

## Chemically cross-linked poly(vinyl alcohol) electrospun fibrous mats as wound dressing materials

Short title: PVA fibrous mats for wound dressings

Berta Díez<sup>a</sup>, W. Joseph A. Homer<sup>b</sup>, Laura J. Leslie<sup>b</sup>, Georgios Kyriakou<sup>c</sup>, Roberto Rosal<sup>a</sup>, Paul D. Topham<sup>b</sup>, Eirini Theodosiou<sup>b,\*</sup>

<sup>a</sup> *Department of Analytical Chemistry, Physical Chemistry and Chemical Engineering, University of Alcalá, Alcalá de Henares, E-28805, Madrid, Spain*

<sup>b</sup> *Aston Institute of Materials Research, Aston University, B4 7ET, Birmingham, UK*

<sup>c</sup> *Department of Chemical Engineering, University of Patras, Caratheodory 1, Patras GR 265 04, Greece*

*\*Correspondence to: Eirini Theodosiou ([e.theodosiou@aston.ac.uk](mailto:e.theodosiou@aston.ac.uk)), Aston Institute of Materials Research, Aston University, Aston Triangle, Birmingham B4 7ET, UK.*

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## Abstract

**BACKGROUND:** Poly(vinyl alcohol) (PVA) is a synthetic biocompatible polymer, which is used extensively by the medical and pharmaceutical industries due to its FDA approval for *in vivo* applications. Its highly hydrophilic nature makes it an ideal wound dressing material, especially in the form of nanofibrous mats.

**RESULTS:** In this work, we created electrospun PVA-based scaffolds suitable for wound management. We employed chemical cross-linking with citric acid and glyoxal to enhance the supports' stability in aqueous environments, and we added cellulose nanocrystals during the electrospinning process to improve the mechanical properties of the final constructs. Through varying the concentrations of the cross-linking agents (0.12-1 wt% citric acid and 0.06-0.5 wt% glyoxal), we were able to control the rate and limit the extend of dissolution, thereby tuning the properties of the materials to the specific wound types (e.g. acute *vs.* chronic). There was an inverse relationship between the amount of cross-linkers used and the mats' weight loss (ranging from 2% to 18%) after 6 days immersion in water. All supports sustained the growth of human fibroblasts (>85% viability), whereas there was no biofilm formation when in contact with *S. aureus* for 24 hours. The presence of cellulose nanocrystals did not affect cytocompatibility but improved the mechanical properties of the non-woven fibres.

**CONCLUSION:** Tailor-made biocompatible electrospun mats showing antimicrobial behaviour, were successfully created through altering the concentration of chemical cross-linkers. This flexible approach offers the potential of matching the dressing to the wound type and offering a more targeted solution to wound management.

## INTRODUCTION

Hydrogels are cross-linked polymeric networks able to absorb large quantities of water or biological fluids. Their high-water holding capacity, soft consistency and excellent biocompatibility make them an ideal class of materials to use in clinical devices.<sup>1,2</sup> Hydrogels can be produced in different forms, such as membranes, foams, films and fibers. Out of these, the ever-increasing popularity of hydrogel-based nanofibers as scaffolds for wound management can be attributed to a combination of properties which promote the wound healing process. These include: high surface area to volume ratio, which favours the adhesion of cells within the hydrogel network; and, increased porosity and interconnectivity within the polymeric network, which enhances oxygen and water permeability, thereby allowing cell respiration and maintaining the moist wound environment required to prevent cell dehydration, as well as efficient absorption of excess exudates. In fact, the morphology of nanofibrous materials closely mimics the natural environment of the extracellular matrix, thereby, creating a favourable milieu for cell growth.<sup>3-6</sup>

Although different techniques can be employed for the creation of micro- and nano-fibres,<sup>7</sup> electrospinning appears as the method of choice for the majority of applications, because it is simple, fast, scalable, cost-effective, and can be used with a variety of natural and synthetic polymers.<sup>8-10</sup>

Poly(vinyl alcohol) (PVA) is a synthetic hydrophilic linear polymer that has been used extensively in the biomedical field due to its biocompatibility, biodegradability and lack of toxicity.<sup>4,11,12</sup> PVA is highly soluble in water, due to the large number of hydroxyl groups which interact with the water molecules through hydrogen bonds, and therefore its water stability needs to be improved for it to be used in aqueous environments. Several strategies have been proposed for PVA-based nanofibers, including physical cross-linking, such as ionic interactions, crystallization and hydrogen bonding, and chemical cross-linking, such as

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copolymerization, chemical reaction and high energy irradiation.<sup>13-15</sup> Chemical cross-linking provides stable covalent bonds between the polymer chains, thereby improving the mechanical properties of the support and creating a water-insoluble hydrogel.<sup>16,17</sup> The reagents normally used for chemical cross-linking include polycarboxylic acids, such as a 1,2,3,4-butanetetracarboxylic acid (BTCA) or citric acid (CA), and some aldehydes, such as glutaraldehyde, formaldehyde and glyoxal,<sup>18-20</sup> which can interact with the hydroxyl groups present in the PVA through the formation of esters or acetyl bonds, respectively.<sup>21,22</sup>

In this work we have produced biocompatible electrospun scaffolds to be used as part of wound dressing materials. Citric acid, a natural tricarboxylic acid present in citrus fruits, has been used as a cross-linking agent because of its ability to counterbalance the hydrophilicity of PVA.<sup>16,19</sup> Glyoxal, the simplest of the dialdehydes, was also selected to reinforce the cross-linking interaction between the polymeric chains. Although it is not as widely used as glutaraldehyde for cross-linking of biomaterials, it is considered much safer when in contact with human cells and therefore is finding increasing application in areas such as bone regeneration.<sup>23</sup> By changing the concentrations of these cross-linking agents, we are able to tune the rate and extend of dissolution of the scaffolds, to suit the final application. For example, the healing process of acute wounds (e.g. those that heal after an average of 3 weeks) is much shorter compared to chronic ones (e.g. those that show no reduction in size after 2-4 weeks), and therefore the 'one size fits all' wound dressing approach will not produce the desirable therapeutic effect.<sup>24,25</sup> Additionally, and in order to further improve the mechanical characteristics of the scaffolds, cellulose nanocrystals (CNC) have been incorporated during the electrospinning process. Nanocellulose is a natural, hydrophilic, biodegradable and non-toxic polymer that has been used extensively as reinforcing filler in biotechnology and biomedicine, due to its excellent mechanical strength and properties it can infer as a key component of a composite material.<sup>26-28</sup>

Following their production, our cross-linked electrospun mats were assessed in terms of fiber morphology, chemical composition, mechanical properties, swelling and degradation behaviour, antimicrobial effect against *Staphylococcus aureus*, a common pathogen involved in wound infections, and cytotoxicity to human fibroblast cells.

## EXPERIMENTAL

### Materials

Poly(vinyl alcohol) (PVA, Mowiol 18-88, MW 130 kDa, 88% hydrolysed); citric acid (CA, 99%); glyoxal (40% wt in H<sub>2</sub>O); glutaraldehyde solution (25% in H<sub>2</sub>O); Triton X-100; trypsin-EDTA solution (10x); MTT (3-(4,5-dimethylthiazol-2-yl)-2, 5-diphenyltetrazolium bromide); Dulbecco's Modified Eagle's Medium (DMEM); Fetal Bovine Serum (FBS,  $\gamma$ -irradiated, sterile filtered); L-glutamine (PharmaGrade); antibiotic antimycotic solution (100x) and MEM non-essential amino acid solution (100x), were purchased from Sigma-Aldrich Company Ltd (Gillingham, UK). Hoechst dye solution 33342 (Trihydrochloride, Trihydrate; Invitrogen™), ActinGreen™ 488 (ReadyProbes™ Reagent; Invitrogen™) and FilmTracer™ FM™ 1-43 Green Cell stain (Invitrogen™) were acquired from Thermo Fisher Scientific (Waltham, MA, USA.). All other materials not identified above were obtained from Sigma-Aldrich Company Ltd and Thermo Fisher Scientific. The cellulose nanocrystals CelluForce NCC® (nominal average length and diameter of 150 nm and 7.5 nm, respectively) originated from wood pulp and were purchased from CelluForce (Montreal, Canada). Human skin fibroblasts (cell line 142BR) were obtained from ECACC (European Collection of Authenticated Cell Cultures, Porton Down, UK). *Staphylococcus aureus* (CETC 240, strain designation ATCC 6538P) was acquired from LGC Standards (Teddington, UK).

### **Preparation of electrospun fibres**

PVA 14% (w/v) was dissolved in distilled water under stirring at room temperature. For samples PVA(1)-(4), the cross-linking agents (citric acid and glyoxal) were directly added into the polymer solution (see Table 1 for concentrations used), heated at 80 °C for 1 hour under continuous stirring, and subsequently cooled down to room temperature. For PVA(1)/CNC(1) and PVA(1)/CNC(2), the corresponding amount of cellulose nanocrystals was added to the PVA solution to obtain a final concentration of 1% and 2% (w/w), respectively, and the suspensions were kept under continuous stirring for 24 h. After this time the cross-linking agents were added as described above.

