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Manuscript title: Developing evidence-based guidance for the treatment of dry eye disease with artificial tear supplements: a six-month multicentre, double-masked randomised controlled trial

Short title: Evidence-based guidance for treating dry eye disease

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Phone: +64 9 923 8173 Fax: +64 9 367 7173 Email: jp.craig@auckland.ac.nz Purpose: To assess the six-month therapeutic profiles of lipid and non-lipid-based artificial
tear supplements in managing dry eye disease (DED).

Methods: Ninety-nine participants fulfilling the TFOS DEWS II diagnostic criteria for DED
(64% females; mean±SD age, 44±16 years) were enrolled in a prospective, multicentre,
double-masked, parallel group, randomised controlled trial. Participants instilled lipid-based
nano-emulsion drops or non-lipid-based aqueous drops for six months at least four times
daily. Symptomology, tear film and ocular surface characteristics were assessed at Days 0,
30, 60, 90, 120, 150 and 180.

10 **Results:** Sustained reductions in OSDI, DEQ-5, and SANDE symptom scores from baseline 11 were observed from Day 30 onwards in both groups (all p<0.05) and decreased superior lid wiper epitheliopathy grades from Day 60 onwards (all p≤0.01). Improvements in non-12 invasive tear film breakup time, sodium fluorescein and lissamine green staining scores 13 14 followed from Day 120 onwards in both groups (all p<0.05). Tear lipid layer grades increased from Day 90 onwards only with the lipid-based drops, and with significantly greater 15 16 improvement in those with suboptimal lipid thickness at baseline (grade ≤ 3 ; p=0.02). By Day 180, 19% of participants no longer fulfilled the DED diagnostic criteria. 17 18 **Conclusions:** Over six-months treatment, improvements in dry eye symptomology preceded 19 tear film and ocular surface changes with both lipid and non-lipid-based artificial tear

20 supplements. Both formulations addressed most mild-to-moderate forms of aqueous and

21 evaporative DED, while evaporative cases benefitted preferentially from lipid-based

22 supplementation. This represents a first step towards mapping DED therapeutic strategies

23 according to disease subtype and severity.

24

25 KEYWORDS

26 Dry eye disease; meibomian gland dysfunction; artificial tear supplement; lipomimetic;

27 aqueous deficiency; evaporative

1 ABSTRACT

28 INTRODUCTION

29 Topical eye drops that supplement the natural tear film are the mainstay therapy for dry eye 30 disease (DED). [1] The recent focus on meibomian gland dysfunction and lipid deficiency 31 has driven a substantial evolution of artificial tear supplement formulations. While still 32 presenting a largely palliative solution to managing dry eye, lipid components have been incorporated to address tear lipid deficiency, and aqueous based supplementation continues 33 to be used to target lacrimal insufficiency. However, practitioners seeking guidance in their 34 35 choice of artificial tear supplements for the treatment of dry eye disease are faced with a 36 dearth of sound scientific evidence, as comparative efficacy studies on lipid and non-lipid formulations across the breadth of dry eye subtypes are limited and the quality of evidence is 37 generally low. [2-8] The need for more robust, level 1 comparative efficacy randomised 38 controlled trials (RCTs) for lipid and non-lipid-based formulations to guide the targeted 39 40 treatment according to individual presenting patient characteristics, dry eye subclassification and severity is widely acknowledged. [1,6,9] 41

42 Another area important to clinicians and their patients, but which is similarly devoid of sufficient attention in the literature, is the temporal profile or clinical course of artificial tear 43 supplement efficacy. In a Cochrane review of 43 RCTs on artificial tear solution use for the 44 45 treatment of DED, [6] the average study follow-up duration was six weeks; three trials featured a three-month follow-up and only a single study attempted to investigate drop use 46 over 12 months. [10] Many studies focus on the immediate or short-term effects of a single 47 48 instillation. Longer-term efficacy studies that more closely resemble intended clinical use are 49 necessary to inform clinicians and patients about the recommended length of treatment regimes. An evidence-based approach may assist practitioners in encouraging patient 50 51 compliance by setting realistic expectations on the time course of clinically significant 52 improvements in signs and symptoms, and around the anticipated maximal treatment effect.

