips were prepared by coating with poly-L-lysine (0.01% w/v) and allowing to dry for 30 min before the seeding of cells at a density of  $100 \times 10^3$  cells per coverslip. Cells were treated after 24 h, with DiIC loaded liposomes diluted with 1 part of supplemented media (as detailed in materials) and incubated for 2 h at 37°C. Subsequently, coverslips were washed and fixed with 4% w/v paraformaldehyde for 5 min at room temperature. Coverslips were then mounted onto glass slides with the addition of a DAPI-containing mounting media. Cover slips were analyzed with an upright confocal microscope (Leica SP5 TCS II MP) and visualized with a 40 $\times$  oil immersion objective. Images were obtained using a helium-neon laser at 633 or 461 nm to visualize DiIC and DAPI, respectively.

### 2.2.13. Liposome stability

The stability of liposomes was determined following evaluation of vesicle size over a 28-d period, stored in glass vials in a stability cabinet maintained at  $25 \pm 2^\circ\text{C}$  (Froilabo, Meyzieu, France) at a humidity of  $60\% \pm 5\%$ . Vesicle sizes were determined on day 1, 2, 7, 14, 21, and 28 by DLS. Additionally, the encapsulation efficiency of naringenin loaded liposomes were assessed over 4 weeks as outlined in Section 2.2.4.

### 2.2.14. Statistical analysis

All results are presented as mean  $\pm$  standard deviation (SD). Replicates of at least three independent studies were used for all studies. For multiwell plate cells assays, replicates of six were used for each experimental condition, repeated 3 times. A one-way ANOVA was used to determine any statistically significant difference between means tested ( $p \leq 0.05$ ) with a *post-hoc* Tukey's multiple comparisons test applied to evaluate differences between groups. All calculations were completed on Graphpad version 7 (GraphPad Inc., La Jolla, CA).

### 3. Results and discussion

As the incidence of skin cancer is rising, novel formulations able to successfully deliver therapeutic agents, whilst reducing side effects, are essential to decrease the burden on already stretched healthcare services. This study proposed the development a topical deformable liposome gel formulation for the controlled release of the natural compound naringenin, in view of its possible 'anti-cancer' properties (Chen *et al.* 2003, Huang *et al.* 2011, Casey *et al.* 2015).

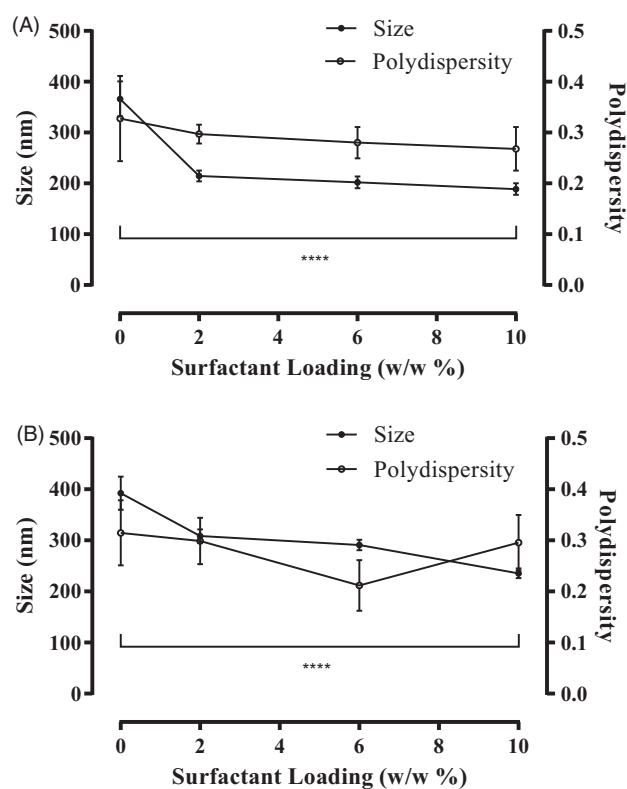
The therapeutic potential of flavonoids such as naringenin is restricted due to their limited bioavailability, poor solubility, and inefficient delivery systems. Liposomes may prove useful as delivery agents as they can improve such compounds' solubility profile and enhance their bioavailability (Nishiyama 2007, Siddiqui *et al.* 2009). Further, deformable liposomes incorporating surfactant have been found to be valuable in dermal drug delivery as they can improve compound solubility whilst reducing the rate of drug degradation and can be formulated for targeted, controlled drug release (Cevc 1996). The skin is an effective physical barrier to the external environment, however, deformable liposomes have been reported to overcome this barrier and penetrate the skin. Liposomes have already been used in the transdermal delivery of both lipophilic and hydrophilic drugs including anti-inflammatory agents, plasmid DNA, antioxidants, anti-tumor agents, and hormones (El Maghraby *et al.* 1999, Cevc and Blume 2001, Oh *et al.* 2006, Romero *et al.* 2013). Liposomes can also be formulated to improve drug deposition within the skin when the skin itself is the target and systemic absorption is not favorable (Cevc 1996).

Dermal application of liposomes requires an additional carrier due to the liquid nature of the formulation. Liposomes compatibility has previously been established with viscosity-increasing agents such as cellulose-based gels including HEC and HPMC (Foldvari 1996). Such polymers are recognized as safe in topical, dermal, and transdermal delivery (Patton *et al.* 2007, Hascicek *et al.* 2009, Forbes *et al.* 2011).

This study aimed to develop naringenin-loaded deformable liposomes with a controlled release profile to be loaded into an aqueous gel. Liposome characteristics, naringenin release profile, liposome stability as well as intracellular uptake was assessed. Naringenin liposomes were formulated with PC and cholesterol with up to 10% w/w loading of Tween 20 and the effect of Tween 20 on the characteristics of liposomes was observed.

