Bibliometric analysis of research trends on the thermochemical conversion of plastics during 1990–2020

Roomana Khatun, Huan Xiang, Yang Yang, Jiawei Wang, Güray Yildiz

PII: S0959-6526(21)02586-5

DOI: https://doi.org/10.1016/j.jclepro.2021.128373

Reference: JCLP 128373

To appear in: Journal of Cleaner Production

Received Date: 19 April 2021

Revised Date: 7 July 2021

Accepted Date: 17 July 2021

Please cite this article as: Khatun R, Xiang H, Yang Y, Wang J, Yildiz Gü, Bibliometric analysis of research trends on the thermochemical conversion of plastics during 1990–2020, *Journal of Cleaner Production* (2021), doi: https://doi.org/10.1016/j.jclepro.2021.128373.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2021 Published by Elsevier Ltd.



## **CRediT authorship contribution statement**

**Roomana Khatun**: Investigation, Formal analysis, Writing - Original Draft; **Huan Xiang**: Formal analysis, Writing - Review & Editing; **Yang Yang**: Supervision, Writing - Review & Editing, Funding acquisition; **Jiawei Wang**: Supervision, Writing - Review & Editing, Funding acquisition, **Güray Yildiz**: Writing - Review & Editing, Funding acquisition

# Bibliometric analysis of research trends on the thermochemical conversion of plastics during 1990 – 2020

Roomana Khatun <sup>a</sup>, Huan Xiang <sup>a</sup>, Yang Yang <sup>a</sup>, Jiawei Wang <sup>a\*</sup>, Güray Yildiz <sup>b</sup>

a. Energy and Bioproducts Research Institute (EBRI), Aston University, Birmingham, B4 7ET, UK

b. Department of Energy Systems Engineering, Izmir Institute of Technology, Urla 35430,
 Izmir, Turkey

Corresponding author: Jiawei Wang (j.wang23@aston.ac.uk)

Johnsible

## 1 Bibliometric analysis of research trends on the thermochemical conversion of

## 2 plastics during **1990 – 2020**

3 Roomana Khatun <sup>a</sup>, Huan Xiang <sup>a</sup>, Yang Yang <sup>a</sup>, Jiawei Wang <sup>a\*</sup>, Güray Yildiz <sup>b</sup>

a. Energy and Bioproducts Research Institute (EBRI), Aston University, Birmingham, B4 7ET,
UK

b. Izmir Institute of Technology, Faculty of Engineering, Department of Energy Systems
7 Engineering, 35430, Urla, Izmir, Turkey

## 8 Abstract

9 The aim of this bibliometric analysis was to evaluate the trends in literature and the impact 10 of publications that have been published during the period 1990 - 2020, in the field of 11 thermochemical conversion of plastics, namely gasification, liquefaction and pyrolysis. 12 SCOPUS was used and data was vetted via MS Excel, with analysis being completed via MS 13 Excel and VOSViewer. A total of 1705 publications were used in the study, and China was 14 identified as the most productive country. Pyrolysis was the most researched technology with 15 over 88% of publications, while liquefaction accounted for less than 3% of the total 16 publications. Across all three technologies, polyethylene (PE) was the most commonly 17 occurring type of plastic. Journal of Analytical and Applied Pyrolysis had the highest number 18 of publications and total citations. However, Energy Conversion and Management had a 19 higher impact factor and higher average citations per publication. University of Alicante was 20 identified as the most productive university with a total of 45 publications, while University of 21 Leeds was the most commonly cited with an average of 65 citations per publication. The 22 keyword analysis showed that co-pyrolysis with biomass and catalytic pyrolysis are gaining 23 increased interests.

24 Keywords

25 Bibliometric Analysis, Gasification, Liquefaction, Plastic, Pyrolysis, Thermochemical26 Conversion

## 27 **1. Introduction**

28 Large scale production of plastic began in the 1950s, almost three decades after Bakelite 29 was manufactured. The first synthetic plastic was produced in 1907 (Gever et al., 2017). The 30 plastics with the highest production rates include, but not limited to polyethylene (PE), 31 polypropylene (PP), polyvinylchloride (PVC), polyethylene terephthalate (PET), polyurethane 32 (PUR), and polystyrene (PS) (Geyer et al., 2017). There is a huge problem when it comes to 33 the handling of plastic solid waste. It is estimated that cumulative plastic production up to 2017 34 was 8.3 metric billion tons, only 9% of which has been recycled, and a further 12% incinerated. 35 There have been over 6.3 billion tonnes of plastic waste accumulated in the past 60 years, 36 and 79% of this has been landfilled or accumulated in the natural environment (Geyer et al., 37 2017).

38 There are four main routes for plastic recycling. Primary methods are to reintroduce waste plastic in the production of similar plastic products. Secondary methods, known as mechanical 39 40 recycling, involve the extrusion, processing, and conversion of waste plastic before being blended with virgin polymers. Tertiary methods alter the waste plastic's chemical structure and 41 42 produce feedstock materials for plastic production of energy recovery. Finally, quaternary 43 methods, known as energy recovery, are when the waste plastic undergoes combustion and 44 steam, electricity or heat is recovered (Al-Salem et al., 2010). Thermochemical conversion 45 technologies lie between tertiary and quaternary methods – the chemical structure of plastics 46 is often altered for a range of uses, including plastic formation to energy recovery via the liquid 47 and gas fractions. These technologies include gasification, liquefaction, and pyrolysis.

Gasification is defined as the thermal treatment of organic matter, which can convert plastic solid waste in the presence of low levels of oxygen. In ideal conditions, the products from gasification would be high calorific value gases and completely combusted char (Al-Salem et al., 2009). High operating temperatures are required for the gasification of plastic solid waste, typically higher than 600 °C (Brems et al., 2013). However, it is not uncommon to use temperatures as high as 1200 – 1500 °C (Al-Salem et al., 2009). Liquefaction commonly

occurs at temperatures up to 500°C, with pressures up to 271 bar (Pei et al., 2012; Yuan et al., 2009). The conditions used directly affect the range of products, including solid residue, gas, and oils of varying concentration (Williams and Slaney, 2007). Pyrolysis is a technique that can be used to treat long-chain organic material. The operating temperatures vary from 350 – 900 °C, and the products include solid char, wax, condensable hydrocarbon oil, and gas with high calorific value (Antelava et al., 2019).

60 A bibliometric analysis is a statistical method in which citation data within a field of research 61 is used to draw conclusions about the output and influence within the research area and 62 identify emerging trends. There are two main types of bibliometrics: descriptive bibliometric 63 allows research outputs to be considered by a nation, institution or by an individual, while evaluative bibliometric considers parameters such as citation data to see the influence of a 64 paper, individual or institution. It is important to note that the influence does not directly relate 65 66 to the quality of the paper (McBurney et al., 2002). In the research area of waste plastic, previous bibliometric studies have been focused on the pollution of plastic particles, from 67 nano- to microplastics, including the presence of plastic particles in the environment 68 69 (Sorensen and Jovanović, 2021), the development of microplastics (Zhou et al., 2021) and the 70 issue of microplastics in marine ecosystems (Pauna et al., 2019). Others have carried out 71 bibliometric studies on waste management, such as the management of plastic waste 72 (deSousa, 2021) and the research trends on solid waste reuse and recycling (Li et al., 2018). 73 With respect to the thermal conversion of waste, there are existing bibliometric studies on the 74 trends of research on waste-to-energy incineration (Wang et al., 2016) and solid waste 75 research (Fu et al., 2010). While there has been bibliometric analysis on topics such as plastic 76 as a pollutant, waste management and the thermal treatment of waste, there is yet no 77 bibliometric analysis that considers the trends in the thermochemical conversion technologies 78 of plastic solid waste.

The purpose of this study was to conduct a bibliometric analysis on the thermochemical
 conversion technologies of plastic waste – namely gasification, liquefaction, and pyrolysis.

Publications between 1990 and 2020 were considered and quantitatively analysed. It allowed trends of research in the field over the past 30 years to be discovered and critically explored. Key countries and researchers in the progression of the thermochemical conversion technologies were identified. More importantly, gaps in the research field within thermochemical conversion technologies were identified, allowing specialised research to be conducted in the future.

## 87 **2. Methodology**

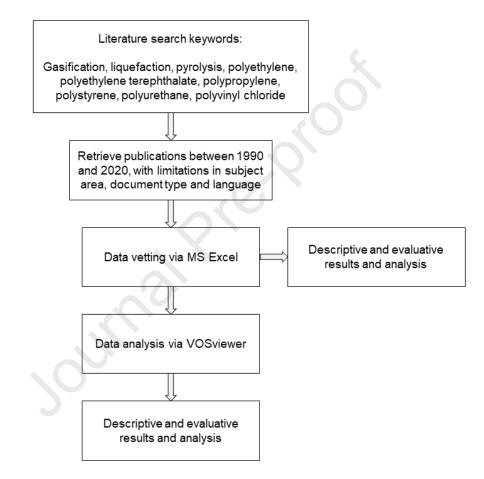
Data for this bibliometric analysis was collected via Scopus. Fig. 1 displays the methodology flowchart. The searches were carried out in a single day in January 2021 and limited to the range of years (1990 – 2020) and the subject areas (Material Science, Engineering, Chemistry, Chemical Engineering, Energy and Environmental Science). Searches were then limited by document type and language, with Articles and English, respectively.

A total of nine keywords were used in the initial search ((gasification OR liquefaction OR pyrolysis) AND (polyethylene OR polypropylene OR polyvinylchloride OR polyethylene terephthalate OR polyurethane OR polystyrene)). The number of initial citations and the number of citations after each limitation applied were recorded. When there were more than 2000 citations for a search, the search parameters were updated and restricted by year to ensure the total citations was less than 2,000 in order to extract the full CSV data.

The citations were exported from Scopus to Microsoft Excel in a CSV format. The data was then checked to ensure there were no citations with insufficient information. The citation data was vetted by searching for the occurrences of the keywords in the title, abstract and keywords. When the search keywords were present in two of these parameters, the citation was kept while all other citations were removed. The citation files were collated into a single excel to remove duplicates. The citation data was organised into different groups depending on the thermochemical conversion technology and the type of plastics included in the article,

as well as the institution and the first author's geographical location. A list of citations based
on each parameter was obtainable and used for VOSviewer visualisation analysis. VOSviewer
is a free software tool for constructing and visualising bibliometric networks, developed by
Nees Jan van Eck and Ludo Waltman. VOSviewer version 1.6.15 was used in the study.
Network maps were used to create maps to display citation analysis of journals, co-authorship

analysis of authors, and overlay visualisation was used to show trends over time.