The objectives of this six-month, international multi-centre, double-blind, randomised
controlled trial on dry eye disease, diagnosed using global consensus criteria, [11] were to:

1) compare the efficacy of a lipid and a non-lipid based artificial tear supplements for the
management of DED; 2) determine the temporal-therapeutic profile for clinically significant
improvements of signs and symptoms, including the magnitude of change and the time
taken before maximal clinical benefit was observed; and 3) assess whether clinical
outcomes were influenced by baseline dry eye disease subtype or severity. [9,11]

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60 MATERIALS AND METHODS

61 **2.1. Subjects**

This prospective, multicentre, randomised, double masked, parallel group, 6-month efficacy 62 63 trial adhered to the tenets of the Declaration of Helsinki and was approved by the 64 Universities of Auckland, Aston, New South Wales and Waterloo Human Participants Ethics Committees. The study was registered as a clinical trial (ACTRN12619000390189) and 65 abided by the CONSORT statement (Figure 1). [12] The study was conducted between 66 67 March 2019 and March 2020 at clinical academic sites in Australia, Canada, New Zealand 68 and the UK. Participants were required to be 18 years or older, with manifest symptoms and signs of dry eye disease according to the TFOS DEWS II diagnostic criteria (Ocular Surface 69 Disease Index (OSDI) score \geq 13 or 5-Item Dry Eye Questionnaire (DEQ-5) \geq 6, with at least 70 one positive indicator of homeostatic imbalance based on non-invasive tear film break up 71 72 time (NIBUT), tear osmolarity and/or ocular surface staining). [11] In addition, participants were required to be non-contact lens wearers; not be pregnant or planning to become 73 74 pregnant in the next 12 months; to self-report having experienced dry eye symptoms for a minimum of six months; report no history of major systemic or ocular conditions; report no 75 76 ophthalmic surgery in the previous three months or during the treatment period; report no 77 use of systemic or topical medications known to affect the eye two weeks prior to baseline 78 assessment or during the treatment period. Therapeutic measures were allowed during the 79 study period, however, no changes to any treatment courses or routines (such as warm 80 compresses) were permitted during the study. Eligible participants were enrolled for baseline 81 screening after providing written informed consent to participate.



82



84

85 A total of 99 eligible participants were recruited, exceeding the minimum sample size requirements for the desired study power. Sample size was determined from non-parametric 86 87 adjusted power calculations conducted using PASS 2002 (NCSS, Kaysville, UT), with NIBUT and OSDI as the designated primary outcome measures, using a NIBUT standard deviation 88 89 estimate of 6 seconds.[13] The power calculation showed that a total of 84 participants with 90 a minimum of 42 participants per treatment group, was required to allow detection of a clinically significant difference of 4 seconds, with 80% power ($\beta = 0.2$), at a two-sided 91 statistical significance level of 5% ($\alpha = 0.05$). 92

93

94 2.2. Interventions

- 95 The study compared an aqueous-based drop and a combination lipid-aqueous
- 96 nanoemulsion. The aqueous-based drop (Systane Ultra, Alcon, Fort Worth, TX, USA)

97 contains aminomethylpropanol, boric acid, hydroxypropyl guar, POLYQUAD
98 (polyquaternium-1) 0.001% preservative, sorbitol; the lipid-aqueous drop (Systane
99 Complete, Alcon, Fort Worth, TX, USA) contains boric acid, dimyristoyl phosphatidylglycerol,
100 edatate disodium, hydroxypropyl guar, mineral oil, polyoxl 40 stearate, POLYQUAD 0.001%
101 preservative, sorbitan tristearate, sorbitol.