#### 3.1. Liposome characterization: particle size, polydispersity, zeta potential, entrapment efficiency, and DI

Liposome formulation was characterized by observing liposome size, polydispersity, zeta potential, entrapment efficiency, and DI. These are important determinants of liposome stability and compound delivery. As the surfactant loading in the bilayer of naringenin-free liposomes increased, liposome diameter decreased from  $366.16 \text{ nm} \pm 34.32 \text{ nm}$  for



**Figure 1.** Liposome size distribution and polydispersity of naringenin-free liposomes and naringenin-loaded liposomes. Liposome size distribution and polydispersity, determined by DLS, comparing (A) naringenin-free liposomes and (B) naringenin-loaded formulations with Tween 20 (0–10 % w/w). Liposomes were prepared by the dry film hydration method and naringenin added during the lipid mixing stage. Data represent mean  $\pm$  SD.  $n=3$  independent batches. \*\*\*\*: indicates statistical comparison between the size of liposome formulated with 0 % w/w Tween 20 and all other loadings of Tween 20 with a  $p \leq 0.0001$ .

liposomes formulated with no surfactant to  $188.68 \text{ nm} \pm 11.32 \text{ nm}$  for liposomes formulated with 10% w/w Tween 20 (Figure 1(A)). An increase in surfactant loading in the bilayer of naringenin-loaded liposomes observed a decrease in liposome diameter, from  $392.45 \text{ nm} \pm 32.44 \text{ nm}$  for liposomes formulated without surfactant compared with  $235.60 \pm 9.31 \text{ nm}$  for liposomes formulated with 10% w/w Tween 20 (Figure 1(B)). The decrease in size was statistically significant for naringenin-free liposomes and naringenin-loaded liposomes formulated with no surfactant compared with liposomes loaded with 2%, 6%, and 10% w/w Tween 20 ( $p \leq 0.0001$ ). Additionally, naringenin-loaded liposomes had a larger diameter than naringenin-free liposomes. Naringenin is hydrophobic, therefore, was added in at the lipid mixing stage. The inclusion of drug in the bilayer may have caused an increase in liposome size by increasing bilayer hydrophobicity as it had caused the bilayer to have less interaction with the aqueous phase.

Surfactants decrease liposome size in comparison to 'conventional' liposomes (Goindi *et al.* 2013, Tsai *et al.* 2015, Marwah *et al.* 2020) due to the surfactant having a destabilizing effect within the bilayer. Furthermore, the amphiphilic nature of surfactant allows for an increased interaction of the phospholipid bilayer with the aqueous phase allowing for the formation of more liposomes of a smaller diameter (El Zaafarany *et al.* 2010). The inclusion of surfactant within

liposomes has been reported to decrease liposome size in comparison to conventional liposomes previously; a study including either Phospholipon® 90G and both Tween 80 and Span 80 within the formulation observed a size reduction from 207 to 139 nm after addition of the surfactants was described (Goindi *et al.* 2013).

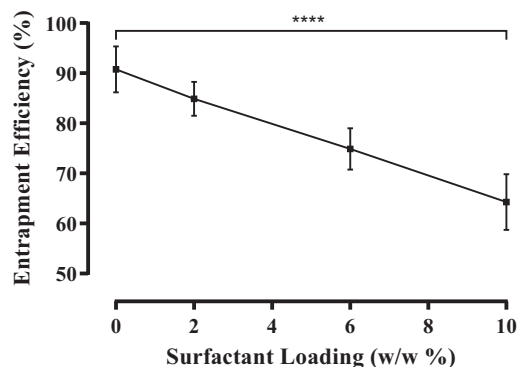
A homogenous liposomal size distribution is essential as final liposome size will influence the tissue distribution *in-vivo* as well as influence drug release kinetics. A polydispersity up to 0.3 is indicative of a homogeneously sized liposome formulation (Chen *et al.* 2012, Goindi *et al.* 2013, Kang *et al.* 2013). Liposomes formulated without surfactant were beyond this range ( $0.33 \pm 0.08$  and  $0.31 \pm 0.03$  for naringenin-free and naringenin-loaded liposomes, respectively), however, liposomes formulated with surfactant had a polydispersity below 0.3, and, therefore, can be considered homogenous (Figure 1). Further, the polydispersity for naringenin-loaded liposomes was similar to respective naringenin-free liposomes, and not statistically different ( $p > 0.05$ ), suggesting that the inclusion of Tween 20 within the liposome formulation appeared to improve homogeneity.

The zeta potential ( $\zeta$ ) indicates the magnitude of electrostatic repulsion between nearby, similarly charged particles within a dispersion. Therefore, it is a central parameter affecting stability of liposomal formulations. The formulated liposomes in this study demonstrated a near neutral charge (Table 1). Whilst a neutral liposomal surface charge is vital to prevent skin irritation (Prausnitz and Langer 2008), this may allow for particle flocculation due the lack of repulsion between vesicles (Weiner *et al.* 1992). Naringenin liposomal formulations formulated between 0 and 10% w/w Tween 20 were found to have general decrease in zeta potential values from 4.12 to  $-0.22$  mV, respectively. A study using Tween 80 in the liposomal formulation also found that in increase in surfactant loading did not affect liposomal charge. Although, contrasting with this study observing more positive zeta potential values, negative zeta potential values were observed (between  $-2.2$  and  $-16$  mV) (Tsai *et al.* 2015). This may be due to differences in formulation parameters.

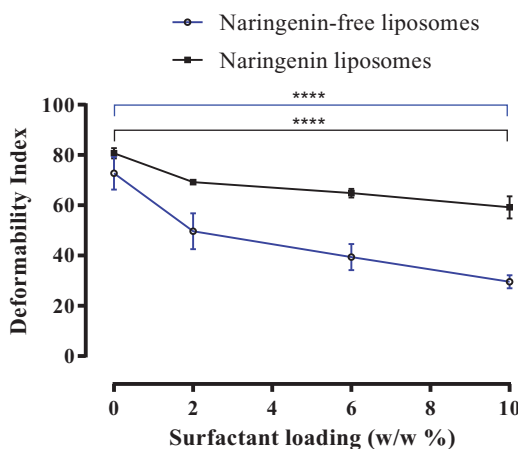
The impact of surfactant addition on the amount of naringenin entrapped was studied. As surfactant loading increased from 0% w/w to 10% w/w, naringenin entrapment decreased from an efficiency of  $90.8\% \pm 4.6\%$  to  $64.3\% \pm 5.6\%$  (Figure 2). Inclusion of surfactant in the bilayer of the liposome may have prevented drug inclusion within the bilayer implying the surfactant has a higher affinity to the lipids (Levy *et al.* 1991, Casas and Baszkin 1992). Tween 20, with larger molecular weight of 1227.54 g/mol compared to that of naringenin

(272.26 g/mol), may be assumed to be better poised to displace naringenin from the bilayer. Furthermore, studies using Tween 80 instead of Tween 20 were able to achieve a 99% entrapment efficiency (Tsai *et al.* 2015). This may be due to the difference in the surfactant chains. Tween 80 has one more unsaturated bond causing a kink in the chain. This may then allow for the accommodation of greater naringenin.

