

# Journal of Biomechanics

## Mitigation Effect of Cell Exclusion on Blood Damage in Spiral Groove Bearings

--Manuscript Draft--

<b>Manuscript Number:</b>	BM-D-22-00396R3
<b>Article Type:</b>	Full Length Article (max 3500 words)
<b>Keywords:</b>	Left ventricular assist device, spiral groove bearing, cell exclusion, haemolysis, HMW vWF multimer degradation
<b>Corresponding Author:</b>	Chris Hoi Houg Chan, Ph.D Griffith University Brisbane, QLD AUSTRALIA
<b>First Author:</b>	Chris Hoi Houg Chan, Ph.D
<b>Order of Authors:</b>	Chris Hoi Houg Chan, Ph.D Tomotaka Murashige Shelby A. Bieritz Clayton Semenzin Amanda Smith Laura Leslie Michael J. Simmonds Geoff D. Tansley
<b>Abstract:</b>	<p>Cell exclusion in spiral groove bearing (SGB) excludes red blood cells from high shear regions in the bearing gaps and potentially reduce haemolysis in rotary blood pumps. However, this mechanobiological phenomenon has been observed in ultra-low blood haematocrit only, whether it can mitigate blood damage in a clinically-relevant blood haematocrit remains unknown. This study examined whether cell exclusion in a SGB alters haemolysis and/or high-molecular-weight von Willebrand factor (HMW vWF) multimer degradation. Citrated human blood was adjusted to 35% haematocrit and exposed to a SGB (n=6) and grooveless disc (n=3, as a non-cell exclusion control) incorporated into a custom-built Couette test rig operating at 2000RPM for an hour; shearing gaps were 20, 30, and 40 <math>\mu\text{m}</math>. Haemolysis was assessed via spectrophotometry and HMW vWF multimer degradation was detected with gel electrophoresis and immunoblotting. Haemolysis caused by the SGB at gaps of 20, 30 and 40<math>\mu\text{m}</math> were 10.6<math>\pm</math>3.3, 9.6<math>\pm</math>2.7 and 10.5<math>\pm</math>3.9 mg/dL.hr compared to 23.3<math>\pm</math>2.6, 12.8<math>\pm</math>3.2, 9.8<math>\pm</math>1.8 mg/dL.hr by grooveless disc. At the same shearing gap of 20 <math>\mu\text{m}</math>, there was a significant reduced in haemolysis (P=0.0001) and better preserved in HMW vWF multimers (p&lt;0.05) when compared SGB to grooveless disc. The reduction in blood trauma in the SGB compared to grooveless disc is indicative of cell exclusion occurred at the gap of 20<math>\mu\text{m}</math>. This is the first experimental study to demonstrate that cell exclusion in a SGB mitigates the shear-induced blood trauma in a clinically-relevant blood haematocrit of 35%, which can be potentially utilised in future blood pump design.</p>

# Mitigation Effect of Cell Exclusion on Blood Damage in Spiral Groove Bearings

Short title: Can Cell Exclusion Reduce Blood Damage?

Chris Hoi Houg Chan<sup>+1, 2</sup>, Tomotaka Murashige<sup>+1, 3</sup>, Shelby A. Bieritz<sup>1, 4</sup>, Clayton Semenzin<sup>1, 2</sup>, Amanda Smith<sup>5</sup>, Laura Leslie<sup>6</sup>, Michael J. Simmonds<sup>5</sup> and Geoff D. Tansley<sup>1, 2</sup>

<sup>1</sup>School of Engineering and Built Environment, Griffith University, Queensland, Australia;

<sup>2</sup>Critical Care Research Group, The Prince Charles Hospital, Brisbane, Australia;

<sup>3</sup>School of Engineering, Tokyo Institute of Technology, Meguro, Japan;

<sup>4</sup>Department of Bioengineering, Rice University, Houston, TX, USA;

<sup>5</sup>Menzies Health Institute Queensland, Griffith University, Queensland, Australia;

<sup>6</sup>Mechanical, Biomedical and Design Group, Aston University, Birmingham, UK.

<sup>+</sup> Chris H.H. Chan and Tomotaka Murashige contributed equally to this work

## Corresponding author:

Dr. Chris Chan Hoi Houg

Griffith University

Critical Care Research Group

Clinical Sciences Building, Level 3

The Prince Charles Hospital

Chermside, QLD 4032

Email: [chris\\_houg@hotmail.com](mailto:chris_houg@hotmail.com)

Tel: +61 424 887 256

**Key Words:** Left ventricular assist device, spiral groove bearing, cell exclusion,

haemolysis, HMW vWF multimer degradation

## 34 **Mitigation Effect of Cell Exclusion on Blood Damage in Spiral Groove Bearings**

35 Cell exclusion in spiral groove bearing (SGB) excludes red blood cells from high shear  
36 regions in the bearing gaps and potentially reduce haemolysis in rotary blood pumps.  
37 However, this mechanobiological phenomenon has been observed in ultra-low blood  
38 haematocrit only, whether it can mitigate blood damage in a clinically-relevant blood  
39 haematocrit remains unknown. This study examined whether cell exclusion in a SGB  
40 alters haemolysis and/or high-molecular-weight von Willebrand factor (HMW vWF)  
41 multimer degradation. Citrated human blood was adjusted to 35% haematocrit and  
42 exposed to a SGB (n=6) and grooveless disc (n=3, as a non-cell exclusion control)  
43 incorporated into a custom-built Couette test rig operating at 2000RPM for an hour;  
44 shearing gaps were 20, 30, and 40  $\mu\text{m}$ . Haemolysis was assessed via spectrophotometry  
45 and HMW vWF multimer degradation was detected with gel electrophoresis and  
46 immunoblotting. Haemolysis caused by the SGB at gaps of 20, 30 and 40 $\mu\text{m}$  were  
47 10.6 $\pm$ 3.3, 9.6 $\pm$ 2.7 and 10.5 $\pm$ 3.9 mg/dL.hr compared to 23.3 $\pm$ 2.6, 12.8 $\pm$ 3.2, 9.8 $\pm$ 1.8  
48 mg/dL.hr by grooveless disc. At the same shearing gap of 20  $\mu\text{m}$ , there was a significant  
49 reduced in haemolysis (P=0.0001) and better preserved in HMW vWF multimers  
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51 SGB compared to grooveless disc is indicative of cell exclusion occurred at the gap of  
52 20 $\mu\text{m}$ . This is the first experimental study to demonstrate that cell exclusion in a SGB  
53 mitigates the shear-induced blood damage in a clinically-relevant blood haematocrit of

54 35%, which can be potentially utilised in future blood pump design.

55

## 56 **Introduction**

57 Due to the limited availability of donor hearts, left ventricular assist device (LVAD)  
58 therapy provides another alternative life-saving solution to many end-stage heart failure  
59 patients who would not otherwise survive while on the heart transplant waiting list  
60 (Molina et al., 2021). Third generation LVADs, comprising of contact-free magnetic or  
61 hydrodynamic bearings, have nearly superseded first generation volume displacement  
62 and second generation contact bearing devices due to enhanced durability and  
63 haemocompatibility (Kirklin et al., 2015; Mehra et al., 2018; Mehra et al., 2017; Rogers  
64 et al., 2017). Magnetic bearings allow for wide blood-flow gaps and lower shear stress,  
65 thus enhancing haemocompatibility (Bourque et al., 2016; Krabatsch et al., 2017; Uriel  
66 et al., 2017). Nonetheless, an active magnetic bearing needs additional sensors, power  
67 input, and a highly sophisticated control mechanism for stable suspension, which  
68 increases the system's complexity and power consumption. Hydrodynamic bearing may  
69 offer an alternative solution to these problems (Fu et al., 2019). To develop a miniature  
70 LVAD, a hydrodynamic bearing has the advantage of maximum load capacity with  
71 minimum space requirements compared to active magnetic bearing because they are  
72 passive and do not require additional space for electrical control elements (Kink and Reul,  
73 2004). However, narrow bearing gap in hydrodynamic bearings are required for dynamic

74 pressure buildup to support bearing load, which leads to high mechanical shear stress that  
75 may initiate blood damage (Blackshear et al., 1966; Leverett et al., 1972).