All samples were degassed and transferred to a 5 mL standard syringe fitted with a 22G blunt stainless-steel needle using a precision/syringe pump (NE-300, New Era Pump Systems Inc., USA) at a flow rate of 0.6 mL/h. A high voltage of 22 kV was applied (Simco, MP Series CM5 30 P, Charging Generator Output 22 kV DC), and the fibers were collected on a grounded stainless-steel plate covered with aluminium foil and positioned 15 cm away from the tip of the needle. Each sample was electrospun for 2 h, at ~20 °C ambient temperature and RH30%. Following electrospinning, the crystallinity of sample identified as PVA(H) (see Table 1) was increased by heating at 180 °C (Fan Assisted High temperature Oven 80 HT, SciQuip Ltd, Wem, UK) for 30 min. The rest of the samples were heated at 125 °C for 24 h to ensure water evaporation and in the case of PVA(1)-(4) and PVA(1)/CNC(1)-(2), completion of the chemical cross-linking reaction. A scheme of the reactions involved is presented in Figure S1.

### **Characterization of electrospun mats**

The morphology of the electrospun fibers was studied using a Zeiss DSM-950 Scanning Electron Microscope (Zeiss, Oberkochen, Germany) operated at 25 kV. Prior to observation,

each sample was sputter coated with gold. Fiber diameters were measured using Image J software (National Institutes of Health, Bethesda, MD, USA) and reported as an average value of 35 independent measurements. Fourier transform infrared spectroscopy analyses were carried out using a PerkinElmer Frontier<sup>TM</sup> FT-IR spectrometer (PerkinElmer Inc., Waltham, MA, USA). FT-IR spectra were recorded in the attenuated total reflection (ATR) mode, over the 4000–700 cm<sup>-1</sup> range with a resolution of 4 cm<sup>-1</sup> within 64 scans.

The mechanical properties of the non-woven fibrous mats (10 mm x 50 mm; 250 μm average thickness; n=3) were measured by means of uniaxial tensile tests using a universal uniaxial tensile testing machine (Electroforce<sup>®</sup> 3230; TA Instruments, MN, USA) at a rate of 10 mm/min with samples taken to failure. Stress-strain curves were obtained and mean averages of the ultimate tensile strength (UTS), Young's Modulus (E) and elongation at break were calculated.

The crystallinity of the samples was evaluated by means of wide-angle powder X-ray diffraction (XRD) on a Bruker D8 Advance diffractometer, equipped with a LynxeyePSD detector and with Cu Kα<sub>1,2</sub> radiation (40 kV and 40 mA, 0.02 mm Ni Kβ absorber, 10–80° 2θ range, a step scan of 0.02°).

Water uptake and weight loss profiles were determined by soaking 15 mm diameter disks of the different specimens in 5 mL distilled water at room temperature for 1, 3 and 6 days. The dry weight of the discs was measured after water immersion and subsequent drying at room temperature to constant weight. The weight of water-swollen membranes was recorded immediately after removing the excess surface water using a filter paper. The swelling ratio and weight loss were calculated using Equations 1 and 2:

$$\text{Swelling ratio (\%)} = \frac{W_s - W_d}{W_d} \times 100 \quad (1)$$

$$\text{Weight loss (\%)} = \frac{W_0 - W_d}{W_0} \times 100 \quad (2)$$

where:  $W_0$  is the initial weight of the sample;  $W_s$  is the weight of water-swollen samples; and,  $W_d$  is the dry weight after water immersion.

### **Cell culture**

Human skin fibroblasts were grown in cell culture flasks containing Dulbecco's Modified Eagle's Medium supplemented with 10% FBS, 1% L-glutamine, 1% antibiotic antimycotic solution (100x) and 1% non-essential amino acid solution (100x). Cells were grown to up to 80% confluence in a Heracell 150i CO<sub>2</sub> incubator (Thermo Scientific, Fisher Scientific, Waltham, MA, USA) in a controlled environment (5% CO<sub>2</sub>; 37 °C) prior to initiating the assays.

### **Cytotoxicity and cell viability**

Cytotoxicity was assessed following ISO standard 10993-5:2009.<sup>29,30</sup> The cytotoxic response was evaluated by two different tests, namely, direct contact (in order to study the effect of the direct physical interaction between cells and electrospun mats), and extract test (aimed to investigate the interaction between leachable byproducts and the cells). A schematic representation of both assays is shown in Figure S2. Cells cultured in the absence of electrospun mats were used as a blank or negative control (C-), and addition of 70% (v/v) ethanol was used as a positive cytotoxic response (C+).

For both tests, cells were seeded in sterile 96-well microtitre plates at a concentration of  $5 \times 10^3$  cells per well and incubated at 37 °C and 5% CO<sub>2</sub>. Once a cell monolayer was formed, and in the case of the direct contact test, the mats were placed on top of the cells and left to incubate for 24 h and 72 h. After incubation, the mats and medium were removed using a sterile pipette connected to vacuum line in order to avoid potential damage to the cell monolayer. For the extract test, electrospun mats (1.4 cm<sup>2</sup>/mL) were added to Eppendorf



tubes (Eppendorf UK Ltd, Stevenage, UK) containing culture medium and left for 24 h and 72 h with constant shaking at 100 rpm (Orbital Shaker GFL-3005, DD Biolab S.L., Barcelona, Spain). Following monolayer formation, the cell growth medium was replaced by the extraction liquid and incubated for another 24 h.

Cell viability after both tests was assessed by the MTT colorimetric assay, which is based on the principle that metabolically active cells are able to transform the yellow coloured tetrazolium salt, to the purple coloured formazan.<sup>31</sup> MTT (20  $\mu$ L of a 50 mg/mL solution in PBS) was added to the culture medium and incubated at 37 °C and 5% CO<sub>2</sub>. After 4 h, the medium was removed, and the precipitated purple formazan was dissolved in 100  $\mu$ L DMSO. The product was quantified by absorbance readings at 570 nm using a universal microplate reader ELx800™ (BioTeK Instruments, Winooski, VT, USA).

### **Cell morphology and proliferation**

The growth and proliferation of fibroblasts on the surface of the mats was visualized using confocal microscopy. Fibroblast cells ( $1.5 \times 10^4$  cells) were added to the microtitre plate wells containing electropsun mats and incubated at 37 °C and 5% CO<sub>2</sub> for 24 h and 72 h. Each scaffold was subsequently washed with PBS, fixed using 2.5% glutaraldehyde solution for 30 min, washed with PBS, permeabilized with 0.1% Triton X-100 for 10 min and washed again with PBS. F-Actin filaments were stained using ActinGreen 488 reagent and cell nuclei using Hoechst 33342 dye, according to the manufacturer's instructions. Cells were visualised at 485/518 nm (excitation/emission for ActinGreen 488) and 353/483 nm (excitation/emission for Hoechst 33342) using a fluorescent microscope (Leica TCS SP5, Leica Microsystems, Wetzlar, Germany).

In order to identify any morphological changes resulting from the contact between the fibroblast cells and the electropsun mats, at the end of the 72 h incubation period, cells were

fixed using 2.5% glutaraldehyde solution, washed with PBS, and observed with an optical microscope (Eclipse E200-LED, Nikon Corporation, Melville, NY, USA) (x10 magnification).

### **Antimicrobial behaviour**

The microorganism used in these studies was *Staphylococcus aureus* a gram-positive bacterium which is the main cause of superficial infections in wounds. Bacterial cultures kept at -80 °C, were reactivated using nutrient broth (NB) culture medium (peptone 10 g/L, sodium chloride 5 g/L, meat extract 5g/L) at pH 7.0 and 36 °C under constant agitation (250 rpm). Cell growth was monitored by measuring optical density (OD) at 600 nm (Shimadzu UV-1800, Shimadzu Europa GmbH, Duisburg, German) until they reached stationary phase. The antimicrobial behaviour of the PVA mats was tested using the colony counting unit (CFU) assay. For that, the mats were placed in sterile 24-well microtitre plates and exposed to an initial bacterial culture of  $10^6$  cells/mL at 36 °C. After 24 h of incubation, the mats were carefully removed, washed with sterile distilled water, and incubated in a soybean-casein-digest-lecithin-polyoxyethylene sorbitan monooleate (SCDLP broth) for 30 min at room temperature with continuous shaking, to achieve efficient recovery of the bacteria from the mat surface in accordance with the ISO 22196 protocol. The liquid medium was subsequently recovered and diluted 10-fold with PBS using serial dilutions. Ten microlitres from each dilution was spot-plated on solid agar and then counted following 20 h incubation at 36°C. The counting was performed in triplicate.