The deformability of each liposome formulation was determined following extrusion through a polycarbonate filter (pore size of 50 nm). The DI is defined as the degree the liposomes deformed; the more the liposomes deformed, the less elastic the liposomes are due to their inability to regain their larger size pre-extrusion. Liposomal DI following extrusion statistically significantly decreased ( $p \leq 0.0001$ ) as surfactant loading increased in the naringenin-free liposomes, from  $72.74\% \pm 6.46\%$  for liposomes formulated with no Tween 20 to  $29.60\% \pm 2.59\%$  for liposomes formulated with 10% w/w Tween 20. Naringenin loaded liposomes formulated with



**Figure 2.** Entrapment efficiency of naringenin in liposomes formulated with up to 10% w/w Tween 20. Entrapment efficiency (%) of naringenin in liposomes formulated with varying amounts of Tween 20 (0–10% w/w) Data represent mean  $\pm$  SD.  $n = 3$  independent batches. \*\*\*\* indicates statistical comparison between the entrapment efficiency of liposome formulated with 0 % w/w Tween 20 and all other loadings of Tween 20 with a  $p \leq 0.0001$ .



**Figure 3.** Deformability index for naringenin-free liposomes and naringenin-loaded liposomes. Deformability index following extrusion through 50 nm membranes for naringenin-free liposomes and naringenin loaded liposomes with increasing surfactant loading up to a maximum of 10% w/w. Liposomes were prepared to adapt the dry film method adding the surfactant and adding naringenin during the lipid mixing stage. The preparation was vortexed and then extruded through the membranes. Data represent mean  $\pm$  SD.  $n = 3$  independent batches. \*\*\*\* indicates statistical comparison between the DI of liposome formulations with a  $p \leq 0.0001$ .

**Table 1.** Zeta potential of liposome formulations with up to 10% w/w loading of Tween 20.

Surfactant loading (% w/w)	Zeta potential (mV)	
	Naringenin-free liposomes	Naringenin liposomes
0	$5.03 \pm 1.03$	$4.12 \pm 1.14$
2	$4.67 \pm 1.08$	$3.30 \pm 1.09$
6	$3.71 \pm 0.90$	$2.80 \pm 0.60$
10	$-2.79 \pm 0.20$	$-0.22 \pm 0.01$

Results are presented as the mean  $\pm$  standard deviation ( $n = 3$ ).

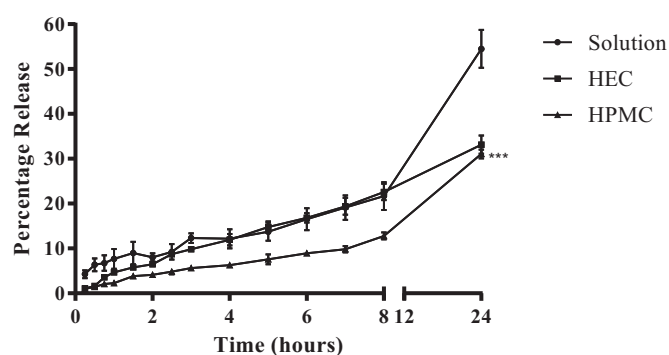
Tween 20 observed a statistically significant decrease in DI ( $p \leq 0.0001$ ) from  $80.71\% \pm 2.02\%$  for liposomes formulated with no Tween 20 compared with  $59.17\% \pm 4.42\%$  for liposomes formulated with 10% w/w Tween 20 (Figure 3). These observations indicate liposomal bilayers had elastic properties as they could deform to pass through an opening lesser than its own diameter although, to a certain degree regaining its size (Marwah *et al.* 2020). It is essential to address the original size of liposome. Those formulated with Tween 20 were of a smaller diameter in the first instance thus would require less deformation to fit through the membrane pores. However, the membrane pore size was always smaller than that of liposome diameter and the DI equation requires the ratio of pre to post extrusion size. Furthermore, naringenin incorporation in the liposome formulation did not affect the DI compared with liposomes formulated without. A previous study formulating liposomes with Phospholipon<sup>®</sup> 90G and both Tween 80 and Span 80 observed a size reduction with inclusion of surfactant to decrease the DI from  $51.4 \pm 3.6$  to  $17.3 \pm 5.2$  (Goindi *et al.* 2013).

Surfactant has a propensity for highly curved structures (e.g. micelles and liposomes), thus diminishing the energy required for particle deformation allowing for vesicle deformation. The surfactant reduces the energy required for particle deformation and accommodate vesicle shape changes upon physical stress (Trotta *et al.* 2004, Marwah *et al.* 2020). These surfactants may have interacted with the PC with strong affinity but in reversible mode allowing for deformability when under stress (Oh *et al.* 2006).

The energy required for liposomes to deform (Gompper and Kroll 1995, Fresta and Puglisi 1996, Trotta *et al.* 2002) reversibly was supplied in the form of increasing pressure due to the movement of the syringe driver. Surfactant is able to retain energy (Trotta *et al.* 2002), thus increases liposome capacity to deform reversibly, thus as surfactant loading increased, an increased reversible deformation was observed. Liposomes did not completely regain their original size as some energy would be lost due to the friction of particles being forced through the pores (Vajjha *et al.* 2010, Marwah *et al.* 2020). Additionally, *in-vivo*, liposomes would likely diffuse through the skin following the transepidermal gradient (Gompper and Kroll 1995, Cevc 1996, Trotta *et al.* 2002, Goindi *et al.* 2013). Moreover, skin is warmer than room temp ( $35^\circ\text{C}$  compared to  $20^\circ\text{C}$ ) and since temperature dictates the energy term of enthalpy, liposomes would have additional energy to be even more flexible and cross through the SC.