76

77 The spiral groove bearing (SGB) is a unique hydrodynamic bearing, which features an  
78 excellent load capacity. Cell exclusion occurrence in SGB has been long discussed and  
79 investigated, which can potentially minimise blood cell exposure to high mechanical  
80 shear stress that may initiate blood damage. Kink *et al.* (Kink and Reul, 2004) first  
81 assumed that no blood cells enter the bearing gap once the SGB is spinning in an axial  
82 blood pump due to cell exclusion, with supporting results of flow visualisation  
83 experiments on a 10 : 1 scale-up model. Later on, Leslie *et al.* observed that cell exclusion  
84 occurs in a SGB incorporated into a custom-built rig, leading to lower haematocrit and  
85 blood viscosity at the high shear ridge surface in a gap of 25  $\mu\text{m}$  using ultra-low blood  
86 haematocrit of less than 1 % (Leslie et al., 2013). Further on, Murashige showed that cell  
87 exclusion occurs in the SGB of hydrodynamic bearing blood pumps, with less than 30  
88  $\mu\text{m}$  bearing gap using blood haematocrit of 1% (Murashige et al., 2016). To prove the  
89 existence of cell exclusion in a SGB while maintaining a clinically-relevant haematocrit,  
90 we previously demonstrated that the cell exclusion occurs in SGB, which incorporated in  
91 the current custom-built Couette test rig with the same operating conditions using 35%  
92 blood haematocrit of fluorescently-tagged erythrocyte ghost cells and visualised by a  
93 particle image velocimetry (Bieritz, 2020). However, the ability of mitigation effect of

94 cell exclusion on blood damage in SGB remains questionable. Therefore, the aim of this  
95 study was to investigate whether cell exclusion in a SGB using clinically-relevant blood  
96 haematocrit of 35 %, can reduce blood damage such as haemolysis and high molecular  
97 weight von Willebrand factor (HMW vWF) multimer degradation using the same  
98 developed test rig.

99

## 100 **Materials and methods**

### 101 **Blood collection**

102 Fresh human whole blood (300 mL) was collected from healthy volunteers, and  
103 anticoagulated with citrate phosphate dextrose adenine (CPDA-1) solution (Terumo  
104 Corporation, Tokyo, Japan). Written, informed consent was obtained from participating  
105 volunteers. The blood haematocrit was adjusted to  $35 \pm 1$  % with autologous plasma  
106 obtained from spared blood after centrifuged at  $2330 \times g$  for 45 minutes at 4°C. The  
107 experimental protocols were reviewed and approved by the Griffith University Human  
108 Research Ethics Committee (Protocol number 2018/633).

109

### 110 **A custom-built Couette test rig**

111 The mitigation effect of cell exclusion on blood damage in a SGB was investigated using  
112 a custom-built Couette test rig as shown in Figure 1a. This test rig comprised of an acrylic  
113 reservoir with inlet and outlet connectors, a force sensor (Nano43; ATI Industrial

114 Automation, Apex, NC, USA), a motor-driven bearing, a polyvinyl chloride tubing  
115 (Tygon S3 E-3603, Saint Gobain, Courbevoie, France), a flow sensor (4PXN; Transonic  
116 Systems Inc., Ithaca, NY, USA), a sampling port connected to the outlet connector, and a  
117 z-axis translation stage (ZLPG60; MISUMI Group Inc., Tokyo, Japan).

118

119 The SGB was milled from cast acrylic and subsequently vapor polished, with the ridge  
120 surface of the bearing masked to preserve the square edges between the groove walls and  
121 ridge surface. The SGB geometry was: outer diameter 44.8 mm, inner diameter 12.35 mm,  
122 groove depth 200  $\mu\text{m}$ , groove angle  $16^\circ$ , number of grooves 15, and the ridge to groove  
123 width ratio was 1:1 (Figure 1b). The acrylic reservoir was mounted to the z-axis  
124 translation stage with a 5  $\mu\text{m}$  resolution, so that the shearing gap between the bottom  
125 surface of the blood reservoir and the ridge surface of the SGB could be adjusted precisely.  
126 To set the shearing gap, the reservoir was raised using the z-axis stage until bearing  
127 touchdown was detected by a change in z-axis force. Bearing touchdown was measured  
128 at 6 bearing angular positions, and each measurement was repeated twice to ensure  
129 repeatability. The average touchdown stage position was set as the zero point prior to each  
130 experiment. The touchdown stage position had a variance of 11  $\mu\text{m}$ , thus the zero point  
131 had a tolerance of  $\pm 5.5 \mu\text{m}$ . As a SGB rotates, primary blood flow is driven against the  
132 groove wall opposite to the direction of rotation, which builds pressure in the  
133 circumferential direction across the width of each groove. These local regions of high

134 pressure may prevent red blood cells from flowing into the adjacent ridge as the SGB  
135 rotates. Because the groove depth bearing generates primary flow rates, a higher pressure  
136 buildup occurs across each groove.

137

138 Prior to each blood test, the circulation loop was rinsed with phosphate buffered saline  
139 (Sigma–Aldrich, St. Louis, MO, USA) for 20 minutes. Blood was subsequently  
140 introduced into the circuit (total blood volume in the circuit,  $45 \pm 5$  mL) and circulated  
141 for an hour in each gap at room temperature. The SGB was rotated at 2000 revolutions  
142 per minute (RPM). Blood samples (1.5 mL) were collected at baseline (5 minute) and an  
143 hour from the sampling port connecting to the outlet connector. The shearing gaps were  
144 set to 20, 30 and 40  $\mu\text{m}$  for each test using the same donor’s blood to eliminate the risk  
145 of the individual variability. The SGB force and flow rate were measured throughout all  
146 experiments, and the data was acquired using an acquisition system (MicroLabBox;  
147 dSPACE GmbH, Paderborn, Germany) and MATLAB Simulink (R2016b; The  
148 MathWorks Inc., Natick, MA, USA).

149

#### 150 **Control tests using grooveless disc and same flow rate using clamp**

151 To study the shearing gap effect without cell exclusion, a grooveless disc with the same  
152 diameter of the SGB was used as a control. Blood was filled into the circulation loop. The  
153 rotational speed of the grooveless disc was set to 2000 RPM for an hour and the shearing



154 gaps were set to 20, 30 and 40  $\mu\text{m}$ , respectively.

155

156 An additional control study was performed to eliminate the confounding effect of flow  
157 rate on haemolysis in different shear gaps (Blackshear et al., 1966; Leverett et al., 1972).

158 This control measure was taken because as the SGB gap decreases (40  $\mu\text{m}$ , 30  $\mu\text{m}$ , 20  
159  $\mu\text{m}$ ), the flow rate increases (40 mL/min, 50 mL/min, 60 mL/min). To isolate the effects  
160 of flow rate on haemolysis, the flow rate was set to a constant in different gaps of 30  $\mu\text{m}$   
161 and 20  $\mu\text{m}$  gap for two hours. For the 20  $\mu\text{m}$  gap, the outflow of the fluid reservoir was  
162 clamped until the flow rate reached 50 mL/min (versus the unclamped 60 mL/min), which  
163 matching the flow rate at 30  $\mu\text{m}$  gap (50 mL/min).

164

### 165 **Haemolysis assay**

166 The Harboe assay was used for quantifying haemolysis as previously (Chan et al., 2022).  
167 The 1.5 mL blood samples at baseline and an hour were centrifuged at  $1500 \times g$  for 10  
168 minutes to obtain plasma, which was then diluted in 0.1 %  $\text{Na}_2\text{CO}_3$  (1:10), and the  
169 absorbance of each sample was measured at 380, 415 and 450 nm using a  
170 spectrophotometer (UVmini-1240; Shimadzu Corp., Kyoto, Japan). The plasma-free  
171 haemoglobin (*pfHb*) was calculated as described by the equation below where  $A_x$  is the  
172 absorbance of x nm wavelength. The triplicated measurement was done in this  
173 measurement.