113

114 **Fig. 1.** Methodology flowchart.

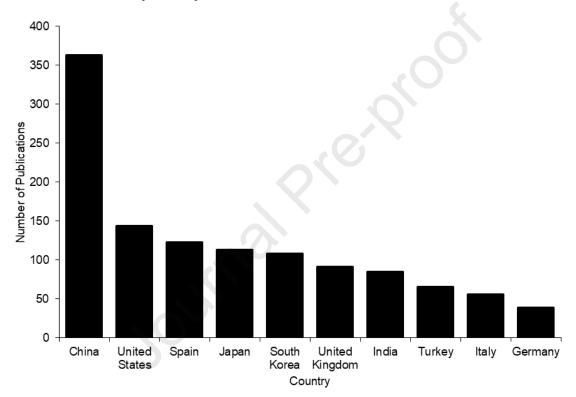
## 115 3. Results and discussion

The results of the bibliometric analysis on thermochemical conversion technologies of plastics from 1990 – 2020, based on Scopus citation data, are discussed in this section. A total of 1705 papers were used in this bibliometric analysis, all of which were research articles and in English. This section focuses on various factors such as the output of publications by

- 120 country and the type of conversion technology. Key journals and institutions are also analysed
- to identify the key contributors in this field of research and key authors and the most influential
- 122 papers.

## 123 3.1. Overview of publications

- 124 This section focuses on the overall output of publications by country and by the type of 125 thermochemical conversion technology or type of plastic.
- 126 3.1.1. Publications by country



127

128 Fig. 2. Top 10 most productive countries.

Fig. 2 shows the ten countries with the highest number of publications in the research area of plastic conversion technologies. The country has been obtained based on the first listed author for each publication.

A total of 1705 publications have been included in this study, with contributions from 71 different countries. The 10 countries displayed in Fig. 2, account for a total of 1187 publications, almost 70% of the global total.

135 Funding data from China, European Union, United Kingdom, and the United States have 136 been collected, and projects with the keywords: 'plastic' AND 'liquefaction' or 'gasification' or 137 'pyrolysis' were recorded. The following databased were used: Chinese Funding Agency, 138 Community Research and Development Information Service (CORDIS), Engineering and 139 Physical Sciences Research Council (EPSRC) and the National Science Foundation (NSF). 140 China had the most funding in these projects, with an increase in the total funding over time. 141 Despite the US having more publications in this field, the UK projects have had twice as much 142 funding. The EU had the greatest amount of funding but covers a wide range of countries.

From a total of 365 publications affiliated with China, a total of 87 had no funding information. 172 publications were funded, or co-funded by the Nation Natural Science Foundation of China, while a further 30 was supported by Fundamental Research Funds for the Central Universities and 18 by National Basic research program of China. A large number of these publications (77%) were published after 2014, displaying a recent interest in thermochemical conversion of plastic in China.

More than half of US publications (73 publications) did not have funding data available, however the National Science Foundation have funded, or co-funded 10 publications, and the Department of Energy provided funding for 9 publications.

152 63% of publications from Spain had funding data available, which were both on a national 153 and local level. Ministry of economy (MINECO) funded 22 publications, Spanish National 154 Science Foundation (CICYT) funded 17 publications and Ministry of Science and Innovation 155 (MICINN) funded 11 publications. Also, Eusko Jaurlaritza provided funding for 19 publications, 156 and Generalitat Valenciana for 11 publications. There was a wide range of funding in Spain, 157 and often multiple sources of funding for each publication, displaying the collaborations 158 between different national and local bodies.

159 Only 40% of publications from Japan had funding information available. 18% of all 160 publications were funded by Japan Society for the Promotion of Science. 33% of South

161 Korea's publications had no funding data available, whereas 30% of them was funded, or co-162 funded by the National Research Foundation of Korea.

46% of UK publications had funding data available. Among them, 13 publications were
funded by the Engineering and Physical Sciences Research Council. The remaining
publications were either funded by institutions within the UK or from other countries.

166 Only 30% of publications from India had funding details available. 10 of them were funded, 167 or co-funded by the Department of Science and Technology and a further 8 by the Government 168 of Kerala, suggesting an increased focus in the region of Kerala compared to the rest of the 169 country. Only 30% of the publications from Turkey had funding details available. The Scientific 170 and Technological Research Council of Turkey (TÜBİTAK), the largest funding contributor for 171 publications from Turkey, funded or co-funded 7 publications. In Germany, on the other hand, 172 Deutscher Akademischer Austauschdienst (DAAD) funded or co-funded 5 publications. For 173 Italy, a majority of funded publications (with funding details available in publications) were 174 institutional level. Although almost 39% of publications from Germany had funding details 175 available, there were no key funders identifiable from this list.

The United States (130 kg/year) and the United Kingdom (99 kg/year) and South Korea (88 kg/year) have been identified as the three countries with the highest plastic waste generation per capita in 2016 (Law et al., 2020). When the population is not considered, the United States (320 million metric tons), India (277 million metric tons) and China (220 million metric tons) have the greatest amount of plastic waste generation in 2016 (Law et al., 2020).

This shows that research output, to a good extent is linked to research funding and waste generation. Although there is insufficient funding data available for every publication included in this study, it is evident that those with national funding support have more publications, compared to countries where only institutional funding is reported. The five countries which have the highest waste generation, with and without considering the population, have all been identified within the top 10 most productive countries.

## 187 3.1.2. Publications by technology

## 188 **Table 1**.

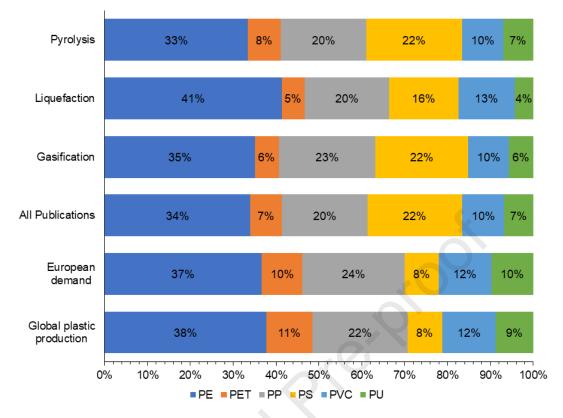
189 Distribution of publications by technology.

Technology	Number of Publications	Percentage
Gasification	156	8.76%
Liquefaction	50	2.81%
Pyrolysis	1575	88.43%

190

Table 1 shows the occurrences of the thermochemical conversion keywords in the titles of the final list of publications. From 1705 publications, the thermochemical conversion technology keywords appeared a total of 1785 times, indicating that approximately 4% of papers used a combination of thermochemical conversion technologies. 87% of the papers with more than one technology focused on both gasification and pyrolysis, which could be due to the similarities in the operating conditions. While pyrolysis takes place in an inert atmosphere, the low oxygen level in gasification is controlled to prevent combustion.

A high proportion of publications focused on pyrolysis, which can be classed as a flexible conversion technology (Maafa, 2021) due to the wide range of products (gas, liquid and solid residue/char), which depend on reaction conditions (Basu, 2018). A limitation with gasification is the formation of tar, which is a low-value product (Panepinto et al., 2016), and liquefaction products often require extremely high pressure but can yield up to 60% oil and 70% gas (Ramdoss and Tarrer, 1998).



#### 204 3.1.3. Publications by plastic type



206 **Fig. 3.** Distribution of publications by plastic type.

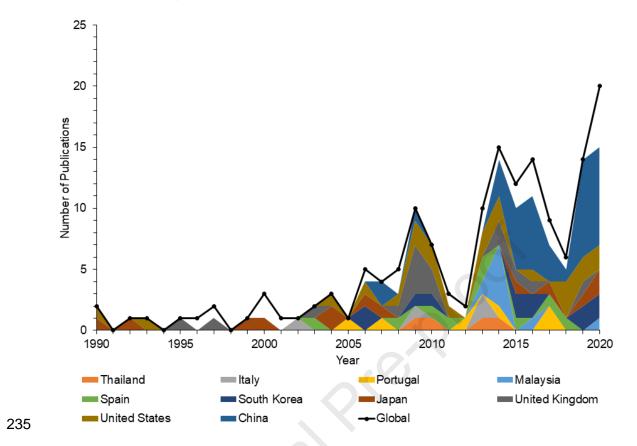
207 Studies on the thermochemical conversion of plastics are often based on the types of 208 plastic most found in waste, which is dependent on plastic demand and production. Fig. 3 209 shows the types of plastics stated in the title of publications and how they compare to the 210 overall plastic demand in Europe and Globally. Polyethylene and polypropylene account for 211 over 50% of the research in this field, while publications on polystyrene are significantly higher 212 with respect to the European demand and global production. The global production values are 213 almost identical to the European demand values, indicating that these six types of plastic are 214 used in similar proportions worldwide. At present, it is thought that polystyrene is easier to 215 dispose of than recycle due to the problems encountered during the separating and clearing 216 stage. There is also an increase in the use of polystyrene, which originates from improved 217 technology and the associated waste from electrical and electronic equipment (WEEE) 218 (Maafa, 2021). While all three thermochemical conversion technologies have a higher 219 proportion of publications compared to the distribution of polystyrene in both global production

220 and European demand, the values for pyrolysis and liquefaction are over two times the 221 production and demand values. Under isothermal pyrolysis conditions, it is possible to obtain 222 up to 96.40% oil from polystyrene, with a further 3.60% gas (Kim et al., 1999). The pyrolysis 223 of polystyrene reduces the amount of plastic being landfilled, and it also allows the valorisation 224 of waste by obtaining the styrene monomer (Arandes et al., 2003). While the valorisation of 225 polystyrene seems promising based on these factors, it is important to note that most research 226 was carried out on a small scale. When such processes are scaled up, the problems occurred 227 during the recycling of polystyrene, such as separating and cleaning of materials, could be big 228 challenges for the pyrolysis or liquefaction of polystyrene. However, if the gas and liquid 229 products are more valuable than the recycled materials, it may be a good investment for 230 commercial companies.