### 3.2. Differential scanning calorimetry investigations of naringenin and naringenin lipid blends

DSC is extensively used in its application in understanding the thermal characteristics of materials where an insight into a range of thermal properties including melting temperatures, phase transitions, and heat capacity changes can be obtained. Naringenin showed a sharp endothermic peak ( $T_m$ ) at  $253^\circ\text{C}$  (Figure 4). To substantiate the association of naringenin with the lipid/surfactant complex, DSC analysis was



**Figure 4.** *In-vitro* percentage naringenin release profiles from aqueous gels. Naringenin release profiles from HEC and HPMC (3% w/w) aqueous gels with 1% w/v naringenin and naringenin solution over 24 hours. Data represent mean  $\pm$  SD.  $n=3$  independent batches. \*\*\*indicates statistical comparison between the naringenin release of liposome formulations with a  $p \leq 0.001$ .

performed on, the lipid blend, and the naringenin–lipid/surfactant blend. In the lipid/surfactant mix a very small peak at  $40^\circ\text{C}$  is noticeable, a larger peak at  $172^\circ\text{C}$  and a medium peak at  $212^\circ\text{C}$ . On the other hand, naringenin–lipid/surfactant complex showed a small peak at  $40^\circ\text{C}$  and a large peak at  $152^\circ\text{C}$ , differing from the peaks of the individual components of the complex (Supplementary Figure 1). It is evident that the original peaks of naringenin and phospholipids disappear from the thermogram of complex and the phase transition temperature is lower than that of naringenin as there is no sharp peak around  $253^\circ\text{C}$ .

The  $T_m$  of Naringenin corresponds with similar studies (Khan *et al.* 2015, Semalty *et al.* 2010). Differences between reported values and those observed here may be due to differences in naringenin sample purity. Furthermore, Semalty *et al.* observed the association of naringenin with soy PC. PC showed a smaller peak at  $64.45^\circ\text{C}$  and major peaks at  $83.21$  and  $107.90^\circ\text{C}$ . They suggested the first peak was probably due to the hot movement of the phospholipids polar head group. The second peak was assumed to be due to phase transition from gel to liquid crystalline state. The non-polar hydrocarbon tail of phospholipids may be melted during this phase, yielding a sharp peak. This melting might have occurred in two phases which subsequently gave the next peak. The naringenin–PC complex showed two peaks at  $51.23$  and  $62.21^\circ\text{C}$ , which is different from the peaks of the individual components of the complex. Therefore Semalty *et al.* also found that the original peaks of naringenin and PC disappear from the thermogram of the blend and the phase transition temperature is lower than that of naringenin alone.

This interaction may be a result of hydrophobic interaction and/or hydrogen bonding (Semalty *et al.* 2010). The hydroxyl groups of the phenol rings of naringenin may be involved in hydrogen bonding and the aromatic rings may be involved in any hydrophobic interaction. Consequently, the major sharp peaks of phospholipids disappear and decrease the phase transition temperature. These DSC data are supported with the results of DSC thermograms of the phospholipid complexes of some phytoconstituents including silybin, puerarin, and curcumin in which the thermogram of the complex also exhibited a single peak which was different



from the peak of phytoconstituents and the phospholipids (Yanyu *et al.* 2006, Maiti *et al.* 2007, Kumar *et al.* 2008, Li *et al.* 2008).

### 3.3. Naringenin release studies

Liposomes intended for topical application require a carrier. Liposomes have previously been established to be compatible with safe viscosity-increasing agents such as cellulose-based gels (Foldvari 1996, Patton *et al.* 2007, Hascicek *et al.* 2009, Forbes *et al.* 2011). Naringenin-loaded HEC and HPMC gels were formulated as well as liposome preparations and liposomal gel and release over 24 h observed.

#### 3.3.1. Naringenin release studies from gel formulations

Gels appeared to retard the release of naringenin with HPMC having a greater effect than HEC in this phenomenon (Figure 4). Over 24 h, the solution gave  $54.5\% \pm 4.2\%$  release whilst HEC and HPMC saw a  $33.1\% \pm 2.0\%$  and  $31.1\% \pm 1.0\%$  release, respectively. The release profile was significantly different between HPMC and both solution and HEC ( $p \leq 0.001$ ). There was no significant difference in the release profile of naringenin from solution and the HEC gel implying HPMC is better at compound retardation in this case. Comparison between the gels found that HEC at its respective loading of polymer in the HPMC gels, consistently resulted in a faster release of drug (at the time point of 3 h,  $9.8\% \pm 0.5\%$  was released in comparison to  $5.6\% \pm 0.2\%$ ). Therefore, drug release was faster from the HEC gel compared to the HPMC gel.

The addition of water-insoluble drugs can increase the water uptake by the dosage form thus weakening network integrity thus drug loading will influence network integrity (Panomsuk *et al.* 1996, Nafee *et al.* 2003). In this case, it appears the HEC matrix eroded/swelled quicker than HPMC giving a faster rate of release. This is in contrast with a study comparing the release of miconazole from a 1.5% w/v HEC formulation with a 3% HPMC w/v formulation where a faster erosion was observed from the HPMC matrix (even at double the polymer loading) (Nafee *et al.* 2003). This highlights how the physiochemical properties of the drug, the polymer and the interaction between the two, influences drug release from the formulation.

An increased rate of naringenin release was observed from the HEC gel compared with the HPMC gel formulation over the 24 h observed ( $0.49 \pm 0.13$  compared with  $0.06 \pm 0.02$  based on the Korsmeyer–Peppas model) (Supplementary Table 1). Naringenin is released from gel by the creation of pores due to swelling, as viscosity increases polymer chains become more resistant to movement as they are physically restricted consequently taking longer to dissipate into the media thus slowing drug release. The Korsmeyer–Peppas model (highest  $R^2$  and lowest AIC) best described naringenin release from both gel formulations. For both HEC and HPMC gels, the diffusion release exponent value suggested naringenin release was non-Fickian thus a complex mixture of diffusion and erosion controlled drug release or class-II kinetics (diffusion not based on

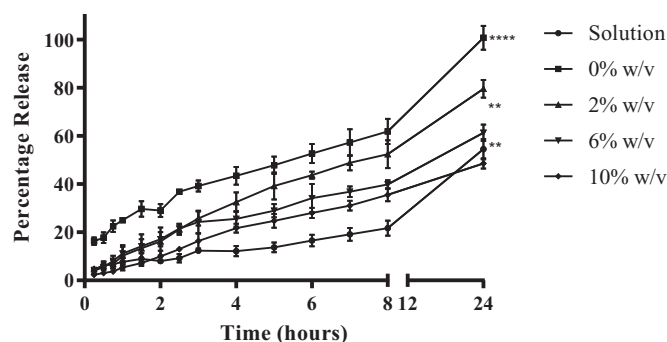
concentration gradient) often described as anomalous transport (Peppas and Sahlin 1989) (Korsmeyer *et al.* 1983). A study by Ritger and Peppas found both Fickian and anomalous release from swellable devices (Ritger and Peppas 1987). Additionally, a study using the polymer HPC observed both Non-Fickian and super case 11 transport (Alfrey *et al.* 1966, Ranga Rao *et al.* 1988).