174  $pfHb \left( \frac{mg}{dL} \right) = (167.2 \times A_{415} - 83.6 \times A_{380} - 83.6 \times A_{450} ) \times \left( \frac{1}{10} \right) \times \left( \frac{1}{\text{dilution in } 0.1\% \text{ Na}_2\text{CO}_3} \right)$

175

176 **vWF multimer analysis**

177 The remaining plasma samples were further centrifuged at 15,000 × g for 5 minutes.

178 Platelet-poor plasma (PPP) was stored at −80°C for further vWF multimer analysis. Gel

179 electrophoresis was performed as previously described (Chan et al., 2020; Chan et al.,

180 2017). Briefly, PPP prepared from sheared samples was thawed and centrifuged at 15

181 000× g for 5 minutes to remove debris. Then, 10 μL of PPP was loaded into each well,

182 and subjected to electrophoresis using high gelling temperature agarose (50040, Lonza,

183 Basel, Switzerland). The gel comprised of a 0.8 % stacking gel and a 1.5 % running gel.

184 Electrophoresis was performed at room temperature for 20 hours at 60 voltages and for 4

185 hours at 80 voltages. The fractionated plasma proteins were then transferred from the gel

186 to a polyvinylidene difluoride membrane (0.45 mm, IPVH304F0, Immobilon-P, Millipore

187 Corporation, Billerica, MA, USA) using capillary blotting method. Membrane was

188 blocked using 5 % skim milk in Tris Buffered Saline with Tween (Sigma Aldrich, St.

189 Louis, MO., USA) and probed with horseradish peroxidase conjugated polyclonal rabbit

190 anti-human vWF (1:1000) (P0226, DAKO, Glostrup, Denmark). Membranes were

191 washed and developed with enhanced chemiluminescence (Clarity™ ECL Western

192 Blotting Substrate, Bio-Rad Laboratories Inc, Hercules, CA, USA), and vWF multimer

193 band density visualised with a ChemiDoc Imaging Systems (ChemiDoc™ MP, Bio-Rad

194 Laboratories Inc, Hercules, CA, USA). The HMW multimer degradation within subject  
195 was quantified using a ratio of HMW vWF multimers (> band 10) at an hour compared  
196 to the baseline sample. Similarly, changes in low molecular weight von Willebrand factor  
197 (LMW vWF) multimer profiles within subject were quantified using a ratio of LMW vWF  
198 multimers (band 1-5) at an hour compared to the baseline sample. The molecular weight  
199 vWF multimers were quantified using standard software (Image LAB 6.1, Bio-Rad  
200 Laboratories Inc, Hercules, CA, USA).

201

## 202 **Computational fluid dynamics**

203 To support the experimental findings, a steady-state computational fluid dynamics (CFD)  
204 simulation of the SGB was conducted using ANSYS Fluent (2020 R2, ANSYS Inc.,  
205 Canonsburg, PA, USA). A single groove and ridge segment was modelled, and periodic  
206 boundary conditions were utilised to represent the full bearing. Preliminary simulations  
207 determined a mesh density of approximately 1.9 million cells was sufficient to reach a  
208 mesh independent solution. The flow field was treated as laminar flow.

209

## 210 **Statistical analysis**

211 Haemolysis was analysed using a 2-way ANOVA method for statistical analysis (mean  $\pm$   
212 standard deviation). The increases in *pfHb* for 20  $\mu\text{m}$  gap with the clamp and 30  $\mu\text{m}$  gap  
213 without the clamp were compared using a paired t-test for statistical evaluation. The ratio

214 of HMW vWF and LMW vWF multimers between baseline and an hour were compared  
215 between different shearing gaps and two different geometries using a 2-way ANOVA  
216 analysis. All statistical analysis was performed using GraphPad Prism 9.0 software  
217 (GraphPad Software, San Diego, CA, USA).

218

## 219 **Results**

### 220 **Haemolysis level**

221 The release of *pfHb* in 20, 30 and 40  $\mu\text{m}$  in the SGB after an hour were  $10.6 \pm 3.3$ ,  $9.6 \pm$   
222  $2.7$ , and  $10.5 \pm 3.9$  mg/dL.hr, respectively (n= 6, Figure 2). There was no significant  
223 difference in haemolysis measured in SGB after an hour among these shearing gaps.  
224 Whereas, the release of *pfHb* in 20, 30 and 40  $\mu\text{m}$  in the grooveless disc after an hour  
225 were  $23.3 \pm 2.6$ ,  $12.8 \pm 3.2$ ,  $9.8 \pm 1.8$  mg/dL.hr, respectively (n= 3, Figure 2). There was  
226 significant difference in haemolysis measured in grooveless disc after an hour when using  
227 the 20  $\mu\text{m}$  gap compared with the 30  $\mu\text{m}$  ( $P = 0.0007$ ) and 40  $\mu\text{m}$  ( $P < 0.0001$ ). Comparing  
228 the SGB and grooveless disc at equal shearing gap, there was a significant difference in  
229 *pfHb* after an hour of exposure in the 20  $\mu\text{m}$  gap ( $P = 0.0001$ ).

230

231 There was no significant difference in haemolysis after two hours of exposure time  
232 between the clamp and unclamped conditions when both flow rates were set at 50 mL/min  
233 (n= 3, Figure 3). The release of *pfHb* in 20  $\mu\text{m}$  with the clamp compared to 30  $\mu\text{m}$  with

234 no clamp were  $13.4 \pm 5.4$  and  $11.3 \pm 8.9$  mg/dL.hr, respectively.

235

236 **Quantification of the degradation of HMW vWF multimers and accumulation of**  
237 **LMW vWF multimers**

238 In Figure 4a, vWF multimer analysis revealed that HMW vWF multimers were degraded  
239 into either intermediate or LMW vWF multimers after an hour, for both the SGB and  
240 grooveless disc. In Figure 4b, no significant differences were found for HMW vWF ratios  
241 of baseline and an hour among shearing gaps of 20-40  $\mu\text{m}$  using either SGB or grooveless  
242 disc. However, at the same shearing gap of 20  $\mu\text{m}$ , the grooveless disc had significantly  
243 reduced HMW vWF ratios of baseline and an hour when compared with the SGB ( $p <$   
244  $0.05$ ). In Figure 4c, no significant differences were found for LMW vWF ratio of baseline  
245 and 60 minutes among shearing gaps of 20-40  $\mu\text{m}$  using either a SGB or grooveless disc.  
246 There were also no significant difference for LMW vWF ratio of baseline and an hour  
247 between grooveless disc and SGB at any testing gaps between 20-40  $\mu\text{m}$ .

248

249 **CFD analysis**

250 The simulation results showed that the majority of the flow (primary flow) travels along  
251 the grooves as demonstrated by the streamlines in Figure 5. Flow in the ridge regions  
252 travelled towards the grooves (secondary flows) where it then joined the primary flow. In  
253 Figure 6, the shear stress contour plot from a cross-section of the groove and ridge

254 demonstrated that the ridge region generated higher shear stress than the groove region  
255 of the bearing.

256

## 257 **Discussion**

258 Plasma skimming occurs physiologically with the separation of plasma and red blood  
259 cells (RBC), which occurs at diverging bifurcations, leading to a heterogeneous RBC  
260 distribution that ultimately affects the oxygen delivery to living tissues (Fåhræus and  
261 Lindqvist, 1931). A similar mechanobiological mechanism in cell exclusion, RBCs  
262 moving from areas of high shear to low shear, observed in SGB using low blood  
263 haematocrit only (Leslie et al., 2013; Murashige et al., 2016). We previously  
264 demonstrated that the cell exclusion occurs in SGB in the current developed test rig using  
265 35% blood haematocrit of fluorescently-tagged erythrocyte ghost cells and visualised by  
266 a particle image velocimetry (Bieritz, 2020). As to continue this work, we further  
267 evaluated and completed the investigation of the ability of mitigation effect of cell  
268 exclusion on blood damage in SGB, with some additional CFD support. Therefore, this  
269 study demonstrated that cell exclusion can reduce blood damage in a SGB using  
270 clinically-relevant blood haematocrit of 35 %.