Bacterial colonization was visualised using the FilmTracer™ FM™ 1-43 Green Cell stain according to the manufacturer's instructions. Biofilm formation was observed by fluorescent microscopy (Leica TCS SP5, Leica Microsystems, Wetzlar, Germany) at excitation/emission 472/580 nm, as well as by SEM images. For the latter, the mats were inoculated with  $10^6$

cells/mL of *S. aureus*. After 24 hours of incubation at 36 °C, the mats were rinsed with distilled water, fixed using 5% glutaraldehyde and dehydrated with ethanol (25-50-70-90-100%) and acetone (100%) prior to visualization with a Zeiss DSM-950 Scanning Electron Microscope (Zeiss, Oberkochen, Germany).

## RESULTS AND DISCUSSION

In this study, a series of fibrous mats based on high molecular weight PVA (130 kDa; 88% hydrolysed) has been prepared using needle-based electrospinning (for 2 h at 0.6 mL/h flow rate, 22 kV & 15 cm tip to collector distance). The resulting mats were cross-linked, either chemically (using different concentrations of glyoxal and citric acid) or physically (using heating at 180 °C for 30 min). Furthermore, CNC (1% and 2% w/w) was incorporated to the PVA(1) mats (*i.e.* those with the highest concentration of chemical cross-linkers and therefore best water stability) to improve the mechanical strength of the final constructs.

### Morphological and chemical characterization of PVA electrospun mats

Figure 1 shows SEM images of PVA(H), PVA(1), PVA(1)/CNC(2) and PVA(4) electrospun mats (see Table 1 for compositions), as representatives of physical cross-linking, highest concentration of chemical cross-linkers, highest percentage of CNC in the sample, and lowest concentrations of chemical cross-linkers, respectively. Images of PVA(1)/CNC(1), PVA(2) and PVA(3) are shown in Figure S3.

In all cases, a clear structure of homogenous, interconnected nanofibers, free from defects, can be observed. Furthermore, the mats maintain their structure following heating and after being immersed in water for 24 h. Although CNCs are not visible in the SEM images (Figure 1 C, G & K), other techniques (see results later on) verify their presence suggesting that they are well-distributed within the fibres.

Fiber diameter was measured from the SEM images, and the results are presented in Table 2. It appears that there is a small decrease in fiber diameter for all mats following chemical cross-linking and heat treatment. This is in agreement with the findings of Çay and co-workers who reported that the incorporation of polycarboxylic acids, such as citric acid, increased the electrical conductivity of the electrospinning solution, and produced thinner nanofibers compared to the controls.<sup>21</sup>

Following water immersion, the electrospun mats absorbed large amounts of water (400 – 800 % swelling ratio) leading to an increase in fiber diameter, but still retained their fibrous morphology, where the cross-links prevent dissolution or fragmentation of the fibers. As can be seen from Figure 2, water uptake occurred within the first 24 h of immersion, following which was almost fully maintained (within experimental error) over the next five days for all chemically cross-linked samples. For the polymers that were heated without chemical cross-linker (PVA(H)), a slight decrease in swelling could be observed, whereas the control polymer that had no treatment (PVA(C)) dissolved almost immediately (and therefore does not feature in Figure 2). This is due to the highly hydrophilic character of PVA as a result of the hydroxyl groups present in its repeating units.<sup>12</sup> Moreover, the swelling capacity of the mats decreased with increasing cross-linker loading. Increased degree of cross-linking enhances the linkages between the polymer chains, leading to more rigid, less porous structures that are less prone to the diffusion of water molecules into their network.<sup>12,32,33</sup>

The addition of CNC increased the ability of the mats to take up water (see Table 2 and Figure 3), which is attributed to the abundance of hydroxyl groups on the CNC surface. During exposure to hydrogen bond-forming liquids (*i.e.* water), competitive hydrogen bonding disrupt the strong CNC-CNC and PVA-CNC interactions, leading to ‘water responsive’ materials.<sup>34</sup> The CNC incorporation to the PVA mats therefore, enhances the hydrophilic nature of the supports and consequently their ability to absorb water. The latter is

a highly desirable property in wound management,<sup>25,28,35</sup> where the presence of a moist milieu facilitates cellular and enzymatic activity, leading to accelerated angiogenesis, increased fibrinolysis and successful healing.<sup>36-38</sup>

The chemical composition of the electrospun scaffolds was analyzed by FT-IR and the spectra are presented in Figure 4. The PVA mats exhibited a large adsorption band between 3200 and 3500  $\text{cm}^{-1}$  attributed to O–H stretching. The absorption at 2850-3000  $\text{cm}^{-1}$  corresponds to C–H stretching of alkyl groups, while the peaks at 1680-1750  $\text{cm}^{-1}$  are characteristic of C=O and C–O stretching that appeared after CA and glyoxal cross-linking, as well as from the remaining acetate groups in PVA following the saponification reaction of poly(vinyl acetate) during PVA production.<sup>39</sup>

Chemical cross-linking of PVA was achieved by heat treatment at 125 °C for 24 h post electrospinning. After this time, the hydroxyl groups present in the PVA react with the carboxylic acid groups present in the citric acid through an esterification reaction and with the aldehyde groups present in the glyoxal through the formation of acetal bonds. The overlapping of FT-IR spectra displayed in the inserts of Figure 4 reveal a reduction in the bands located at 3200-3500  $\text{cm}^{-1}$ , and an increase in band intensity at 1718  $\text{cm}^{-1}$  upon addition of cross-linking agents, resulting in a change of absorbance intensity ratio (data normalized to the C–H stretch at 2910  $\text{cm}^{-1}$ ) from 0.9 for PVA(C) to 1.45 for PVA(1), which is attributed to the formation of ester carbonyl groups.<sup>21</sup> The band at 1240  $\text{cm}^{-1}$ , attributed to C–O stretching in ester bonds, also shows an increase in intensity relative to O–H stretching, which positively correlated with cross-linker content as shown in Table 3.<sup>40</sup> The table also shows a similar trend observed with the C–O stretching measured at 1100  $\text{cm}^{-1}$ , with the increase attributed to acetal bond formation following cross-linking with glyoxal.<sup>41</sup> A summary of the absorption bands is provided in Table S1.

FT-IR analysis was also used to confirm the presence of cellulose nanocrystals in the PVA nanofiber mats. Figure 5 shows collected spectra from PVA(1), CNC-loaded PVA mats and pure cellulose nanocrystals. For both PVA(1)/CNC(1) and PVA(1)/CNC(2), there is an increased band intensity between 3100-3550  $\text{cm}^{-1}$ , which is characteristic of O-H stretching from the intermolecular and intramolecular hydrogen-bonded hydroxyl groups in cellulose. The band observed at 2901  $\text{cm}^{-1}$  in the neat CNC spectrum is attributed to aliphatic saturated C-H stretching in the glucose units. Also peaks at 1051  $\text{cm}^{-1}$ , 1093  $\text{cm}^{-1}$  and 1145  $\text{cm}^{-1}$  demonstrate the presence of sulfate ester bonds, which are introduced following sulfuric acid hydrolysis employed in the CNC preparation.

### **Mechanical properties of PVA electrospun mats**

The mechanical properties of the PVA electrospun mats were obtained from tensile tests and evaluated in order to qualify their use as wound dressings. The optimum material for dermatological applications needs to be flexible so that it can adapt to the structure of the skin, cover the wound to protect it from infection, and possess the necessary mechanical strength to resist external abrasions.<sup>42,43</sup> Figure 6 and Table 4 summarise the results from uniaxial tensile tests carried out on the various PVA mats.

Physical cross-linking resulted in a statistically significant increase ( $p < 0.05$ ) in the ultimate tensile strength (UTS) of the mats (6.77 MPa *c.f.* 3.46 MPa for the untreated ones) and a statistically significant decrease in the elongation at break (30.0 *c.f.* 54.2 for the untreated ones). This is an expected result, since the annealing process increases the degree of crystallinity (see Figure 7 and corresponding text) due to the exposure at high temperatures, which reduces the chain mobility in the polymeric network, and creates stiffer mats compared to the more amorphous untreated PVA polymer.<sup>44,45</sup> Chemical-linking also increased the tensile strength of the materials compared to the control, with a statistically significant

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increase in the UTS for PVA(1), PVA(2), PVA(3) and PVA(4) as compared to PVA(C). The same trend was seen with the Young's Moduli of the samples, with a statistically significant difference seen between all samples containing cross-linker as compared to the control PVA(C). However, there was no direct correlation between the amount of cross-linker and the UTS, and there was even a significant decrease in Young's Modulus seen between PVA(1) and PVA(4), though not between PVA(H) and PVA(4).

The elongation at break increased with decreasing citric acid and glyoxal content, producing tougher mats<sup>46,47</sup> with a statistically significant difference between PVA(1), the sample with the highest percentage of cross-linker, and all other samples aside from the physically cross-linked sample PVA(H).