231 3.2. Output of publications

This section focuses on the output of publications with respect to each type of thermochemical conversion technology.





**Fig. 4.** Most productive countries in gasification research from 1990 – 2020.

Fig. 4 shows the output of the gasification publications by country since 1990, with only the top 10 most productive countries being displayed. There is an overall positive trend in the number of publications, with a majority of the publications having a first author from the same ten countries. There is little deviation between the output of these 10 countries and the global output on a yearly basis. The remaining 18 countries with publications in gasification have less than three publications across the 30-year research period, with 13 of them having only one publication.

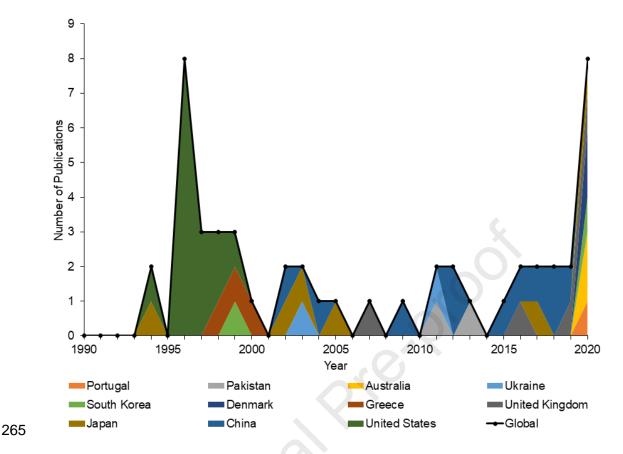
It is evident that Malaysia's contributions in gasification research are largely limited to a four-year window, between 2013 to 2016. This coincides with key events which took place in Malaysia, such as the introduction of 'no plastic bag campaign day', launched by the Ministry of Domestic Trade, Cooperative and Consumerism (MDTCC) in January 2011. A recent study comments on the attitudes of a sample group of the Malaysian Impact to observe the change

in consumer behaviours during the campaign. The introduction of an MYR 20 cent charge for plastic bags on Saturday had an impact on shopping trends. There was a 30% reduction in the volume of Saturday sales. However, there was a significant increase in Sunday sales (Zen et al., 2013). This shows that rather than dealing with plastic waste, it was easier to change habits. There was also a plastic import ban in Malaysia in 2012, which is another potential reason for increased research to deal with plastic waste as a nation.

There were apparent dips in the number of publications in gasification research, 1998 (0 publications), 2005 (1 publication), 2012 (2 publications), 2018 (6 publications), which are all 6 – 7 years apart. Rather than a sign of reduced interest in this area of research, the reduced number of publications could be due to the end of funding for projects or simply the completion of a project before a new research area was investigated.

In 2020, there was a significant number of publications from countries that have not already been recognised in this field. The displacement of waste due to China's import ban could be one of the factors behind the interest in gasification from a larger number of countries, but it is too early to see the full impact of this.

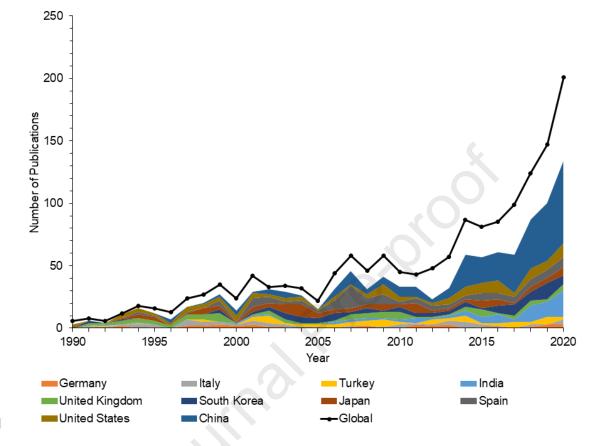




**Fig. 5.** Most productive countries in liquefaction research from 1990 – 2020.

267 There have only been 11 counties with interest in liquefaction in 1990 - 2020. Unlike the other thermochemical conversion technologies, there is no deviation between the leading 268 269 nations and global publications. From Fig. 5, it is clear the United States had a strong interest 270 in liquefaction research during the years of 1996 – 1999, with a total of 14 publications. Half 271 of these had funding or affiliations with the US Department of Energy, indicating that the use 272 of liquefaction was seen as a potential technology to produce energy via liquid fuel, rather 273 than to directly combat the problem of plastic solid waste. While this only considers the first 274 authors, when looking at the United States contributions after 2000, with respect to multiple 275 authors per paper, the United States is not involved in liquefaction of plastics research. Since 276 2000, a majority of the papers correspond to China, with more than half of China's contributions limited to the years of 2015 - 2019, which received funding from Science 277

- 278 Federations, indicating the research was not restricted to the purpose of waste valorisation
- 279 but for plastic waste management.
- 280 3.2.3. Pyrolysis publications



281

**Fig. 6.** Most productive countries in pyrolysis research from 1990 – 2020.

In pyrolysis research, the output of publications has been steadily growing over the past 30 years. Fig. 6 shows countries with a minimum of 40 publications in pyrolysis research. The ten counties displayed published a majority of the publications until 2011. Pyrolysis research has publications from 71 different countries, indicating the global effort in this area of research.

Similar to the gasification publications, there are dips that can be observed in Fig. 6. However, these are not as regular as the gasification publications. The main dips were in 2000 (27 publications) and 2005 (24 publications). Again, this is likely to be due to the end of a project or the end of funding. 2008 appears to be a dip (49 publications), but this is in comparison to 2007 (61 publications), with 29.51% of this (18 publications) from Spain. To put this into context, in 2006, Spain contributed 14.58% of the global total (7 publications) and in

2008 contributed 12.24% of the global total (6 publications). From the years 1997 to 2005, the 294 mass of plastic waste produced per year in Spain increased by approximately 51.68%, from 295 around 1027 tonnes per year to 1558 tonnes per year. This alone would be a highly concerning 296 and motivating factor for increased research in methods to deal with plastic waste. The 297 Spanish "Comisión de Investigación Científica y Tecnológica" was only acknowledged as 298 funding 25% of the publications in 2006 and 2008. However, in 2007 77% of the publications 299 had government funding.

Gasification and pyrolysis follow a similar trend with the number of total papers increasing over time. For gasification, the rate of change steadily increases over time from 1990 (2 publications) to 2020 (20 publications). However, for pyrolysis, the number of papers steadily increases from 1990 (9 publications) to 2014 (105 publications), at which point the number of publications increases rapidly from 2015 (95 publications) to 2020 (238 publications). In the past five years, research in pyrolysis has increased by a factor of 2.5.

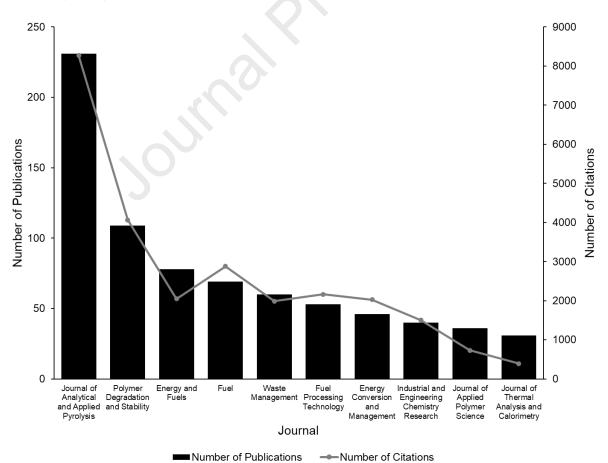
306 A key similarity between liquefaction and pyrolysis, as displayed in Figs. 7 and 8, is the 307 rapid increase in papers from 2019 to 2020. For liquefaction, the number of publications 308 increased 4-fold from 2 publications to 8 publications. These publications were from 6 different 309 countries (Australia, Denmark, Japan, Portugal, South Korea, and the United Kingdom), which 310 is the greatest number of countries to release publications on liquefaction in the same year. 311 Portugal (1 publication), Australia (2 publications), and Denmark (2 publications) had not 312 published papers on liquefaction of plastic waste at any point prior to 2020. For pyrolysis, there 313 is a notable point at which the gap between the 10 countries with the most publications and 314 the global publications becomes much larger. Prior to 2012, the largest difference was in 2009 315 (17 publications). In 2012 this value increased (25 publications), the difference fluctuated 316 between 24 and 47 between 2013 and 2019 and reached a maximum in 2020 (67 317 publications). This increase pairs with China's plastic import ban (China was the largest 318 importer of plastic waste), which was announced in 2017 and came into practice in 2018. 319 There is no surprise that the announcement of China's ban leads to an increase in the research

of thermochemical conversion of plastic waste. However, the full impact of the ban in terms of the quantity of waste that has been displaced and the drive for increased research can be observed in the years to come.

Another important observation is the output of publications from China. While for liquefaction, China has released publications throughout the 30-year period, but in gasification and pyrolysis research, there was a clear increase from 2013. As discussed earlier, China was the leading contributor to this type of research with 371 publications across the 30-year period. A majority of these (256 publications) were related to pyrolysis and published between the years 2014 and 2020. While other countries were involved in research across a majority of the 30-year period, the number of publications were at the same volume in comparison with China.

330 3.3. Journal analysis

331 3.3.1. Output of journals

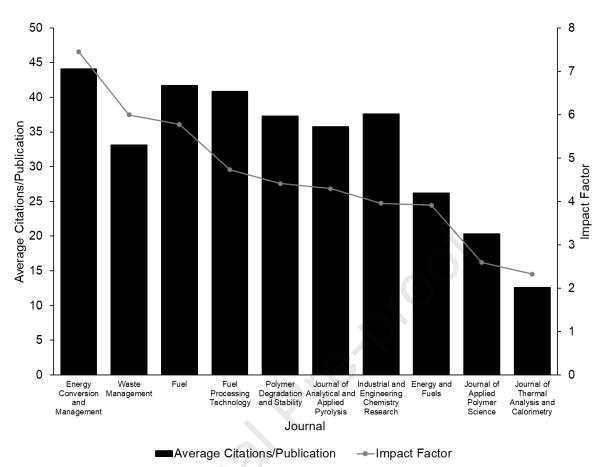




There are several ways in which journals can be ranked: by output of publications, number of citations, or impact factor. Fig. 7 shows the ten journals with the highest volume of publications and the total number of citations. The named journals have published a total of 753 publications out of 1705 publications. The remaining publications have been published in over 300 journals. Journal of Analytical and Applied Pyrolysis (231 publications) is almost as productive as the next three journals combined: Polymer Degradation and Stability (109 publications), Energy and Fuels (78 publications) and Fuel (69 publications).

