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Journal



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Abstract

Single-walled carbon nanotubes (SWCNTs) are widely explored for the ultrashort pulse generation in the fiber lasers enabled by pronounced saturable absorption (SA) effect. Despite many remarkable results demonstrated in the area, degradation of the samples inside the laser cavity limits the widespread use of SWCNT-SA. In the present work, we investigate the degradation mechanism by measuring the temperature of the carbon nanotubes in an operating laser cavity in accordance with the Raman G-band position. We identify the process behind the sample degradation by comparing the burning temperature of the sample with results of thermogravimetric analysis. We apply this approach for the SWCNTs in polyvinyl alcohol polymer matrix and polymer-free SWCNT thin film and demonstrate that these samples undergo different degradation mechanism. Proposed technique provides a useful instrument for optimization of SWCNT-SA for desired ultrafast laser generation.

Keywords: carbon nanotubes; thermal stability; saturable absorption; ultra-fast lasers

1. Introduction

Single-walled carbon nanotubes (SWCNTs) are known to demonstrate high optical nonlinearity promising various applications in optoelectronics and photonics [1]. Among them the implementation of carbon nanotubes as a saturable absorber (SA) for ultrafast pulse generation in the fiber lasers is the most promising and well established [2–4]. Low saturation power, ultra-fast optical response around one picosecond and high environmental stability of SWCNT-SA allowed to demonstrate excellent pulse properties: pulse width below 100 fs [5], high energy pulse generation [6] and broadband operation across 1-2 μ m spectral range [7]. Moreover, excellent physical properties of SWCNT-SA are accompanied with the relative simplicity of fabrication (compared to conventional semiconductor saturable absorber mirrors, SESAMs) and a variety of implementation techniques. The easiest and the most widely used technique comprises clamping carbon nanotubes between two connectors either dispersed in polymer matrix, i.e. as a polymer composite [8–10] or as a polymer-free SWCNT film deposited by spray deposition [11], thermo-diffusion [12] or dry transfer technique [13].

Unfortunately, incessant irradiation may lead to degradation of the sample when incident laser power exceeds a certain limit. Usually, the threshold average power of several tens of milliwatts inside the fiber cavity is reported, under which SWCNT-SA burns down with a subsequent loss of mode-locking. The interaction of absorbing material with ultra-short pulses may give rise to various phenomena like optical breakdown, multi-photon absorption and ionization leading to chemical bonds breaking, and, finally, non-thermal phase-transitions or ablation [14,15]. The single pulse ablation of carbon nanotubes was investigated in several works, where critical fluence depending on the SWCNT sample preparation technique, laser wavelength and pulse width were reported to vary from 25 to 500 mJ/cm² [16–18]. On the other hand, the small heat capacity of the carbon nanotubes may lead to fast heating and subsequent thermal degradation of the sample. In this case, the degradation process will be governed by the average power of the radiation rather than by laser pulse fluence. The contribution of each mechanism can be strongly dependent on the sample preparation technique. For polymer/SWCNT composites, the degradation temperature of an organic polymers is usually around 120 - 200 °C, which is 2-3 times smaller than that for pristine carbon nanotubes reaching 400 – 500 °C under ambient conditions [19]. Though a polymer matrix itself is chosen to be transparent at laser frequency, it can be heated by carbon

nanotubes, leading to irreversible damage of the SWCNT-SA [20]. Chernysheva et al. reported a crater formation within a polyvinyl alcohol (PVA)/SWCNT composite that leads to decreased transmittance and modulation depth [21]. In contrast, Ryu et al. reported increased transmittance under continuous light illumination if power exceeds 5 mW for PVA/SWCNT film sandwich-like structure [22]. Yoon et al. examined carbon nanotubes directly deposited on fiber ferrule by spay and optical deposition methods [23]. The authors demonstrated combustion of the film at an average power ranging from 20 to 40 mW for standard 9 µm core fibers. These works clearly demonstrate non-similar degradation behaviors and provide information on stability aspects of the SWCNT-SA, but do not shine light on degradation mechanism. Thermal stability can be significantly increased by introducing the evanescent field interaction when SWCNTs are deposited on a side-polished fiber [24-26], a tapered fiber [27,28] or inside a hollow core fiber [29]. Though the method increases the damage threshold, other drawbacks emerge. It has typically higher saturation power, D-shape fibers have polarization-dependent absorption, tapered fibers are highly fragile, and hollow-core fiber is difficult to integrate inside the all-fiber scheme. Moreover, all these methods are much more complicated in fabrication. Development of robust and stable SWCNT-SA for on-ferrule implementation remains an actual problem that requires a better understanding of the degradation mechanisms involved.