At temperatures below the  $T_g$ , the polymer chains are not sufficiently able to move to permit immediate penetration of the solvent in the polymer core (Masaro and Zhu 1999). This implies that, in our results, when Non-Fickian transport was observed, the polymer chains were unable to move sufficiently and that at those particular loadings of polymer, the gel was in a glassy state.

Diffusion of solution out of the polymer is dependent on temperature, pressure, solute size, and viscosity. Diffusion in polymers is complex with the rate of diffusion between that in liquids and in solids. It is dependent on the concentration and degree of swelling of polymers. Solvent diffusion is associated with the physical properties of the polymer network and the interactions between the polymer and solvent (Masaro and Zhu 1999). Drug release from aqueous gels has been suggested to be governed by a swelling-controlled mechanism in which the drug releases into the media due to the simultaneous absorption of water by the gel causing the gel to dissipate into the media thus releasing drug and due to desorption of drug from the gel (Ranga Rao and Padmalatha Devi 1988, Bouwstra and Junginger 1993, Sinha Roy and Rohera 2002, Nafee *et al.* 2003). The rate-controlling factor mediating drug release is the resistance of the polymer to a change in shape owing to an increase in volume (Ranga Rao and Padmalatha Devi 1988). The membrane prevented the gel from swelling completely, whilst water could move across it, the polymer did not have space to swell as the donor compartment was filled to near capacity.

#### 3.3.2. Naringenin release from liposomes

Release of naringenin from 100  $\mu\text{g}/10\text{ mL}$  solution, liposomes, and liposomes formulated with either 2%, 6%, or 10% w/v



**Figure 5.** *In-vitro* percentage naringenin cumulative release profiles from solution and liposomal formulations. Naringenin release profiles from solution and liposomes formulated with 0%, 2%, 6%, or 10% w/v Tween 20 over 24 h. Liposomes were prepared adapting the dry film method adding the surfactant and naringenin during the lipid mixing stage. A diffusion cell dialysis system was used to evaluate *in-vitro* drug release. Data represent mean  $\pm$  SD.  $n = 3$  independent batches. \*\*\*\* indicates statistical comparison between the naringenin release of liposome formulations with a  $p \leq 0.0001$ , \*\* indicates statistical comparison between the naringenin release of liposome formulations with a  $p \leq 0.01$ .

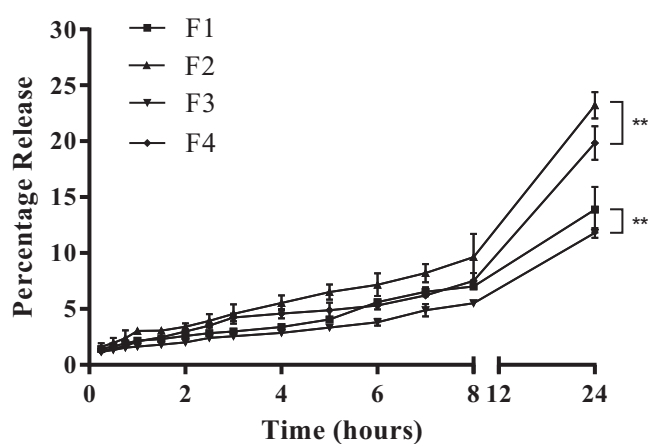
of Tween 20 across a membrane was studied over a 24 h period (Figure 5).

A higher percentage of naringenin was released from liposomes compared with drug solutions. Over the course of 24 h, the aqueous solution resulted in a release of  $54.5\% \pm 4.2\%$  whilst liposomes formulated with 0%, 2%, 6%, and 10% w/w of Tween 20 demonstrated a release of  $100.7\% \pm 5.0\%$ ,  $79.5\% \pm 3.7\%$ ,  $61.3\% \pm 3.4\%$ , and  $48.5\% \pm 2.1\%$ , respectively. The cumulative percentage released after 24 h was significant between the solution and liposomes loaded with 0% and 2% w/w of Tween 20 as well as between all liposomal formulations ( $p \leq 0.0001$  and  $p \leq 0.01$  between liposomes loaded with 6% and 10% Tween 20). As the loading of Tween 20 increased, the release from the liposomes slowed. An increased rate of release was observed from the liposomes loaded with naringenin compared with the naringenin solution over the 24 h observed (Supplementary Table 2). Thus, as the loading of surfactant increased, the rate of naringenin release decreased (from  $2.35 \pm 0.50\%$  to  $0.91 \pm 0.27\%$  for liposomes loaded with 0% and 10% of Tween 20, respectively, based on the Korsmeyer–Peppas model). Thus, surfactant appears to decrease drug release. This may be attributed to the decrease in drug entrapment; therefore, drug release would be expected to be slower as a consequence of a reduced concentration gradient. Furthermore, the drug is hydrophobic therefore may be less likely to diffuse out of the hydrophobic liposome bilayer into the surrounding media. Furthermore, reversible binding of the drug released from the liposome reduces the driving force for drug transport across the dialysis membrane leading to a slower overall apparent release rate (Modi and Anderson 2013). In this case, this reversible binding was greater as surfactant loading increased resulting in a slower rate of release for liposome formulated with 10% w/w Tween 20 in comparison to liposomes formulated with no Tween 20. A study comparing the deposition of naringenin in the skin from deformable liposomes and saturated solution found elastic liposomes (zero-order release) formulated with Tween 80 increased the deposition of naringenin in the skin significantly (by about 7.3~11.8-fold) compared with the saturated aqueous solution. Another study also found deformable liposomes were able to increase drug permeation into the skin in comparison to a conventional cream (2-fold) (Goindi *et al.* 2013). It has been suggested that the mechanism of the *in-vitro* release seems to be the formation of transient pores in the lipid bilayer, through which drugs are released from the inner aqueous core of the liposomes to the extra-liposomal medium (Wang *et al.* 2017).