341 The number of citations and the number of publications are strongly linked, as shown in 342 Fig. 7, with some exceptions. Fuel has a total of 2880 citations across 69 publications, while 343 Energy and Fuels has only 2046 citations over 78 publications. The average citations per 344 publication is much higher for the Fuel (41.74) in comparison with Energy and Fuels (26.23). 345 The journal with the highest citations per publication from this list is Energy Conversion and 346 Management (44.11), while the lowest is the Journal of Thermal Analysis and Calorimetry 347 (12.61). The impact factor of a journal is another way the show the impact a journal has in a 348 field of research. In this case, the impact factors vary significantly from the average trends 349 observed using number of publications and the number of citations.

## 350 3.3.2. Impact of journals



351

352 **Fig. 8.** 5-year impact factor of 10 most productive journals.

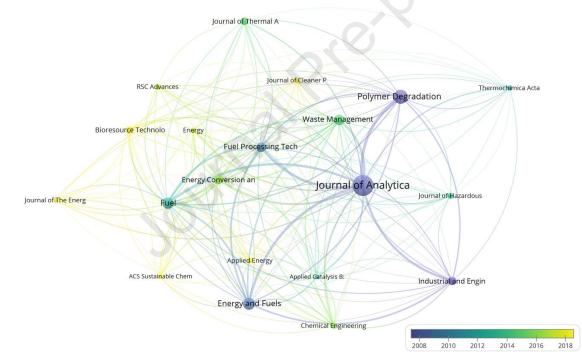
353 Fig. 8 shows the relationship between the 5-year impact factor of the journals and the average citations per publication for each journal. There is a small correlation between the 354 355 impact factor and the average citations per publication. It is important to note that the average 356 citations per publication have been calculated based on one single research area, which only 357 makes up a small percentage of each journal's publications. Energy Conversion and 358 Management has the highest impact factor (7.447) and also has been recognised as the one 359 having the highest average citations per publication (44.11) based on thermochemical 360 conversion of plastic publications. Similarly, within the top ten most productive journals, 361 Journal of Thermal Analysis and Calorimetry has the lowest impact factor (2.325) and the 362 lowest average number of citations per publication (12.61). Most of the journals have a lower 363 impact factor compared to the average citations per publication, which is likely due to the

364 narrow area of research. However, this difference could suggest that thermochemical 365 conversion publications are more highly cited than other research areas. Another key 366 difference is the time frame used to obtain the values – the impact factor is based on citation 367 data over the past 5 years, while the average citations have been calculated for all publications 368 in each journal across the whole 30-year research period.

Figs. 7 and 8 show that the influence of a journal depends on the parameters which are being selected. While the impact factor shows the reputation and quality of the research in a journal, the number of publications and the number of citations focus on the topics being

372 studied in this bibliometric analysis.

373 3.3.3. Citation analysis of journals



374

**Fig. 9.** Citation analysis of journals. Different colours indicate the average year of publications,

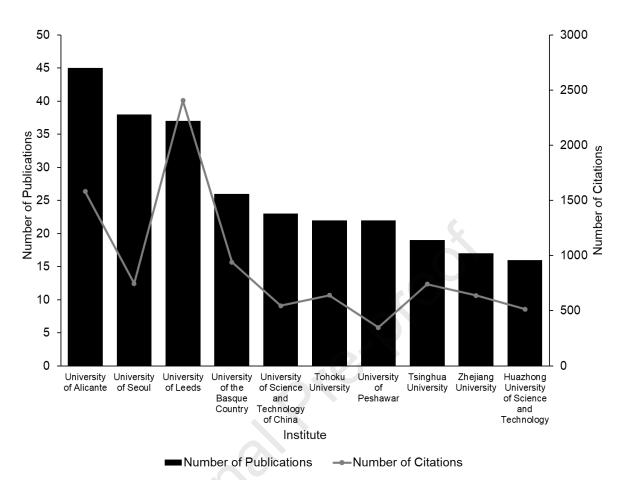
the size of the circles indicates the number of publications, and the thickness of the linesrepresents the link strength among the journals.

Fig. 9 displays the citational analysis of journals. The journals displayed have a minimum of 10 publications, and the 20 journals with the highest link strength, as determined by

VOSViewer have been selected. From Fig. 9, it is clear that publications are cited regardless of the journal they have been published in. Journals with a lower average year tend to have a higher average link strength, as they are more established within the field and likely have a higher number of publications. The nodes represent the number of publications, and in this case Journal of Analytical and Applied Pyrolysis is identified as the most productive journal.

385 Journals with the lowest average year, largely on the right-hand side of the map, include 386 Energy and Fuels, Journal of Analytical and Applied Pyrolysis and Polymer Degradation and 387 Stability. These journals focus on pyrolysis or polymer materials and energy as a whole. 388 Journals with an average publication year close to 2015 show the introduction of waste 389 management as a topic, while publications with an average year of publication between 2015 390 and 2020 are focused on Bioresources and Sustainable Energy. This shows a shift in trends 391 from the earlier parts of the research period to the latter. While early studies were often 392 published in journals related to energy and fuel research, new publications consider co-393 processing, using not only plastic but also biomass and coal alongside plastic. Catalytic methods are also introduced in the last 10 years, evidenced by the changes in keywords used 394 395 as evidenced in Table 6. Overall, this shows that while earlier studies may have been with the 396 intention of waste valorisation or energy production, the purpose of studies in this field has 397 changed over time. It was firstly to deal with the problem of plastic waste, and then changed 398 to utilise renewable materials such as microalgae and biomass in the thermochemical 399 conversion of plastics to see their influence on product yields and distributions.

#### 400 3.4. Institutional analysis



401

402 **Fig. 10.** Top ten most active institutions.

403 Fig. 10 displays the institutions with the highest number of publications across the 30-year 404 period, and the number of publications per institute is determined by the first listed author. 405 From the ten institutions with the greatest number of publications, a majority of the first authors' 406 corresponding institutions (four) are located within China. All other countries have only one leading institute. Although Spain has the 3<sup>rd</sup> highest number of publications, the University of 407 408 Alicante has published the highest number of publications in this field, accounting for 36% of Spain's total publications. Despite the United States having the 2<sup>nd</sup> highest number of 409 410 publications, there are not any US institutions on this list. This indicates that the research 411 carried out in the US is being conducted by many different institutions. The figure also shows the number of citations per institution. From this, it is evident that the University of Leeds is 412 extremely influential in thermochemical conversion technologies of plastic waste with a 413

significantly high number of citations, i.e. 2405 compared to those for all other institutions displayed, which range from 348 to 1581. Key academic staff in the top ten most active institutions and their research area and equipment are summarised in Table S1 in the supplementary information. Most of the research in these institutions was carried out at a microgram level using thermogravimetric analysers, tandem  $\mu$ -reactors and pyroprobes and at a gram level using bench-scale fixed bed reactors, fluidised bed reactors and conical spouted bed reactors with one or multiple stages.

3.5. Author analysis 3.5.1. Co-authorship analysis Bilbao J. Lopez G. Artetxe M. Oian M. Lin X. Olazar M. Ruan R. Jeon J.-K. Park Y.-K. Lei H. Jung S.-C. Lee H.W. Wu C. Kim Y.-M. Kumagai S. Williams P.T. Watanabe A. Muto A. Yoshioka T. Bhaskar T. Kameda T. Sakata Y. 🔼 VOSviewer

423

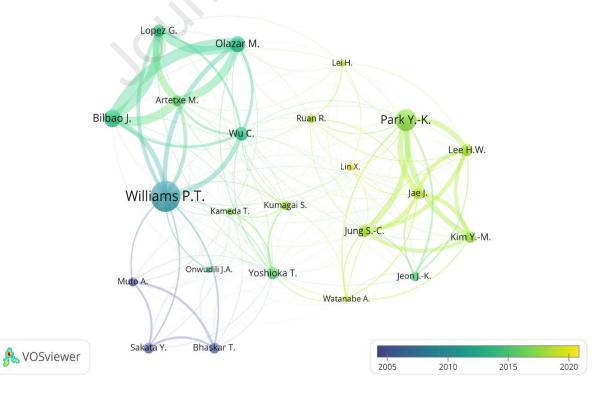
421

422

Fig. 11. Co-authorship map of authors which indicates the authors that cooperate in the field of thermochemical conversion of plastics. The size of the node indicates the total number of publications each author has contributed to. The thickness of the line between two nodes indicates the number of publications the authors have published together. The colour represents regions of publications.

429 Fig. 11 shows a co-authorship analysis of authors who have published at least six 430 publications. This is not exclusive to the first listed author. There are clear research networks 431 that are generally based on location, as shown by the different colours in the network, with 432 green indicating the United Kingdom, India and Japan, purple indicating Japan, red 433 corresponding to South Korea, blue representing Spain and yellow representing China and 434 the United States. This shows that many nations are interlinked when conducting and 435 publishing research, however, it is also important to note that China has not got strong links 436 with any of the other clusters in the visualisation. This is simply due to the low number of 437 authors that can be represented in an effective manner. Another key feature is the strength 438 within clusters, particularly Spain and South Korea. These authors have thicker lines indicating 439 they collaborate on a majority of publications as a group. With the size of the node representing 440 the number of publications, it is clear that Williams P.T, Bilbao J, and Park Y.-K are the most 441 productive authors in this network. More detailed information about the authors listed in Fig. 442 11 is presented in Table S2 in the supplementary information.

443 3.5.2. Citation analysis of authors



**Fig. 12.** Citation analysis of authors. The size of the nodes represents the number of publications of each author. The thickness between two nodes indicates the total number of citations between each node – where one node cites the other. The citation links have no direction. The colour of the node represents the average year of publication for each author.