One of the ways to distinguish between degradation mechanisms associated with ultra-short pulse action and heating induced burning, is to measure the temperature of the samples in the laser cavity. Though SWCNT-SA is clamped between two connectors and cannot be observed directly, one can access the temperature by measuring SWCNT Raman G and 2D band positions, which shows linear temperature dependence [30,31].

In the present work, we investigate the mechanism of the carbon nanotube saturable absorber degradation by measuring the temperature of SWCNT-SA clamped between two connectors in the conditions identical to the laser cavity. We illuminate a sample with a laser radiation from ultra-fast erbium fiber laser and additionally couple a small power green laser through the wavelength division multiplexer (WDM) to measure the Raman spectra of the carbon nanotubes and to assess the temperature of the saturable absorber as a function of intracavity power. We compare three types of samples and show that different degradation mechanisms dominate for pure SWCNTs and composite samples.

2. Sample preparation and characterization

In our study, we compare three types of samples, two of them are PVA/SWCNT polymer composites, and the third one is a polymer-free thin film of carbon nanotubes. For the composite samples, we used commercially available HiPco carbon nanotubes and SWCNTs synthesized by laser ablation method [32]. An average diameter of HiPco and laser ablation SWCNTs is 1.2 nm and 1.3 nm, respectively. The sample preparation is described in detail in Materials and Methods. In brief, carbon nanotubes powder was dispersed in water with sodium dodecylbenzenesulfonate (SDBS) surfactant. After sonication and ultracentrifugation, the supernatant solution was mixed with dissolved polyvinyl alcohol that forms a film after the water evaporation. Obtained samples were cut (~ $1x1 \text{ mm}^2$) and sandwiched between two standard fiber ferrules with an index-matching gel.

Polymer-free SWCNTs were synthesized by an aerosol CVD method. SWCNTs grow in the hot reactor zone on catalyst nanoparticles floating in CO atmosphere [33]. At the outlet of a quartz tubular reactor, aerosol of SWCNTs were collected on a nitrocellulose filter followed by dry-transfer on a connector [34] (more in Materials and Methods). It should be mentioned that the methods for the synthesis and transfer do not involve any liquid chemistry steps and ensure the absence of any organic compounds in the samples of aerosol synthesized SWCNTs.

In the following, the samples described above will be referred to as PVA/HiPco, PVA/Ablation and Aerosol SWCNTs.



Fig. 1. SWCNTs optical properties. (a) Transmittance spectra of the SWCNT samples. Black line indicates the position of the laser working wavelength. (b) Raman spectra clearly demonstrate high G/D ratio for all the SWCNT samples under consideration.

All the samples are chosen so that S_{11} transition covers the laser line at 1550 nm. PVA/HiPco and aerosol CVD samples have nearly the same transmittance at 1550 nm of 79% and 74%, respectively, while PVA/Ablation is much darker with a 12% transmittance (Fig. 1a). The high quality of the carbon nanotubes is ensured by the Raman spectra shown in Fig. 1b. The ratio of intensities of G and D bands (I_G/I_D) is 19, 21 and 60 for HiPco, laser ablation and aerosol SWCNTs, respectively. Pronounced shoulders to the left of G-band evidence for the presence of metallic nanotubes in the mixtures. When measuring the dependence of the G-band position as a function of temperature in a heating cell, we observed no significant line deformation under heating for all the samples (Fig. S1). The G band shift coefficients are -0.029 ± 0.004 cm⁻¹K⁻¹, -0.025 ± 0.005 cm⁻¹K⁻¹ and -0.019 ± 0.004 cm⁻¹K⁻¹ for HiPco, laser ablation and aerosol CVD SWCNTs, respectively (Fig. S2), obtained from averaging over different points across the film. These numbers are in agreement with corresponding values measured by other groups [31,35].