The Korsmeyer–Peppas model best described release kinetics from all liposomal formulations (highest  $R^2$  and lowest AIC). The diffusion release exponent values suggested release was a complex mixture of diffusion and erosion controlled drug release or class-II kinetics often termed anomalous transport (Peppas and Sahlin 1989).

### 3.3.3. Liposomal gel naringenin release studies

Naringenin release from the liposomal gels was observed over 24 h (Figure 6).



**Figure 6.** *In-vitro* percentage naringenin release profiles from liposomal gels. Naringenin release profiles using a permeable insert system with permeable inserts of a 400 nm pore size from gels loaded with either Tween 20-free or deformable liposomes formulated with 2% w/w Tween 20 over 24 h. F1: HEC and Tween 20-free liposomes, F2: HEC and elastic liposomes, F3: HPMC and Tween 20-free liposomes, F4: HPMC and elastic liposomes. Gels were prepared using 3% w/v loading of either HEC or HPMC with a 1% w/w of naringenin loading. Liposomes were prepared adapting the dry film method. Data represent mean  $\pm$  SD.  $n = 3$  independent batches. \*\* indicates statistical comparison between the naringenin release from HEC and HPMC gel loaded with either 0% or 2% w/w Tween 20 with a  $p \leq 0.01$ .

Liposomal gels formulated with HEC were observed to give a slightly higher release of naringenin over 24 h (up to 23%). Furthermore, a higher percentage of drug was released from the deformable liposome (up to 23%). Over the course of 24 h formulation F1, F2, F3, and F4 gave a release of  $13.9\% \pm 2.0\%$ ,  $23.2\% \pm 4.1\%$ ,  $11.8\% \pm 0.4\%$ , and  $19.8\% \pm 1.5\%$ , respectively. The cumulative percentage released after 24 h was significant between the solution and all liposomal gels ( $p \leq 0.0001$ ). The difference was also significant between F1 and F2 as well as between F2 and F3. F1 and F3 contained no surfactant in the liposome and F2 and F4 contained 2% w/w of Tween 20. Therefore, release in the liposomal gels appeared to be affected by presence of surfactant in liposomal gels formulated with HEC but not HPMC.

This indicated that either deformable liposomes were better able to move through the HEC gel compared with naringenin-free liposomes or that the presence of surfactant increased the solubility of drug thus encouraging release from the liposome bilayer. A study observing lidocaine HCL release from liposome-loaded gels had similar results with hydrogel formulations having a faster release rate of lidocaine HCL compared to liposomal gel formulations (Glavas-Dodov *et al.* 2002). Drug properties (solubility, log P) as well as liposome stability when formulated as part of a gel system determines the entire system behavior and thus drug release (Mourtas *et al.* 2007).

### 3.4. Cellular toxicity of naringenin toward HDFa and HaCat cells

Topical formulations are applied directly on to the skin and the numerous connective layers making up the skin determine drug delivery. The skin primarily consists of the epidermis, dermis, and subcutaneous layers with each layer having a unique combination of cells, connective tissue,

components, and functions. Skin cancers progress in the upper layers of the skin including both the dermal and epidermal layer, thus the impact of formulation systems on these tissue layers should be considered.

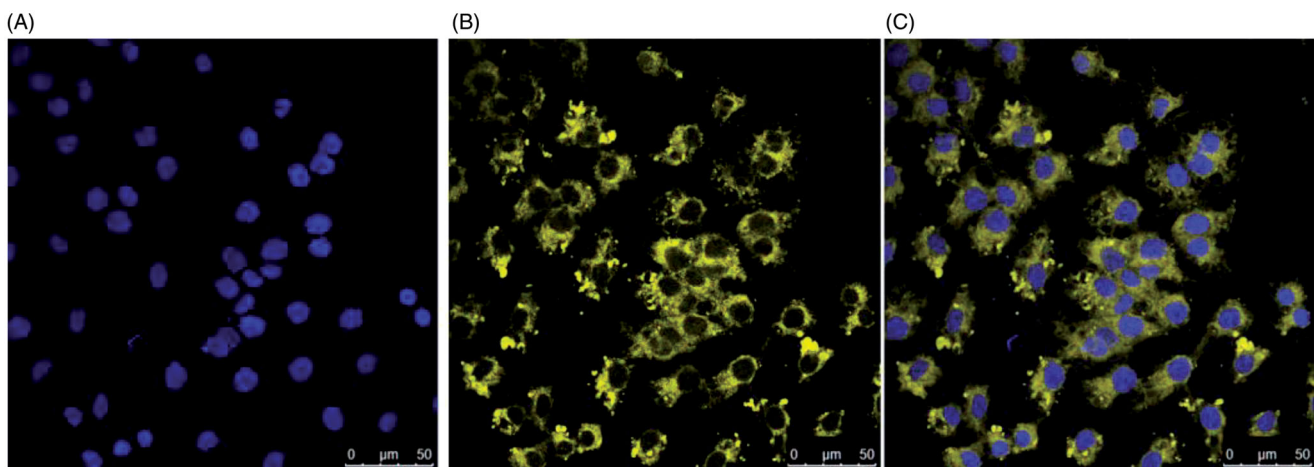
The toxicity and penetrability of naringenin formulations on skin layers were evaluated by adopting two *in-vitro* cell culture systems, namely HaCat and human fibroblast cells (HDFa) (Marwah *et al.* 2020). An XTT assay was performed to measure cell viability on both cell lines after exposure of cells to different concentrations of naringenin for 24 h (Supplementary Figure 2).