102 Participants were randomised to four times (minimum) daily topical application of either the non-lipid drop (n=49 in total) or the lipid drop (n=50 in total) in both eyes for a 6-month 103 period. Randomisation was conducted by computer-generated random number allocation 104 and applied to sequentially enrolled participants. The randomisation schedule was 105 106 determined prior to participant recruitment, such that the investigator involved in baseline participant assessment had no involvement in treatment allocation. Product labels were 107 108 removed, and customised labels applied to obscure contents. Hence, the study was double masked. Outcome measures were evaluated at 30, 60, 90, 120, 150 and 180 days after the 109 110 baseline visit. Returned eyedrop bottles were weighed at each visit to determine patient compliance. Treatment success at six-months was judged as an improvement of > 4s in 111 NIBUT and/or a \geq 4.5 point reduction in OSDI symptom score. [13,14] Participants were 112 instructed to avoid eye drop instillation for at least 90 minutes prior to measurements being 113 114 collected at review appointments. Any other treatments (such as warm compresses or lid hygiene) were not permitted on the day of testing. 115

116

117 2.3. Measurements

Participants were assessed at one of four sites, with room temperature of 21.5 ± 1.5 °C and relative humidity of 47.8 ± 11.3 % (mean \pm SD). Ocular measurements were conducted on the right eye only of each participant (except for osmolarity where the manufacturers recommendations require both eyes to be assessed). Clinical tests were administered in accordance with the recommendations of the TFOS DEWS II Diagnostic Methodology

subcommittee. [11] To reduce the impact on tear film physiology, the tests were orderedfrom least to most invasive at each study visit (Table 1).

Ocular comfort was assessed using the OSDI, DEQ-5, and the Symptom Assessment in Dry
Eye (SANDE) questionnaires during the treatment period. [15] The overall SANDE score
was calculated as the geometric mean of the frequency and severity scores. [16]
Participants were advised to contact the study investigators during the study period to report
adverse events at any time.

Blinking frequency, bulbar conjunctival hyperaemia, tear meniscus height, NIBUT, and lipid 130 layer grade (LLG) were assessed using the Keratograph 5M (Oculus Optikgeräte, Wetzlar, 131 132 Germany). Blinking frequency was determined by visual counts from videos recorded using infrared illumination of naïve participants. Bulbar conjunctival hyperaemia was evaluated by 133 automated objective evaluation of high magnification digital imaging, benchmarked against 134 the JENVIS grading scale from 0 to 4.[17] The lower tear meniscus height was assessed 135 using high magnification pre-calibrated digital imaging, and three measurements within 1mm 136 of pupil centre at the lower meniscus were averaged. NIBUT was measured using 137 automated detection of first break-up, while the subject maintained fixation and was 138 requested to refrain from blinking. Three breakup time readings were averaged in each case. 139 140 [11] Tear film lipid layer interferometry was graded by a single researcher across all participants according to the modified Guillon-Keeler system: grade 1, open meshwork; 141 grade 2, closed meshwork; grade 3, wave or flow; grade 4, amorphous; grade 5, coloured 142 fringes; grade 0, non-continuous layer (non-visible or abnormal coloured fringes). [18,19] 143

Tear film osmolarity measurements were performed with a clinical osmometer (TearLab,
Escondido, CA), from 50nL tear samples collected from the lower lateral canthus tear
meniscus. A measurement was taken for each eye, and the higher reading and the interocular difference recorded. [11]

Lid margin and eyelash abnormalities, including lid margin thickening, rounding, notching,
foaming, telangiectasia, meibomian gland capping, staphylococcal lash crusting, seborrhoeic

150	lash crusting, and Demodex blepharitis based on eyelash cylindrical dandruff were assessed
151	by slit lamp biomicroscopy examination. [20] Grading of the clinical features was based on a
152	four-point scale: grade 0, absent; grade 1, mild; grade 2, moderate; grade 3, severe. [17]
153	Sodium fluorescein (Fluorets, Laboratoire Chauvin, France) and, where pharmaceutical
154	regulations permit, lissamine green dyes (Green Glo, HUB Pharmaceuticals, Rancho
155	Cucamonga, CA) were applied using previously recommended techniques, [11] in order to
156	evaluate localised corneal and conjunctival areas of epithelial desiccations. Staining was
157	recorded using the modified Oxford grading scheme, [21] and lid wiper epitheliopathy (LWE)
158	was evaluated relative to Korb's grading. [22]
159	Meibum expressibility of the inferior eyelid meibomian glands was assessed using the
160	Meibomian Gland Evaluator (TearScience/Johnson & Johnson, Morrisville, NC, USA), with a
161	standardised pressure of 1.2g/mm ² applied just inferior to the nasal, central, and temporal
162	aspects of the eyelid margin. The number of meibomian orifices yielding lipid secretions was
163	graded on a five-point scale: 0, more than 75%; 1, 50% to 75%; 2, 25% to 50%; 3, less than
164	25%; 4, none. The quality of expressed meibum was graded according to appearance, as:
165	grade 0, clear; grade 1, cloudy; grade 2, cloudy with debris (granular); grade 3, thick,

toothpaste-like; grade 4, waxy, inexpressible. [23] Infrared meibography was performed with
the Oculus Keratograph 5M, whereby the superior and inferior eyelids were everted and
imaged in turn. From the captured images, the proportion of meibomian glands visible within
the tarsal area was graded by a single researcher across all participants according to the
five-point Meiboscale. [24]

Best spectacle corrected visual acuity was recorded as a safety measure at each visit on asix-metre logMAR chart.

173

175 **2.4. Statistics**

Statistical analysis was conducted with Graph Pad Prism version 8.01 (GraphPad Software, 176 San Diego, CA) and SPSS version 24 (IBM, New York, NY). Primary outcomes were NIBUT, 177 and OSDI score. Intention-to-treat analysis was conducted using the last observation carried 178 forward method. Mixed-effects model two-way analysis of variance (ANOVA) testing was 179 conducted to examine the significance of treatment, time and interaction (treatment-by-time) 180 181 effects on measurements over the six-month period, where continuous variables with a 182 normal distribution had been confirmed (Shapiro-Wilk test p>0.05). Non-normally distributed continuous measures were logarithmically transformed prior to undergoing analysis. Post-183 hoc analysis for the significance of treatment effects at each time point, and intra-group 184 comparisons relative to baseline, was conducted using the multiplicity adjusted Sidak's test. 185 Analysis of ordinal data was performed using multiple ordinal regression, with post-hoc 186 187 analysis of treatment effects at each time point conducted using the multiplicity-adjusted non-parametric Dunn's test. Categorical data at baseline were analysed using chi-squared or 188 Fisher's exact tests. All tests were two-tailed, and p<0.05 was considered significant. Data 189 are presented as mean±SD, or median (IQR) unless otherwise stated. 190



- 204 Table 1).
- 205



Figure 2: Recovery of DED status according to TFOS DEWS II criteria [11] in the lipid and non-lipid
 groups at each study visit.

209 Participants showing an improvement from baseline of > 4s in NIBUT and/or a \geq 4.5-point 210 reduction in OSDI were classified as 'responders' to treatment. [13,14] At Day 30, 69.5% and

by Day 180, 74.2% of all participants responded to treatment, with no difference between

treatments. Throughout the study period, responders showed an average improvement of

16.6 ± 12.8 in OSDI symptomology score and of 4.5 ± 5.6 seconds in NIBUT. Non-

responders, however, registered an overall worsening of -2.4 \pm 10.5 in OSDI and of -0.5 \pm

215 3.7 seconds in NIBUT.

216

217 3.1. Visual acuity and adverse events

There were no significant treatment, time, or treatment-by-time interaction effects for bestcorrected visual acuity (all p>0.40, Table 3). Two non-significant adverse events deemed unrelated to the study drops (conjunctivitis) and one drop-related non-significant adverse event (reported itching and irritation following drop application) occurred during the study. All events resolved without sequelae or additional treatment between three and seven days after onset.

224

225 3.2. Dry eye symptomology

226 Mixed-effects model ANOVA demonstrated significant time effects for OSDI, DEQ-5, and 227 SANDE dry eye symptomology scores (all p<0.001, Table 3), although treatment and 228 interaction effects were non-significant (all p>0.20, Table 3). Multiplicity-adjusted post-hoc 229 testing demonstrated sustained reductions in OSDI, DEQ-5, and SANDE scores from Day 230 30 onwards in both treatment groups (all p≤0.01, Supplementary Table 3, Figure 3).

231

3.3. Tear film quality and quantity

A significant time effect was detected for NIBUT (p<0.001, Table 3), although treatment and
 interaction effects were non-significant (both p>0.60, Table 3). Multiplicity-adjusted post-hoc

analysis demonstrated sustained improvements in tear film stability from Day 120 onwards in
both treatment groups (all p<0.05, Supplementary Table 3, Figure 3).

237 Treatment and interaction effects were significant for tear film lipid layer grade (both $p \le 0.01$, 238 Table 3). Multiplicity-adjusted post-hoc testing demonstrated that improvements in tear film 239 lipid layer quality from Day 90 onwards were limited to the lipid-based tear supplement group (all p < 0.05, Supplementary Table 3), with measurements being greater than the non-lipid 240 containing eye drop group (all p<0.05, Supplementary Table 2, Figure 3). Subgroup analysis 241 242 demonstrated significant inter-treatment differences in the maximal change of tear film lipid 243 layer grade during the study period were limited to participants with a baseline grade of 3 or less (median change of +1 versus 0 grades, p=0.01). 244

Treatment, time, and interaction effects for tear meniscus height and tear osmolarity were
not statistically significant (all p>0.30, Table 3).

247

248 **3.4. Ocular surface characteristics**

Time effects were significant for superior lid wiper epitheliopathy grade, and sodium 249 250 fluorescein and lissamine green staining scores (all p<0.01, Table 3). Multiplicity-adjusted 251 post-hoc analysis demonstrated significant decreases in superior lid wiper epitheliopathy 252 grade in both treatment groups from Day 60 onwards (all $p \le 0.01$, Supplementary Table 3, 253 Figure 3), and improvements in sodium fluorescein and lissamine green staining scores from 254 Day 120 onwards (all p<0.05, Supplementary Table 3, Figure 3). No significant treatment, 255 time, or interaction effects were detected for conjunctival hyperaemia, inferior lid wiper epitheliopathy, eyelid margin and eyelash characteristics, meibomian gland dropout, and 256 257 meibum expressibility and quality (all p>0.05, Table 3).

258

259 3.5. Blinking assessment

Treatment, time, and interaction effects for blink rate and the proportion of incomplete blinks
were not statistically significant (all p>0.10, Table 3).



Figure 3: Clinical measurements of participants randomised to lipid and non-lipid-containing eye
 drops showing statistically significant improvements at the indicated timepoint relative to baseline.
 Asterisks denote significant changes observed for both drops, and triangle symbols signify significant
 changes observed for the lipid-containing drop only. Symptomology and NIBUT data are presented as
 mean±SD, and LLG and epithelial staining as medians and IQR.

268 **DISCUSSION**

269 This study provides level 1 clinical evidence for the long-term efficacy of lipid and non-lipid 270 ocular lubricants for the management of dry eye disease. Both formulations demonstrated 271 similar performance, with the exception of tear lipid layer grade improvements, which were 272 limited to the lipid-based drop, from Day 90 onward. This relatively late onset, but sustained 273 change in lipid layer grade thereafter suggests that there may be more than a simple transient effect at play. The mechanism underlying this phenomenon is unclear, but the slow 274 275 fortification of the lipid layer through daily, sustained, repeated lipid supplementation seems 276 to be affecting the ocular surface physiology which in turn restores tear film homeostasis. 277 The tear film stability that improved after four months of drop use may serve to reduce the level of subclinical inflammation secondary to a loss of tear film homeostasis, helping to 278 break the vicious cycle of dry eye disease and promote improved meibomian gland function. 279 280 [25,26] The possibility that long-term lipid supplementation may be linked to improved meibomian gland function warrants future exploration and lends pragmatic support for the 281 prolonged rather than sporadic clinical application of artificial tear supplements. 282

283 A study comparing the same proprietary lipid-aqueous-based to aqueous-based supplements reported that short-term changes in objectively determined lipid layer thickness 284 285 were limited to the lipid-aqueous nanoemulsion. However, no effects were observed 15 minutes post-instillation, or after a month of four times daily instillation.[27] Other studies 286 287 have also failed to detect differences in lipid layer thickness minutes to days post-instillation of these or other lipid-based drops.[28-30] Reasons for differences might relate to the nature 288 of subjective lipid layer grading which reflects the dynamic distribution profile of the entire 289 interpalpebral lipid layer, [31] rather than an average of absolute measures of lipid thickness 290 291 calculated over a predefined area. In the present study, improvements in tear film lipid layer 292 grades were exclusively associated with the nanoemulsion and in participants with poor baseline lipid status. Similarly, in another recent study, lipid layer deficient individuals 293 294 showed a subjective preference for a liposomal spray. [32] However, the 6-month rather than 295 1-month follow up of this study has further allowed exploration of longer-term effects and

- 296 provided evidence to support recommending lipid-based products for patients identified with
- tear lipid deficiency.



Figure 4: Schematic representation of the differential suitability of lipid and non-lipid drop formulations by DED subtype and severity. [11] Mild to moderate aqueous deficient and evaporative cases respond well to both formulations; however, subgroup analysis demonstrated that participants with predominantly evaporative dry eye disease due to lipid insufficiency (with an LLG \leq 3 in this study cohort) preferentially benefit from lipid-based supplementation. Study cohort did not include moderate-severe cases.

- 305
- 306 Drop efficacy demonstrated a distinct time course for the onset of each of the clinical
- 307 benefits. Relatively rapid and then sustained symptomatic relief occurred at the first month of
- 308 use with both drops, which is consistent with the outcomes of previous studies with shorter
- follow up periods.[2,7,8,27,33] In the current study, symptomatic improvement plateaued
- after Day 30 and at the 6-month time point, both drops demonstrated statistically and
- 311 clinically significant symptomatic improvements averaging a reduction of 11, 3- and 17-

points in OSDI, DEQ-5 and SANDE scores, respectively. The relatively early onset of 312 superior LWE improvements observed with both drops, which suggests reduced blink-313 related friction at the lid wiper, aligns favourably with the symptomatic improvement. Effects 314 315 on the upper eyelid only may reflect the extended travel of the upper compared to the lower lid during the blink. [34] Further research is warranted to determine whether the relatively 316 late onset of lipid layer thickness and ocular surface staining and purported glandular 317 function improvements may lead to further symptomatic improvement beyond the time 318 319 course of the present study. [11]

320 Improvements in tear film stability and ocular surface integrity were more gradual than symptomatic improvements and became detectable after three to four months of regular 321 drop use. This relatively late onset suggests, similarly to the observed effects with the lipid 322 layer, that restoration of the ocular surface requires prolonged and regular tear film 323 324 supplementation over a period of several months; only prolonged compliance can achieve clinically detectable benefits that extend beyond the immediate palliative support provided by 325 artificial tear supplements that is reflected in early symptomatic improvement. Interestingly, 326 previous studies investigating similar lipomimetic formulations with shorter followup periods 327 328 have demonstrated improvements in tear film stability at earlier time points between two 329 weeks to three months, although it is noted that the minimum period of time between drop 330 instillation and evaluation of tear film stability at each clinical visit were not specified in these 331 studies, and also fluorescein breakup time rather than non-invasive tear film stability was 332 assessed in some cases.[7,8,35] The effects demonstrated in the present study, instead reflect a more sustained response, given that the non-invasive measurements were 333 334 performed at least 90 minutes after drop instillation. Such disparities reinforce the need for 335 longer clinical trials with robust design and harmonised outcome measures. [11,36] The 336 findings of the present study, however, align with the current understanding of the natural history of DED, wherein corneal and conjunctival staining are recognised to be hallmarks of 337 later, more severe stages in the progression of DED. [37-39] A delayed onset of such 338 changes might then, consequently, be expected to require prolonged treatment to resolve. It 339

is possible, therefore, that the benefits of slow consolidation of the lipid layer over time maybe revealed only in long-term efficacy assessments.

342 DED, according to the TFOS DEWS II diagnostic criteria [11], was resolved in almost one in five participants after six months of drop use. Improvements in signs and symptoms without 343 344 an accompanying change in lipid layer grade with the non-lipid formulation suggest that observed clinical benefits may not be exclusively ascribed to lipid supplementation. While 345 improvements with the lipid-based drops were most significant for lipid-deficient patients in 346 347 the current study, the non-lipid formulation appeared to promote comparable positive 348 outcomes for those without lipid insufficiency. In these cases, aqueous supplementation may offer an adequate, and more cost-effective solution; however, the lipid-based formulation 349 may provide broader, sustained relief across the entire disease spectrum (Figure 4). Of note, 350 one in three participants did not respond to even modern artificial tear therapy, but the data 351 352 suggests this can be determined by one month, allowing alternative management strategies 353 to be trialled in clinical settings.

The severity range of dry eye disease was limited in the current study as a result of its robust 354 355 design and long-term nature, which could not accommodate the multifaceted approach to management that is often needed in severe DED. [1] The mild-moderate DED status of the 356 357 cohort may thus have restricted the opportunity to detect significant change in some markers. Osmolar improvements, for example, require abnormal initial levels; [40] however, 358 in this study only limited numbers demonstrated hyperosmolarity at baseline. Future trials 359 would benefit from inclusion of a broader range of disease severity and subtypes to more 360 fully illustrate the potential benefits and understand the mechanisms by which DED can be 361 managed with artificial tear supplements. 362

363 CONCLUSION

- 364 This study, comparing lipid and non-lipid eye drop formulations, features an extensive,
- clinically informative follow-up period and a robust study design backed by global consensus
 diagnostic criteria, to help address key gaps in the scientific literature.
- Lipid and non-lipid based artificial tear solutions offer rapid symptomatic relief within a month of regular, daily use. More profound, structural improvements in tear film and ocular surface
- 369 integrity were observed, but only after several months of use. Preparations with and without
- a lipid component demonstrated long-term efficacy and a good tolerability profile across a
- 371 range of dry eye subtypes, although the preferential use of lipid-based preparations for the
- 372 management of patients exhibiting evaporative DED is recommended.
- Restoring and maintaining tear homeostasis over time appears to have a therapeutic, rather than solely palliative effect, endorsing regular drop use as a preventive strategy against the progression of dry eye disease. The study outcomes present compelling reasons to prioritise future longer-term research investigations of tear film supplementation efficacy and lend support to benefits of extended use of regularly applied ocular lubricants.

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388

389 CONFLICTS OF INTEREST

390 The authors have no commercial or proprietary interest in any concept or product described

in this article.

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TABLES

Table 1: Order of clinical assessments conducted at days 0, 30, 60, 90, 120, 150 and 180.

Assessments	Day	Day 30	Day	Day	Day	Day	Day
OSDI drv eve questionnaire	x	x	x	x	X	x	x
SANDE dry eye questionnaire	х	x	х	х	x	х	х
Best corrected visual acuity	х	x	x	х	x	х	х
Blinking assessment	х	х	х	х	х	х	х
Conjunctival hyperaemia	х	х	х	х	х	х	х
Tear meniscus height	х	х	х	x	х	х	х
Non-invasive tear film breakup time	х	х	х	х	х	х	х
Tear film lipid layer grade	х	х	х	x	х	х	х
Tear osmolarity	х	х	х	х	х	х	х
Slit lamp biomicroscopy examination	х						х
Ocular surface staining	х	х	x	х	х	х	х
Meibomian gland expressibility	х						х
Infrared meibography	х						х
Intrared melbography x x							

- **Table 2:** Demographic characteristics of participants randomised to lipid and non-lipid
- 511 containing eye drops. Data are presented as mean±SD, or number of subjects (% of
- 512 subjects).

	Lipid drop	Non-lipid drop	
Characteristic	(n=50)	(n=49)	р
Demographics			
Age (years)	45±16	43±17	0.45
Female sex	34 (68%)	32 (65%)	0.83
Ethnicity		C .	
European ethnicity	26 (52%)	24 (49%)	0.90
East Asian ethnicity	12 (24%)	10 (20%)	
South Asian ethnicity	8 (16%)	10 (20%)	
Other ethnicity	4 (8%)	5 (10%)	

- 517 **Table 3:** Mixed-effects model analysis of variance of measurements for treatment, time and
- 518 interaction (treatment-by-time) effects. Ordinal data were analysed using multiple ordinal
- 519 regression. Data are presented as p-values. Asterisks denote statistically significant effects
- 520 (p<0.05).
- 521

	p-value		
Measurement	Treatment	Time	Interaction
Visual acuity			
Best corrected visual acuity	0.92	0.45	0.45
Dry eye symptomology			
OSDI score	0.22	<0.001*	0.33
DEQ-5 score	0.52	<0.001*	0.79
SANDE score	0.96	<0.001*	0.34
Tear film quality			
Tear meniscus height	0.57	0.73	0.38
Tear film lipid layer grade	0.01*	0.49	0.002*
Non-invasive tear film breakup time	0.64	< 0.001*	0.96
Tear osmolarity	0.23	0.47	0.39
Inter-ocular difference in osmolarity	0.50	0.67	0.74
Ocular surface characteristics			
Bulbar conjunctival hyperaemia	0.68	0.14	0.66
Limbal conjunctival hyperaemia	0.83	0.20	0.85
Sodium fluorescein staining score	0.34	<0.001*	0.42
Lissamine green staining score	0.53	0.009*	0.79
Superior lid wiper epitheliopathy grade	0.45	<0.001*	0.94
Inferior lid wiper epitheliopathy grade	0.27	0.07	0.80
Lid margin thickening grade	0.38	0.95	0.41
Lid margin rounding grade	0.53	0.61	0.69
Lid margin notching grade	0.41	0.31	0.59
Lid margin foaming grade	0.88	0.09	0.07
Lid margin telangiectasia grade	0.78	0.34	0.92
Meibomian gland capping grade	0.44	0.83	0.69
Staphylococcal lash crusting grade	0.60	0.43	0.42
Seborrhoeic lash crusting grade	0.65	0.11	0.96
Demodex lash cylindrical dandruff grade	0.97	0.18	0.89
Superior lid meibography grade	0.66	0.14	0.86
Inferior lid meibography grade	0.24	0.07	0.98
Meibum expressibility grade	0.41	0.37	0.66
Expressed meibum quality grade	0.21	0.61	0.39
Blinking assessment			
Blink rate	0.96	0.39	0.12
Proportion of blinks incomplete	0.82	0.13	0.77