Fig. 2. Laser pulse properties for mode-locked regimes obtained with a saturable absorbers based on (a-b) PVA/HiPco, (c-d) PVA/Ablation, and (e-f) aerosol SWCNTs. The top panel is experimental pulse autocorrelation function and its *sech*² fit. The bottom panel is pulse spectra.

3. Results and discussion

3.1 Laser operation

Prior to the stability studies, we compare the performance of the samples inside the laser cavity. We use an erbium-doped fiber laser in the ring cavity configuration with a total length of 5 meters and net dispersion of -0.085 ps^2 (see Materials and methods for more details). All three samples demonstrate a stable single-pulse mode-locking regime with sub-picosecond pulse width with relatively close parameters (Fig. 2). Single pulse generation is reached at different pump power for each sample, so the regimes are compared at the maximum pump power of a stable single pulse operation window. PVA/HiPco needs the lowest pump power for mode locked operation selfstarting corresponding to 36.6 mW. At 44.8 mW of pump power, the single pulse operation regime is sustained with pulse duration of 825 fs, 3.2 nm spectral width, 7 mW output power and 0.17 nJ pulse energy. PVA/Ablation composite self-starts at 38 mW of pump power. At 40 mW of pump power, it shows pulse duration of 746 fs, 3.4 nm spectral width, 6.2 mW output power and 0.15 nJ pulses. Aerosol SWCNTs demonstrate the highest self-starting pump power at 55.4 mW. At the same time, they show the shortest pulse duration of 650 fs and 3.9 nm spectral width, output power of 9.5 mW and 0.23 nJ pulses. Slightly shorter pulse duration can be attributed to larger modulation depth of the sample (see Fig. S5). Overall, for all the saturable absorbers under consideration we observe a very small difference in the pulse parameters, which is mostly defined by the fiber laser parameters, namely, dispersion and nonlinearity, in agreement with other numerical and experimental findings [36–38].

3.2 Thermogravimetric analysis

Thermal decomposition of PVA composites was investigated in a number of works [39–42], where decomposition temperatures in a range from 180 to 320 °C are reported depending on the composite filling and preparation technique. To evaluate the decomposition temperatures of our samples, we performed simultaneous thermal analysis, i.e. thermogravimetric analysis (TGA), differential scanning calorimetry (DSC) coupled with mass spectrometry (MS) for all three types of samples. The TGA results are shown in Fig. 3.

Both PVA-based composites show a similar behavior. Further, we discuss PVA/Ablation sample as a representative example. At 60 °C, we observe minor mass loss due to the liberation of physisorbed water. When the temperature reaches 200 °C a drastic change in mass is detectable stemming from partial dehydration of the PVA with removal of –OH hydroxyl groups that yields polyene formation with mass loss of *ca.* 36% [39]. At this point irreversible decomposition takes

place. This process is followed by polyene degradation at *ca.* 400 °C giving macroradicals whose destruction and decomposition leads to appearance of various compounds like acetaldehyde, benzaldehyde [40], ethanol [39,41] and other products [43]. A polyene cross-linking in the oxidative environment should be also anticipated [40]. The next stage starts from 490 °C and might be assigned to thermal oxidation of carbonized residue, which is formed at previous stages. At about 530 °C, we notice a change in mass which could probably be related to continuous CNT oxidation. Total weight loss when heated up to 800 °C is *ca.* 67.5%. Comparing the TGA results of two composites, we identify the temperature shift of the mass loss curve around of 230 °C. This difference can be described by the difference in CNT concentration and type resulting in dissimilar thermal conductivity. More details, DSC and MS results can be found in Supplementary materials.





Aerosol SWCNTs are characterized by stepwise changes in mass related to processes water desorption, followed by oxidation of iron catalyst nanoparticles, left after the synthesis, what is manifested by mass increase and then by oxidation of amorphous carbon starting around 280 °C. We do not observe any influence of these processes on nonlinear optical response at 1550 nm. SWCNT oxidation starts at 440 °C which defines the critical temperature for this sample (Fig. 3) [44]. These results are in agreement with other studies that reported carbon nanotubes degradation around 500 °C [19,45,46].

3.3 SWCNT temperature measurements

Now we discuss the temperature measurement of the SWCNT-SA clamped between two connectors on the output of the working laser by simultaneous measurement of the Raman shift. For this, we modify the laser scheme and build the setup for the Raman spectrum measurement as shown in Fig. 4. We insert two identical SWCNT samples clamped between two connectors – one in the resonator is used to produce ultra-short pulse generation, and the other one is a test sample located on the output fiber of the laser. The test sample is additionally illuminated with the green laser inserted through the WDM prior to which we insert 95/5 coupler to monitor incident fiber laser power. The power of the green laser is set to 0.5 mW so that the heating by this laser is below 10 degrees for each of the samples. Laser output coupler is 70/30 where 70% goes to output, and 30% goes to resonator so that the fiber laser power is the same on both samples and we can expect identical heating temperatures. On the output, we couple the Raman signal to the spectrometer and measure the shift of the G-band depending on the incident power.



Fig. 4. Laser scheme and measuring setup. Laser scheme comprise of a standard ring cavity with SWCNT-SA on the fiber ferrules, an isolator (ISO), erbium doped fiber (EDF), a wave division multiplexer (WDM) and a splitter returning 30% back to the cavity. On the output, we use 5%



for laser power monitoring. Green laser is coupled into the fiber through the WDM and pass through identical SWCNT-SA and then all the light is coupled to the spectrometer.

Fig. 5. The position of the G-band peak (a-c) as a function of the incident power and (d-e) corresponding temperature taken into account only linear part of the Raman shift. Dashed line is extrapolation assuming linear heating. The shaded region represents the uncertainty in temperature determination from Raman band shift coefficient. The fire icon indicates the burning point of SWCNT-SA.

For each sample, we performed a series of Raman measurement cycles increasing maximum incident power until the mode-lock generation breaks down due to the sample damage. The Raman shift is shown in Fig. 5 (a-c) for PVA/HiPco, PVA/Ablation and Aerosol SWCNTs, correspondently. The fire icon represents the point where SWCNT-SA burns with breaking of mode locking. It is accompanied by the disappearance of SWCNT Raman features on the test sample at nearly the same power. A discrepancy in damage power for SA and test sample may come from the small difference in their properties, i.e. thickness variation, an uncontrollable gap between ferrule and the sample, etc. At low power all the samples show nearly linear G-band shift as function of incident power. We attribute it to the heating of the samples, the corresponding temperatures are plotted in Fig. 5 (d-e). The shaded region on the plots represents the uncertainty

in the temperature determination defined by dispersion of Raman band shift coefficient. As expected, the darkest PVA/Ablation sample reaches higher temperatures at much smaller average power compared to PVA/HiPco. For both PVA-based composites, curves for the first cycle and for the second one do not coincide. Samples heat up faster in the second cycle, which we attribute to increased absorption of the sample. Under average power of around 25 mW for PVA/HiPco, the heating accelerates, and then, at 33 mW, it changes the sign of the G-band shift to the opposite. The same behavior we observe for the PVA/Ablation sample at 10 mW, where the linear red shift of the G-band switches to blue shift. The violation of linear dependence of the G-band position on the incident power is known to happen either due to mechanical deformation or doping of the SWCNTs [47,48]. As incident power increase, the water evaporation can lead to the mechanical stress of SWCNT by polymer matrix deformation. P-doping of SWCNTs by the products of PVA decomposition is also possible reason for the non-monotonic G-band shift. Any of these processes indicates on PVA matrix degradation leading to irreversible deterioration of the SA properties and decreasing its operation lifetime. In this region, we cannot recover the temperature and show its extrapolation with a dashed line. The extrapolation should be considered with caution because both optical and thermal properties of the sample might have changed under a strong illumination [21,22]. Here we also observe a deviation from the linear dependence of the laser output power on the pump power towards smaller values that indicates on the increasing nonsaturable losses of the sample (see Fig. 6). At the same time, we do not observe any significant changes in the pulse parameters. In contrast to discussed complex Raman shift behavior, aerosol SWCNTs have linear dependence of Raman shift on incident power until it is very close to the burning point. It also shows no change from cycle to cycle. The heating temperature for the Aerosol SWCNTs exceeds slightly those for PVA/HiPco at the same incident power. The reason can be understood from the Comsol modeling (see SI, section 5). The thermal conductance for the PVA composite is nearly 500 time smaller, however, the sample is 1500 times thicker. In PVA composite the heat source is distributed within 60 µm long cylinder, whereas in Aerosol SWCNTs the same optical power is applied on 40 nm film.

Our findings demonstrate that the processes governing degradation of the PVA composite sample and polymer-free aerosol SWCNTs have different nature. The composite samples demonstrate gradual deterioration in properties of the SWCNT-SA which happens around the temperature of the PVA decomposition. It leads to the conclusion that the damage threshold of these samples is defined by the average power of the incident light.

For Aerosol SWCNTs we observe a sample damage at the temperature around 150 °C which is well below 420 °C expected from the TGA measurements, indicating the high peak power of the ultra-short pulse to be responsible for the SWCNT-SA degradation.



Fig. 6. The transmittance of the SWCNT-SA samples on the fiber ferrules under CW illumination as a function of the incident power, scanned from initial small signal to the higher power and back.

To confirm this hypothesis, we measured the transmittance of the samples under continuous wave illumination. We performed the cycled measurements where we gradually increased and then decreased the incident power. The typical transmittance behavior of the samples is shown in Fig. 6. The PVA-based composites show transmittance decrease under strong illumination. In [21], this behavior is attributed to the crater formation by the intense pulse illumination and subsequent scattering by the surface roughness. We also observe sometimes formation of the crater on the composite samples. However, this mechanism alone cannot describe all observed behavior: under a small power illumination the transmittance is reversible, i.e. it returns to the initial value. For high incident power, the transmittance is not fully recovered, and the resulting transmittance depends on the illumination time. The same behavior is observed for PVA composited under pulse illumination, where absorption saturation is followed by decrease in transmittance (Fig. S5). The average power needed for irreversible changes is nearly the same for pulsed and CW illumination. Aerosol SWCNTs can withstand much higher CW power compared to pulsed illumination (90 mW for CW and 25 mW for pulsed) and show no changes in transmittance under illumination below the threshold. To describe the higher sensitivity of polymer-free carbon nanotubes to the peak power, we propose the following mechanism. It was shown that increased defectiveness [54], amorphous carbon and catalyst nanoparticle residuals, presence of metallic carbon nanotubes [55,

49] can reduce the burning threshold of the carbon nanotubes. In case of a polymer composite, a SWCNTs are coated with the matrix material that not only acts as a heat adsorbent with a certain capacity, but also protects the nanotube from a direct interaction with ambient containing oxidizing agents like oxygen, water etc. A polymer-free SWCNTs form a dense randomly oriented network of contacting nanotubes. The heat release from the exothermic reaction of the nanotube oxidation might be enough to ignite neighboring carbon nanotubes leading to destruction of the entire network under illumination [50]. Thus, we expect the composite SWCNT-SA to be more stable for high peak power pulse generation with low repetition rate, whereas polymer-free carbon nanotubes are more suitable for high repetition rate lasers when the high peak power is not desired.

It is worth noting, the proposed method for the saturable absorber temperature measurement inside the cavity can be expanded on the other materials which has strong Raman band dependence on temperature including graphene [51], phosphorene [52] or inorganic nanostructures [53].

4. Conclusion

We have investigated the degradation mechanism of the PVA composite and polymer-free carbon nanotube saturable absorbers by measuring the heating temperature of the sample between connectors by the shift of the Raman line. For the laser employed, the parameters of the pulse generation depend weakly on the saturable absorber properties, but the stability and even the degradation mechanism shows strong dependence on the sample preparation technique. We show that even with an incident power of 20 mW the temperature on can exceed 100 ^oC on the sample with 30% absorption. Under illumination PVA polymer composites demonstrate the gradual deterioration of the optical properties governed by thermal decomposition of the polymer matrix. In contrast, polymer-free SWCNT-SA shows no change in optical properties under illumination below the threshold defined by the peak power. Exceeding the threshold leads to the instant burning of the illuminated part of the sample. The developed technique allows to optimize the parameters of the saturable absorber and maximize the stability for required pulse regime.

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Materials and methods

PVA sample preparation. 2 mg (for the HiPco composite) and 8 mg (for the Ablation composite) of SWCNTs dispersed in 10 ml of deionized with an assistance of 10 mg of sodium dodecylbenzene sulfonate (SDBS) surfactant. The aqueous solution mixture of SWCNTs was ultrasonicated for 1 hour using high power ultrasonic system (Nanoruptor) operated at 250 W and 21 kHz. A well-dispersed SWCNTs obtained by the above process was subjected to ultracentrifugation (Beckman Coulter Optima Max-XP, MLS 50 rotor) for 1 h at 25000 rpm for the sedimentation of undispersed and aggregated bundles. The supernatant liquid obtained from the ultracentrifugation contains homogeneous dispersion of individualized SWCNTs. For the preparation of nanotube-polymer nanocomposite freestanding film, one gram of polyvinyl alcohol (Wako ltd, no. 160-08295) was mixed to the homogeneously dispersed SWCNTs. The resulting suspension was poured into a Perti Dish and placed in a desiccator for one week to evaporate water. After this process, the black film formed was removed from the Perti Dish, and freestanding film was obtained. The samples were clamped between two standard (9/125 μm) fiber with index matching gel Thorlabs G608N.

Aerosol SWCNTs preparation. Tubular quartz reactor was employed to produce SWCNTs via aerosol (floating catalyst) chemical vapor deposition method (CVD). Ferrocene (Sigma Aldrich) was used as a catalyst precursor while carbon monoxide (99.99% Linde gas) acted as a carbon source and carrier gas. In typical synthesis, ferrocene vapor (1 Pa) was injected with CO stream into the reactor. Ferrocene decomposition (~400 °C) was followed by aerosol catalyst iron particle growth and Boudouard reaction ($2CO=C+CO_2$) to nucleate a nanotube on Fe surface. Small additives concentrations of carbon dioxide tunes SWCNT diameter and, consequently, S₁₁ transition position [30]. The aerosol of SWCNTs was then collected by a simple filtration on nitrocellulose filter (HAWP, Merck Millipore) to form a thin film of a desired thickness (controlled by deposition time) and could be easily dry transferred to practically any other substrate.

Sample characterization. Transmittance spectra were measured with spectrophotometer Lambda 1050 UV Vis-NIR. Raman spectra from the films were measured with Thermo Scientific DXRxi Raman Imaging Microscope with a laser wavelength of 532 nm. For temperature dependent

measurements, a heating stage Linkam FTIR600 with a transparent window was employed. For all Raman measurements, all samples were pre-heated to nearly 150 °C to ensure reproducible measurements.

Laser scheme. The ring cavity fiber laser has a 37 cm of highly doped EDF (SM-ESF-7/125) pumped through the fiber WDM, isolator, 50/50 output coupler and fiber ferrules for integration of SWCNT-SA with a 9 μ m core diameter. The pump laser diode (LD) operates at a wavelength of 1480 nm with the maximum output power of 320 mW. The full length of the laser resonator is around 5 meters.

TGA and differential scanning calorimetry with mass spectrometry were conducted using NETZSCH STA 449 F3 Jupiter® unit coupled with 403 Aëolos Quadro quadrupole mass spectrometer (NETZSCH-Gerätebau GmbH, Germany). The composites were put into alumina crucibles and heated to 800 °C with a rate 5 °C/min, in dry air; flow rate 70 sccm. We employed SiC furnace in these experiments which was vacuumed prior to each measurement. The monitored mass-to-charge ratios (m/z) ranged from 10 to 110 during the heating ramp.

In-line Raman measurement setup. The Coherent Verdi laser emitting at 532 nm is free-space coupled to the fiber laser through the standard WDM. Prior to WDM, 5% of fiber laser power is outcoupled with standard fiber splitter to monitor the fiber laser power with Thorlabs S122C sensor. The signal is collected through the fiber laser output, where the green laser and fiber laser signals are filtered out by free space edge filters. The Raman signal is coupled to the Princeton Instruments Acton SP2750 spectrometer, where diffraction grating with 1800 grooves/mm is used.

Transmittance measurement. The transmittance of the SWCNT-SA samples was measured with self-build CW fiber laser and amplifier. The measurement is performed with CLD1015 laser driver and power meter consoles with S132C sensors.

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Declaration of interests

 \boxtimes The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: