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## Extensive Cortical Convergence to Primate Reticulospinal Pathways

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1 Extensive Cortical Convergence to Primate  
2 Reticulospinal Pathways

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## ABSTRACT

35 Early evolution of the motor cortex included development of connections to brainstem  
36 reticulospinal neurons; these projections persist in primates. In this study we examined the  
37 organisation of corticoreticular connections in five macaque monkeys (one male) using both  
38 intra- and extracellular recordings from reticular formation neurons, including identified  
39 reticulospinal cells. Synaptic responses to stimulation of different parts of primary motor  
40 cortex (M1) and supplementary motor area (SMA) bilaterally were assessed. Widespread  
41 short latency excitation, compatible with monosynaptic transmission over fast-conducting  
42 pathways, was observed, as well as longer latency responses likely reflecting a mixture of  
43 slower monosynaptic and oligosynaptic pathways. There was a high degree of convergence:  
44 56% of reticulospinal cells with input from M1 received projections from M1 in both  
45 hemispheres; for SMA, the equivalent figure was even higher (70%). Of reticulospinal  
46 neurons with input from the cortex, 78% received projections from both M1 and SMA  
47 (irrespective of hemisphere); 83% of reticulospinal cells with input from M1 received  
48 projections from more than one of the tested M1 sites. This convergence at the single cell  
49 level allows reticulospinal neurons to integrate information from across the motor areas of  
50 the cortex, taking account of the bilateral motor context. Reticulospinal connections are  
51 known to strengthen following damage to the corticospinal tract, such as after stroke,  
52 partially contributing to functional recovery. Extensive corticoreticular convergence provides  
53 redundancy of control, which may allow the cortex to continue to exploit this descending  
54 pathway even after damage to one area.

55

56

## SIGNIFICANCE STATEMENT

57 The reticulospinal tract provides a parallel pathway for motor control in primates, alongside  
58 the more sophisticated corticospinal system. We found extensive convergent inputs to  
59 primate reticulospinal cells from primary and supplementary motor cortex bilaterally. These  
60 redundant connections could maintain transmission of voluntary commands to the spinal  
61 cord after damage (e.g. after stroke or spinal cord injury), possibly assisting recovery of  
62 function.

63

64

## INTRODUCTION

65

66 Multiple descending pathways transmit motor commands to the spinal cord. In mammals the  
67 corticospinal tract has become the dominant system, especially in primates, where powerful  
68 corticospinal connections underlie fine dexterous abilities (Lemon, 2008). The reticulospinal  
69 tract (RST) is a major parallel system involved in posture and gross motor function (Peterson  
70 et al., 1975b; Peterson et al., 1978; Iwamoto and Sasaki, 1990; Iwamoto et al., 1990; Isa and  
71 Sasaki, 2002; Drew et al., 2004; Schepens and Drew, 2004), although it also contributes to  
72 upper limb function, even to fine hand control (Davidson and Buford, 2006a; Riddle et al.,  
73 2009; Riddle and Baker, 2010; Soteropoulos et al., 2012).

74 Reticulospinal neurons originate throughout the pontomedullary reticular formation (e.g. see  
75 Sakai et al., 2009), which receives converging sensory inputs from visual, auditory,  
76 cutaneous, proprioceptive and vestibular systems (Peterson and Abzug, 1975; Grantyn and  
77 Grantyn, 1982; Irvine and Jackson, 1983; Drew et al., 1996; Leiras et al., 2010). There are  
78 also inputs from cortical motor regions, allowing reticulospinal transmission of voluntary  
79 commands. Corticoreticular projections include collaterals of corticospinal neurons (Keizer  
80 and Kuypers, 1989); in the cat, some corticofugal fibers connect directly to reticulospinal  
81 neurons (He and Wu, 1985).

82 Keizer and Kuypers (1984, 1989) showed that corticoreticular projections arise from both  
83 contralateral motor and pre-motor cortex in cat and monkey. Matsuyama and Drew (1997)  
84 and Rho et al. (1997) extended this to show that inputs arose bilaterally, and were especially  
85 strong from the forelimb cortical representation. More recently, Fregosi et al. (2017)  
86 investigated primate corticoreticular projections using anterograde tracers injected in M1, the  
87 supplementary motor area (SMA) and the lateral premotor cortex (PM). All regions made  
88 bilateral projections to both pontine and medullary nuclei of the reticular formation; however,  
89 there were generally more projections ipsilaterally for SMA and PM, and contralaterally for  
90 M1. In agreement, Darling et al. (2018) assessed projections from SMA to the medullary  
91 reticular formation; bouton numbers were very similar ipsilaterally and contralaterally.

92 Electrophysiological analysis of corticoreticular projections in cats reveals that many are  
93 collaterals of fast corticospinal neurons, although there is also a dedicated corticoreticular  
94 system without corticospinal collaterals (Jinnai, 1984; Lamas et al., 1994; Kably and Drew,  
95 1998). Intracellular recordings from reticulospinal cells in cats reveals inputs from multiple  
96 cortical areas bilaterally (He and Wu, 1985). In monkey, transcranial magnetic stimulation  
97 over both ipsilateral and contralateral motor cortex excites reticular formation neurons at  
98 latencies compatible with corticoreticular pathways (Fisher et al., 2012).

99 Following corticospinal damage, reticulospinal connections strengthen (Zaaimi et al., 2012),  
100 and cell activity in the reticular formation alters (Zaaimi et al., 2018b) which may contribute to  
101 recovery (Xu et al., 2017). Extensive cortical lesions involving both M1 and S1 lead to an  
102 increase in corticoreticular connections from the intact ipsilesional SMA to the gigantocellular  
103 reticular formation (Darling et al., 2018); this correlates with measures of hand functional  
104 recovery. By contrast, Fregosi et al. (2018) showed there were fewer corticoreticular  
105 connections from the premotor cortex after lesion of M1, and from both premotor cortex and  
106 M1 in a monkey model of Parkinson's disease. McPherson et al. (2018) and Wilkins et al.  
107 (2020) demonstrated that an increased reliance on ipsilateral cortico-reticulospinal circuits  
108 following stroke may be responsible for the damaging flexor synergy sometimes seen.  
109 Choudhury et al. (2019) showed that stroke survivors with increased reticulospinal output  
110 had worse hand function. Reconciling these conflicting views of the positive vs negative  
111 contribution of the cortico-reticulospinal system to recovery will likely require a better  
112 understanding of the different cortical and reticular components of the system, and the  
113 laterality of projections. The primate corticospinal tract has developed new connections  
114 compared with other mammals (Lemon and Griffiths, 2005; Isa et al., 2007), and the pre-  
115 motor cortex comprises multiple specialized areas (Rizzolatti et al., 1998). To have the  
116 greatest relevance to patients, it is important to understand corticoreticular connections in a  
117 primate species closely similar to humans.

118 Here we characterized corticoreticular inputs to the primate nucleus gigantocellularis of the  
119 medulla using both intracellular and extracellular recordings. We reveal extensive  
120 convergence from both hemispheres, and from different motor cortical areas, to single  
121 reticulospinal neurons.

## 122 METHODS

123 All animal procedures were carried out under UK Home Office regulations in accordance  
124 with the Animals in Scientific Procedures Act, 1986, and were approved by the Local  
125 Research Ethics Committee of Newcastle University. Recordings were made from five  
126 terminally anaesthetized adult rhesus macaque monkeys (*M. mulatta*; four female: monkeys  
127 S, 7.5kg; J, 7kg; A, 6.2kg; Sh, 7.5kg; one male: monkey R, 10.8kg).

### 128 **Surgical preparation**

129 All procedures were performed in a non-recovery setting. Surgery was performed under  
130 deep general anesthesia maintained with inhaled sevoflurane (2-4.5% in 100% O<sub>2</sub>) and  
131 supplemented with continuous intravenous infusion of alfentanil (8-21 µg.kg<sup>-1</sup>.hr<sup>-1</sup>). Initial  
132 preparation included a tracheotomy, and insertion of central lines via the carotid artery and

133 external jugular vein. The bladder was catheterized to allow urine drainage. Hartmann's  
134 solution was infused to prevent dehydration ( $5-10 \text{ ml.kg}^{-1}.\text{hr}^{-1}$  including drug solutions).  
135 Methylprednisolone was given to reduce edema (initial loading dose of  $30 \text{ mg.kg}^{-1}$ , followed  
136 by  $5.4 \text{ mg.kg}^{-1}.\text{hr}^{-1}$ ). Antibiotics were given to prevent sepsis (cefotaxime 250 mg, every 12  
137 hours).

138 The recording arrangement is shown schematically in Fig. 1A. We performed a craniotomy  
139 over the left and right motor cortices, including M1 and SMA. The dura was removed to  
140 expose the cortical surface. A custom stimulating electrode consisted of a flexible plastic  
141 tube, from which eight silver wires exited. These wires were insulated apart from at their  
142 ends, which were formed into a small loop around 3mm in diameter. The tube was fixed to  
143 the skull near to the craniotomy using skull screws and dental acrylic; the wire loops were  
144 then placed to be in gentle contact with the cortical surface. Three wires were each  
145 positioned over M1 on each side, at approximately 6, 10 and 16 mm lateral to the midline to  
146 target the leg/trunk, arm/forearm and hand representations. We refer to these locations as  
147 'medial', 'middle' and 'lateral' in the text and figures. Two further wire loops were positioned  
148 over the left and right SMA. The whole assembly was then covered in Vaseline and gauze to  
149 prevent tissue drying whilst ensuring that electrodes were not short-circuited to each other.

150 A laminectomy was performed exposing cervical spinal segment C4. A small craniotomy of  
151 the occipital bone was also created, extending 5mm bilaterally, dorsal to the foramen  
152 magnum. The dura underneath this window was removed and the cisterna magna was  
153 opened, exposing obex and the dorsal surface of the brainstem. Where necessary to  
154 visualize the brainstem better, the caudal part of the cerebellum was gently reflected by  
155 placing a small piece of cotton wool between the cerebellum and the occipital bone  
156 bilaterally. A mineral oil pool was constructed to prevent cooling or desiccation of the  
157 exposed brainstem and spinal cord.