The concentration of naringenin was increased from 0.1 to 100  $\mu\text{M}$  causing a decrease in HDFa cell viability with statistical significance ( $p \leq 0.05$ ). This would be due to toxicity and apoptosis (Bae *et al.* 2008, Tanigawa *et al.* 2014). There is limited data on the cytotoxicity of naringenin toward dermal tissues however a previous study observed the protective effects of naringenin to HDFa cells exposed to external stressors including UVA and UVB radiation (Fernández-García 2014). Conversely, HaCat cell viability was upheld across the concentration range of 0.1–100  $\mu\text{M}$  with no statistically

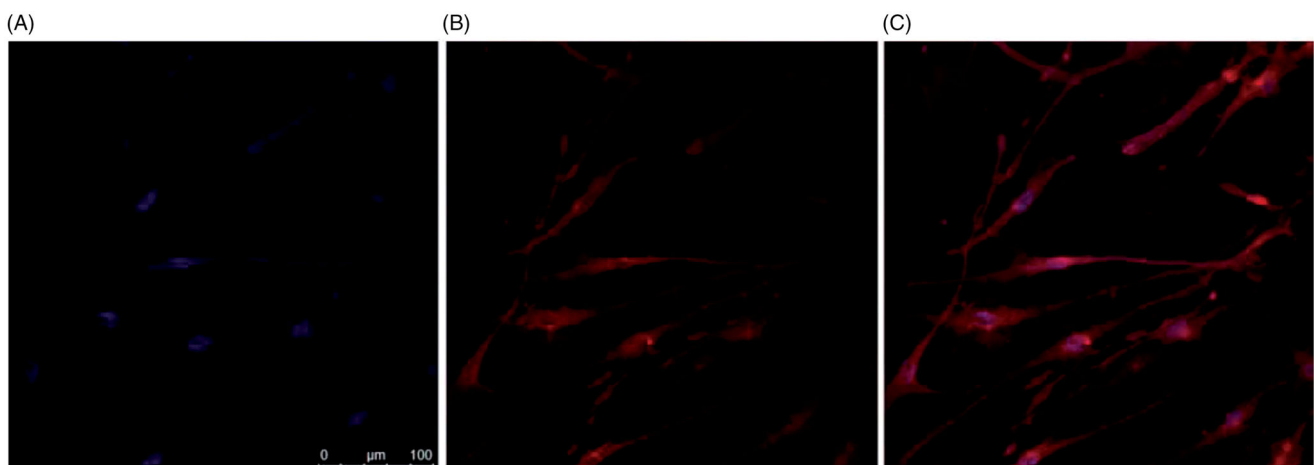
significant difference was observed ( $p \geq 0.05$ ). Thus, at these concentrations, naringenin was not toxic to this line of keratinocytes. Moreover, previous studies reported naringenin to impart shielding effects in HaCat cells exposed to external stressors including UVA and UVB radiation (El-Mahdy *et al.* 2008).

### 3.5. Cellular liposomal uptake assay into HDFa and HaCat cells

An important aim of our studies was to demonstrate uptake of naringenin-loaded deformable liposomes into a cell culture skin model. These liposomes, formulated with DiIc, were incubated with HaCat (Figure 7) and HDFa (Figure 8) for 2 h with both HaCat and HDFa cells seeded onto collagen-coated coverslips cells to evaluate cellular uptake. The cellular localization of these liposomes was determined using confocal microscopy. Following the 2-h incubation with cells, intracellular localization of labelled liposomes was evident,



**Figure 7.** Localization of DiIc labelled liposomes loaded with naringenin and 2% w/w Tween 20 suspended in HPMC gels in HaCat cells. Cells were grown on the coverslips for 2 d. Cell nuclei were visualized using (A) DAPI. Liposomes were formulated with DiIc for visualization (B). Liposome localization within the cell is shown in the merged image (C).



**Figure 8.** Localization of DiIc labelled liposomes loaded with naringenin and 2% w/w Tween 20 suspended in HPMC gel in HDFa cells. Cells were grown on the coverslips for 2 d. Cell nuclei were visualized using (A) DAPI. Liposomes were formulated with DiIc for visualization (B). Liposome localization within the cell is shown in the merged image (C).

confirming the successful liposomal uptake into HaCat and HDFa cells.

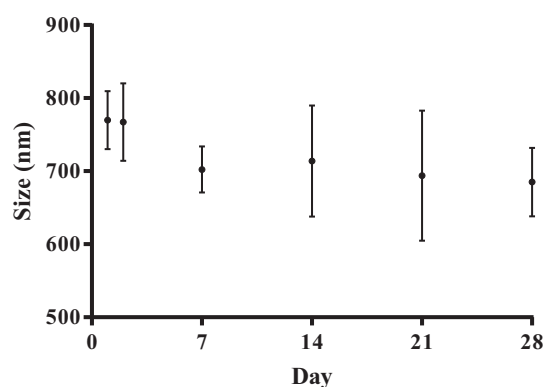
Liposome uptake into cells is governed by particle size and charge (Patil *et al.* 2007, Kyung *et al.* 2009, Chen *et al.* 2010). Suggested approaches of liposome uptake include endocytosis, adsorption, fusion of the lipid bilayer with the cell plasma membrane, and lipid transfer (Martin and MacDonald 1976, Pagano and Weinstein 1978). These uptake methods are not mutually exclusive thus a combination of them may have occurred in this study (Pagano and Weinstein 1978). The cell membrane surface is associated with anionic glycosaminoglycan side chains, accordingly, interaction between these side chains and positively charged liposomes are ionic (Panyam and Labhsetwar 2003). Liposomes applied to the cells had a  $\zeta$  of  $3.30 \pm 1.09$  suggesting an ionic interaction may have transpired. A previous study formulating chemotherapy in the treatment of malignant melanoma using Normal HDFs detected an increased uptake of cationic liposomes compared with neutral liposomes owing to the electrostatic interaction with the anionic phospholipid cell membrane (Ito *et al.* 2007).

The confocal microscopy studies showed the potential of delivery of deformable liposomes to relevant dermal tissues using *in-vitro* cell culture techniques. Nonetheless, application of such formulations could also be assessed using *ex-vivo* human or animal dermal tissues. The definitive aim of this delivery system was to improve dermal cell uptake and with a controlled release of active agent, thus from a regulatory perspective, pharmacokinetic data are not required as drug is not intended to enter the blood stream (European Agency for the Evaluation of Medicinal Products 2000).