449 Fig. 12 shows the citation analysis of the most cited authors. From the top 30 entries, 23 450 unique names are shown from 1705 publications, and with over 4065 unique authors. The 451 number of connections to other authors is referred to as Links, while the total link strength 452 refers to the strength of a link of one item with other items. In this case of citation links between 453 researchers, the links attribute indicates the number of citation links of a given researcher with 454 other researchers. The total link strength attribute indicates the total strength of the citation 455 links of a given researcher with other researchers (adapted from manual). Fig. 12 is a small 456 sample of the citations between authors and gives an insight into how collaborative research 457 within this field is. From Fig. 12 it is evident that the authors on the left-hand side of the network 458 are more established authors with a lower average year of publication, while those on the right 459 have a more recent average year of publication. This shows the shift in research and the volume of citations between researchers who are newer in this field of research. More detailed 460 461 information about the authors listed in Fig. 12 is presented in Table S2 in the supplementary 462 information.

463 3.6. Cited analysis of publications

465 Most cited gasification publications.

Title	Reference	Journal	Institute	Country	Cited by
Generalized pyrolysis model for combustible solids	(Lautenberger and Fernandez-Pello, 2009)	Fire Saf. J.	UC Berkeley	USA	196
Hydrogen production by steam gasification of polypropylene with various nickel catalysts	(Wu and Williams, 2009)	Appl. Catal. B	UL	UK	155
Syngas production from catalytic gasification of waste polyethylene: Influence of temperature on gas yield and composition	(He et al., 2009)	Int. J. Hydrog. Energy	HUST	China	146
Characteristics of syngas from co-gasification of polyethylene and woodchips	(Ahmed et al., 2011)	Appl. Energy	UMD	USA	117
A study of the flammability reduction mechanism of polystyrene-layered silicate nanocomposite: Layered silicate reinforced carbonaceous char	(Gilman et al., 2006)	Polym. Adv. Technol.	BFRL	USA	115
Air gasification of polypropylene plastic waste in fluidized bed gasifier	(Xiao et al., 2007)	Energy Convers. Manag.	SEU	China	113
Effect of catalysts in the quality of syngas and by- products obtained by co-gasification of coal and wastes. 1. Tars and nitrogen compounds abatement	(Pinto et al., 2007)	Fuel	INETI	Portugal	95
Investigation of coke formation on Ni-Mg-AI catalyst for hydrogen production from the catalytic steam pyrolysis-	(Wu and Williams, 2010)	Appl. Catal. B	UL	UK	87
Control of steam input to the pyrolysis-gasification of waste plastics for improved production of hydrogen or carbon nanotubes	(Acomb et al., 2014)	Appl. Catal. B	UL	UK	86
Fluidized bed thermal degradation products of HDPE in an inert atmosphere and in air-nitrogen mixtures	(Mastral et al., 2003)	J. Anal. Appl. Pyrolysis	UNIZAR	Spain	86

466 NIST: National Institute of Standards and Technology; UC Berkeley: University of California, Berkeley; HUST: Huazhong University of Science

467 and Technology; UMD: University of Maryland; BFRL: Building and Fire Research Laboratory; SEU: Southeast University; INETI: Instituto

468 Nacional de Engenharia, Tecnologia e Inovação; UL: University of Leeds; UNIZAR: University of Zaragoza

## 470 Most cited liquefaction publications.

Title	Reference	Journal	Institute	Country	Cited by
Analysis of products from the pyrolysis and liquefaction of single	(Williams and	Resour.	UL	UK	135
plastics and waste plastic mixtures	Slaney, 2007)	Conserv.			
		Recy.			
Coliquefaction of Waste Plastics with Coal	(Taghiei et al., 1994)	Energy &	UKY	USA	91
		Fuels			
Direct liquefaction of waste plastics and coliquefaction of coal-	(Feng et al., 1996)	Fuel Process.	UKY	USA	73
plastic mixtures		Technol.			
Thermal degradation/hydrogenation of commodity plastics and	(Murty et al., 1996)	Fuel Process.	UKY	USA	46
characterization of their liquefaction products		Technol.			
Depolymerization-liquefaction of plastics and rubbers. 1.	(Shabtai et al., 1997)	Energy &	UoU	USA	45
Polyethylene, polypropylene, and polybutadiene		Fuels			
Co-liquefaction of microalgae and synthetic polymer mixture in	(Pei et al., 2012)	Fuel Process.	HNU	China	42
sub- and supercritical ethanol		Technol.			
Liquefaction of mixed plastics containing PVC and dechlorination	(Bhaskar et al., 2003)	Energy &	OU	Japan	41
by calcium-based sorbent		Fuels			
Co-liquefaction of Makarwal coal and waste polystyrene by	(Hussain et al., 2011)	J. Anal. Appl.	AWKUM	Pakistan	38
microwave-metal interaction pyrolysis in copper coil reactor		Pyrolysis			
Co-production of bio-oil and propylene through the hydrothermal	(Wagner et al., 2016)	Bioresour.	UoB	UK	34
liquefaction of polyhydroxybutyrate producing cyanobacteria		Technol.			
Mechanism study of iron-based catalysts in co-liquefaction of coal	(Wang and Chen,	Fuel	SDUST	China	31
with waste plastics	2002)				

471 UL: University of Leeds; UKY: University of Kentucky; UoU: University of Utah; HNU: Hunan University; OU: Okayama University; AWKUM: Abdul

472 Wali Khan University; UoB: University of Bath; SDUST: Shandong University of Science and Technology

473

## 476 Most cited pyrolysis publications.

Title	Reference	Source title	Institute	Country	Cited by
Pyrolysis characteristics and kinetics of municipal solid wastes	(Sørum et al., 2001)	Fuel	NTNU	Norway	397
Chemical recycling of plastic wastes made from polyethylene (LDPE and HDPE) and polypropylene (PP)	(Achilias et al., 2007)	J. Hazard. Mater.	AUTH	Greece	292
Kinetic study on the thermal degradation of polypropylene and polyethylene	(Bockhorn et al., 1999)	J. Anal. Appl. Pyrolysis	KIT	Germany	271
Identification of polymer types and additives in marine microplastic particles using pyrolysis-GC/MS and scanning electron microscopy	(Fries et al., 2013)	Environ. Sci. Process Impacts	UOS	Germany	267
Pyrolysis of municipal plastic wastes for recovery of gasoline-range hydrocarbons	(Demirbas, 2004)	J. Anal. Appl. Pyrolysis	SÜ	Turkey	241
Thermal degradation behaviors of polyethylene and polypropylene. Part I: Pyrolysis kinetics and mechanisms		Energy Convers. Manag.	UCA	Morocco	238
Kinetics of the low-temperature pyrolysis of bolyethene, polypropene, and polystyrene modeling, experimental determination, and comparison with iterature models and data		Ind. Eng. Chem. Res.	UT	Netherlands	236
Fluidised bed pyrolysis of low density polyethylene to produce petrochemical feedstock	(Williams and Williams, 1999)	J. Anal. Appl. Pyrolysis	UL	UK	231
A study of the products of PVC thermal degradation	(McNeill et al., 1995)	Polym. Degrad. Stab.	Glas	UK	218
Thermogravimetric characteristics and kinetic of plastic and biomass blends co-pyrolysis	(Zhou et al., 2006)	Fuel Process. Techol.	TU	China	213

477 NIST: National Institute of Standards and Technology; NTNU: Norwegian University of Science and Technology; AUTH: Aristotle University of

478 Thessaloniki; KIT: Karlsruhe Institute of Technology; UOS: University of Osnabrueck; SÜ: Selçuk University; UCA: Université Cadi Ayyad; UT:

479 University of Twente; UL: University of Leeds; Glas: University of Glasgow; TU: Tianjin University.

Tables 2, 3 and 4 display the most influential papers in each of the three different types of thermochemical conversion technologies. The publications have been selected based on the total number of citations.

For gasification, all listed publications have been published during the second half of the research period, indicating that recent publications are more influential, in comparison with publications before 2005. For liquefaction and pyrolysis publications the publications are spread over most of the 30-year period. The low number of papers in liquefaction research could explain the low citations, and the low average citations per year for the publications. Four of the liquefaction papers focused on co-liquefaction, highlighting that this thermochemical conversion is usually used for plastic paired with another material.

For pyrolysis research, all publications have over 200 citations, which is more than 2 times higher than the least cited one in gasification (86 citations), and around 7 times higher compared to the least cited liquefaction publication (31 citations). This displays the strong interest and dominance of pyrolysis as a thermochemical conversion technology when considering the treatment of plastic waste.

495 Tables 2, 3 and 4 show that there are two types of publications that would lead to a high 496 citation. One type of publication is about novel processes, while another type is about reaction 497 kinetics and mechanism. For gasification, pioneer papers on novel processes such as steam 498 gasification (Wu and Williams, 2009), air gasification (Xiao et al., 2007), catalytic gasification 499 (He et al., 2009), co-gasification with biomass (Ahmed et al., 2011), pyrolysis-gasification 500 coupling (Acomb et al., 2014), all have received high interests. For liquefaction, most of the 501 highly cited publications were on the co-liquefaction process, clearly indicating that co-502 liquefaction is the most promising process in the relevant research area. For pyrolysis, six out 503 of the ten most-cited publications were about reaction kinetics and mechanism.