The outstanding mechanical properties of cellulose are well documented,<sup>27,34,48</sup> and as expected, the addition of cellulose nanocrystals (CNC) increased the Young's modulus and the tensile strength, compared to PVA(1), which contains the same concentration of cross-linking agents. By increasing the concentration of CNC in the polymer, stronger mats were produced but the elongation at break was not altered. Strong interfacial interaction between PVA and CNC by intermolecular hydrogen bonding contribute to the reinforcement of the mechanical properties in the PVA-loading mats.

### Degree of crystallinity

The degree of crystallinity was found to depend both on the heat treatment as well as the presence of cross-linkers in the samples. The non-thermally treated samples (Figure 7) display relatively broad reflections at  $2\theta=19.4^\circ$  and  $2\theta=40.8^\circ$ , which correspond to the (101) and (220) phases of semi-crystalline PVA, respectively. Annealing the samples to 125 °C leads to narrowing of both of these reflections together with the appearance of a sharp reflection at  $2\theta=22.4^\circ$  which corresponds to crystalline (200) phase of PVA cross-linked

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fibers.<sup>49</sup> Furthermore, the crystallinity following heat treatment is found to increase with increasing amount of cross-linkers present in the sample with PVA(1) showing more intense and narrower reflections as compared to PVA(4). It is worth noting that the PVA(H) sample, which was physically cross-linked by heating at 180 °C presents the same reflections as the chemically cross-linked samples suggesting a thermally-induced increase in crystallinity (thermal annealing).

### **Dissolution profiles of PVA electrospun mats**

Controllable degradability is an important property of the electrospun mats, and provides them with the flexibility to be used for different biomedical applications, from wound healing to drug delivery and tissue engineering.<sup>35,50-52</sup> The dissolution profiles of the non-woven PVA materials were investigated and the results are presented in Figure 8. The data corroborate that cross-linking has improved the water resistance of the mats. Untreated mats rapidly dissolved in water due to their high surface area and enhanced water solubility of the PVA polymer,<sup>53</sup> whereas following physical and chemical cross-linking, weight loss took place mostly during the first 24 h and was higher for the specimens with lower concentrations of glyoxal and citric acid. After 6 days in water, PVA(1), which was prepared with 1% (w/w) citric acid and 0.5% (w/w) glyoxal, exhibited <2% weight loss only, while the heat-treated PVA(H) lost 24% of its initial weight, confirming that chemical cross-linking of the polymer chains inhibits water transport through the polymer network.<sup>54</sup> Furthermore, our data demonstrate that the degradation profile of the chemically cross-linked PVA can be controlled by varying the concentrations of CA and glyoxal cross-linking agents.<sup>55</sup> The physically cross-linked sample PVA(H) had relatively rapid weight loss compared to the rest of the samples (Figure 8). Miraftab and co-workers<sup>44</sup> explored the effect of heat treatment on PVA electrospun mats and demonstrated that no weight loss occurred when high molecular



weight PVA (146-186 kDa, 99% hydrolysed) mats, which were heat treated at 180 °C for 30 min, were immersed in water for 24 h at room temperature. This discrepancy with our results is attributed to the differences in the degree of hydrolysis between the samples (88% for our PVA) which affects water solubility, ability to crystallize, mechanical properties and water resistance (all being higher for higher degrees of hydrolysis).<sup>22</sup>

Adding the cellulose nanocrystals did not appear to have an impact on the dissolution of the PVA mats and following water immersion for 6 days the weight loss remained similar (1.58% for PVA(1)/CNC(1) and 2.08% for PVA(1)/CNC(2)) to that of the PVA without any additives (1.96% for PVA(1)).

### **Cytotoxicity of PVA electrospun mats**

The biocompatibility of the cross-linked mats was studied by exposing them to cultures of human fibroblasts cells. Dermal fibroblasts play a crucial role in wound healing, because they contribute to the production of the extracellular matrix formation that regenerates the skin structure.<sup>56,57</sup> Cytotoxicity tests were carried out according to the standard condition methods of ISO 10993-5, which mimic the physiological conditions of the human body. In order for the scaffold materials to be used as a supporting platform for cell growth and proliferation, it is important to maintain their structure when in contact with an aqueous medium but also to have no cytotoxic effect as a result of the reagents used to create permanent and stable linkages between the polymer chains.<sup>58,59</sup>

Recently it has been shown that carboxylic acids can be used to cross-link biomaterials without compromising their cytocompatibility.<sup>60</sup> Citric acid is a metabolic product in the Krebs cycle and therefore an endogenous intermediate of the body, which makes it suitable for biomedical applications.<sup>61-63</sup> In the case of PVA, however, concentrations of >20% (based on polymer weight) of citric acid are required to achieve good water stability.<sup>64</sup> The use of

aldehydes, on the other hand, could be controversial due to their toxicity profile.

Glutaraldehyde has been used extensively as a cross-linking agent, and despite the fact that it can produce a toxic effect even at low concentrations.<sup>65</sup> As a promising alternative, this work evaluates the use of glyoxal, a smaller dialdehyde, with the advantage of being readily biodegradable and less toxic than similar reagents.<sup>41,66</sup> Glyoxal is endogenously produced during normal cellular metabolism and is present in human blood plasma (at concentrations 0.1-1  $\mu\text{mol/L}$ ) and fermented food and beverages.<sup>67-70</sup> It is an important intermediate in the formation of advanced glycation end-products (AGEs), which disturb cellular metabolism, impair proteolysis, and inhibit cell proliferation and protein synthesis, but its deleterious effects are counteracted by the glyoxalase system, which converts glyoxal to the less reactive glycolate.<sup>70</sup> Data on the effect of glyoxal in humans is limited, but there are very few indications of contact sensitization in the presence of at least 10% glyoxal during long term exposure,<sup>70,71</sup> and some decrease in cell viability at high concentrations.<sup>23,72</sup> Therefore, in this study, very low concentrations ( $\leq 0.5\%$  w/w) of glyoxal were selected in order to ensure cytocompatibility.

Potential cytotoxicity of the electropun mats was assessed using human fibroblast cells (134BR) following 24 and 72 h of contact. Both the extract test (Figure 9A), which looks into the effect of leachable entities from the dissolution of the PVA mats, and the direct test (Figure 9B), which analyses the effects of physical cell-surface interaction, showed a small decrease (of up to 8% compared to PVA(C)) in cell viability with increased glyoxal concentration. Physically cross-linked mats (PVA(H)) and those produced using 0.06% and 0.12% (w/w) glyoxal (PVA(4) and PVA(3), respectively) showed the lowest reduction in their biocompatibility, which ranged between 2% and 4% compared to the non-cross-linked mats. Shangari and O'Brien explored the cytotoxic mechanism of glyoxal using rat hepatocytes and concluded that, above 5 mM, it can induce the collapse of mitochondrial

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membrane potential and also lipid peroxidation and formaldehyde formation.<sup>68</sup> Wang and Stegemann<sup>23</sup> on the other hand, found that up to 100 mM of glyoxal cross-linker in the preparation of their chitosan/collagen hydrogel matrices had no effect on the viability of human bone marrow stem cells after 1 h exposure, but for longer periods (up to 9 days), cells remained >90% viable at a reduced glyoxal concentration of 1 mM. Similarly, Koosha and co-workers<sup>73</sup> demonstrated excellent fibroblast biocompatibility even after 15 days of cell culture using their chitosan/PVA nanofibers cross-linked 5% (v/v) glyoxal.

Fluorescence imaging was used to confirm the MTT assay results. Figure 10 shows confocal images of PVA(H), PVA(1), PVA(1)/CNC(2) and PVA(4) scaffolds kept in contact with human fibroblast cells for 24 h and 72 h. The images for the rest of specimens with different concentrations of cross-linking agents (PVA(2) and PVA(3)) and also lower concentration of cellulose nanocrystals (PVA(1)/CNC(1)) are shown in Figure S5. The cluster of cells over the scaffold surface indicates cell proliferation, whereas F-actin filaments confirm that the cells conserved their characteristic elongated morphology after contact with the PVA surfaces.

Cell proliferation was also visualised using optical microscopy (see Figure S6) and the spreading of cells after being in contact with the mats for 72 h, further confirming the biocompatibility of the PVA supports. An interesting point to note is that the areas of the mats not covered with cells appear to maintain their nanofibrous structure, which further validates the effectiveness of the cross-linking method employed in this study.