158 The anesthetic regime was then switched to an intravenous infusion of midazolam (260-  
159  $740 \text{ }\mu\text{g.kg}^{-1}.\text{hr}^{-1}$ ), ketamine ( $5-13 \text{ mg.kg}^{-1}.\text{hr}^{-1}$ ) and alfentanil ( $19-71 \text{ }\mu\text{g.kg}^{-1}.\text{hr}^{-1}$ ). This was  
160 supplemented with a low dose of sevoflurane (0.8%) in one animal, otherwise inhalational  
161 anesthetic was discontinued as we have found that this regimen leaves the central nervous  
162 system more active, whilst providing stable deep anesthesia. The vertebral column was  
163 clamped at high thoracic and mid-lumbar levels. The head was fixed stereotaxically  
164 and angled to produce  $\sim 70^\circ$  neck flexion. Prior to single unit recordings, neuromuscular  
165 blockade was commenced (atracurium, initial dose of  $0.7 \text{ mg.kg}^{-1}$  followed by  $0.7 \text{ mg.kg}^{-1}.\text{hr}^{-1}$ ).  
166 A bilateral pneumothorax was made to minimize respiratory movements. Continuous  
167 monitoring of a broad range of physiological parameters (including blood pressure, oxygen

168 saturation, heart rate, end-tidal CO<sub>2</sub> and core temperature) ensured deep anesthesia and a  
169 good physiological condition. Slowly rising trends in heart rate or blood pressure, or more  
170 rapid increases in response to a noxious stimulus, were taken as evidence of waning  
171 anesthesia; supplemental doses of the injectable agents were then given, and infusion rates  
172 increased accordingly.

173 Two pairs of parylene-insulated stainless steel stimulating electrodes (MS501G, Microprobe  
174 Inc) were inserted in the C4 spinal segment to allow antidromic identification of reticulospinal  
175 cells, one pair on each side. Electrodes were inserted 1.5mm lateral to the midline, 4.7mm  
176 below the cord surface, and 2.3mm lateral to the midline, 4.3mm below the cord surface.  
177 Bone screws were inserted into the lateral mass of the C4 vertebra on each side, and a steel  
178 rod fixed between them. Each electrode was inserted using a micromanipulator; once in  
179 position, it was fixed to this rod using dental acrylic, and the manipulator removed.  
180 Stimulation (up to 1mA, biphasic pulses, 0.2ms per phase) through each electrode was  
181 referenced to a needle inserted in the nearby paraspinal muscles.

182 Use of surface cortical stimulation has the advantage of generating robust activation, but it is  
183 important to consider the extent to which the stimulus spreads. Prior to the commencement  
184 of neuromuscular block, we stimulated through each electrode with a train of 18 pulses and  
185 noted the motor threshold and movement elicited. Thresholds varied from 1.4-9.2 mA; this is  
186 comparable to motor thresholds measured in human patients with epicortical grid electrodes  
187 over M1 (2-7 mA; Hiremath et al., 2017). Movements were in accord with the known cortical  
188 representation, with leg or trunk, arm or forearm and hand movements seen from the medial,  
189 middle and lateral M1 electrodes respectively. This argues against extensive spread, as (for  
190 example) no leg effects were seen from the middle or lateral electrodes. Stimulation of the  
191 SMA produced bilateral finger movements; no leg movements were seen from SMA  
192 stimulation, again arguing against spread to the adjacent M1 leg representation. In one  
193 animal, we also recorded surface volleys from the C6 spinal segment after single-pulse  
194 stimulation of each cortical electrode. At the intensity of 5 mA used in almost all recordings,  
195 a clear direct (D) volley was produced from all sites, with a smaller indirect (I1) wave from  
196 some electrodes. The latency to the first negative inflection of the D wave was 1.3 ms. This  
197 is compatible with activation at the cortical surface; spread to the white matter would  
198 produce a volley around 0.5 ms earlier, based on previous work (Edgley et al., 1990). A final  
199 argument against extensive stimulus spread was that we commonly found quite different  
200 responses in our single cell recordings in response to stimulation of adjacent cortical  
201 electrodes.

202 At the end of the experiments, animals were killed with an overdose of anesthetic.

203

204 **Intracellular recordings**

205 Three animals were used as part of this experiment. Intracellular recordings were made from  
206 neurons in the reticular formation using sharp glass micropipettes (tips broken to give  
207 impedance of 5-25M $\Omega$ ) filled with 2M potassium acetate and connected to a bridge amplifier  
208 (BA-03X, NPI, Tamm, Germany). The arachnoid was removed, and electrodes inserted into  
209 small patches teased in the pia with watchmaker's forceps. Recording stability was improved  
210 using a pressure foot which gently pressed on the brainstem near to the penetration. The  
211 electrode was advanced rapidly using a piezoelectric drive (Burleigh PCS-6000, Thorlabs,  
212 Newton, NJ, USA) whilst observing the responses following stimulation of one of the spinal  
213 electrodes. An extracellular antidromic field indicated that the tip was close to reticulospinal  
214 cells; movements were then made in 2  $\mu$ m steps, to allow location and penetration of a  
215 reticulospinal cell antidromically activated from the spinal cord.

216 In some cases, cells fired spontaneously, and this made measurement of synaptic  
217 responses difficult; we applied hyperpolarizing current through the bridge amplifier to prevent  
218 this firing. Typically the level of current could be gradually reduced during the recording as  
219 the electrode seal improved.

220 Once a stable intracellular recording had been obtained, we recorded the synaptic  
221 responses to single stimuli and trains of up to four stimuli (3 ms inter-stimulus interval)  
222 applied to the cortical electrodes (biphasic pulses, 0.2ms per phase, negative phase first, 4  
223 Hz repetition rate, intensity typically 5mA, although 10mA used for some cells in monkey S).  
224 Isolated constant-current stimulators (Model DS4, Digitimer, Welwyn Garden City, UK or  
225 Model 2100, A-M Systems Inc, Sequim, WA, USA) were used to deliver all stimuli; these  
226 stimulators were connected to the animal via computer-controlled relays which allowed each  
227 electrode to be activated in sequence automatically. A silver ball electrode on the brainstem  
228 surface close to the electrode penetration point recorded surface volleys simultaneously with  
229 the intracellular potentials (see Fig. 1B). Intracellular (gain 10, DC-10kHz bandpass) and  
230 epidural recordings (gain 10k, 30Hz-10kHz or 300Hz-7.5kHz bandpass) were sampled to  
231 hard disk via a Power1401 interface and Spike2 software (Cambridge Electronic Design Ltd,  
232 Cambridge, UK, 25 kSamples/s) for off-line analysis.

233 **Extracellular recordings**

234 Intracellular measurements have the great advantage of revealing sub-threshold activation  
235 by weak connections; however, successfully penetrating reticulospinal cells and maintaining



236 intracellular conditions meant that the recording yield was low. We therefore supplemented  
237 our dataset with a larger number of extracellular recordings in two further animals (monkeys  
238 A and Sh). These recordings used an Eckhorn microdrive (Eckhorn and Thomas, 1993), with  
239 which we have successfully recorded from the reticular formation in anaesthetized animals  
240 previously (Fisher et al., 2012). The microdrive was loaded with four glass-insulated  
241 tetrodes; penetrations were made at a 45 degree angle into the reticular formation through  
242 the craniotomy adjacent to the foramen magnum similar to the intracellular recordings. Obex  
243 was used as the primary landmark; penetrations were made 0-2.5mm rostral and 1-2 mm  
244 lateral to Obex. As for the intracellular recordings, spinal stimulation was used as a search  
245 stimulus to detect when the advancing electrodes first encountered reticulospinal cells. We  
246 then searched for clean single units that were antidromically activated by stimulation through  
247 the spinal electrodes. The activation was determined to be antidromic based on a very low  
248 (0.15 ms) jitter in latency, whereas synaptic activation produced much larger jitter (see Fig.  
249 1DE for examples). Antidromic effects also had a sharp threshold for all-or-none unit  
250 activation. Lack of spontaneous firing often made it difficult to run a collision test, as we  
251 routinely do to confirm identification of corticospinal cells by antidromic activation from the  
252 pyramidal tract (Baker et al., 1999). In some cases, we were able to make the reticular  
253 neuron fire by stimulating one of the cortical electrodes. In this case, the orthodromic spike  
254 so produced was used to trigger cord stimulation, allowing performance of a collision test  
255 (Fig. 1FG). Where this was not possible, antidromic identification was forced to rely on low  
256 jitter and sharp threshold alone. Once one or more antidromically-identified units was  
257 identified, we recorded the responses to cortical stimulation as for the intracellular  
258 recordings. Recordings were typically made for 400 s; with a stimulus rate of 4 Hz, and 8  
259 cortical electrodes activated with between one and four stimuli, this usually gave 50 sweeps  
260 per condition, although in some cases recordings were lost earlier than this.

## 261 **Analysis**

262 Post-synaptic responses to stimuli were identified from the intracellular recordings. Synaptic  
263 delays were measured from the first inflection in the epidural volley to the onset of the EPSP  
264 or IPSP. The amplitude of post-synaptic potentials were measured from onset to peak.  
265 Resting membrane potential was estimated as the most negative voltage encountered after  
266 penetrating the cell. This was corrected by the offset voltage measured when the electrode  
267 left the cell and moved into the extracellular space at the end of the recording.  
268 Measurements of membrane potential were only made in cells with stable recordings, in  
269 situations where no hyperpolarizing current was needed to prevent continuous cell firing.

270 Antidromic responses were displayed on a computer screen, and the antidromic latency  
271 measured to the first deflection from baseline. This raw latency was corrected by the  
272 utilization time by subtracting 0.1 ms, being half the width of the negative phase of the spinal  
273 stimulus. Conduction distance was measured from the spinal stimulation electrodes to the  
274 recording site in the brainstem; conduction velocity was then estimated by dividing the  
275 distance by the corrected antidromic latency. In monkey S no conduction distance measures  
276 were available. In the other two animals used for intracellular recording measured distances  
277 were the same to within 1 mm; as we aimed for the same stimulation and recording sites in  
278 monkey S, and the animals were a similar weight, we used the same measurement also for  
279 monkey S. Some cells were lost after measuring responses to antidromic stimulation, but  
280 before sufficient data on responses to cortical stimulation were gathered; these cells are  
281 included in the description of conduction velocities only.

282 Extracellular recordings exhibited substantial artefacts following the cortical stimulation,  
283 which if uncorrected could interfere with the spike discrimination process. The raw recording  
284 file was therefore first processed by digitally subtracting an estimate of the artefact  
285 generated by averaging, as described in Kozelj and Baker (2014). Times of single unit firing  
286 were then discriminated using custom-written clustering software (GetSpike, SN Baker).  
287 Subsequent analysis involved compiling peri-stimulus time histograms (PSTHs) relative to  
288 the different stimulus markers, with a bin width of 0.1 ms. The experimenter marked the  
289 onset and offset of putative responses using interactive cursors, and the significance of this  
290 response was assessed by computing

$$Z = \frac{\frac{C_p}{N_p} - \frac{C_b}{N_b}}{\sqrt{\frac{C_p}{N_p^2} + \frac{C_b}{N_b^2}}}$$

291 Where  $C_p$  and  $C_b$  are the number of counts in the response peak and baseline regions  
292 respectively, and  $N_p$  and  $N_b$  are the width of the peak and baseline regions in bins. The  
293 baseline region was taken as the 5 ms preceding the first stimulus. Under the null hypothesis  
294 that the firing rate in the baseline and response regions is the same, Z will be approximately  
295 normally distributed with mean zero and standard deviation one (Cope et al., 1987). Peaks  
296 with  $|Z| > 3.29$  were considered significant, corresponding to  $P < 0.001$  (two tailed). This  
297 conservative significance level was chosen to correct for the implicit multiple comparisons  
298 involved in selecting the best region to test; assignment of peak significance accorded well  
299 with judgements made by eye. The amplitude of responses  $s$  was measured as the number  
300 of excess spikes above baseline elicited per stimulus:

$$s = \left( C_p - \frac{N_p C_b}{N_b} \right) / N_s$$

301 Where  $N_s$  is the number of stimuli (Abeles, 1982). The precarious nature of recordings  
302 (especially intracellular) meant that cells were sometimes lost before all measurements  
303 could be made. In the Results section, data are reported from all cells from which a given  
304 measurement was available, together with the relevant number of cells.