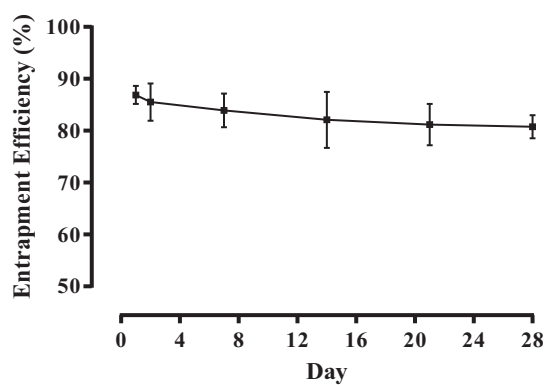
This study did not report skin penetration. The liposomal gel formulation recommended to take into further studies is that loaded with 2% w/w Tween 20. To ascertain the extent of carrier and drug permeation a skin strip test could be appropriate (Schäfer-Korting *et al.* 2007). This encompasses the use of adhesive tape to strip the skin layer by layer to quantify lipid and drug on each layer (Weigmann *et al.* 1999). Additionally, the most appropriate animal model for human skin is considered to be porcine skin tissue, however, sample-to-sample variability as well as variances in the lipid dermal matrices results in an altered permeability profile restricting the wider human translational objective (Dick and Scott 1992, Schmook *et al.* 2001, Godin and Tuitou 2007). Finally, use of skin tissue samples would help conclude on whether complete liposomes deliver naringenin to dermal cells or if delivery is a result of liposomes mixing with lipids in the stratum corneum.

### 3.6. Stability of deformable liposomes

The medium-term storage of naringenin-loaded liposomes formulated with 2% w/w Tween 20 was evaluated after storage in stability cabinets maintained at  $25 \pm 2^\circ\text{C}$  (Froilabo, France) at a humidity of  $60\% \pm 5$ . The effect of this size (Figure 9) and naringenin encapsulation efficiency (Figure 10) was evaluated. No statistically significant difference in the size of all naringenin-loaded liposomes during the storage



**Figure 9.** Stability of naringenin-loaded liposomes as determined by size. Size of naringenin-loaded liposomes formulated with 0-10% w/w Tween 20, using DLS, formulated with up to 10% w/w Tween 20 measured on various days (1, 7, 14, 21, and 28). Data represent mean  $\pm$  SD.  $n = 6$  independent batches.



**Figure 10.** Liposome encapsulation efficiency for naringenin. Liposome encapsulation efficiency for naringenin in liposomes formulated with 2% w/w Tween 20 liposomes over 28 d. Liposomes were prepared adapting the dry film method adding the surfactant and drug during the lipid mixing stage. The preparation was then washed *via* centrifugation. The quantity of naringenin in supernatant over 28 d was then analyzed by HPLC coupled with UV detection to assess liposome stability. Data represent mean  $\pm$  SD.  $n = 6$  independent batches.

period was observed (Figure 9). However, previous reports observed aggregation is common upon liposomal formulation storage resulting in vesicle size growth (Lentz *et al.* 1987) particularly evident with neutral liposomes (Weiner *et al.* 1992). Inclusion of surfactant appears to prevent this phenomenon correlating with similar studies (Seras *et al.* 1992, Marwah *et al.* 2020). This may be due to the destabilizing effect of surfactant on the lipid bilayer reducing the energy required to expand the interface, thus allowing conservation of smaller vesicles.

Furthermore, encapsulation efficiency appears to decrease non-significantly from  $86.89\% \pm 1.72$  to  $85.51\% \pm 3.59$  to  $83.92\% \pm 3.23$  to  $82.09\% \pm 5.39$  to  $81.19\% \pm 3.97$  to  $80.76\% \pm 2.21$ , for liposomes formulated with 2% w/w Tween 20 (Figure 10). This suggests drug leaching is independent of surfactant loading. However, Tween 20 is able to increase compound solubility, therefore, as not all would be entrapped within the bilayer, this may allow naringenin to solubilize within the liposomal media (Almog *et al.* 1986). Therefore, as the loading of Tween 20 increased, this would increase the amount of free Tween 20 resulting in more naringenin being able to solubilize in the liposome media.

## 4. Conclusion

The occurrence of skin cancer is increasing thus novel treatments to overcome limited therapeutic options is essential. Deformable liposomes in an aqueous gel carrier applied topically for the direct dermal delivery of compounds would be useful in the delivery of compounds across the stratum corneum at a controlled rate whilst minimizing side effects. The use of anti-oxidants to prevent oxidative skin damage is gaining evidence as a favorable strategy in the treatment of a range of skin concerns (Albini and Sporn 2007, Huang et al. 2011, Casey et al. 2015). Naringenin is a major flavanone in extracted from grapefruit with roles as an antioxidant, free radical scavenger, anti-inflammatory agent, and immune system modulator thus offers potential as a pharmacological anti-cancer agent (Chen et al. 2003, Huang et al. 2011, Casey et al. 2015). The formulation of such compounds has had limited success owing to a poor solubility profile, limited bioavailability and ineffective delivery systems. We developed an original deformable liposome formulation loaded with naringenin suspended in an aqueous gel and systemically investigated the encapsulation efficiency, uptake and *in-vitro* release of naringenin from the formulated liposomes. This study observed deformable liposomes are valuable in enhancing the bioavailability of naringenin as well as offering controlled release (Nishiyama 2007; Siddiqui et al. 2009). We established that aqueous gels hindered the release of naringenin compared to naringenin solution. Furthermore, increasing the loading of Tween 20 in the liposomal bilayer, liposome size decreased and elasticity increased however, naringenin encapsulation decreased. This reducing in naringenin encapsulation may be a result of Tween 20 competing for space within the bilayer or Tween 20 increasing the solubilization capacity of naringenin. Naringenin release from liposomes observed liposomes retarded the release of drug with complete release not observed within 24 h. Furthermore, we demonstrated liposomes were uptaken into epidermal keratinocytes and dermal fibroblasts within 2 h. This study demonstrates liposomes formulated with Tween 20 suspended in either HEC or HPMC carriers are valuable in the development of a controlled release formulation with potential for dermal drug delivery necessary to overcome patient compliance concerns thus improving skin cancer treatment results.

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## Disclosure statement

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