271

272 We assumed that flowing blood between the narrow bearing gaps behave as (1)  
273 Newtonian fluid (2) Couette flow (3) did not consider for entrance effects and microfluid

274 mechanics. Based on these assumptions, we calculated the maximum ridge surface shear  
 275 stress,  $\tau_{ridge}$  and maximum groove shear stress,  $\tau_{groove}$  at outer diameter of the SGB based  
 276 on equations (1) and (2), which has used by previous works (Kosaka et al., 2021;  
 277 Murashige et al., 2015) as follows

$$\tau_{ridge} = \mu \times \frac{V_c}{h_{gap}} \quad - \quad (1)$$

$$\tau_{groove} = \mu \times \frac{V_c}{h_{groove}} \quad - \quad (2)$$

278 Where  $\mu$  is blood viscosity (3.0 mPa·s; typical of asymptotic values),  $V_c$  is the outer  
 279 circumferential velocity of the rotor ( $r \times \omega_c$ ),  $h_{gap}$  is the shearing gap,  $h_{groove}$  is the groove  
 280 gap ( $h_{gap} + 200 \mu\text{m}$ ),  $r$  is the radius of the SGB (22.4 mm),  $\omega_c$  is the outer circumferential  
 281 angular speed of the rotor ( $2\pi \times \frac{2000 \text{ RPM}}{60}$ ).  $\tau_{ridge}$  in the shearing gap of 20, 30 and 40  $\mu\text{m}$   
 282 were calculated as 704, 469 and 352 Pa, respectively.  $\tau_{groove}$  in the groove shearing gap  
 283 of 220, 230 and 240  $\mu\text{m}$  were calculated as 64, 61 and 59 Pa, respectively (See Table 1).  
 284  $Re_{ridge}$  is the pump Reynolds number at ridge regime (Malinauskas et al., 2017) and  
 285  $Re_{groove}$  is the pipe flow Reynolds number at groove regime. Based on the calculated  
 286 Reynolds number equations (3) and (4), both ridge and groove flow regimes are  
 287 considered laminar flow due to both Reynolds numbers are below Critical Reynolds  
 288 numbers, see Table 1 (Note that: for  $Re_{ridge}$ , Critical Reynolds number is found based on

289 gap ratio,  $G = h_{\text{gap}} / r$  versus Reynolds number, (Daily J and Nece R, 1960)).

$$Re_{\text{ridge}} = \frac{\rho \times \omega_c \times D^2}{\mu} \quad - \quad (3)$$

$$Re_{\text{groove}} = \frac{\rho \times u_{\text{gap}} \times d_H}{\mu} \quad - \quad (4)$$

290 Where  $\rho$  is the blood density ( $1060 \text{ kgm}^{-3}$ ),  $D$  is the diameter of the SGB (44.8 mm),  $u_{\text{gap}}$   
291 is the average blood flow speed within each groove ( $Q_{\text{gap}} / \text{Area}_{\text{groove}} \times 15$ ),  $Q_{\text{gap}}$  is the  
292 measured volumetric flow rate,  $\text{Area}_{\text{groove}}$  is the area of groove ( $a \times b$ , where groove depth,  
293  $a = 0.2 \text{ mm}$  and groove width,  $b = 3 \text{ mm}$ ) and  $d_H$  is the open channel hydraulic diameter  
294 ( $4ab / 2a+b$ ). Chan *et al.* reported that a significant increase in haemolysis can be observed  
295 when shear stress is higher than 90 Pa using Couette-type blood-shearing devices (Chan  
296 *et al.*, 2022). Therefore, increased haemolysis in the SGB ridge region and grooveless  
297 disc ( $\geq 352 \text{ Pa}$ ) is expected as the estimated shear stress is higher than 90 Pa. When the  
298 shearing gaps reducing from  $40 \mu\text{m}$  to  $20 \mu\text{m}$ , the calculated shear stress in these shearing  
299 gaps of SGB surface ridges and grooveless disc both increased by 100 % (352 Pa to 704  
300 Pa), whereas the SGB groove gaps increased by only 8.5 % (59 Pa to 64 Pa). At the same  
301 shearing gap of  $20 \mu\text{m}$ , the calculated shear stress in the shearing gap of SGB surface  
302 ridges and grooveless disc are 11-fold higher than in the SGB grooves (704 Pa versus 64  
303 Pa). Interestingly, our results showed that no change in haemolysis as the SGB gap



304 reducing from 40 to 20  $\mu\text{m}$ . This finding suggests RBC entrainment in the low-shear  
305 groove ( $\tau_{\text{groove}} \leq 64 \text{ Pa}$ ), rather than exposure to the higher-shear surface ridge regions  
306 ( $\tau_{\text{ridge}} \geq 352 \text{ Pa}$ ).

307

308 The CFD plots suggested that particles in the high shear region of the ridge migrate  
309 towards the low shear regions of the grooves. Our CFD model did not account for the  
310 Fåhræus effect, therefore the result is expected to be more exaggerated. Blood was treated  
311 as Newtonian fluid and the fluid modelled as homogeneous (RBC aggregation were not  
312 take into consideration). The limitations would influence the finer details of the simulated  
313 results; however, the bulk flow patterns of interest would be negligibly impacted. Overall,  
314 the CFD findings strongly support the haemolysis results indicating cell exclusion effect  
315 in SGB. In Figure 7, the surface-shear stress analysis image of the SGB demonstrates as  
316 a visual guide that the local rise in differential pressure across the ridge surface excludes  
317 red blood cells to low shear regions within the grooves.

318

319 Our previous work also demonstrated that haematocrit decreased at the ridge surface of  
320 SGB when the gap was less than 30  $\mu\text{m}$  due to cell exclusion occurred in a SGB of the  
321 blood pump (Murashige et al., 2016). Therefore, a SGB has the ability to reduce  
322 haemolysis by excluding the RBCs from area of high shear stress to the low shear stress  
323 of the bearing grooves, thus mitigating shear-induced haemolysis in the narrow bearing

324 gap required in the hydrodynamic bearing. Cell exclusion in SGBs acts as an effective  
325 protection of the RBCs by reducing the exposure time at high-shear regional ridges,  
326 excluding RBCs to the low shear regional grooves. Therefore, our current findings  
327 substantiate the previous claims that narrow bearing gaps between the impeller blades,  
328 tips and pump housing generate supraphysiological shear stress in previous rotary blood  
329 pumps; yet, produced low haemolysis maybe due to cell exclusion effect (Antaki et al.,  
330 2008; James et al., 2003). As the shearing gap decreases led to increase in flow rate, hence  
331 the change in haemodynamic profile, might lead to blood damage. However, the result in  
332 Figure 3 confirmed that flow rate had an insignificant influence on haemolysis even up  
333 to 2 hours in these SGB geometries. Therefore, we did not adjust the flow rate throughout  
334 the study since the flow rate is not the significant influencing factor in this study.

335

336 It is well known that supraphysiologic shear stress can cause pathologic vWF degradation  
337 during LVAD support and lead to acquired von Willebrand syndrome (Crow et al., 2010;  
338 Crow et al., 2009). Recently, Bartoli *et al.* reported that specifically designed low shear  
339 stress LVADs can reduce HMW vWF multimer degradation (Bartoli et al., 2019; Bartoli  
340 et al., 2020). So far, no work has been reported on whether cell exclusion can reduce vWF  
341 degradation. Although our results showed that SGB preserves more HMW vWF  
342 multimers compared to grooveless disc at shear gap of 20  $\mu\text{m}$ . However, both geometries  
343 caused significant HMW vWF multimer degradation due to the calculated shear stresses

344 ranging from 59 Pa to 704 Pa, which are well beyond previously claimed shear stress  
345 maximum threshold of 12 Pa for vWF. Thus, high shear was likely to be the cause of  
346 HMW vWF multimer degradation and LMW vWF multimer accumulation (Chan et al.,  
347 2020; Chan et al., 2022). When blood is exposed to a high shear environment, the  
348 interaction between RBC-vWF is weak (Smeets et al., 2017) and the mechanobiological  
349 response of RBCs and vWF are uniquely different. Due to the excellent property to  
350 deform, the RBC is able to squeeze reversibly and escape to lower shear areas (i.e., cell  
351 exclusion) (Huisjes et al., 2018), whereas vWF proteins unfold under shear, adhering and  
352 constituting a “sticky” grid necessary for blood platelet adhesion (Schneider et al., 2007).  
353 Therefore, the cell exclusion in SGB might not be fully protective to vWF degradation.

354

### 355 **Limitations**

356 This custom-built Couette test rig enabled us to systematically investigate the effects of  
357 cell exclusion in a SGB on blood damage such as haemolysis and HMW vWF multimer  
358 degradation. However, our study has a number of limitations. First, we must emphasise  
359 that the haemolysis results of grooveless disc cannot be directly compared to SGB due to  
360 the distinctly different flow patterns generated by the two geometries. The rotating  
361 grooveless disc creates a flow driven radially outward by centrifugal force, whereas the  
362 SGB draws fluid spirally inward to the central of the bearing. **Second, although our test**  
363 **results showed that cell exclusion can reduce blood damage, however, the sample size**

364 and test duration were relatively small and short. Therefore, these test results might  
365 affected by the blood donor variability and measurement error. Unfortunately, our rig had  
366 been dismantled and no longer able to re-examine the blood trauma test for a longer test  
367 time or more tests. Lastly, this work did not investigate the cell exclusion effect on  
368 platelets and leukocytes, which are important for overall haemocompatibility of new  
369 development of LVADs.

370

### 371 **Conclusion**

372 Our experimental results indicate that the cell exclusion in the SGB can reduce  
373 haemolysis, but might not be fully protective to HMW vWF multimer degradation. Our  
374 results also showed that cell exclusion occurred in a clinically-relevant blood haematocrit  
375 of 35 %, which removes the doubt of it only occurring in ultra-low blood haematocrit.  
376 This finding suggests that the cell exclusion effect in a SGB can be potentially utilised  
377 and improved the haemocompatibility of a small geometry pump design such as a  
378 miniature, percutaneous and paediatric LVAD.

379

### 380 **Acknowledgement**

381 Chris H.H. Chan is supported by The Prince Charles Hospital Foundation Research  
382 Fellowship (RF2019-02). Tomotaka Murashige is supported by a Grant-in-Aid for Japan  
383 Society for the Promotion of Science Fellow (17J08128). The authors would like to

384 recognise the financial assistance provided by The Prince Charles Hospital Foundation  
385 Team Grant (TM2017-04) and Innovation Grant (INN2021-06).

386

387 **Authors' contributions**

388

389 • Chan: Concept/design, analysis/data interpretation, secured funding, drafting/critical  
390 revision/approval of article;

391 • Murashige: Concept/design, analysis/data interpretation, secured funding,  
392 drafting/critical revision/approval of article;

393 • Bieritz: Concept/design, analysis/data interpretation, secured funding,  
394 drafting/critical revision/approval of article;

395 • Semenzin: CFD analysis/data interpretation, critical revision/approval of article;

396 • Smith: Statistical analysis, critical revision/approval of article;

397 • Leslie: Critical revision/approval of article;

398 • Simmonds: Concept/design, critical revision/approval of article;

399 • Tansley: Concept/design, critical revision/approval of article.

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526

527

528

529 **Figures and Table**

530 **Figure 1.** Experimental setup. a) A custom-built test rig. The device comprises a motor,  
531 a force sensor, a rotating geometry either spiral groove bearing (SGB) or grooveless disc,  
532 a blood reservoir and a translation stage. The circulation loop has a blood sampling port  
533 and a flow sensor. b) Ridge and groove patterns inside the SGB.  $h_{\text{gap}}$  = shearing gap  
534 between the bottom surface of blood reservoir and the ridge surface;  $h_{\text{groove}}$  = groove depth  
535 of  $200 \mu\text{m} + h_{\text{gap}}$ ;  $\tau_{\text{ridge}}$  = gap shear stress;  $\tau_{\text{groove}}$  = groove shear stress.

536

537 **Figure 2.** Shear-induced haemolysis between the spiral groove bearing (SGB) and  
538 grooveless disc in different shearing gaps of 20, 30 and 40  $\mu\text{m}$  after an hour. Results  
539 expressed as mean  $\pm$  standard deviation. \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$ .

540

541 **Figure 3.** The haemolysis results of same flow rate of 50 mL/min in two different gap-  
542 clamp conditions: 20  $\mu\text{m}$  gap with a clamp and 30  $\mu\text{m}$  gap without a clamp after two hours.  
543 Results expressed as mean  $\pm$  standard deviation.

544

545 **Figure 4a.** Classification of the vWF multimers was performed using agarose gel  
546 electrophoresis. Bands 1 to 5 (bottom dotted box) from the dye front of the electrophoretic  
547 strip were classified as low molecular weight von Willebrand factor multimers (LMW  
548 vWF), bands 6 to 10 as intermediate molecular weight von Willebrand factor multimers  
549 and all those > band 10 (top dotted box) as high molecular weight von Willebrand factor  
550 multimers (HMW vWF). **Figure 4b.** Shear-induced HMW vWF multimer degradation.  
551 Blood was subjected to different shearing gaps ranging from 20-40  $\mu\text{m}$  after an hour in a  
552 custom-built test rig. **Figure 4c.** Shear-induced LMW vWF multimer accumulation.  
553 Results expressed as mean ratio  $\pm$  standard deviation of post-shear sample to the baseline  
554 sample in each shear gap. \*P < 0.05.

555

556 **Figure 5.** Velocity streamline plot of flow injected at the entrance to the bearing. Primary  
557 flows are displayed travelling along the grooves with secondary flows migrating from the  
558 ridge to the groove region.

559

560 **Figure 6.** Shear stress contour plot along a groove and ridge cross section. Higher shear  
561 stresses at the ridge regions in comparison to the groove regions.

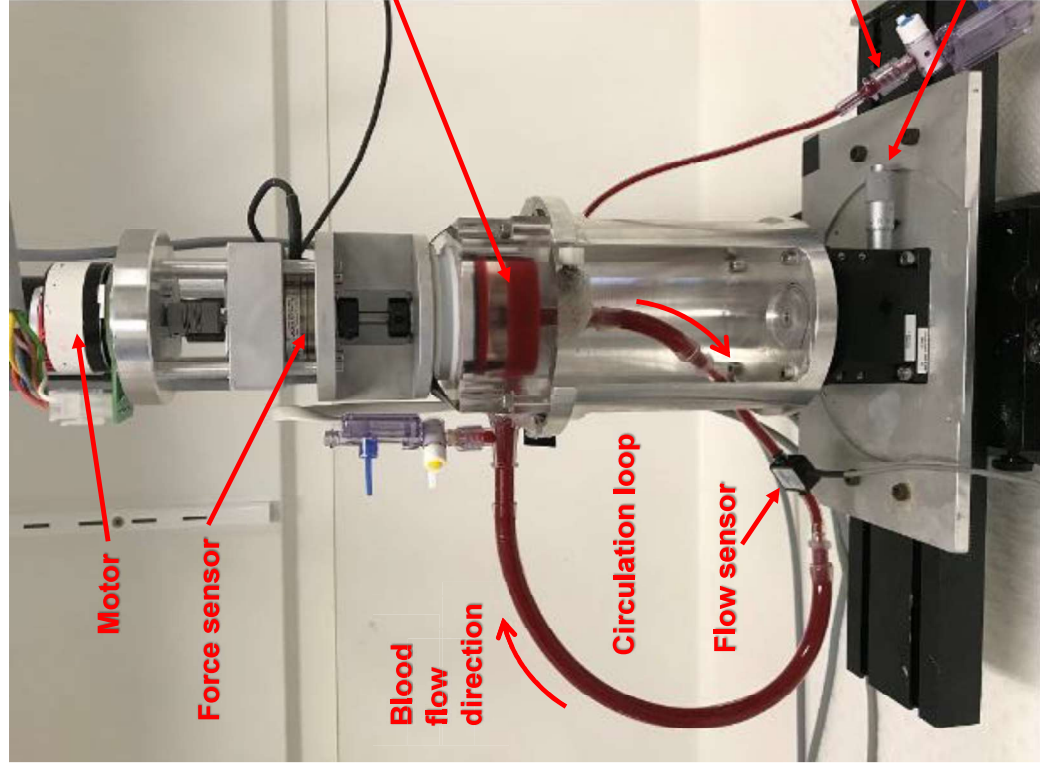
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563 **Figure 7.** A surface-shear stress analysis image of the Spiral Groove Bearing.

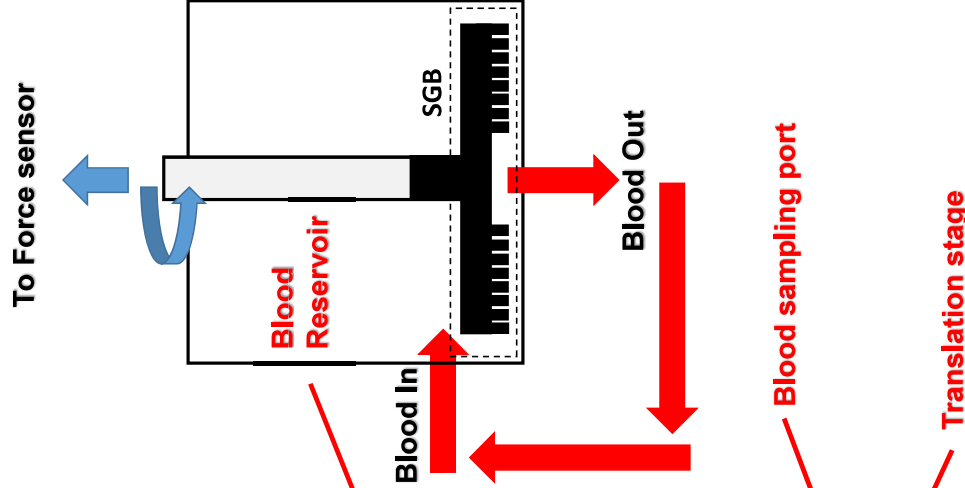
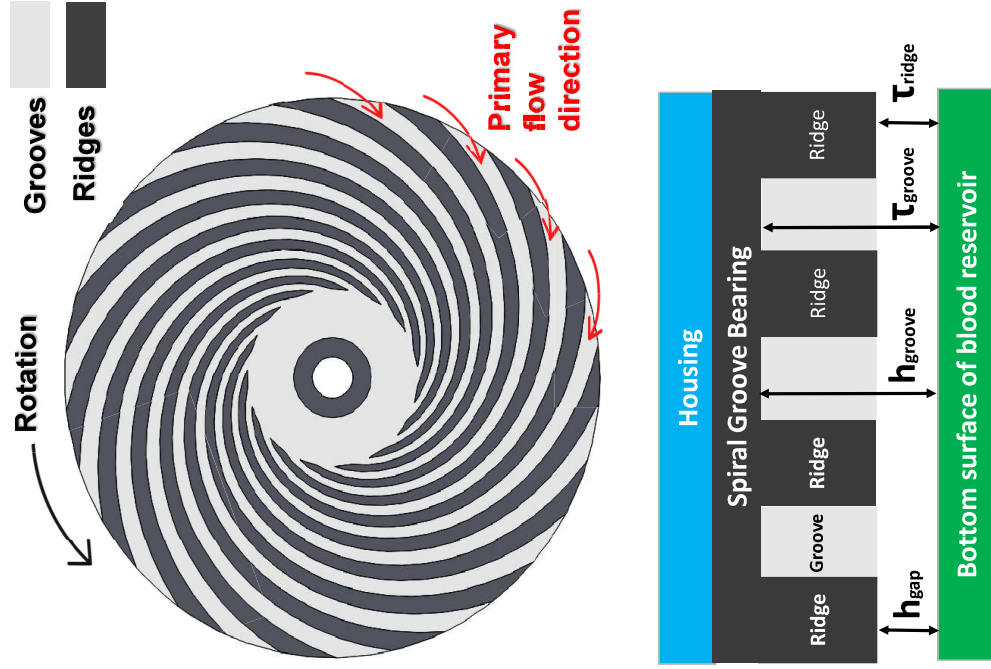
564

565 **Table 1.** Spiral groove bearing gaps, calculated maximum shear stresses at ridge and  
566 groove, measured volumetric flow rates, calculated Reynolds numbers at ridge and  
567 groove regimes.

**a) A Custom-Built Test Rig**



**b) Spiral Groove Bearing (SGB)**



**Figure 1.**

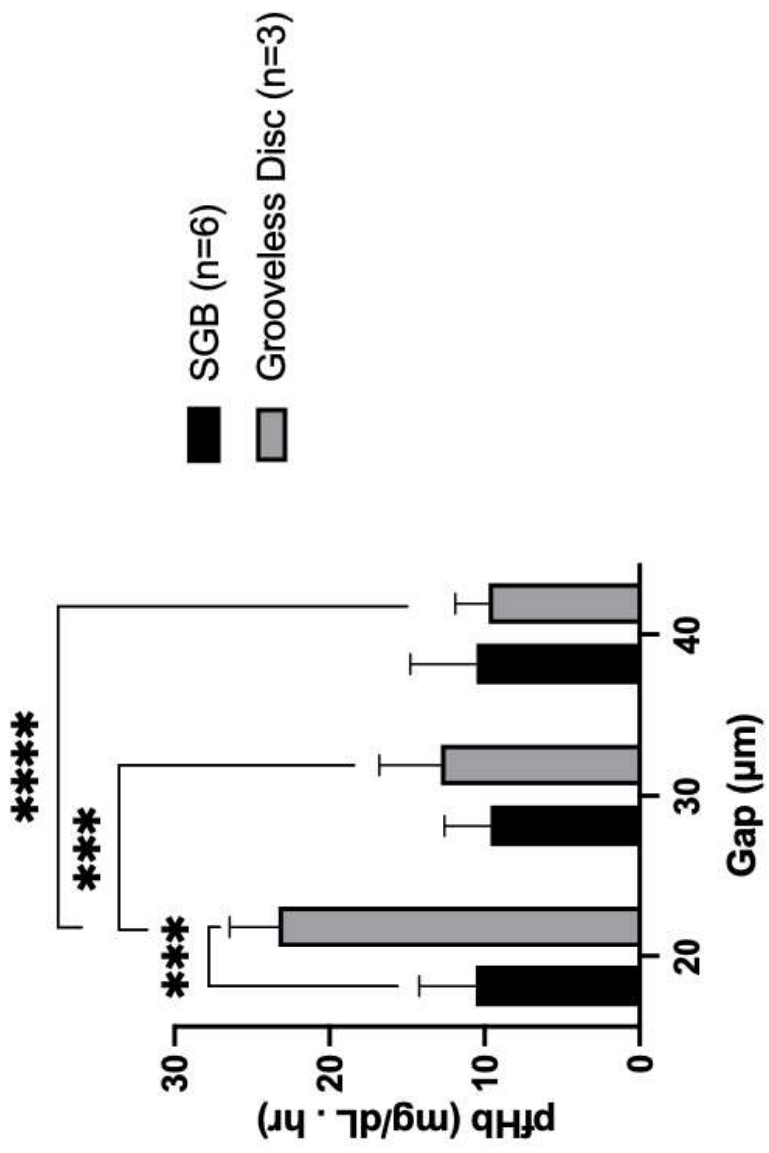


Figure 2.

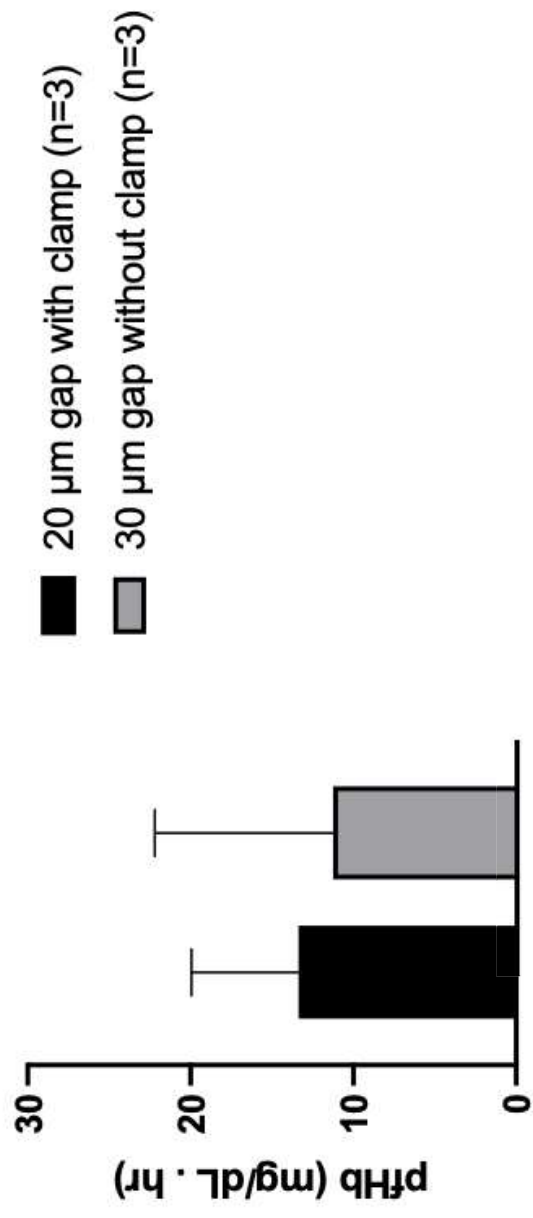
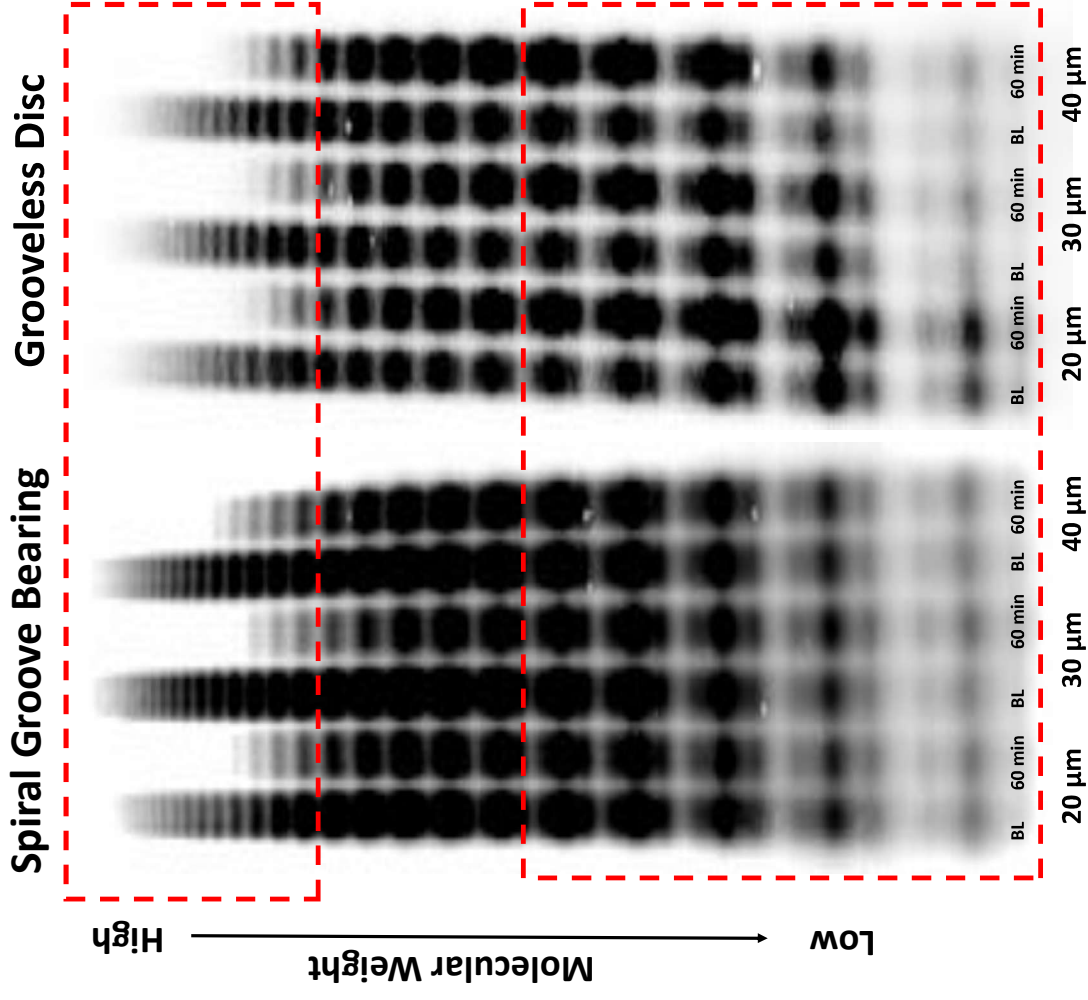


Figure 3.



HMW vWF  
multimers  
(> band 10)

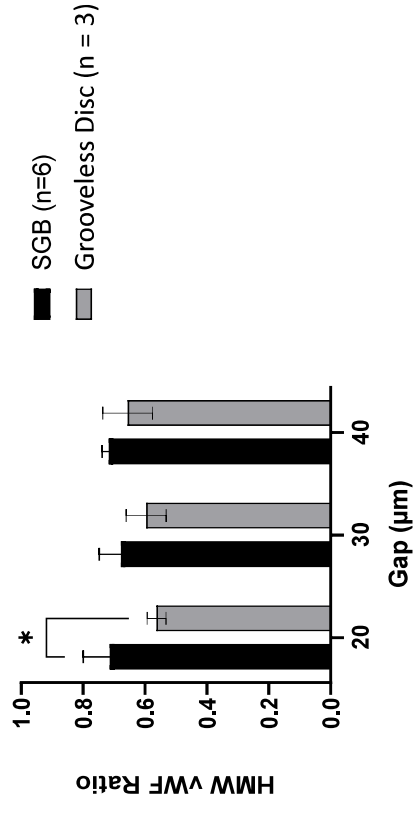


Figure 4b.

LMW vWF  
multimers  
(band 1-5)

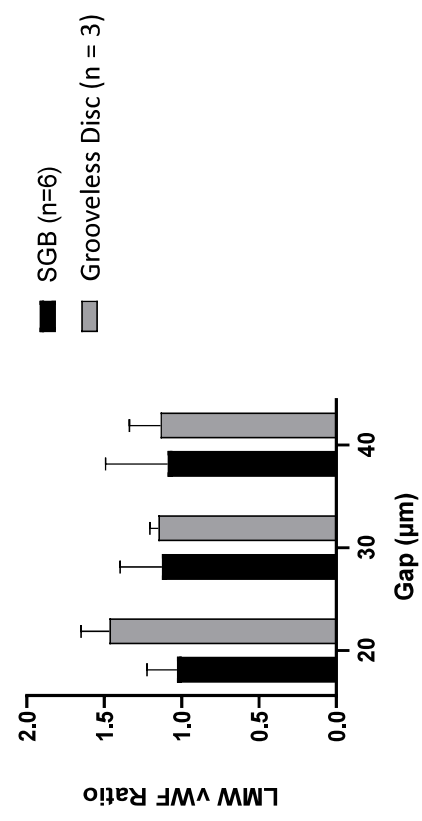


Figure 4c.

Figure 4a.

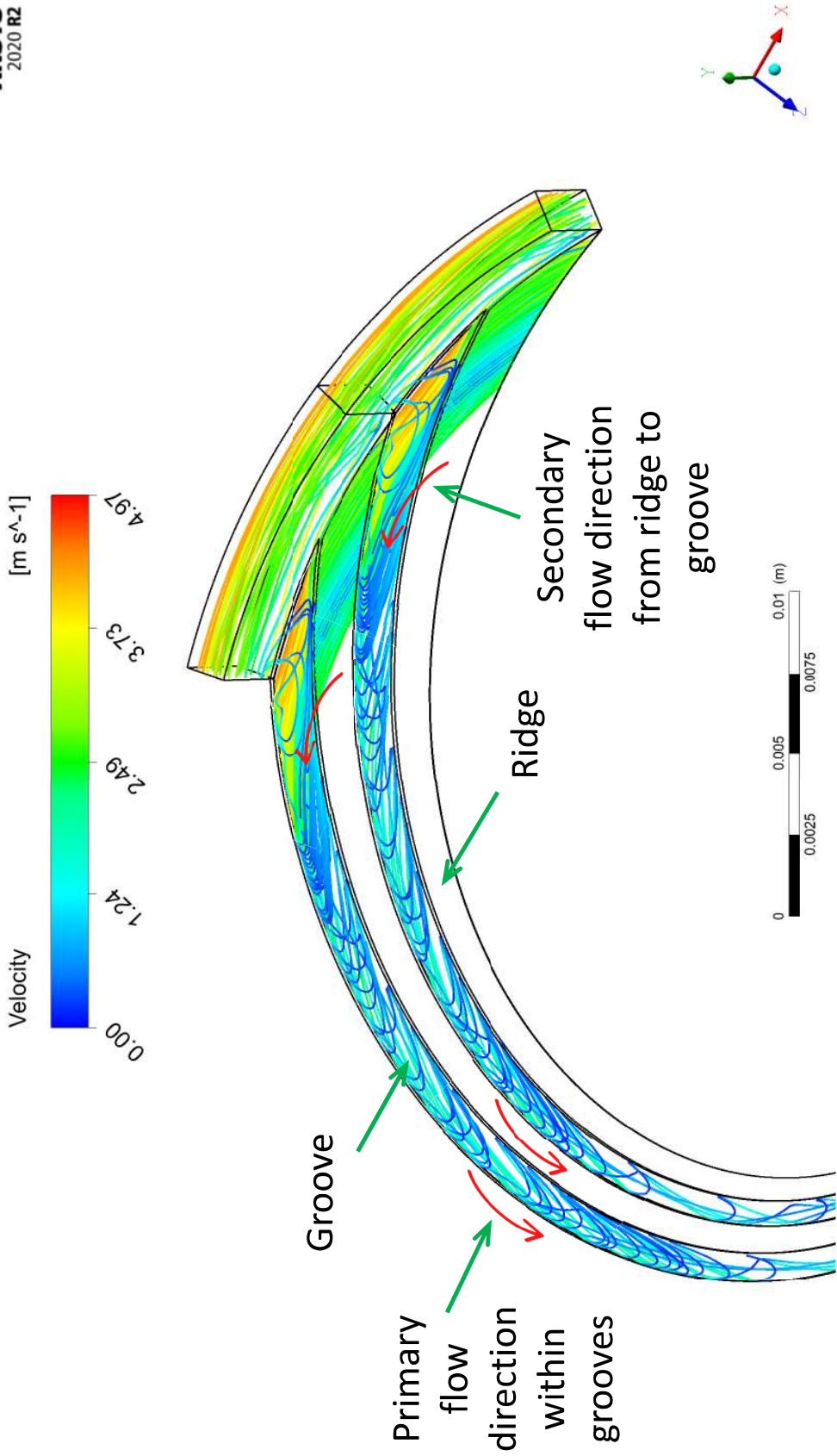


Figure 5.



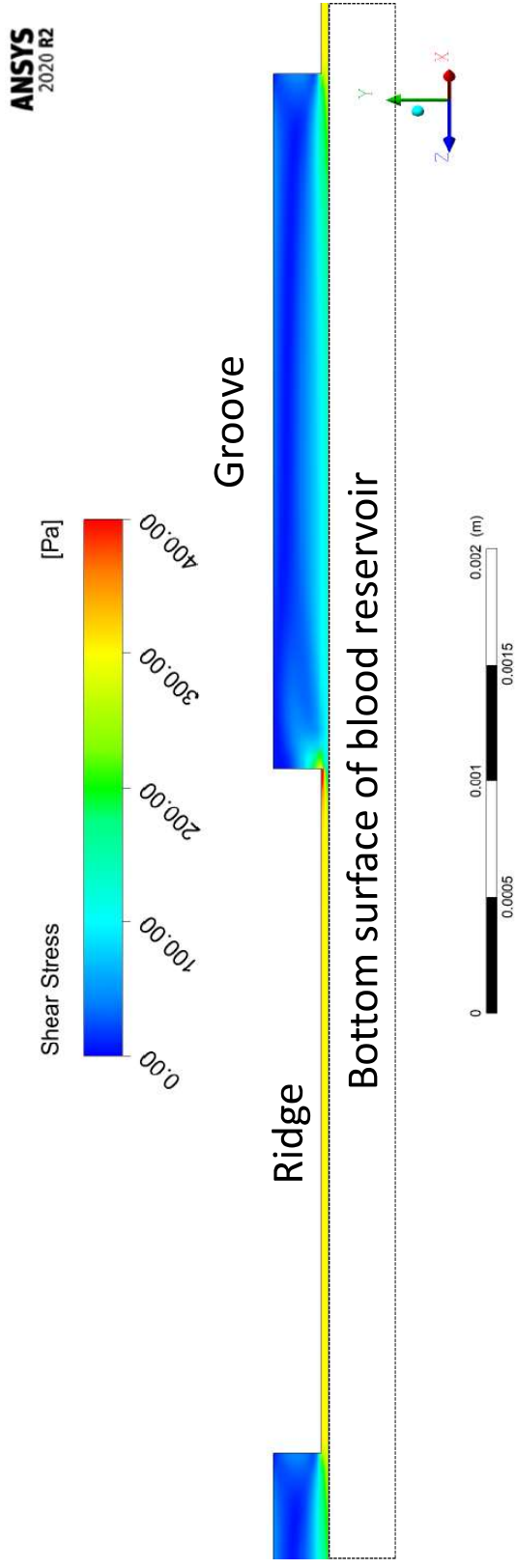


Figure 6.

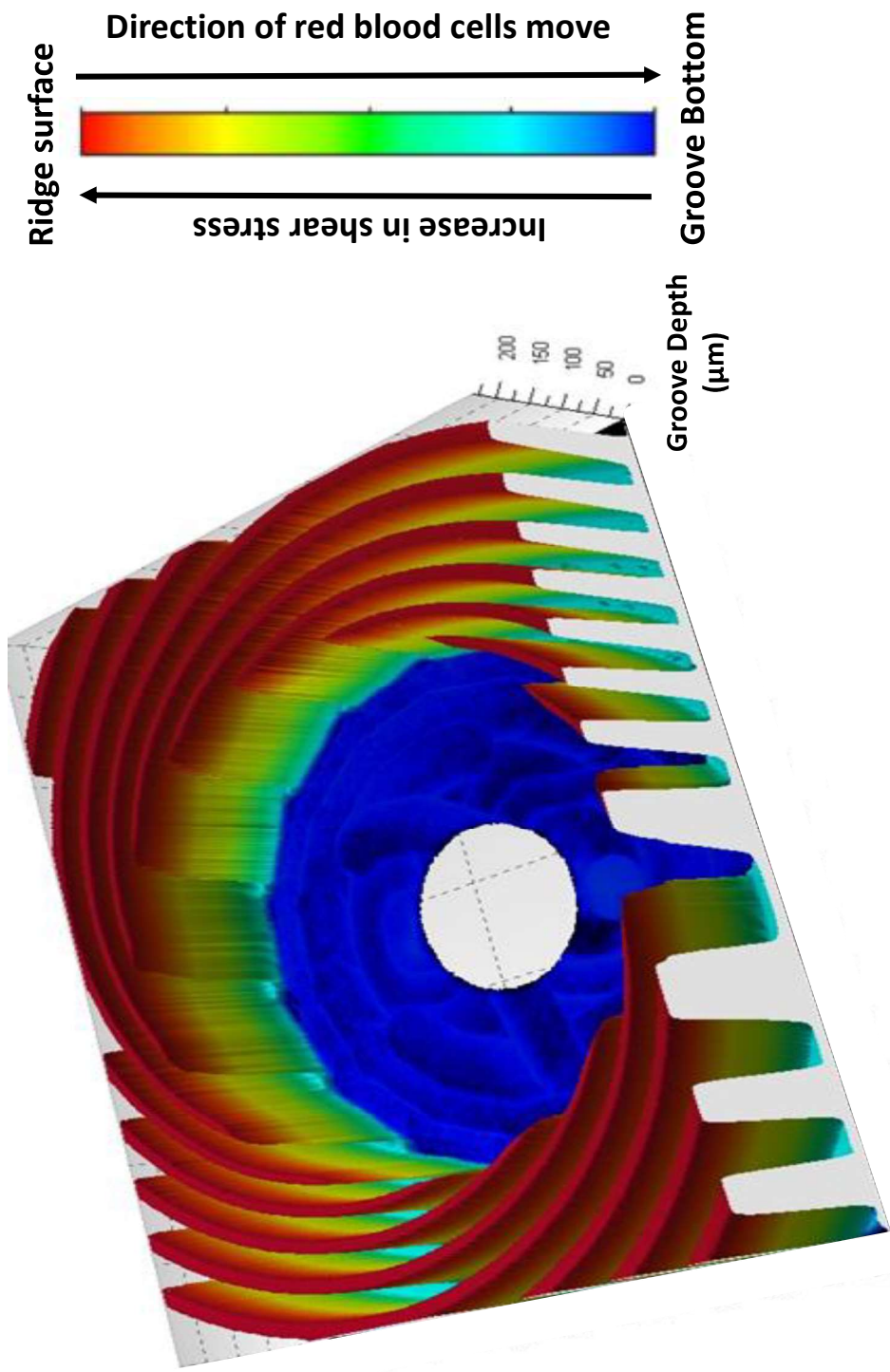


Figure 7.

Spiral groove bearing gap, $h_{\text{gap}}$ ( $\mu\text{m}$ )	20	30	40
Maximum ridge surface shear stress, $\tau_{\text{ridge}}$ (Pa)	704	469	352
Maximum groove shear stress, $\tau_{\text{groove}}$ (Pa)	64	61	59
Volumetric flow rate, $Q_{\text{gap}}$ (mL/min)	60	50	40
$Re_{\text{ridge}}$ (Critical Reynolds number > 300,000)	148,525	148,525	148,525
$Re_{\text{groove}}$ (Critical Reynolds number > 2,300)	27	23	19

**Table 1.**

## **Conflict of Interest Statement**

The authors have no conflicts of interest to declare.