504 The most cited recent publications, which were published in 2016 and after, give a better 505 view on the future direction in the research area. As shown in Table 5, three keywords are 506 noticeable, i.e., plastic waste types, co-pyrolysis, and catalytic pyrolysis. These keywords

507 have pointed out one challenge and two potential solutions of thermochemical conversion of 508 plastic waste. Chemically recycle plastic waste with a variety of mixed plastic types and 509 additives is always a challenge. Different plastics have different properties and thus lead to 510 different products (Miandad et al., 2017a). Heteroatoms, such as chlorine, may seriously affect 511 the quality of the products and the lifetime of the equipment. Therefore, the sorting and 512 pretreatment of plastic waste are extremely important. Co-pyrolysis of plastic waste with other 513 waste streams, especially biomass, provide a promising route for waste management as 514 multiple waste streams are consumed as feedstock which could lead to significant waste 515 reduction (Abnisa and Wan Daud, 2014). Among the ten most cited recent publications, there 516 are examples of co-pyrolysis of plastic waste with paper waste (Chattopadhyay et al., 2016; 517 Chen et al., 2016), cellulose (Kim et al., 2016), lignin (Fan et al., 2017; Jin et al., 2016) and 518 rice straw (Kai et al., 2017). All six papers have demonstrated a strong synergistic effect 519 between biomass and plastics during the pyrolysis. The yields of liquid and gas products and 520 the aromatics and olefin production are affected by the interaction of biomass and plastics. 521 Comparing to thermal pyrolysis, catalytic pyrolysis offers numerous advantages, including 522 lower reaction temperature, shorter reaction time, better quality products and reduced need 523 for further upgrading (Miandad et al., 2016). Catalysts were used in five of the ten most cited 524 recent publications. Zeolites, such as ZSM-5 (Fan et al., 2017; Kim et al., 2016; Ratnasari et 525 al., 2017), Y-type zeolite (Kim et al., 2016) and natural zeolite (Miandad et al., 2017b), were 526 the most commonly used. Metal oxides (Fan et al., 2017) and supported metal catalysts 527 (Chattopadhyay et al., 2016) were also investigated. Based on the analysis of the ten most 528 cited recent publications, it is clear that co-pyrolysis and catalytic pyrolysis are the current 529 trend in the research area of the thermochemical treatment of plastic waste.

530

## 532 Most cited recent publications since 2016.

Title	Reference	Source title	Institute	Country	Cited by
Effect of plastic waste types on pyrolysis liquid oil	(Miandad et al., 2017a)	Int. Biodeterior. Biodegradation.	KAU	Saudi Arabia	116
Fast microwave-assisted catalytic co-pyrolysis of lignin and low-density polyethylene with HZSM-5 and MgO for improved bio-oil yield and quality	(Fan et al., 2017)	Bioresour. Technol.	NU	China	85
Catalytic co-pyrolysis of paper biomass and plastic mixtures (HDPE (high density polyethylene), PP (polypropylene) and PET (polyethylene terephthalate)) and product analysis	(Chattopadhyay et al., 2016)	Energy	BIT	India	82
Catalytic pyrolysis of waste plastics using staged catalysis for production of gasoline range hydrocarbon oils	(Ratnasari et al., 2017)	J. Anal. Appl. Pyrolysis	UL	United Kingdom	80
Catalytic Copyrolysis of Cellulose and Thermoplastics over HZSM-5 and HY	(Kim et al., 2016)	ACS Sustain. Chem. Eng.	UoS	South Korea	78
Time and temperature depended fuel gas generation from pyrolysis of real world municipal plastic waste	(Singh and Ruj, 2016)	Fuel	CSIR- CMERI	India	74
Evaluation of the co-pyrolysis of lignin with plastic polymers by TG-FTIR and Py-GC/MS		Polym. Degrad. Stab.	SEU	China	71
Co-pyrolysis of waste newspaper with high-density polyethylene: Synergistic effect and oil characterization	(Chen et al., 2016)	Energy Convers. Manag.	NFU	China	67
Plastic waste to liquid oil through catalytic pyrolysis using natural and synthetic zeolite catalysts	(Miandad et al., 2017b)	Waste Manage.	KAU	Saudi Arabia	64
Study on the co-pyrolysis of rice straw and high density polyethylene blends using TG-FTIR-MS	(Kai et al., 2017)	Energy Convers. Manag.	DUT	China	63

533

KAU: King Abdulaziz University; NU: Nanchang University; BIT: Birla Institute of Technology; UL: University of Leeds; UoS: University of Seoul;
 CSIR-CMERI: CSIR-Central Mechanical Engineering Research Institute; SEU: Southeast University; NFU: Nanjing Forestry University; DUT:
 Dalian University of Technology.

## 538 3.7. Keyword analysis

539 Table 6 summaries the changing trend of the keywords in the last three decades. In 540 gasification papers, the top three keywords have remained constant for the past two decades, i.e. gasification, polyethylene and hydrogen, however the total occurrences have either 541 doubled or tripled. Pyrolysis has moved from 9<sup>th</sup> to 5<sup>th</sup> and stayed constant at 5<sup>th</sup> from 2000, 542 543 indicating the strong link between these thermochemical conversion technologies and the 544 similarities between these work. The rank of steam gasification is evidently increasing, moving from 10<sup>th</sup> to 9<sup>th</sup> between 2000 – 2009 and 2010 – 2019. The most recent keywords indicate an 545 546 interest in co-gasification techniques, especially for plastic and biomass.

## 547 Table 6

## 548 Keyword analysis.

	1990-1999 Keywords	2000-2009 Keywords	2010-2019 Keywords			
	Gasification					
Rank	9 Publications	36 Publications	95 Publications			
1	Alumina (1)	Gasification (16)	Gasification (29)			
2	Catalysis (1)	Polyethylene (8)	Polyethylene (22)			
3	Char (1)	Hydrogen (5)	Hydrogen (17)			
4	Decomposition (1)	Polypropylene (5)	Plastic waste (15)			
5	Gas formation (1)	Pyrolysis (5)	Pyrolysis (15)			
6	Gasification (1)	Catalyst (4)	Waste (12)			
7	Polyethylene (1)	Nickel (4)	Biomass (11)			
8	Polyvinyl chloride (1)	Waste (4)	Co-gasification (7)			
9	Pyrolysis (1)	Polyvinyl chloride (3)	Steam (7)			
10	Waste plastics (1)	Steam (2)	Polypropylene (6)			
	Liquefaction					
Rank	20 Publications	9 Publications	14 Publications			
1	Liquefaction (5)	Co-liquefaction (3)	Co-liquefaction (4)			
2	Co-Liquefaction (4)	Waste plastics (2)	Copper (2)			
3	Waste plastics (4)	-	Hydro-liquefaction (2)			
4	Polyethylene (3)	-	Liquefaction (2)			
5	Catalysts (2)	-	Microalgae (2)			
6	Coal (2)	-	Microwave (2)			
7	Lignite (2)	-	Phase (2)			
8	-	-	Plastic (2)			
9	-	-	Polyethylene (2)			
10	-	-	Polystyrene (2)			
11			Supercritical Water (2)			
	Pyrolysis					
Rank	166 Publications	397 Publications	822 Publications			
1	Pyrolysis (44)	Pyrolysis (135)	Pyrolysis (279)			
2	Polystyrene (24)	Polyethylene (62)	Polyethylene (139)			
3	Polyethylene (12)	Polystyrene (37)	Copyrolysis (94)			

	4	$\mathbf{D}$ a line size state la minta (4.0)	Kinatian (20)	Dlastic we sta $(0.1)$
	4	Polyvinylchloride (10)	Kinetics (36)	Plastic waste (84)
	5	Gas chromatography (6)	Polypropylene (26)	Polystyrene (79)
	6	Kinetics (6)	Thermal degradation (25)	Polypropylene (74)
	7	Pyrolysis gc-ms (6)	Thermogravimetric analysis (24)	Catalytic pyrolysis (68)
	8	Mass spectroscopy (4)	Polyvinylchloride (24)	Kinetics (66)
	9	Polyurethane (4)	Plastic waste (21)	Biomass (53)
_	10	Thermal decomposition (3)	Polyurethane (15)	Zeolite (48)

549

550 Due to the limited number of papers in liquefaction research, there have not been enough 551 keywords to rank from 1 – 10. Between 2010 – 2019, 11 keywords are displayed due to only 552 one word being applicable if a frequency of two was dismissed. Early liquefaction research 553 appears to use coal as a catalyst. In contrast, in more recent publications, it appears that co-554 liquefaction and hydro-liquefaction are preferred, using biomass such as microalgae, and 555 supercritical water, respectively.

556 Pyrolysis keywords indicate the constant growth of research in this thermochemical 557 conversion technology. The frequency of the highest-ranked keyword has increased by a 558 factor of 6 from the first decade to the last decade. The most commonly used plastic, polystyrene and polyethylene ranked the 2<sup>nd</sup> and 3<sup>rd</sup> in all decades except the last one -559 polystyrene went from the 3<sup>rd</sup> ranking to the 5<sup>th</sup>. There was a strong focus on analytical 560 561 methods in the first 20 years of research, evidenced by terms such as gas chromatography, 562 pyrolysis qc-ms, mass spectroscopy, and thermogravimetric analysis. In the last decade of 563 publications, as with gasification and liquefaction, there has been increased research in co-564 pyrolysis and catalytic pyrolysis. This is supported by the appearance of biomass and zeolite, 565 being the rank of 9 and 10, respectively. The co-pyrolysis with biomass is progressive as it is 566 addressing the possibility of using renewable resources to generate pyrolysis products which 567 traditionally would have been only derived from plastic feedstock. However, using biomass 568 may decrease the capacity of plastic waste that can be processed, which will ultimately add 569 to the problem of plastic solid waste. Conversely, the introduction of zeolite and catalytic 570 pyrolysis could increase the capacity by reducing the process time and improve the quality of

the liquid product. Therefore, the catalytic co-pyrolysis with biomass, ensures the process issustainable, while also addressing the problem of plastic solid waste.

#### 573 **4. Conclusions**

574 Three decades of literature on thermochemical conversion technologies of plastic waste 575 has been analysed in this study, to show the research trends in three different types of 576 thermochemical conversion technologies, gasification, liquefaction, and pyrolysis.

577 The key findings were as follows:

In the past three decades, a total of 71 countries have contributed to the research on the thermochemical conversion treatment methods of plastic solid waste. However, a majority of the contributions are from 10 key countries, with China accounting for 21.58% of the total publications.

582 Pyrolysis has been the most heavily researched thermochemical conversion technology, 583 with 88.43% of publications, and publications from all 71 countries included in the study.

584 The number of publications is linked to funding with the most productive countries receiving 585 both national and local funding, as well as projects funded by academic institutions.

Research is in a very defining stage at the moment, should interest increase significantly in the next few years. Co-pyrolysis with biomass and catalytic pyrolysis are gaining increased interests. It is clear that the import of plastics ban announced by China since 2017 is a key factor for increased research, and the next few years of research will show the true impact of this. Due to this displacement of such large volumes of plastic waste, it is likely that publications will increase in immediate years as each nation seeks solutions on how to manage and valorise the domestic or imported displaced plastic waste.

#### 593 Acknowledgements

594 The work was supported by an Institutional Links grant (No. 527641843), under the Turkey 595 partnership. The grant is funded by the UK Department for Business, Energy and Industrial

596 Strategy together with the Scientific and Technological Research Council of Turkey 597 (TÜBİTAK; Project no. 119N302) and delivered by the British Council.

## 598 **CRediT authorship contribution statement**

Roomana Khatun: Investigation, Formal analysis, Writing - Original Draft; Huan Xiang:
Formal analysis, Writing - Review & Editing; Yang Yang: Supervision, Writing - Review &
Editing, Funding acquisition; Jiawei Wang: Supervision, Writing - Review & Editing, Funding
acquisition, Güray Yildiz: Writing - Review & Editing, Funding acquisition

## 603 References

- Abnisa, F., Wan Daud, W.M.A., 2014. A review on co-pyrolysis of biomass: An optional
  technique to obtain a high-grade pyrolysis oil. Energy Convers. Manag. 87, 71–85.
  https://doi.org/10.1016/J.ENCONMAN.2014.07.007
- Aboulkas, A., Bouadili, A. El, 2010. Thermal degradation behaviors of polyethylene and
  polypropylene. Part I: Pyrolysis kinetics and mechanisms. Energy Convers. Manag. 51,
  1363–1369.
- Achilias, D.S., Roupakias, C., Megalokonomos, P., Lappas, A.A., Antonakou, E. V, 2007.
  Chemical recycling of plastic wastes made from polyethylene (LDPE and HDPE) and
  polypropylene (PP). J. Hazard. Mater. 149, 536–542.
- Acomb, J.C., Wu, C., Williams, P.T., 2014. Control of steam input to the pyrolysis-gasification

of waste plastics for improved production of hydrogen or carbon nanotubes. Appl. Catal.

615 B Environ. 147, 571–584. https://doi.org/https://doi.org/10.1016/j.apcatb.2013.09.018

- Ahmed, I.I., Nipattummakul, N., Gupta, A.K., 2011. Characteristics of syngas from cogasification of polyethylene and woodchips. Appl. Energy 88, 165–174.
  https://doi.org/10.1016/j.apenergy.2010.07.007
- Al-Salem, S.M., Lettieri, P., Baeyens, J., 2010. The valorization of plastic solid waste (PSW)
  by primary to quaternary routes: From re-use to energy and chemicals. Prog. Energy
  Combust. Sci. 36, 103–129. https://doi.org/https://doi.org/10.1016/j.pecs.2009.09.001

- Al-Salem, S.M., Lettieri, P., Baeyens, J., 2009. Recycling and recovery routes of plastic solid
  waste (PSW): A review. Waste Manag. https://doi.org/10.1016/j.wasman.2009.06.004
- Antelava, A., Damilos, S., Hafeez, S., Manos, G., Al-Salem, S.M., Sharma, B.K., Kohli, K.,
- 625 Constantinou, A., 2019. Plastic Solid Waste (PSW) in the Context of Life Cycle
- Assessment (LCA) and Sustainable Management. Environ. Manage. 64, 230–244.
- 627 https://doi.org/10.1007/s00267-019-01178-3
- Arandes, J.M., Ereña, J., Bilbao, J., López-Valerio, D., de la Puente, G., 2003. Valorization of
   the Blends Polystyrene/Light Cycle Oil and Polystyrene-Butadiene/Light Cycle Oil over
- 630 HZSM-5 Zeolites. Ind. Eng. Chem. Res. 42, 3700–3710.
  631 https://doi.org/10.1021/ie030045j
- 632 Basu, P., 2018. Biomass Gasification Pyrolysis and Torrefaction.
- Bhaskar, T., Uddin, M.A., Kaneko, J., Kusaba, T., Matsui, T., Muto, A., Sakata, Y., Murata, K.,
- 634 2003. Liquefaction of mixed plastics containing PVC and dechlorination by calcium-based
  635 sorbent. Energy & fuels 17, 75–80.
- Bockhorn, H., Hornung, A., Hornung, U., Schawaller, D., 1999. Kinetic study on the thermal
  degradation of polypropylene and polyethylene. J. Anal. Appl. Pyrolysis 48, 93–109.
  https://doi.org/https://doi.org/10.1016/S0165-2370(98)00131-4
- Brems, A., Dewil, R., Baeyens, J., Zhang, R., 2013. Gasification of plastic waste as waste-toenergy or waste-to-syngas recovery route. Nat. Sci. 05, 695–704.
  https://doi.org/10.4236/ns.2013.56086
- 642 Chattopadhyay, J., Pathak, T.S., Srivastava, R., Singh, A.C., 2016. Catalytic co-pyrolysis of 643 paper biomass and plastic mixtures (HDPE (high density polyethylene), PP
- 644 (polypropylene) and PET (polyethylene terephthalate)) and product analysis. Energy 103,
- 645 513–521. https://doi.org/10.1016/J.ENERGY.2016.03.015
- 646 Chen, W., Shi, S., Zhang, J., Chen, M., Zhou, X., 2016. Co-pyrolysis of waste newspaper with
- 647 high-density polyethylene: Synergistic effect and oil characterization. Energy Convers.
- 648 Manag. 112, 41–48. https://doi.org/10.1016/J.ENCONMAN.2016.01.005

- Demirbas, A., 2004. Pyrolysis of municipal plastic wastes for recovery of gasoline-range
  hydrocarbons. J. Anal. Appl. Pyrolysis 72, 97–102.
  https://doi.org/10.1016/j.jaap.2004.03.001
- deSousa, F.D.B., 2021. Management of plastic waste: A bibliometric mapping and analysis.
  Waste Manag. Res. 0, 0734242X21992422. https://doi.org/10.1177/0734242X21992422
- 654 Fan, L., Chen, P., Zhang, Y., Liu, S., Liu, Y., Wang, Y., Dai, L., Ruan, R., 2017. Fast
- 655 microwave-assisted catalytic co-pyrolysis of lignin and low-density polyethylene with 656 HZSM-5 and MgO for improved bio-oil yield and quality. Bioresour. Technol. 225, 199–
- 657 205. https://doi.org/10.1016/J.BIORTECH.2016.11.072
- Feng, Z., Zhao, J., Rockwell, J., Bailey, D., Huffman, G., 1996. Direct liquefaction of waste
  plastics and coliquefaction of coal-plastic mixtures. Fuel Process. Technol. 49, 17–30.
  https://doi.org/https://doi.org/10.1016/S0378-3820(96)01036-3
- Fries, E., Dekiff, J.H., Willmeyer, J., Nuelle, M.-T., Ebert, M., Remy, D., 2013. Identification of
  polymer types and additives in marine microplastic particles using pyrolysis-GC/MS and
  scanning electron microscopy. Environ. Sci. Process. Impacts 15, 1949–1956.
  https://doi.org/10.1039/C3EM00214D
- Fu, H., Ho, Y., Sui, Y., Li, Z., 2010. A bibliometric analysis of solid waste research during the
  period 1993-2008. Waste Manag. 30, 2410–2417.
  https://doi.org/10.1016/j.wasman.2010.06.008
- Geyer, R., Jambeck, J.R., Law, K.L., 2017. Production, use, and fate of all plastics ever made.
  Sci. Adv. 3, e1700782. https://doi.org/10.1126/sciadv.1700782
- Gilman, J.W., Jr., R.H.H., Shields, J.R., Kashiwagi, T., Morgan, A.B., 2006. A study of the
  flammability reduction mechanism of polystyrene-layered silicate nanocomposite:
  layered silicate reinforced carbonaceous char. Polym. Adv. Technol. 17, 263–271.
  https://doi.org/https://doi.org/10.1002/pat.682
- He, M., Xiao, B., Hu, Z., Liu, S., Guo, X., Luo, S., 2009. Syngas production from catalytic
  gasification of waste polyethylene: Influence of temperature on gas yield and

- 676
   composition.
   Int.
   J.
   Hydrogen
   Energy
   34,
   1342–1348.

   677
   https://doi.org/10.1016/j.ijhydene.2008.12.023

   <td
- Hussain, Z., Khan, K.M., Basheer, N., Hussain, K., 2011. Co-liquefaction of Makarwal coal
  and waste polystyrene by microwave–metal interaction pyrolysis in copper coil reactor.
- 680 J. Anal. Appl. Pyrolysis 90, 53–55.
- 681 https://doi.org/https://doi.org/10.1016/j.jaap.2010.10.002
- Jin, W., Shen, D., Liu, Q., Xiao, R., 2016. Evaluation of the co-pyrolysis of lignin with plastic
  polymers by TG-FTIR and Py-GC/MS. Polym. Degrad. Stab. 133, 65–74.
  https://doi.org/10.1016/J.POLYMDEGRADSTAB.2016.08.001
- Kai, X., Li, R., Yang, T., Shen, S., Ji, Q., Zhang, T., 2017. Study on the co-pyrolysis of rice
  straw and high density polyethylene blends using TG-FTIR-MS. Energy Convers. Manag.
  146, 20–33. https://doi.org/10.1016/J.ENCONMAN.2017.05.026
- 688 Kim, B.-S., Kim, Y.-M., Lee, H.W., Jae, J., Kim, D.H., Jung, S.-C., Watanabe, C., Park, Y.-K.,

689 2016. Catalytic Copyrolysis of Cellulose and Thermoplastics over HZSM-5 and HY. ACS

690 Sustain. Chem. Eng. 4, 1354–1363.

691 https://doi.org/10.1021/ACSSUSCHEMENG.5B01381

- Kim, Y.S., Hwang, G.C., Bae, S.Y., Yi, S.C., Moon, S.K., Kumazawa, H., 1999. Pyrolysis of
  polystyrene in a batch-type stirred vessel. Korean J. Chem. Eng. 16, 161–165.
  https://doi.org/10.1007/BF02706830
- Lautenberger, C., Fernandez-Pello, C., 2009. Generalized pyrolysis model for combustible
  solids. Fire Saf. J. 44, 819–839.
- Law, K.L., Starr, N., Siegler, T.R., Jambeck, J.R., Mallos, N.J., Leonard, G.H., 2020. The
  United States contribution of plastic waste to land and ocean. Sci. Adv. 6.
  https://doi.org/10.1126/sciadv.abd0288
- Li, N., Han, R., Lu, X., 2018. Bibliometric analysis of research trends on solid waste reuse and
  recycling during 1992–2016. Resour. Conserv. Recycl. 130, 109–117.
  https://doi.org/https://doi.org/10.1016/j.resconrec.2017.11.008