### 305 **Reconstruction of recording sites**

306 Brainstem tissue from monkeys A and Sh was retained for histological verification of  
307 recording sites. Tissue was sectioned on a freezing microtome at 40 $\mu$ m, and free-floating  
308 sections were stained with cresyl violet. Tiled high magnification images were acquired, and  
309 traced in a drawing package (Coreldraw); penetration maps based on electrode coordinates  
310 were then overlain.

## 311 **RESULTS**

### 312 **Intracellular Recordings**

313 Recordings were made from 64 antidromically identified reticulospinal neurons in the three  
314 monkeys used for this part of the study. The mean resting membrane potential was  
315 estimated as -43.9 mV (SD 14.1 mV, range -83.2 to -27.2 mV; n=22 recordings where  
316 membrane potential measurement was possible, see Methods). Figure 2 shows example  
317 intracellular records following cortical stimulation. The response in the left column of Fig. 2A  
318 (from stimulation of ipsilateral M1) had a short latency, only 0.97 ms longer than the surface  
319 volley recorded near to the intracellular electrode. It did not grow following the second  
320 stimulus of a train (but was actually 17% smaller). Accordingly, this is likely to be mediated  
321 by a direct monosynaptic connection. By contrast, the response in the middle column of Fig.  
322 2A following a single stimulus to the contralateral SMA (top trace) was very small (160  $\mu$ V  
323 amplitude), and had a delay from the volley of 1.02 ms. The EPSP grew with successive  
324 stimuli in a train, until it was 610  $\mu$ V in amplitude after the fourth (bottom trace), a rise of  
325 280%. These are properties indicative of a di- or oligosynaptic response.

326 Most synaptic responses observed in reticular cells following cortical stimulation were  
327 excitatory, although there was sometimes evidence that an initial excitation was followed by  
328 inhibition - for example, the EPSP following two stimuli to the ipsilateral M1 lateral electrode  
329 shown in the left column of Fig. 2B had a very rapid falling phase, consistent with a later

330 IPSP. Occasionally an overt initial IPSP could be seen, as illustrated in the right column of  
331 Fig. 2A, usually as in this case when not superimposed on an EPSP. Little response was  
332 observed following a single stimulus to the ipsilateral M1 (top trace), but an IPSP appeared  
333 in response to a train of four (bottom trace). As corticofugal fibers are glutamatergic  
334 (excitatory), any inhibitory effects would have to be mediated via at least one interposed  
335 interneuron. This is consistent with the observed growth of the IPSP with a stimulus train.

336 Figure 2B illustrates responses from all cortical stimulating sites tested, in the cells  
337 presented in Fig. 2A. There is clearly considerable convergence: for example, the cell with  
338 monosynaptic EPSPs (left column Fig. 2B) received input from two ipsilateral M1 sites, two  
339 contralateral M1 sites, but not from SMA.

340 Figure 2 makes it clear that responses compatible with both mono- or oligosynaptic  
341 mediation were seen. Determining the synaptic linkage underlying these responses is not  
342 straightforward. The usual approach is to use a short and fixed latency and a lack of  
343 facilitation with a train of two stimuli to argue for a monosynaptic pathway. These  
344 approaches are complicated here because the cortical stimulation could activate cortical  
345 output neurons directly or indirectly (Patton and Amassian, 1953), and the cortical output  
346 neurons have a wide potential range of different conduction velocities. It is also known that  
347 reticulospinal neurons can be activated by local circuits within the reticular formation itself  
348 (Edgley et al., 2004). Figure 3 presents the approach which we took to classifying responses  
349 in an objective way, similar to our previous work (Witham et al., 2016). The amplitude and  
350 onset latency of the EPSPs were measured following one, two and three stimuli (Fig. 3A).  
351 Figure 3B shows the distribution of synaptic delays. Bars plotted upwards relate to  
352 responses visible following only a single stimulus; those plotted down relate to the smaller  
353 number of EPSPs which only appeared clearly after stimulus trains (as in the middle column  
354 of Fig. 2A). More than one synapse is likely to mediate these responses, although it remains  
355 possible that these are produced from a monosynaptic response in the reticular formation  
356 following an indirectly-elicited corticofugal volley. For the responses elicited by a single  
357 stimulus, more consideration is required, and two further measures were made.

358 Firstly, we measured the augmentation ratio, as the amplitude of the EPSP following the  
359 second of two stimuli divided by the amplitude following only one stimulus ( $A_2/A_1$ , see Fig.  
360 3A). Secondly, we measured the reduction in latency of the EPSP following the third  
361 stimulus of a train, compared to the first ( $L_3-L_1$ , see Fig. 3A). Monosynaptic responses  
362 should change little in amplitude or latency with a stimulus train, whereas oligosynaptic  
363 EPSPs should grow in amplitude and shorten in latency with successive stimuli. Figures

364 3CDE show pairwise scatter plots of augmentation ratio, latency shortening and synaptic  
365 delay.

366 Responses with synaptic delay  $<1$  ms are very unlikely to be mediated by more than one  
367 synapse (Jankowska et al., 2003); these are shown by red points in Fig. 3. All but one such  
368 EPSP had an augmentation ratio  $<2.1$  (Fig. 3C, horizontal line). It is known that  
369 monosynaptic connections can show facilitation in this range (Porter, 1970). We accordingly  
370 took this as the upper limit compatible with monosynaptic responses, and rejected longer  
371 latency EPSPs with larger augmentation ratios as possibly oligosynaptic (black points above  
372 the horizontal blue line). EPSPs with synaptic delay  $<1$ ms had latency changes with  
373 successive stimuli which spanned the full range observed in the population (Fig. 3D),  
374 suggesting that latency change was not useful in discriminating putative monosynaptic  
375 versus oligosynaptic responses here. The distribution of augmentation ratio and latency  
376 shortening was very similar for the unambiguous monosynaptic responses with synaptic  
377 delay  $<1$ ms (red), and for points with synaptic delays 1-1.3 ms (see Fig. 3C). All but two  
378 points with synaptic delays 1-1.3ms has augmentation ratios  $<2.1$  (Fig. 3C). We therefore  
379 consider that these were most likely also to be mediated monosynaptically, via  
380 corticoreticular fibers with slower conduction velocity. In the following analysis, EPSPs with  
381 synaptic delays between 1-1.3ms and augmentation ratios  $<2.1$  have been classified as of  
382 monosynaptic origin (cyan points in Fig. 3), as well as those with delays  $<1$ ms. We chose  
383 this cut-off to be conservative; it is possible that the few points with longer delays and low  
384 augmentation ratios may also have been monosynaptically mediated. It is also possible that  
385 responses with high augmentation ratios contained mixed monosynaptic and oligosynaptic  
386 components.

387 Figure 4 shows measurements made from the synaptic responses to cortical stimulation in  
388 antidromically-identified RST cells (based on  $n=11$  cells, except for responses to  
389 contralateral M1 where  $n=12$ ). All cells could be activated from at least one cortical area.  
390 Figure 4A presents the incidence of EPSPs from each source, separated by the  
391 classification of effects as mono- versus oligosynaptic as discussed above. Figure 4B shows  
392 the average amplitude of the monosynaptic EPSPs; for M1, where three cortical sites were  
393 stimulated, the largest EPSP in a given cell has been used to compile this estimate. Figure  
394 4C shows the amplitude x incidence, equivalent to the product of the values given in Fig.  
395 4AB. This provides an overall estimate of the size of the effect of cortical stimulation; it is  
396 equivalent to measuring average amplitude, including (as zeros) sites without EPSPs  
397 (Zaaimi et al., 2012). There was no significant difference in EPSP amplitude for the different  
398 stimulation sites (one way ANOVA,  $F(3,19)=0.78$ ;  $P=0.517$ ).

399 Figure 5 summarizes the origin of inputs to individual reticulospinal cells from our  
400 intracellular dataset. Cells which received no relevant inputs were excluded from these plots,  
401 so the analysis is based on relatively small numbers (n=8-14 units). Connections have been  
402 counted for this plot, irrespective of their putative synaptic linkage (mono- versus  
403 oligosynaptic). The top row shows convergence from the same area; the bottom row,  
404 convergence between M1 and SMA within the same hemisphere. Despite the low number of  
405 cells for analysis, there is still evidence of convergence from multiple cortical sites. Figure 5B  
406 looks more specifically at convergence from multiple sites within M1 in the same  
407 hemisphere, by showing the proportion of cells which received input from only one of the  
408 three available surface stimulating electrodes, or more than one. We found that between 70  
409 and 83% of cells received input from more than one cortical site. Given that the spacing  
410 between the cortical electrodes was around 5mm, this indicates that a large part of the M1  
411 representation could project to a given reticular neuron.

412

### 413 Extracellular Recordings

414 Recordings were made from 46 antidromically-identified reticulospinal neurons, and 105  
415 unidentified cells in the two monkeys used for this part of the study. Figure 6A shows a  
416 reconstruction of the recording sites, mapped relative to the obex landmark in a parasagittal  
417 plane. Recordings were made 1-2 mm lateral to the midline on both sides; sites have been  
418 combined across sides and animals for this reconstruction. The map has been  
419 superimposed on a parasagittal tracing from a histological section of the brainstem in one  
420 animal. It is clear that recording sites were located within the nucleus gigantocellularis. All  
421 cells were located in the vicinity of identified reticulospinal neurons (red points in Fig. 6A),  
422 suggesting that the locations plotted just above and below the gigantocellularis are most  
423 likely to reflect minor errors in the reconstruction, rather than off-target recordings. Figure 6B  
424 shows an example parasagittal brainstem section, in which an ink track is visible which was  
425 made along one of the electrode penetrations.

426 Figure 7 presents a summary of the responses to cortical stimulation which were observed.  
427 All plots in this figure are averaged peri-stimulus time histograms. Each column shows data  
428 from stimulation of a different cortical site as indicated at the top (M1 or SMA, from the  
429 hemisphere ipsilateral or contralateral to the recorded neuron). For M1, PSTHs have been  
430 averaged across the three available stimulation sites on one side. All PSTHs have been  
431 averaged across the available cells in a given category (reticulospinal or unidentified cells);

432 all cells have been included, irrespective of whether they were individually assessed as  
433 responding to the stimulus or not.

434 Figure 7A presents population data for reticulospinal cells responding to a single cortical  
435 stimulus (delivered at the vertical blue line). There was a clear short-latency peak for all four  
436 cortical sites with onset around 1.6ms post-stimulus; as for the intracellular data, this  
437 indicates that there was a monosynaptic component to the corticoreticular responses.  
438 However, in addition to this early peak, there was also a second peak in the averaged PSTH  
439 starting around 3.6ms after the stimulus, which was clearest for the responses to stimulation  
440 of M1.

441 Figure 7B presents similar plots for the population of unidentified reticular formation cells.  
442 These also showed an early facilitation following stimulation of all four cortical sites, and a  
443 second later peak. The unidentified neurons exhibited the second facilitation following all  
444 four cortical locations.

445 Figure 7C-F shows results for the reticulospinal neurons for a longer post-stimulus time, and  
446 for varying numbers of stimuli in a train (single stimulus, Fig. 7C, up to a train of four stimuli,  
447 Fig. 7F). Unsurprisingly, each stimulus within a train was followed by the short latency  
448 facilitations illustrated in more detail in Fig. 7A. However, in addition these plots indicate that  
449 there was a third component to the responses, which was broader and of even longer  
450 latency (peak around 20 ms; Fig. 7E-F). This component was not visible following a single  
451 stimulus, but grew and lengthened following stimulus trains. Overlain on the PSTHs of Fig.  
452 7C-F (red lines) are cusum plots (Ellaway, 1978), which provide an accumulated count of the  
453 average number of extra spikes above baseline elicited by the stimulus. The cusums are  
454 useful in revealing visually the relative sizes of early versus late responses. The late  
455 responses were most important after a train of four stimuli, when they contributed 19.5%,  
456 22.6%, 9.4% and 12.6% of the total response after stimulation of ipsilateral M1, contralateral  
457 M1, ipsilateral SMA and contralateral SMA respectively. Although late peak responses are  
458 shown only for reticulospinal cells in Fig. 7C-F, similar results were seen for the unidentified  
459 cells (data not shown).

460 Figure 7 shows PSTHs averaged across cells, which provides a useful overall summary of  
461 responses at the population level. Figure 8 presents results from measurement of responses  
462 in single cells. To compile this plot, the largest response has been used from stimulation of a  
463 given cortical area, taken across numbers of stimuli in the train, and (for M1) the different  
464 electrodes placed over the cortical surface. Only cells tested with a 5mA cortical stimulus  
465 intensity have been included, to ensure an unbiased comparison of responses. Figure 8A  
466 shows the incidence of statistically significant responses. Overall across all categories

467 shown, 80/143 (56%) of cells showed significant changes in firing following stimulation of at  
468 least one cortical site. Figure 8B presents the average amplitude of responses (measured as  
469 excess spikes per stimulus  $s$ , see Methods) in the same format. Cells have only been  
470 included here if responses were significantly different from zero. Two way ANOVA indicated  
471 a significant effect on amplitude of cell type (reticulospinal greater than unidentified cell;  
472  $F(1,219)=6.93$ ,  $P=0.0091$ ), but not cortical stimulation site ( $F(3,219)=0.3$ ,  $P=0.827$ ) or their  
473 interaction ( $F(3,219)=0.16$ ,  $P=0.925$ ). The average amplitude across all categories was  
474  $s=0.0123$ , suggesting that one extra spike was generated on average for every 81 stimuli.  
475 Given the reduced excitability of our anesthetized preparation, this likely reflects a strong  
476 synaptic connection.

477 Figure 8C presents a plot of incidence  $\times$  amplitude (as shown for intracellular data in Fig.  
478 4C). Repeated measures ANOVA on EPSP amplitude (counting cases where there was no  
479 response as zero amplitude) showed no significant effect of cell type ( $F(1,141)=1.82$ ,  
480  $P=0.180$ ), cortical stimulation site ( $F(3,423)=0.169$ ,  $P=0.917$ ) or their interaction  
481 ( $F(3,423)=0.459$ ,  $P=0.711$ ).

482 Figure 9 presents data on how inputs from different cortical sites converged onto single  
483 neurons. The analysis has been performed pairwise; for example, the top left pie chart  
484 shows the number of cells receiving input from the ipsilateral or contralateral M1 alone, or  
485 convergent input from M1 on both sides. Cells which receive no input from M1 are not  
486 included in this plot. The top row focusses on convergence from the same area in each  
487 hemisphere; the bottom row on convergence between M1 and SMA within the same  
488 hemisphere. Results are presented for reticulospinal (Fig. 9A) and unidentified cells (Fig. 9B)  
489 separately. No matter the combination, the results provide evidence of large scale  
490 convergence: at least half of the cells with input from one site of a pair received input from  
491 both sites.

492 Figure 9CD extends this analysis to investigate convergence from multiple sites within M1 in  
493 the same hemisphere, by showing the proportion of cells which received input from only one  
494 of the three available surface stimulating electrodes, or more than one. Depending on the  
495 combination of laterality and cell classification, between 68 and 87% of cells received input  
496 from more than one electrode. Given that the spacing between the cortical electrodes was  
497 around 5mm, this indicates that a large part of the M1 representation could project to a given  
498 reticular neuron.

499 For identified RST cells, responses were significantly more common from stimulation of the  
500 lateral than medial M1 electrode (ipsilateral M1: 42% vs 23%,  $p=0.013$ ; contralateral M1:  
501 35% vs 21%,  $p=0.041$ , McNemar test for proportions). By contrast, lateral and medial M1



502 stimulation produced responses in unidentified cells with similar frequency (ipsilateral M1:  
503 35% vs 27%,  $p=0.099$ ; contralateral M1: 26% vs 27%,  $p=1.000$ ).

504 For 36 identified reticulospinal cells, we were additionally able to check whether they could  
505 be antidromically activated from the spinal electrodes implanted ipsilateral or contralateral to  
506 the cell body. We found that 9, 4 and 23 cells could be activated from the ipsilateral cord  
507 only, contralateral cord only, or from both sides respectively (equivalent to 25%, 11% and  
508 64%). There were 14 cells with M1 input which could be antidromically activated from both  
509 sides of the cord; 3, 3 and 8 of these cells received input from the ipsilateral side only,  
510 contralateral side only and both sides of M1 respectively (equivalent to 21, 21 and 57%).  
511 There were 5 cells with M1 input which could be antidromically activated from only one side  
512 of the cord; 3 of these received input from only the ipsilateral hemisphere, and 2 from both  
513 sides. Interestingly, this pattern was seen both for cells with exclusively ipsilateral (3 cells, 2  
514 with input from only ipsilateral M1) or contralateral (2 cells, one with input from only  
515 ipsilateral M1) spinal projections. Similar results were seen for inputs from SMA.

516 To provide the best overall estimates of convergence, we combined together the intracellular  
517 and extracellular datasets. For RST cells which received input from M1, 20/36 (56%) had  
518 responses to M1 stimulation in both hemispheres, and 30/36 (=83%) responded to more  
519 than one M1 site (irrespective of hemisphere). For RST cells which received input from SMA,  
520 21/30 (70%) had responses to SMA in both hemispheres. Of RST cells with any cortical  
521 input, 29/37 (78%) had responses to both SMA and M1 (irrespective of hemisphere).

## 522 Conduction Velocity of Reticulospinal Neurons

523 Figure 10 shows histograms of the conduction velocity of the identified reticulospinal cells,  
524 determined from the measures of antidromic latency and estimated conduction distance  
525 between the spinal stimulation and brainstem recording sites. Results are presented  
526 separately for neurons recorded intracellularly and extracellularly ( $n=64$  and 80 respectively).  
527 The distribution for the extracellular recordings appeared unimodal, with a mean of 22.4 m/s.  
528 By contrast, for the intracellular recordings the distribution appeared bimodal. The lower  
529 peak was broadly consistent with that from the extracellular recordings, but in addition there  
530 was a second population of cells with faster conducting axons. The overall mean conduction  
531 velocity for the intracellular recordings was 49.7 m/s. The faster velocities may relate to the  
532 severe bias towards recording from large cells when making intracellular penetrations using  
533 sharp microelectrodes.

534

535

## DISCUSSION

## 536 Extensive Corticoreticular Convergence

537 Our major novel finding is extensive convergence from broad areas of M1 and SMA  
538 bilaterally onto neurons in the primate reticular formation, including reticulospinal neurons.  
539 Our findings in primates agree with previous work in other species, where extensive  
540 convergence from areas within one hemisphere and between hemispheres has previously  
541 been demonstrated (Rossi and Brodal, 1956; Magni and Willis, 1964; He and Wu, 1985;  
542 Newman et al., 1989; Matsuyama and Drew, 1997; Rho et al., 1997). Recent tract tracing  
543 studies in monkey have also revealed bilateral corticoreticular projections from M1, SMA and  
544 lateral pre-motor cortex (Fregosi et al., 2017; Darling et al., 2018), although different  
545 combinations of cortical origin and brainstem receiving nuclei may have ipsilateral or  
546 contralateral biases in connectivity: our findings show convergence onto individual  
547 reticulospinal neurons. This extensive convergence may provide more options for control  
548 after cortical damage, such as after stroke. Then, inputs from SMA (Darling et al., 2018) and  
549 the contralateral hemisphere (McPherson et al., 2018) may strengthen, restoring some  
550 control but also possibly limiting flexibility and hence recovery (McPherson et al., 2018).

551 The corticospinal projection is strongly lateralized (McNeal et al., 2010; Yoshino-Saito et al.,  
552 2010; Soteropoulos et al., 2011; Morecraft et al., 2013), whereas the reticulospinal tract has  
553 extensive bilateral projections (Peterson et al., 1975a; Davidson and Buford, 2006b;  
554 Davidson et al., 2007). Sites within the primate reticular formation project predominantly to  
555 ipsilateral flexor motor nuclei, and contralateral extensors (Davidson and Buford, 2006b). A  
556 bilateral corticoreticular projection, in which reticulospinal cells projecting to ipsilateral flexors  
557 and contralateral extensors are controlled by the contralateral and ipsilateral hemispheres  
558 respectively, could theoretically give the cortex access to all contralateral motor nuclei.  
559 However, such a neat parcellation was not seen. Unlike previous anatomical studies, our  
560 electrophysiological measurements were able to reveal extensive convergence to single  
561 reticulospinal neurons. Combining across both our intracellular and extracellular datasets,  
562 56% of identified RST cells with input from M1 received it from both sides; for SMA, the  
563 equivalent figure was even higher at 70%.

## 564 Direct and Indirect Corticoreticular Connections

565 In intracellular recordings, many EPSPs appeared compatible with monosynaptic  
566 corticoreticular connections (Fig. 3), with mean height 0.45 mV. In extracellular recordings

567 even under anesthesia stimuli could elicit early and frequent responses. The cortex therefore  
568 exerts a strong and direct influence over reticulospinal projections.

569 The second response peak starting 3.6 ms after the stimulus seen in averaged PSTHs  
570 compiled from extracellular recordings was 2.0 ms later than the first (presumed  
571 monosynaptic) response. This is likely to be mediated di- or poly-synaptically, with two  
572 possible pathways. Surface electrical stimulation of the cortex elicits an initial direct (D)  
573 corticospinal volley, followed by later indirect (I) waves via trans-synaptic activation (Patton  
574 and Amassian, 1953). D and I waves are also likely in corticoreticular axons, some of which  
575 are corticospinal collaterals (Keizer and Kuypers, 1989): the later peak in the PSTHs may  
576 therefore reflect monosynaptic responses within the reticular formation to the first cortical I  
577 wave.

578 Secondly, the later peak may be generated by intrinsic circuitry within the reticular formation  
579 itself. It is known that stimulation of the medial longitudinal fasciculus (MLF) can generate a  
580 direct and indirect volley, the latter resulting from excitation of reticulospinal cells by  
581 recurrent collaterals (Jankowska et al., 2003; Edgley et al., 2004). The later PSTH peak  
582 observed here could therefore reflect recurrent excitation produced by the first peak. A  
583 broader third peak was also seen, with onset latency around 8.4ms after the stimulus. We  
584 cannot be certain, but at least part of this could also originate from local reticular circuitry.

585 We found limited evidence for inhibitory effects. IPSPs were rare in intracellular recordings;  
586 summed PSTHs of extracellular discharge showed a net increase in spike count after  
587 cortical stimulation, as revealed by CUSUM analysis (Fig. 7). The lack of inhibition could  
588 have been artifactual, for example if inhibitory interneurons were more influenced by  
589 anesthesia. Additionally, baseline firing rates of the extracellularly recorded units were low,  
590 making detection of inhibition difficult (Aertsen and Gerstein, 1985). Finally, it is possible that  
591 cortical stimuli were not located optimally to evoke inhibition. Previous work suggests that  
592 inhibitory cortico-reticulospinal actions can be evoked from a narrow strip located at the  
593 anterior edge of M1 (Hines, 1943; McCulloch et al., 1946). The surface M1 electrodes in the  
594 current study were more posterior than this, which might explain the dominance of facilitatory  
595 effects.

## 596 Resting Membrane Potential

597 The largest reported database of intracellular recordings from identified reticulospinal cells is  
598 from Chan and Chan (1983) in cat, who reported unusually low resting membrane potentials:  
599 between -12 and -40 mV for 83% of cells. Shimamura et al. (1980) also reported some cat  
600 reticulospinal cells with low resting potentials (range -10 to -65 mV). In the present

601 recordings from primates, we found a wide range of resting potentials; half of the cells did  
602 not show resting potentials more negative than -40 mV. Under more stable *in vitro*  
603 conditions, Serafin et al. (1996) reported more typical resting membrane potentials in  
604 unidentified cells from the guinea pig nucleus gigantocellularis (mean -61 mV; cells were  
605 only accepted for study if potential was <-55 mV). Measurement of resting potential *in vivo* is  
606 often complicated by an incomplete seal between the membrane and electrode, and the  
607 precarious recording conditions. Our data are not incompatible with reticulospinal cells  
608 having typical resting potentials, with any discrepancies accounted for by such measurement  
609 artifacts. We equally cannot exclude that reticulospinal cells do have unusual membrane  
610 properties, but if so this appears to be less marked in monkey than in cat.

611

612

### 613 Conduction Velocity of Primate Reticulospinal Axons

614 Measurements of conduction velocity from intracellular recordings yielded a bimodal  
615 distribution, with peaks around 20 and 55m/s (Fig. 10, top). By contrast, the faster peak was  
616 absent from the extracellular data, where velocities largely overlapped with the slower peak  
617 of intracellular measurements (Fig. 10, bottom). It is well-known that extracellular recordings  
618 have a bias towards large cells with faster-conducting axons (Humphrey and Corrie, 1978;  
619 Kraskov et al., 2019; Kraskov et al., 2020). An even more extreme bias is likely for  
620 intracellular records, as penetrations into small cells are usually rapidly lost due to  
621 mechanical instability. An additional factor was the duration of the stimulus artifact, which  
622 was 0.65-1.55 ms for extracellular measurements, but only 0.44-0.7 ms for intracellular. This  
623 often prevented us from seeing the fastest antidromic responses in the extracellular  
624 measurements. The true distribution of conduction velocities is therefore likely to be a  
625 combination of the two datasets which we report.

626 Previous studies in cat reticular formation commonly found a bimodal conduction velocity,  
627 with a maximum from 100-150m/s (Pilyavsky, 1975; He and Wu, 1985; Matsuyama and  
628 Drew, 2000). In monkey, epidural spinal volleys elicited by reticulospinal and corticospinal  
629 tract stimulation have similar latencies (Riddle et al., 2009), suggesting a similar maximum  
630 conduction velocity (measured for the monkey corticospinal tract as 60-94 m/s, Humphrey  
631 and Corrie, 1978; Firmin et al., 2014). This suggests that macaque reticulospinal fibers are  
632 slower than in cat, which is supported by the present direct recordings. In cat fast and slowly  
633 conducting reticulospinal cells may receive different inputs from the cortex. According to  
634 Pilyavsky (1975), fast RST cells receive only long-latency, whereas slow RST cells receive

635 both early and long-latency corticoreticular inputs. By contrast, He and Wu (1985) found that  
636 fast RST cells had only short-latency, and slow RST cells only long-latency cortical inputs.  
637 The discrepancy in the literature is hitherto unexplained. In our data, fast monosynaptic  
638 inputs were common in both intracellular and extracellular recordings, suggesting that they  
639 occurred irrespective of reticulospinal conduction velocity.

## 640 Functional Implications

641 Given the prevalence of motor cortical damage in humans, potential alternative routes  
642 through which movement can be controlled need urgently to be identified and understood.  
643 Plasticity in reticulospinal pathways following motor cortical damage is an obvious potential  
644 target (Zaaimi et al., 2012; Darling et al., 2018; McPherson et al., 2018; Zaaimi et al., 2018b;  
645 Choudhury et al., 2019). Our results here however force us to conclude that cortico-  
646 reticulospinal outputs are configured to control movement bilaterally, and therefore play a  
647 very different role from the strongly lateralized corticospinal tract. Within one limb, we have  
648 previously shown that the reticular formation can effectively activate muscles, but not in the  
649 fractionated patterns used in flexible everyday movements (Zaaimi et al., 2018a). The  
650 reticular formation plays a more extensive role in gross, rather than fine, hand function  
651 (Lawrence and Kuypers, 1968; Baker and Perez, 2017; Tazoe and Perez, 2017), a point  
652 further emphasized by the convergence from different stimulation sites across M1 onto  
653 single reticulospinal neurons (Figs 5&9). It is possible that this distinction can be extended to  
654 a bilateral motor context: fine control of one limb is the preserve of the corticospinal tract  
655 originating from M1, whereas coordination of gross movements across two limbs may  
656 especially engage cortico-reticulospinal pathways, originating from pre-motor cortex as well  
657 as M1. Previous work suggested that SMA and M1 control bilateral postural adjustments  
658 during movement via a sub-cortical circuit (Massion et al., 1999). The cortico-reticulospinal  
659 connections described here would be ideal as the substrate for such a system, although we  
660 must modify past concepts slightly to encompass reticulospinal control of both distal and  
661 proximal muscles: corticoreticular outputs to RST cells were here more common from the  
662 lateral than medial part of M1, and our past work showed RST connections even to  
663 motoneurons projecting to the hand (Riddle et al., 2009) . Patients who are recovering from  
664 damage to the motor cortex after a stroke frequently show involuntary mirror movements,  
665 which may have a sub-cortical origin (Ejaz et al., 2018). This may reflect an increased  
666 reliance on cortico-reticulospinal pathways with the attendant loss of fine, lateralized control.

667

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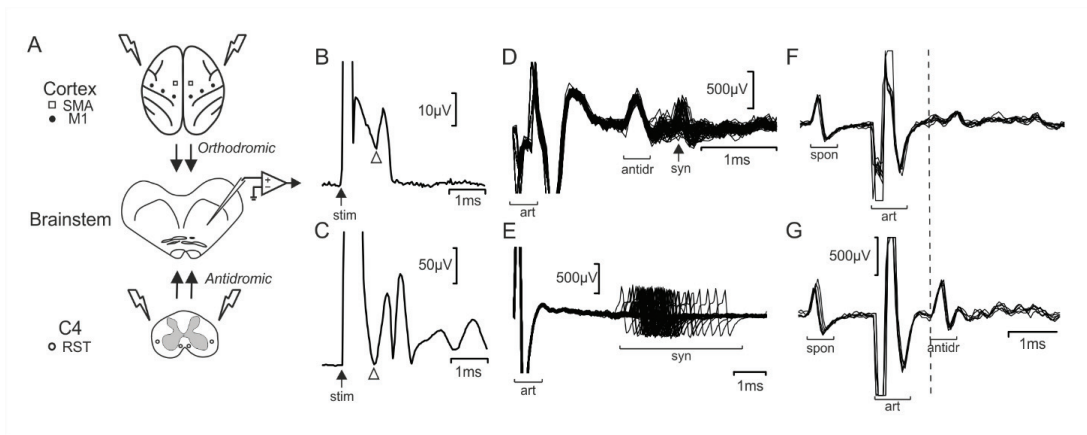
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FIGURES AND LEGENDS

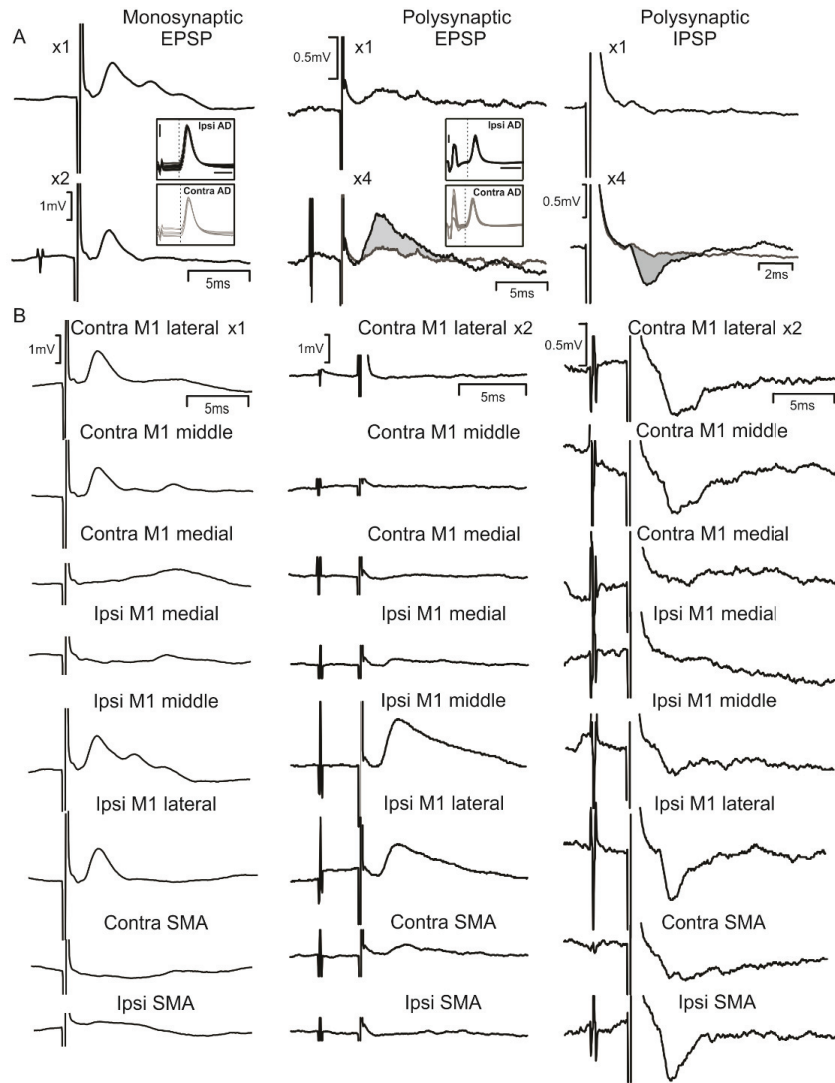


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893 **Figure 1. Recording methods.** A, schematic showing arrangement of the experiment.  
 894 Recordings were made from the reticular formation in the brainstem, using either glass  
 895 micropipette (intracellular recordings) or metal electrodes (extracellular). Stimulation at the  
 896 spinal cord lateral funiculi (C4 segment) allowed antidromic activation of reticulospinal  
 897 neurons. Surface stimulation of the cortex over the primary motor cortex (M1) and  
 898 supplementary motor area (SMA) bilaterally activated corticoreticular projections. B, example  
 899 field potential recordings from the brainstem surface made simultaneously with intracellular  
 900 single unit recordings, to allow measurement of the corticofugal axon volley latency (arrow).  
 901 C, as B, but made simultaneously with extracellular recordings. D, E, example overlain  
 902 sweeps from extracellular recordings illustrating the difference between antidromic spikes  
 903 (antidr) with a fixed latency, compared to the high jitter observed in synaptically-evoked  
 904 spikes (syn) following the stimulus artefact (art). F, G, collision test. A spontaneous spike  
 905 (spon) triggered spinal stimulation, which produced a stimulus artefact (art). An antidromic  
 906 response that occurred at a spike-stimulus interval of 1.1 ms (G) (antidr); this was collided at  
 907 an interval of 1 ms (F).

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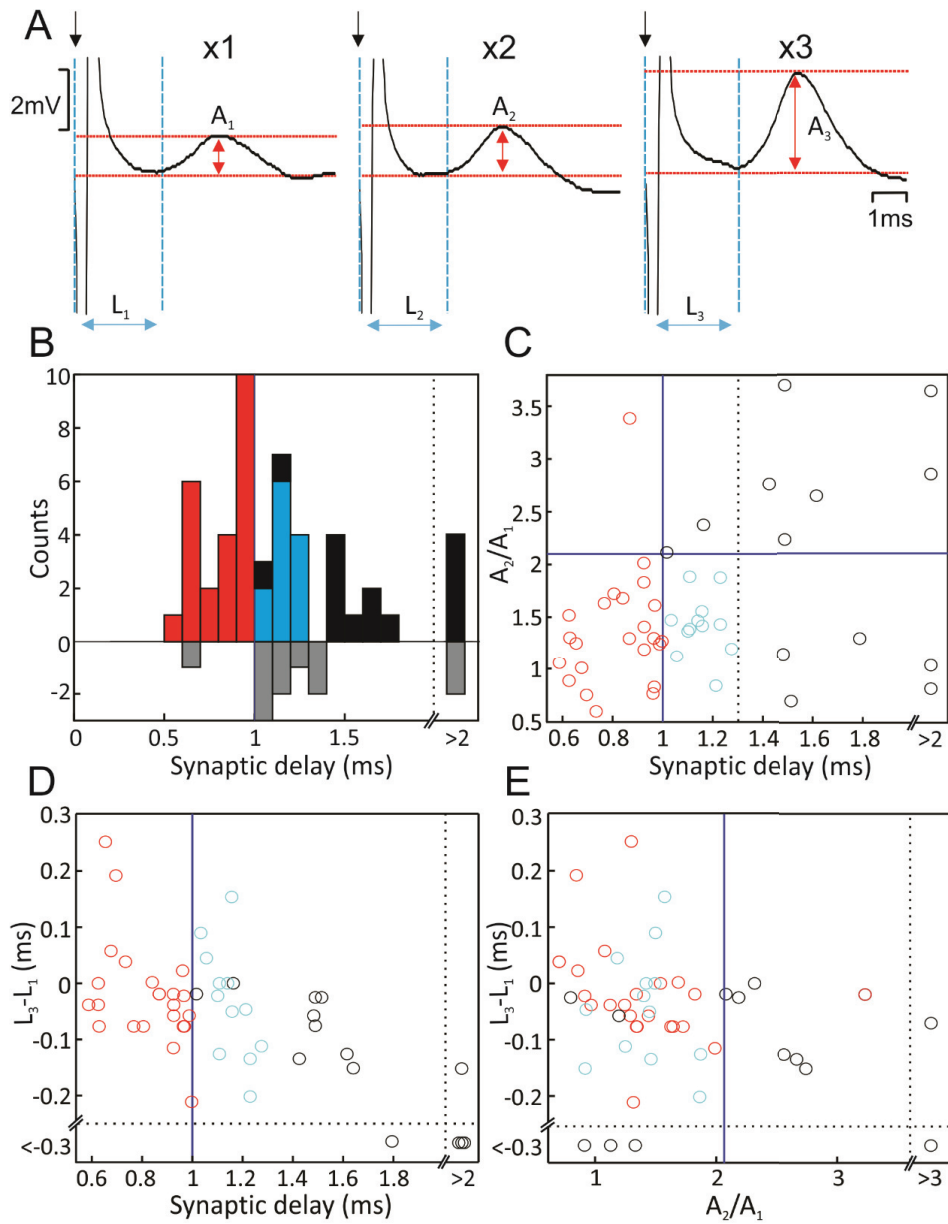


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911 **Figure 2. Example intracellular recordings.** A, examples in three different cells to show  
 912 the different types of synaptic response. Left column, synaptic delay 0.97 ms, and potential  
 913 does not grow with a pair of stimuli (x2) compared with a single stimulus (x1), indicating a  
 914 monosynaptic EPSP. Response to ipsilateral M1 middle stimulation. Middle column, small  
 915 response to single stimulus (x1), which grows considerably with four (x4); synaptic delay  
 916 >1 ms, indicating a oligosynaptic EPSP. Response to contralateral SMA stimulation. Right  
 917 column, no response to single stimulus; inhibitory response grows with four stimuli (x4),  
 918 indicating a oligosynaptic IPSP. Response to ipsilateral M1 lateral stimulation. For  
 919 oligosynaptic responses, sweeps following a single stimulus have been superimposed on  
 920 responses to four stimuli in thin lines; grey shading highlights the synaptic potential which  
 921 appears with multiple stimuli. Insets show antidromic responses to spinal stimulation on the

922 side ipsilateral or contralateral to the recording site. Cell in right column could not be  
923 antidromically activated. Calibration bars for insets showing antidromic responses are 2mV,  
924 1ms. B, responses to stimulation of each cortical electrode in turn, as indicated, for the same  
925 cells illustrated above in (A).

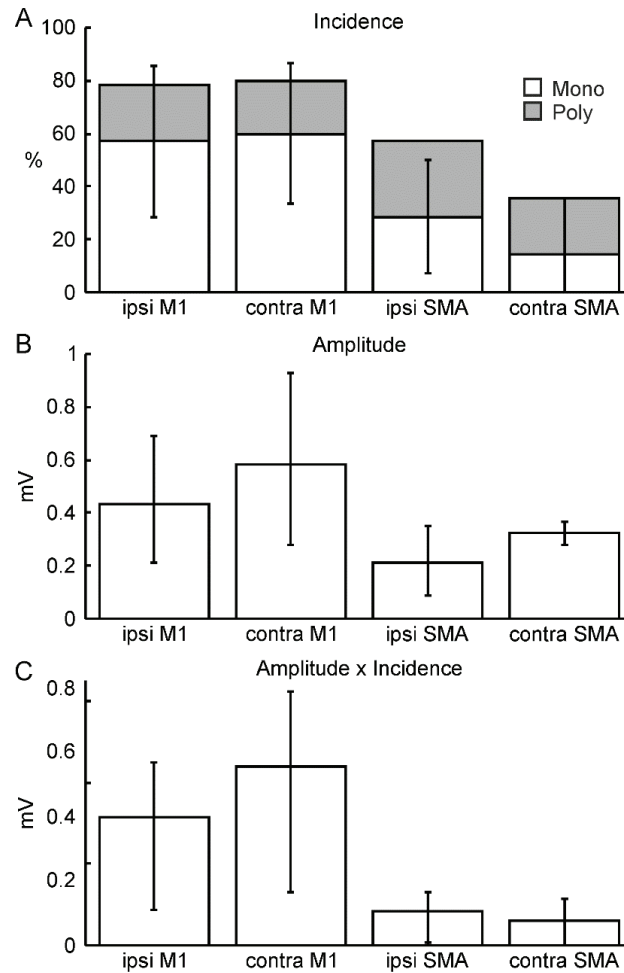
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 928 **Figure 3. Classification of responses as monosynaptic.** A, Example averaged EPSPs to  
 929 increasing numbers of stimuli applied to the same cortical electrode. Amplitudes and  
 930 latencies for responses to multiple stimuli were estimated by subtracting the trace with one  
 931 fewer stimuli, leaving the extra response evoked by the last stimulus in the train. B,  
 932 histograms of EPSP synaptic delay. Upward bars show measurements from responses to a  
 933 single cortical stimulus; downward bars from responses which only became apparent with  
 934 multiple stimuli. Remaining plots relate only to the responses visible to one stimulus. C,  
 935 scatter plot of augmentation ratio ( $A_2/A_1$ , see panel A) versus synaptic delay. D, latency

936 shortening ( $L_3-L_1$ , see panel A) versus synaptic delay. E, latency shortening versus  
937 augmentation ratio. Blue lines in (B-D) indicate thresholds used to exclude responses as  
938 non-monosynaptic. Points excluded on the basis of too large an augmentation ratio, or  
939 synaptic delay  $>1.3$  ms, are colored black. Points excluded on the basis of latency difference  
940 smaller than the threshold are colored blue. Points with synaptic delays shorter than 1 ms  
941 and hence very likely to be monosynaptic are colored red. Cyan points have synaptic delays  
942 longer than 1ms, but augmentation ratios and latency shortening comparable to the red  
943 points; these are accepted as likely to be monosynaptic, but mediated via slower  
944 corticoreticular fibers.

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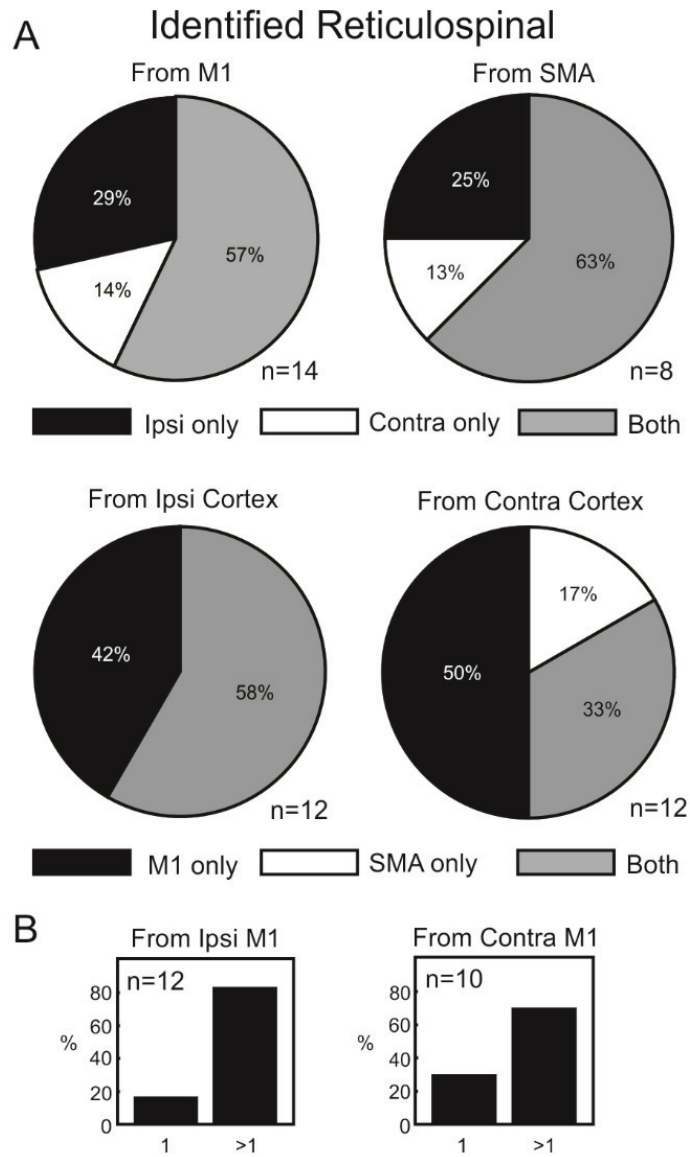
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948 **Figure 4. Properties of responses in intracellular recordings from antidromically-**  
 949 **identified reticulospinal neurons.** A, incidence of EPSPs following stimulation of different  
 950 cortical sites, classified as mono- (white) or oligosynaptic (grey). B, amplitude of EPSPs,  
 951 measured only from cells with monosynaptic responses. C, amplitude x incidence, also only  
 952 for monosynaptic responses, providing an overall measurement of the efficacy of direct input  
 953 from a given cortical area. Error bars show 95% confidence intervals on the measure,  
 954 estimated used a Monte Carlo resampling technique. Error bars in (A) relate to the  
 955 monosynaptic incidence.

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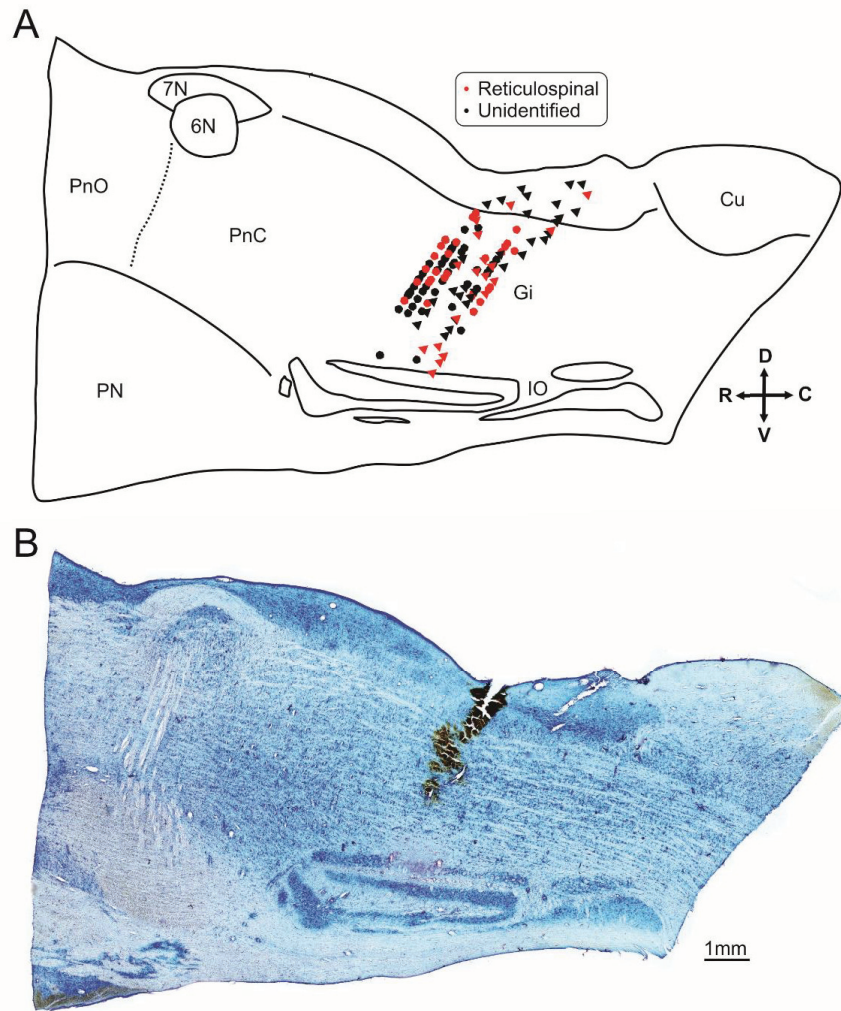




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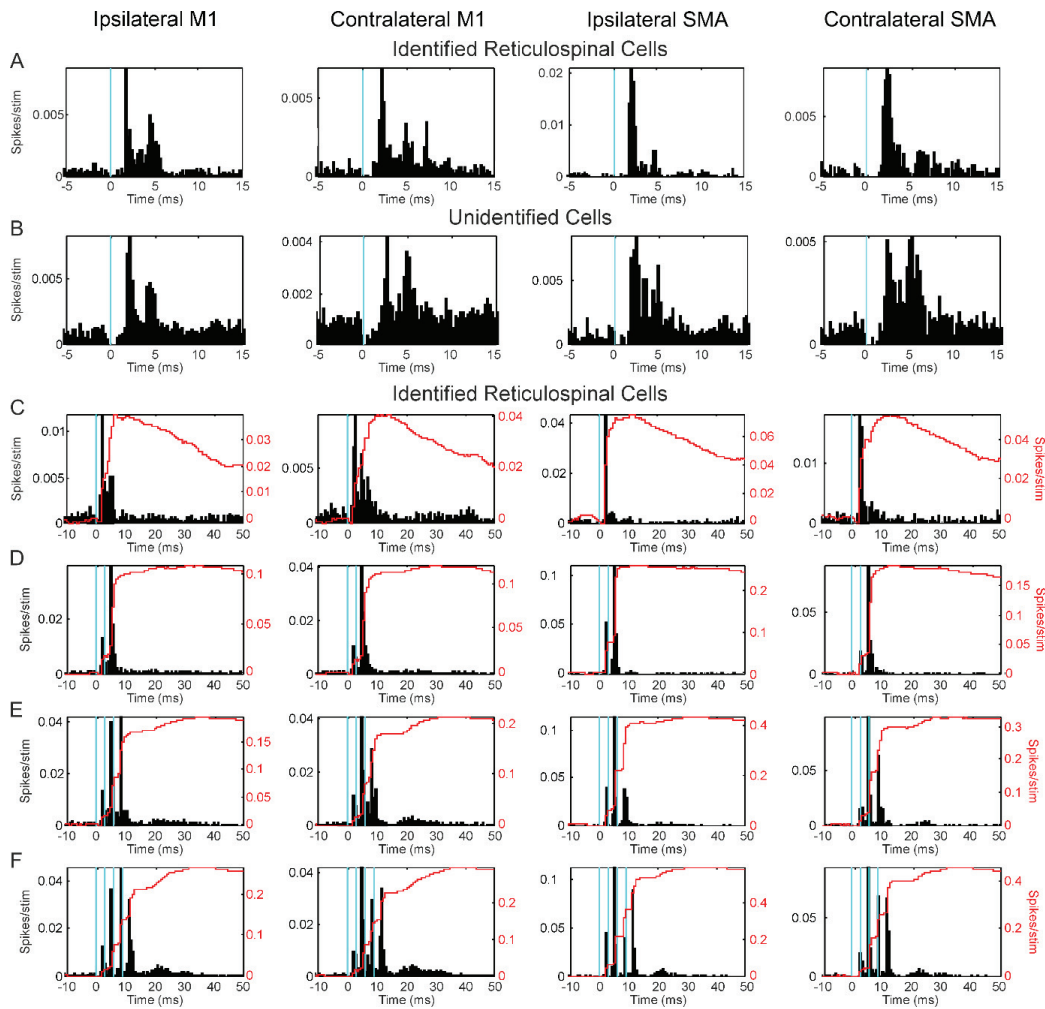
959 **Figure 5. Fraction of responses observed in intracellular recordings of reticulospinal**  
 960 **cells from different cortical stimuli.** A, proportions of reticulospinal neurons receiving  
 961 either mono- or oligosynaptic input from only one site of a pair, or both. Top row shows  
 962 convergence between the two hemispheres from the same cortical area (M1 or SMA).  
 963 Bottom row shows convergence between different cortical areas within the same  
 964 hemisphere. For each plot, cells have been excluded if they showed no response to either  
 965 stimulus. B, convergence between sites within M1 in the same hemisphere. Each bar chart  
 966 indicates the proportion of cells which receive input from just one, or more than one, M1 site.

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968

969 **Figure 6. Reconstruction of extracellular recording sites.** Recordings were made 1-  
 970 2 mm left or right of the midline in two monkeys. A, Sites from both sides and the two  
 971 animals have been combined and plotted together in the parasagittal plane. The  
 972 reconstructed recording locations are superimposed onto a representation of the macaque  
 973 brainstem anatomy, traced from a tissue section from monkey A. Circles denote recording  
 974 sites from monkey A and triangles are from monkey S. Brainstem structures are labelled as:  
 975 PnO, pontine reticular nucleus oralis; PnC, pontine reticular nucleus caudalis; Pn, pontine  
 976 nuclei; 6N, abducens nucleus; 7n, facial nerve; Gi, reticular nucleus gigantocellularis; IO,  
 977 inferior olive; Cu, cuneate nucleus. Arrows at bottom right provide orientation: D, dorsal, V,  
 978 ventral, R, rostral, C, caudal. B, Representative Nissl stained section from monkey A  
 979 showing the location of an ink injection made as along an electrode track after the final  
 980 recording. An identified reticulospinal cell was recorded at the deepest point of this track  
 981 which lies within the nucleus gigantocellularis.

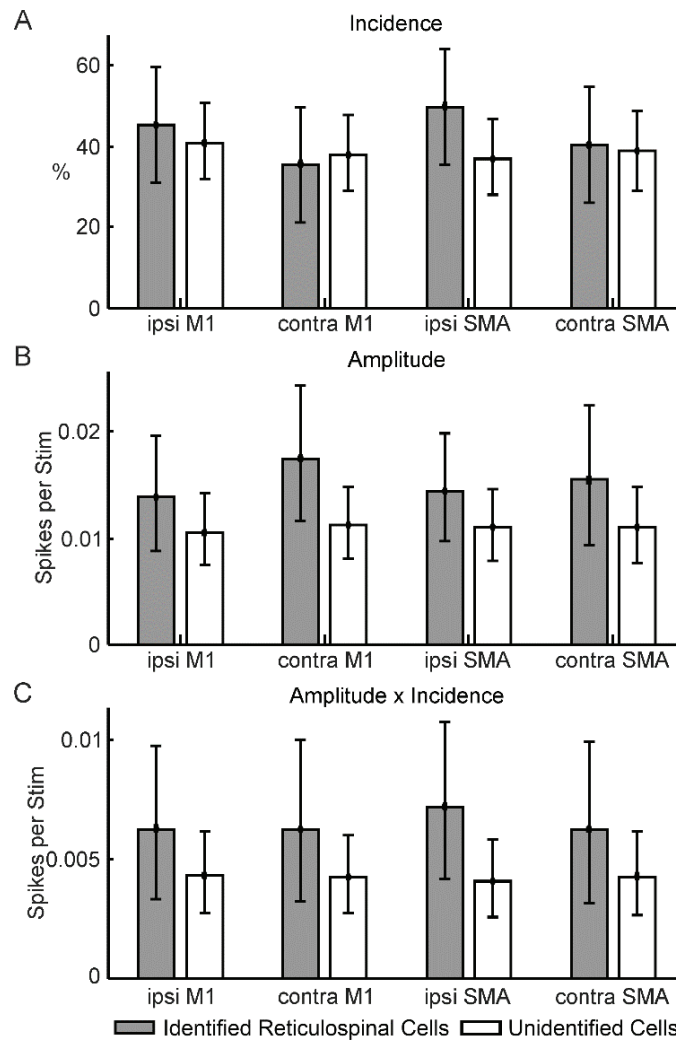


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983 **Figure 7. Summed responses to cortical stimulation recorded extracellularly.** Each row  
 984 shows peri-stimulus time histograms (PSTHs), averaged over all available cells within a  
 985 given class. Each column presents data for a given cortical stimulation site; results for M1  
 986 have been averaged over all available M1 stimulating electrodes in one hemisphere. Blue  
 987 vertical lines mark the times of cortical stimuli. A, reticulospinal cells, B, unidentified cells,  
 988 responding to single cortical stimuli. C-F, reticulospinal cells responding to between one (C)  
 989 and four (F) cortical stimuli, on a longer time scale to emphasize later components of the  
 990 response. Overlain on the PSTHs are cusums (red lines), plotted against the ordinate on the  
 991 right of each plot. Bin width for A,B, 0.25ms, for C-F, 0.5 ms. Averages compiled from 46  
 992 reticulospinal cells and 105 unidentified cells.

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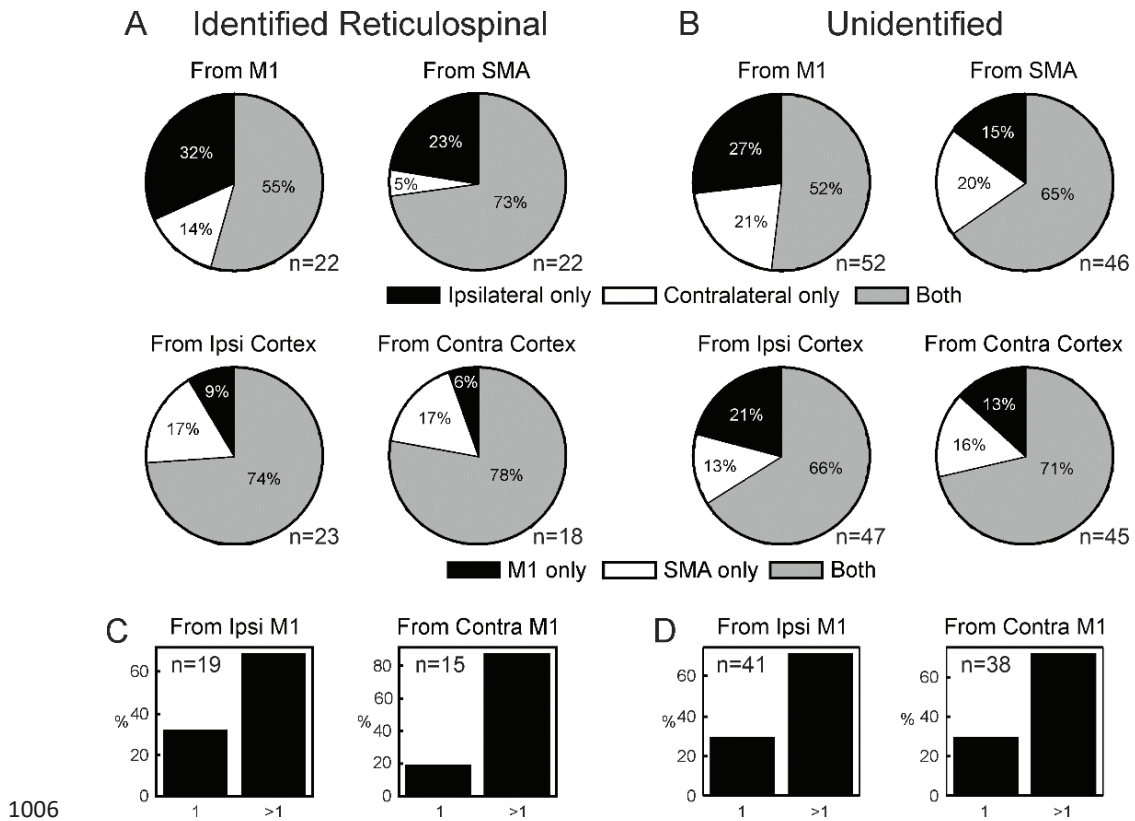


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997 **Figure 8. Properties of responses in extracellular recordings.** A, incidence of significant  
 998 responses following stimulation of different cortical sites. B, amplitude of responses  
 999 (calculated as extra spikes produced above baseline per stimulus), measured only over cells  
 1000 with significant responses. C, amplitude x incidence, providing an overall measurement of  
 1001 the efficacy of a given input. Results in all plots are shown separately for reticulospinal and  
 1002 unidentified cells. Error bars show 95% confidence intervals on the measure, estimated used  
 1003 a Monte Carlo resampling technique.

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1007 **Figure 9. Convergence of different inputs to single reticular formation neurons.** A,  
 1008 proportions of reticulospinal neurons receiving mono- or oligosynaptic input from only one  
 1009 site of a pair, or both. Top row shows convergence between the two hemispheres from the  
 1010 same cortical area (M1 or SMA). Bottom row shows convergence between different cortical  
 1011 areas within the same hemisphere. For each plot, cells have been excluded if they showed  
 1012 no response to either stimulus. B, as (A), but for unidentified cells. C, D, convergence  
 1013 between sites within M1 in the same hemisphere. Each bar chart indicates the proportion of  
 1014 cells which receive input from just one, or more than one, M1 site. C, for reticulospinal cells,  
 1015 D, for unidentified cells. Number of cells contributing is shown as n value for each plot  
 1016 individually.

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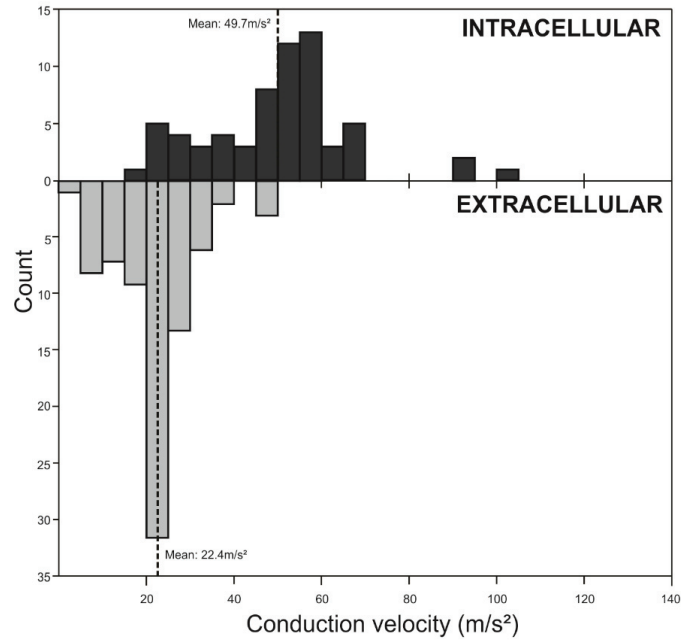
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1024 **Figure 10. Distribution of reticulospinal conduction velocities.** Observed velocities are  
 1025 plotted as histograms for intracellular and extracellular recordings separately. Dotted lines  
 1026 indicate the means of each distribution.