### **Antimicrobial behaviour of PVA electrospun mats**

The 'ideal' dressing should protect the wound from infection and therefore antimicrobial properties are highly desirable. The antibacterial activity of the mats containing the highest amount of cross-linking agents with and without cellulose nanocrystals (CNC), was evaluated

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against the growth of *Staphylococcus aureus* (*S. aureus*), a gram-positive bacterium responsible for major skin and soft-tissue infections in humans.<sup>74,75</sup> As shown in Figure 11, there is a significant antimicrobial effect ( $>1$  log bacterial reduction) for all mats containing the highest concentration of the cross-linking agents compared to 'neat' PVA mats which have undergone just physical cross-linking using heat treatment (PVA(H)). It seems that the glyoxal used to cross-link the PVA mats has some antibacterial efficacy. Although the exact mechanism is not known in detail, some research suggests that, due to its similar chemical structure to other aldehydes, such as formaldehyde and glutaraldehyde, glyoxal could interact directly with bacterial proteins and enzymes affecting their metabolism and causing death.<sup>76,77</sup> Moreover, the CNC incorporation has no influence on the antimicrobial behaviour of these mats, which is expected, since cellulosic materials do not intrinsically present antibacterial and/or antimicrobial properties.<sup>34</sup> It is worth noting, however, that the high surface area and hydroxyl groups of CNC offer the opportunity and the CNC possess a large surface area cover with plenty of hydroxyl groups providing the opportunity to modify them and incorporate different antimicrobial agents which could improve the antimicrobial efficacy of these mats.<sup>78,79</sup>

Figure 12 shows SEM micrographs of the surface of the PVA mats whilst in contact with *S. aureus* (initial concentration of  $10^6$  cells/mL) for 24 h at 36 °C. A large continuous biofilm appears on the surface of the physically cross-linked PVA(H) mats, whereas the chemically cross-linked ones display certain parts of their surface free from bacteria, with dispersed colonization areas. This was also confirmed by further visualization using FilmTracer FM 1-43. FM 1-43 is a lipophilic dye which binds rapidly and reversibly to the plasma membrane with strong fluorescent enhancement, thereby successfully staining the cells in a complex biofilm milieu. Figure 12 shows that PVA(H) mats exhibit a clear and extensive biofilm

formation over their surface, unlike their chemically cross-linked counterparts, which appear considerably cleaner, in terms of biofilm-forming ability of *S. aureus* over their surface.

## CONCLUSION

Continuous, interconnected and bead-free nanofibers were successfully electrospun from 130 kDa PVA. By varying the mode (physical vs chemical) of cross-linking and the amounts of cross-linkers, as well as incorporating nanoparticles in the form of CNC, a series of supports with tailor made characteristics in terms of ease of dissolution, swelling properties and mechanical strength were created. Increasing the concentration of chemical cross-linkers, had a slight deleterious effect on fibroblast viability, but nevertheless, metabolic activity remained above 87% over a three days-contact period. The PVA mats cross-linked with 1% (w/w) citric acid and 0.5% (w/w) glyoxal (with and without CNC) revealed a 10-fold reduction in CFU for *S. aureus* (one of the commonest pathogens found in wound infections) and there was a lack of extended biofilm formation compared to the physically cross-linked (heat treated) mats.

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**Table 1.** Composition of the 14% (w/v) PVA solutions prior to electrospinning.

<b>Electrospun Mat</b>	<b>Citric acid (% w/w)</b>	<b>Glyoxal (% w/w)</b>	<b>Cellulose nanocrystals (% w/w)</b>
PVA(C)	-	-	-
PVA(H)*	-	-	-
PVA(1)	1	0.5	-
PVA(1)/CNC(1)	1	0.5	1
PVA(1)/CNC(2)	1	0.5	2
PVA(2)	0.5	0.25	-
PVA(3)	0.25	0.12	-
PVA(4)	0.12	0.06	-

\* Sample PVA(H) is the physically cross-linked (heated at 180 °C for 30 min) version of the control PVA(C) post-electrospinning.

**Table 2.** Fiber diameter of electrospun mats immediately after electrospinning and following heating and water treatments. Data are represented as mean values for  $n = 35 \pm 1$  standard deviation.

Electrospun Mat	Fiber diameter (nm)		
	Untreated	Heat treated*	Water treated**
PVA(C)	$303 \pm 51$	$298 \pm 47$	— ***
PVA(H)	$302 \pm 54$	$278 \pm 35$	$381 \pm 51$
PVA(1)	$280 \pm 47$	$246 \pm 32$	$367 \pm 63$
PVA(1)/CNC(1)	$263 \pm 35$	$232 \pm 20$	$457 \pm 48$
PVA(1)/CNC(2)	$258 \pm 29$	$218 \pm 14$	$523 \pm 41$
PVA(2)	$254 \pm 38$	$224 \pm 27$	$396 \pm 57$
PVA(3)	$256 \pm 32$	$230 \pm 31$	$453 \pm 51$
PVA(4)	$245 \pm 27$	$235 \pm 27$	$559 \pm 74$

\* PVA(C), PVA(1)-(4) & PVA(1)/CNC(1-2) were heat treated at 125 °C for 24 h. PVA(H) was heat treated at 180 °C for 30 min.

\*\* Following 24 h of immersion in water.

\*\*\* Upon contact with water, the untreated mats dissolved immediately.



**Table 3.** Absorbance intensity ratios derived from FT-IR spectra, showing relative intensities between cross-linker associate bonds and native PVA hydroxyl bonds, normalized to the C-H stretch at 2910 cm<sup>-1</sup>.

<b>Absorbance wavelength</b>	<b>Bond pair</b>	<b>PVA(C)</b>	<b>PVA(H)</b>	<b>PVA(1)</b>	<b>PVA(2)</b>	<b>PVA(3)</b>	<b>PVA(4)</b>
1718/3329	C=O/O-H	0.90	1.03	1.45	1.23	1.09	1.02
1240/3329	C-O/O-H	1.50	1.71	2.11	1.96	1.90	1.89
1100/3329	C-O/O-H	2.01	1.97	2.27	2.20	2.12	2.12

**Table 4.** Mean mechanical properties  $\pm$  1 standard deviation of the PVA electrospun mats prepared in this work (n=3) to 1 decimal place.

Electrospun Mat	Young's modulus (MPa)	UTS (MPa)	Elongation at break (%)
PVA(C)	75.9 $\pm$ 9.5	3.5 $\pm$ 0.7	54.2 $\pm$ 11.8
PVA(H)	190.6 $\pm$ 85.4	6.8 $\pm$ 2.7	30.0 $\pm$ 3.4
PVA(1)	124.4 $\pm$ 27.8	6.4 $\pm$ 0.8	32.8 $\pm$ 14.7
PVA(1)/CNC(1)	156.3 $\pm$ 33.2	9.6 $\pm$ 1.2	51.0 $\pm$ 6.4
PVA(1)/CNC(2)	185.4 $\pm$ 30.2	14.9 $\pm$ 0.9	49.0 $\pm$ 4.4
PVA(2)	147.6 $\pm$ 20.5	8.4 $\pm$ 0.8	53.2 $\pm$ 5.3
PVA(3)	158.0 $\pm$ 33.0	7.7 $\pm$ 0.6	60.0 $\pm$ 5.8
PVA(4)	212.8 $\pm$ 59.3	8.6 $\pm$ 1.6	80.8 $\pm$ 16.3

## Figure legends

Figure 1. SEM images (magnification 5000x) of PVA(H), PVA(1), PVA(1)/CNC(2) and PVA(4) mats: immediately after electrospinning (A-D); after heat treatment (E-H); and, after water uptake for 24 h (I-L). The composition of the mats can be found in Table 1. PVA(H) was heat treated at 180 °C for 30 min, and PVA(1), PVA(1)/CNC(2) & PVA(4) were heat treated at 125 °C for 24 h. Scale bars: 2  $\mu\text{m}$ .

Figure 2. Swelling ratio profiles of PVA mats following immersion in DI water at room temperature.

Figure 3. Swelling ratio profiles of PVA(1) pre and post incorporation of cellulose nanofibers, following immersion in DI water for 6 days at room temperature. Data show mean values for  $n=3 \pm 1$  standard deviation.

Figure 4. FT-IR spectra of the PVA(C), (H) & (1-4) electrospun mats prepared in this work (refer to Table 1 for composition of mats), with inserts containing spectra normalized to the C-H stretch at 2910  $\text{cm}^{-1}$  for examining transmission intensity changes around 3329  $\text{cm}^{-1}$ , 1718  $\text{cm}^{-1}$ , 1240  $\text{cm}^{-1}$  and 1100  $\text{cm}^{-1}$ .

Figure 5. FT-IR spectra of the cellulose nanocrystal-loaded electrospun mats compared to pure cellulose nanocrystals and mats of equivalent composition without cellulose (refer to Table 1 for composition of mats), normalized to the O-H bending of pure CNC at 1638  $\text{cm}^{-1}$ .

Figure 6. Representative stress-strain curves for the PVA electrospun mats. The inset shows an enlarged region of strain corresponding to the Young's moduli.

Figure 7. XRD patterns of the thermally treated PVA(1) and PVA(2) samples as well as PVA(H) and PVA(C). The inset shows the corresponding diffractograms of PVA(1) and PVA(4) before thermal treatment.

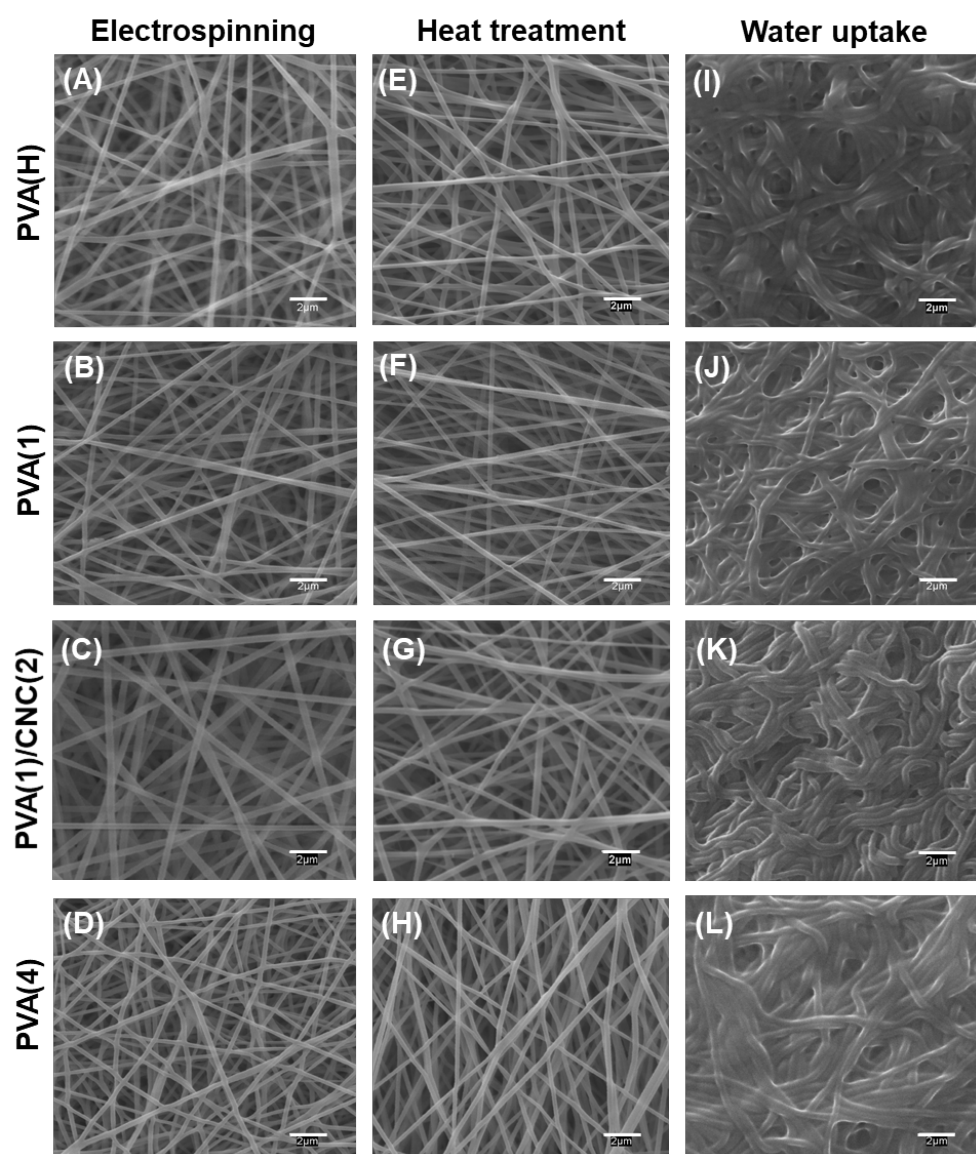
Figure 8. Weight loss of PVA mats following immersion in DI water at room temperature.

Figure 9. Cell metabolic activity of human fibroblasts after exposure to PVA electrospun mats (A=indirect test; B=direct test) for 24 h (grey bars) and 72 h (black bars). C(+) refers to the cytotoxic response in the presence of 70% (v/v) ethanol, and C(-) corresponds to cell growth in the absence of mats (n=5 for CNC mats; n=6 in all other cases).

Figure 10. Fluorescent microscope images of nucleus (Hoechst, blue) and actin filaments (GreenActin, green) staining of 142BR fibroblasts after 24 h (A, C, E, G) and 72 h (B, D, F, H) contact with PVA electrospun mats. Cells were observed whilst fully attached to the material. Scale bars: 50  $\mu\text{m}$  (A, C, E, G); 100  $\mu\text{m}$  (B, D, F, H).

Figure 11. Colony-forming units (CFU) for *S. aureus* following detachment from the surface of the PVA mats after exposure to an initial bacterial culture of  $10^6$  cells/mL over a 24 h period at 36 °C.

Figure 12. SEM and FilmTracer FM-43 Green Biofilm Cell Stain confocal micrographs of the surface of the mats exposed to *S. aureus* cultures at 36 °C for 24 h. Scale bars: 10  $\mu\text{m}$  (SEM images); 20  $\mu\text{m}$  (Confocal images).



**Figure 1**

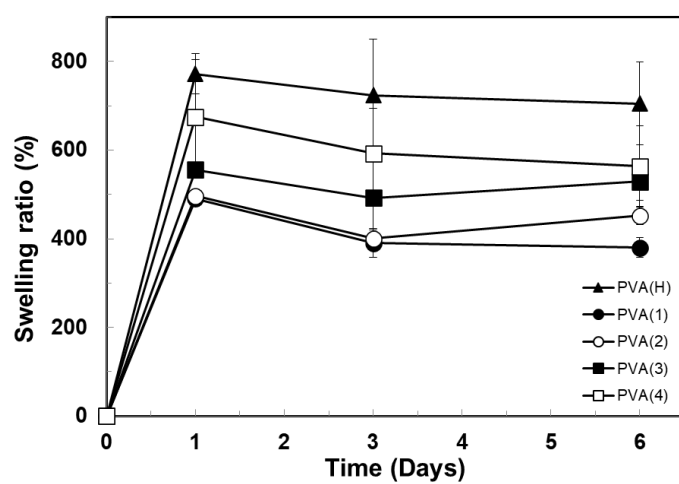
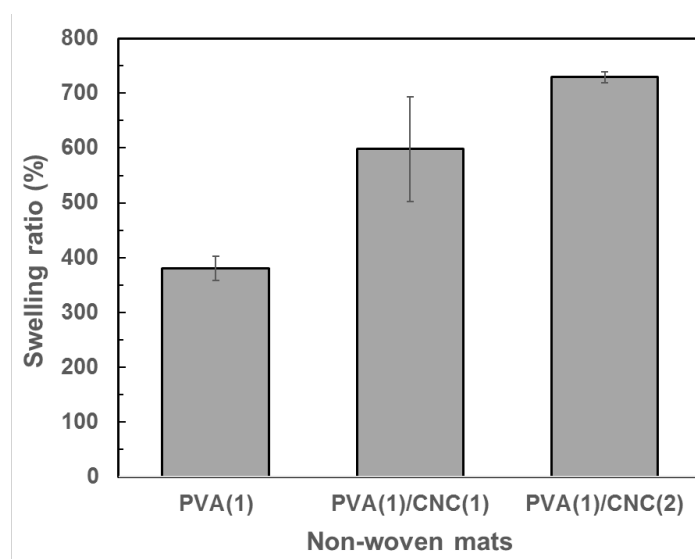
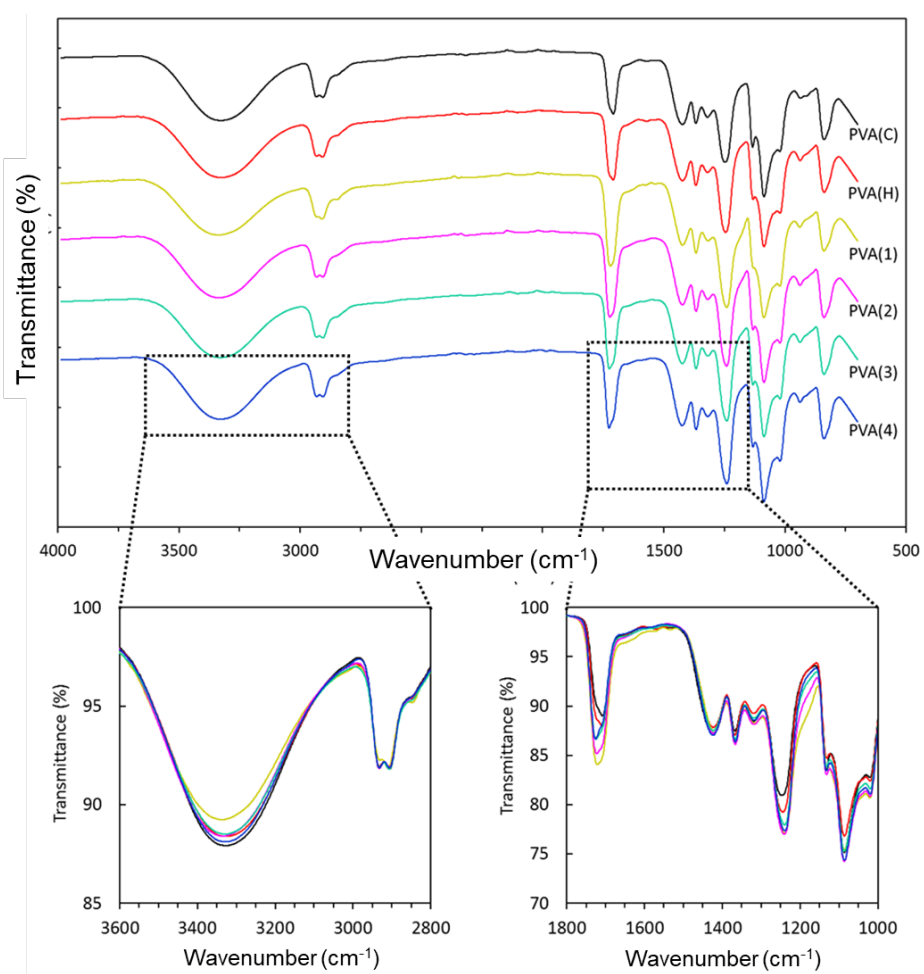


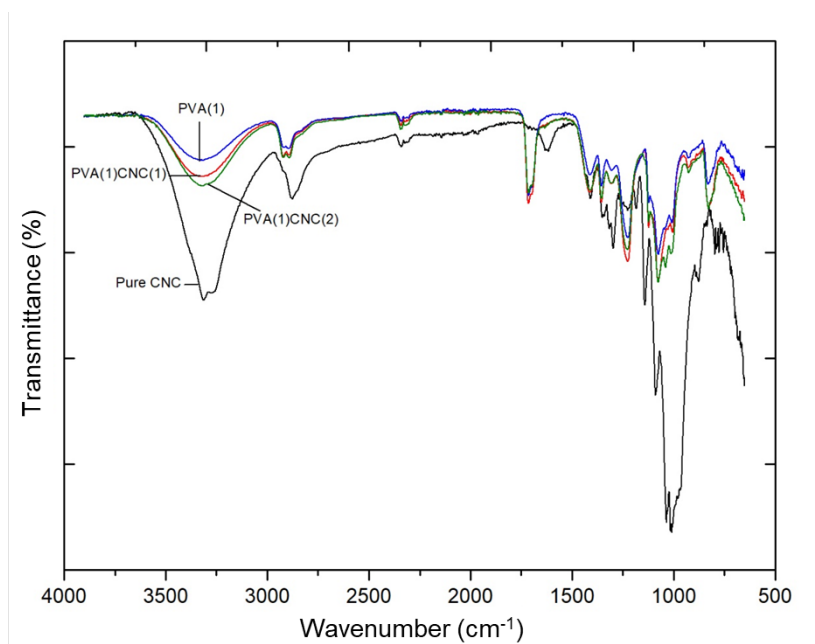
Figure 2



**Figure 3**



**Figure 4**



**Figure 5**

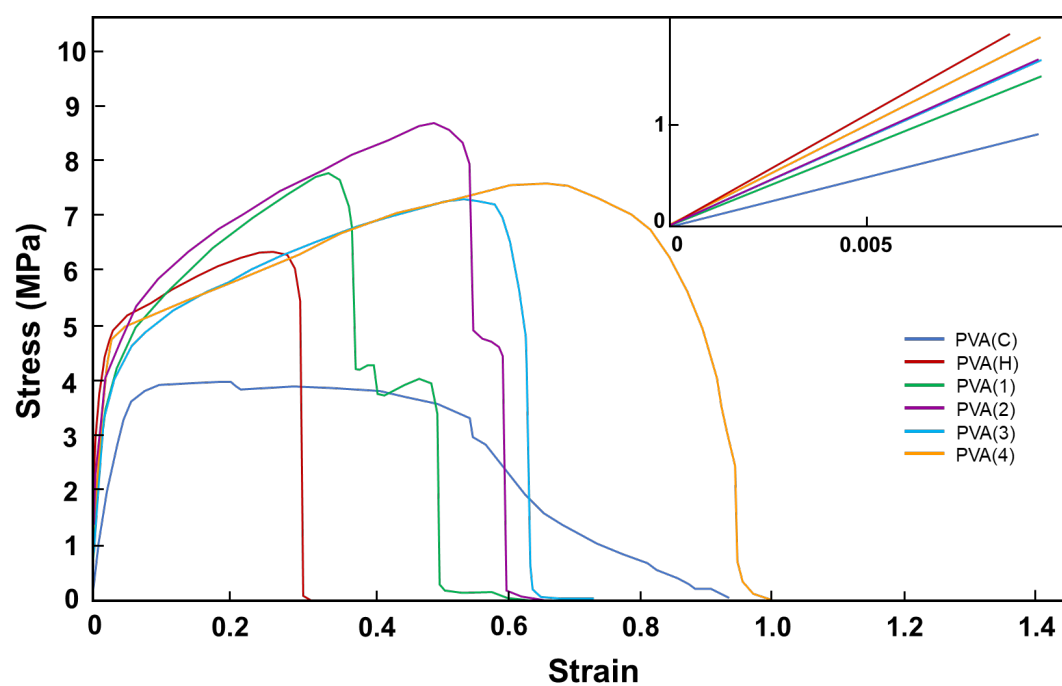
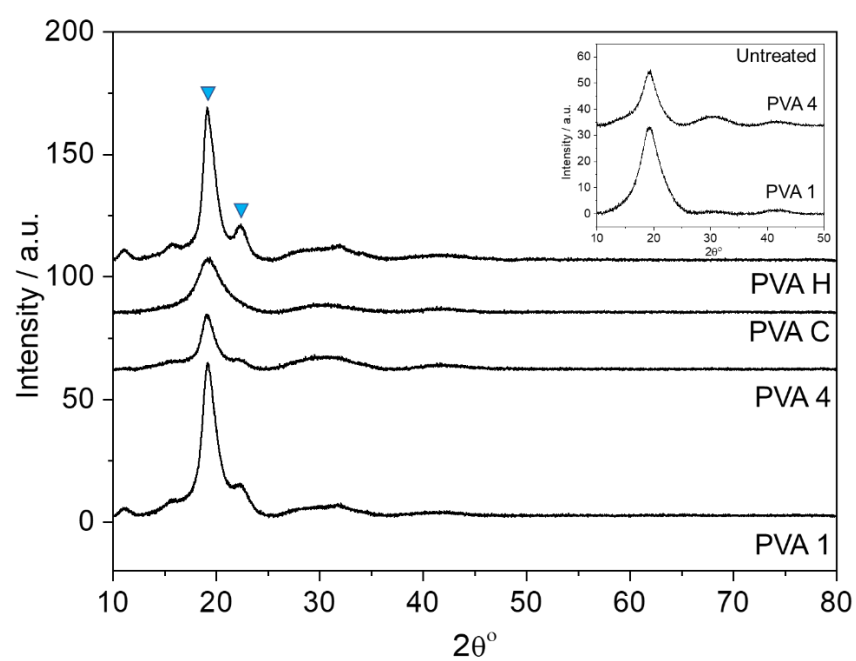


Figure 6



**Figure 7**

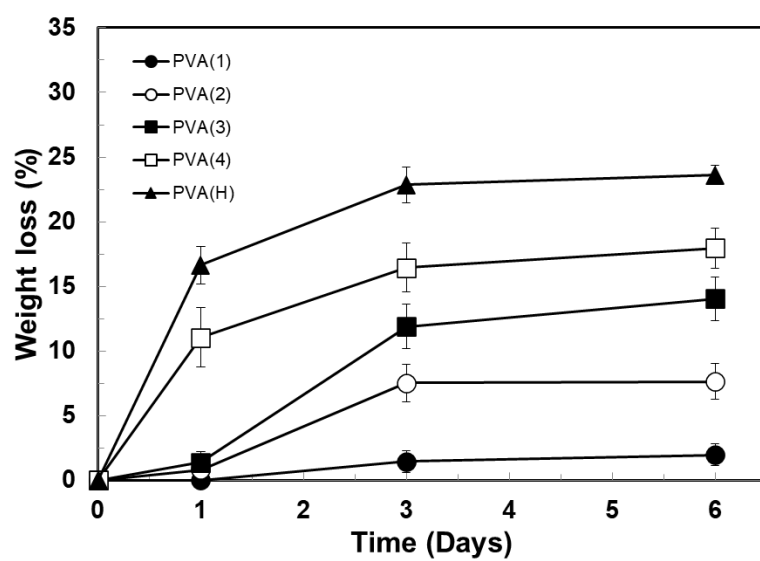


Figure 8

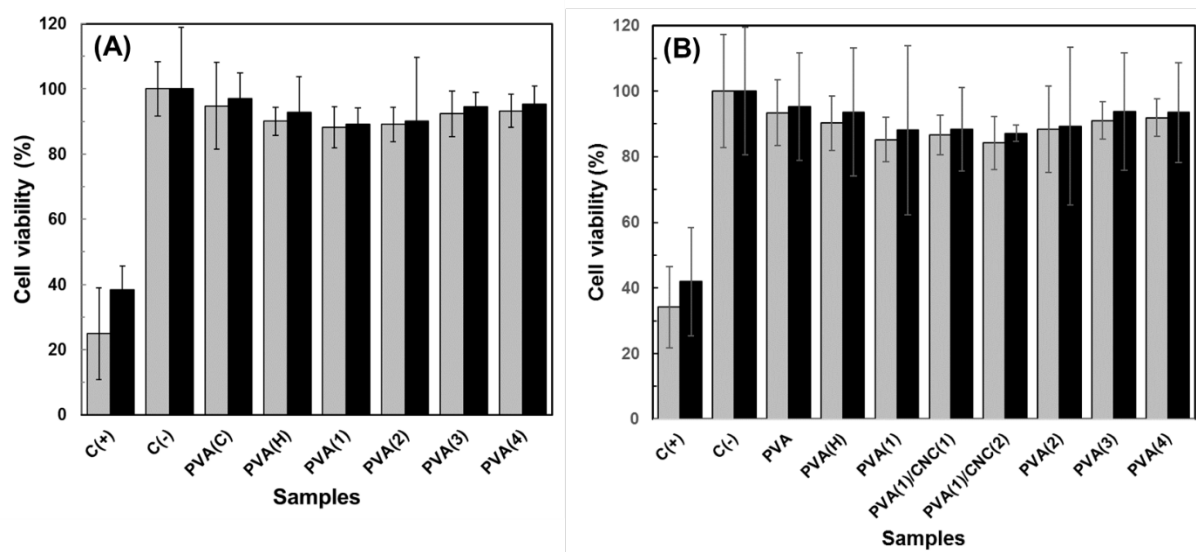


Figure 9

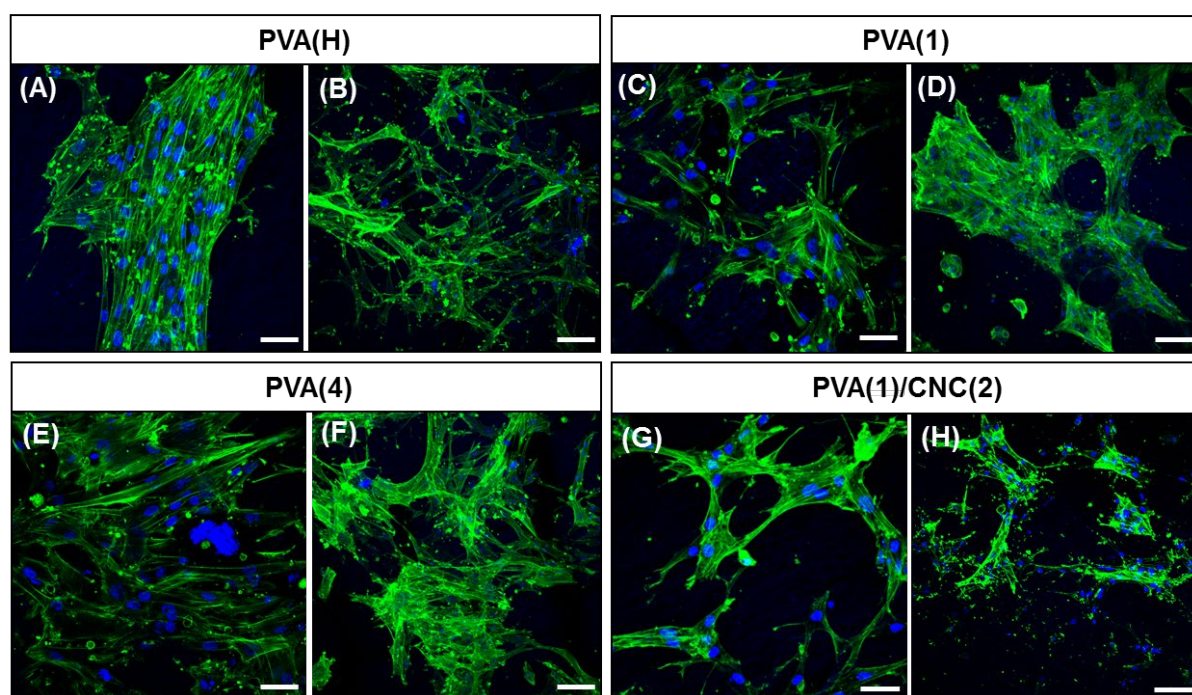


Figure 10

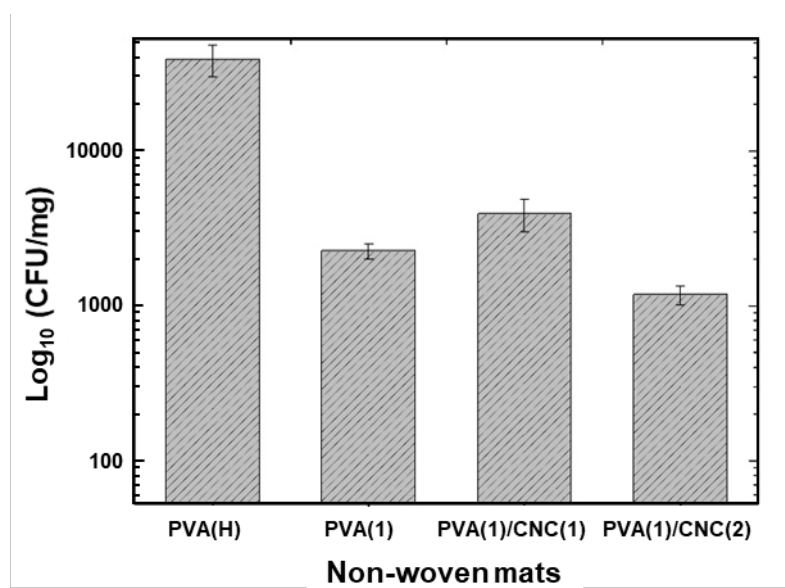
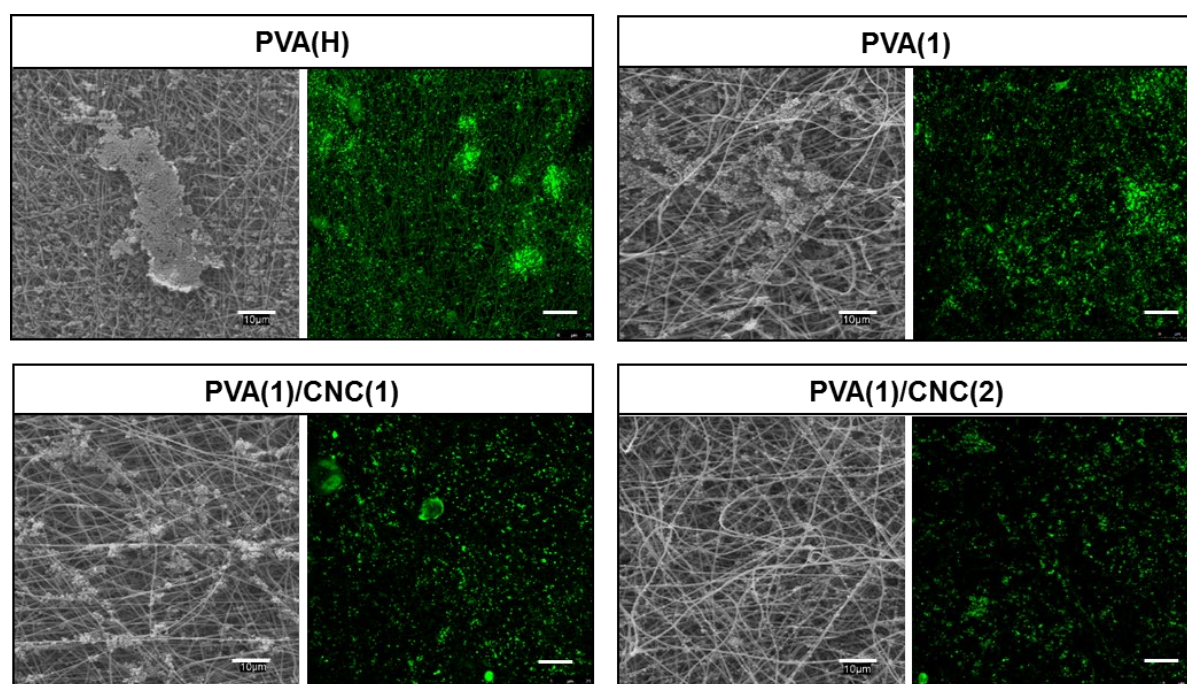


Figure 11





**Figure 12**