- Maafa, I.M., 2021. Pyrolysis of polystyrene waste: A review. Polymers (Basel).
  https://doi.org/10.3390/polym13020225
- Mastral, F.J., Esperanza, E., Berrueco, C., Juste, M., Ceamanos, J., 2003. Fluidized bed
  thermal degradation products of HDPE in an inert atmosphere and in air-nitrogen
  mixtures. J. Anal. Appl. Pyrolysis 70, 1–17. https://doi.org/10.1016/S01652370(02)00068-2
- McBurney, M.K., Novak, P.L., Novak, P., 2002. Reflections on Communication What Is
  Bibliometrics and Why Should You Care?
- McNeill, I.C., Memetea, L., Cole, W.J., 1995. A study of the products of PVC thermal
  degradation. Polym. Degrad. Stab. 49, 181–191.
  https://doi.org/https://doi.org/10.1016/0141-3910(95)00064-S
- Miandad, R., Barakat, M.A., Aburiazaiza, A.S., Rehan, M., Ismail, I.M.I., Nizami, A.S., 2017a.
  Effect of plastic waste types on pyrolysis liquid oil. Int. Biodeterior. Biodegradation 119,
  239–252. https://doi.org/10.1016/J.IBIOD.2016.09.017
- Miandad, R., Barakat, M.A., Aburiazaiza, A.S., Rehan, M., Nizami, A.S., 2016. Catalytic
  pyrolysis of plastic waste: A review. Process Saf. Environ. Prot. 102, 822–838.
  https://doi.org/10.1016/J.PSEP.2016.06.022
- Miandad, R., Barakat, M.A., Rehan, M., Aburiazaiza, A.S., Ismail, I.M.I., Nizami, A.S., 2017b.
  Plastic waste to liquid oil through catalytic pyrolysis using natural and synthetic zeolite
  catalysts. Waste Manag. 69, 66–78. https://doi.org/10.1016/J.WASMAN.2017.08.032
- Murty, M.V.S., Rangarajan, P., Grulke, E.A., Bhattacharyya, D., 1996. Thermal
  degradation/hydrogenation of commodity plastics and characterization of their
  liquefaction products. Fuel Process. Technol. 49, 75–90.
  https://doi.org/https://doi.org/10.1016/S0378-3820(96)01040-5
- Panepinto, D., Senor, A., Genon, G., 2016. Energy recovery from waste incineration:
  economic aspects. Clean Technol. Environ. Policy 18, 517–527.
  https://doi.org/10.1007/s10098-015-1033-7

- Pauna, V.H., Buonocore, E., Renzi, M., Russo, G.F., Franzese, P.P., 2019. The issue of
  microplastics in marine ecosystems: A bibliometric network analysis. Mar. Pollut. Bull.
  149, 110612. https://doi.org/https://doi.org/10.1016/j.marpolbul.2019.110612
- Pei, X., Yuan, X., Zeng, G., Huang, H., Wang, J., Li, H., Zhu, H., 2012. Co-liquefaction of
- microalgae and synthetic polymer mixture in sub- and supercritical ethanol. Fuel Process.
- 735 Technol. 93, 35–44. https://doi.org/https://doi.org/10.1016/j.fuproc.2011.09.010
- Pinto, F., Lopes, H., André, R.N., Gulyurtlu, I., Cabrita, I., 2007. Effect of catalysts in the quality
- of syngas and by-products obtained by co-gasification of coal and wastes. 1. Tars and

738nitrogencompoundsabatement.Fuel86,2052–2063.739https://doi.org/https://doi.org/10.1016/j.fuel.2007.01.019

- Ramdoss, P.K., Tarrer, A.R., 1998. High-temperature liquefaction of waste plastics. Fuel 77,
  293–299. https://doi.org/https://doi.org/10.1016/S0016-2361(97)00193-2
- Ratnasari, D.K., Nahil, M.A., Williams, P.T., 2017. Catalytic pyrolysis of waste plastics using
  staged catalysis for production of gasoline range hydrocarbon oils. J. Anal. Appl.
  Pyrolysis 124, 631–637. https://doi.org/10.1016/J.JAAP.2016.12.027
- Shabtai, J., Xiao, X., Zmierczak, W., 1997. Depolymerization–Liquefaction of Plastics and
  Rubbers. 1. Polyethylene, Polypropylene, and Polybutadiene. Energy & Fuels 11, 76–87.
  https://doi.org/10.1021/ef960076+
- Singh, R.K., Ruj, B., 2016. Time and temperature depended fuel gas generation from pyrolysis
- 749 of real world municipal plastic waste. Fuel 174, 164–171.
   750 https://doi.org/10.1016/J.FUEL.2016.01.049
- 751 Sorensen, R.M., Jovanović, B., 2021. From nanoplastic to microplastic: A bibliometric analysis
- on the presence of plastic particles in the environment. Mar. Pollut. Bull. 163, 111926.
- 753 https://doi.org/https://doi.org/10.1016/j.marpolbul.2020.111926
- Sørum, L., Grønli, M.G., Hustad, J.E., 2001. Pyrolysis characteristics and kinetics of municipal
  solid wastes. Fuel 80, 1217–1227. https://doi.org/https://doi.org/10.1016/S00162361(00)00218-0

- Taghiei, M.M., Feng, Z., Huggins, F.E., Huffman, G.P., 1994. Coliquefaction of Waste Plastics
  with Coal. Energy & Fuels 8, 1228–1232. https://doi.org/10.1021/ef00048a010
- 759 Wagner, J., Bransgrove, R., Beacham, T.A., Allen, M.J., Meixner, K., Drosg, B., Ting, V.P.,
- 760 Chuck, C.J., 2016. Co-production of bio-oil and propylene through the hydrothermal
- 761 liquefaction of polyhydroxybutyrate producing cyanobacteria. Bioresour. Technol. 207,
- 762 166–174. https://doi.org/https://doi.org/10.1016/j.biortech.2016.01.114
- Wang, L., Chen, P., 2002. Mechanism study of iron-based catalysts in co-liquefaction of coal
  with waste plastics. Fuel 81, 811–815. https://doi.org/https://doi.org/10.1016/S00162361(01)00201-0
- Wang, Y., Lai, N., Zuo, J., Chen, G., Du, H., 2016. Characteristics and trends of research on
  waste-to-energy incineration: A bibliometric analysis, 1999–2015. Renew. Sustain.
- 768
   Energy Rev. 66, 95–104. https://doi.org/https://doi.org/10.1016/j.rser.2016.07.006
- 769 Westerhout, R.W.J., Waanders, J., Kuipers, J.A.M., van Swaaij, W.P.M., 1997. Kinetics of the
- Low-Temperature Pyrolysis of Polyethene, Polypropene, and Polystyrene Modeling,
- Experimental Determination, and Comparison with Literature Models and Data. Ind. Eng.

772 Chem. Res. 36, 1955–1964. https://doi.org/10.1021/ie960501m

- Williams, P.T., Slaney, E., 2007. Analysis of products from the pyrolysis and liquefaction of
  single plastics and waste plastic mixtures. Resour. Conserv. Recycl. 51, 754–769.
  https://doi.org/10.1016/j.resconrec.2006.12.002
- Williams, P.T., Williams, E.A., 1999. Fluidised bed pyrolysis of low density polyethylene to
  produce petrochemical feedstock. J. Anal. Appl. Pyrolysis 51, 107–126.
  https://doi.org/https://doi.org/10.1016/S0165-2370(99)00011-X
- Wu, C., Williams, P.T., 2010. Investigation of coke formation on Ni-Mg-Al catalyst for hydrogen
   production from the catalytic steam pyrolysis-gasification of polypropylene. Appl. Catal.
- 781 B Environ. 96, 198–207. https://doi.org/https://doi.org/10.1016/j.apcatb.2010.02.022
- Wu, C., Williams, P.T., 2009. Hydrogen production by steam gasification of polypropylene with
- various nickel catalysts. Appl. Catal. B Environ. 87, 152–161.

784 https://doi.org/10.1016/j.apcatb.2008.09.003

- Xiao, R., Jin, B., Zhou, H., Zhong, Z., Zhang, M., 2007. Air gasification of polypropylene plastic
  waste in fluidized bed gasifier. Energy Convers. Manag. 48, 778–786.
  https://doi.org/10.1016/j.enconman.2006.09.004
- Yuan, X., Cao, H., Li, H., Zeng, G., Tong, J., Wang, L., 2009. Quantitative and qualitative
  analysis of products formed during co-liquefaction of biomass and synthetic polymer
  mixtures in sub- and supercritical water. Fuel Process. Technol. 90, 428–434.
  https://doi.org/https://doi.org/10.1016/j.fuproc.2008.11.005
- Zen, I.S., Ahamad, R., Omar, W., 2013. No plastic bag campaign day in Malaysia and the
  policy implication. Environ. Dev. Sustain. 15, 1259–1269.
  https://doi.org/10.1007/s10668-013-9437-1
- Zhou, L., Wang, Y., Huang, Q., Cai, J., 2006. Thermogravimetric characteristics and kinetic of
  plastic and biomass blends co-pyrolysis. Fuel Process. Technol. 87, 963–969.
  https://doi.org/10.1016/j.fuproc.2006.07.002
- Zhou, M., Wang, R., Cheng, S., Xu, Y., Luo, S., Zhang, Y., Kong, L., 2021. Bibliometrics and
- visualization analysis regarding research on the development of microplastics. Environ.
- 800 Sci. Pollut. Res. 28, 1–15. https://doi.org/10.1007/s11356-021-12366-2

Research on thermochemical conversion of plastic was characterised.

Academic cooperation relationships were studied.

Trends of research emphases were obtained from the changes of keywords.

Hotspots and research trends were discussed as a useful reference for future studies.

Journal Pre-proof

## **Declaration of interests**

 $\boxtimes$  The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: