

1 **Impact of Scapular Notching on the Glenoid Fixation in Reverse Total**
2 **Shoulder Arthroplasty-An in-Vitro and Finite Element Study**

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22

23 **Abstract**

24 **Background:** The high incidence of scapular notching in reverse total shoulder arthroplasty
25 (RTSA) has spurred several methods to minimize the bone loss. However, up to 93 % of RTSA
26 with accompanying scapular notching have been reported to maintain good implant stability for
27 over 10 years. The purpose of this study is to investigate the correlation between scapular
28 notching and glenoid fixation in RTSA.

29 **Methods:** An in-vitro setup was used to measure the notch-induced variations of the strain on
30 the scapular surface and the micromotion at the bone-prosthesis interface during arm abductions
31 of 30°, 60° and 90°. Finite element analysis (FEA) was used to study the bone and screw
32 stresses as well as the bone-prosthesis micromotion in cases of a grade 4 notch during
33 complicated arm motions.

34 **Results:** The notch resulted in an apparent increase of inferior screw stress in the root of the
35 screw cap and the notch-screw conjunction. However, the maximal stress (172 MPa) along the
36 screw after notch is still much less than the fatigue strength of the titanium screw (600 MPa)
37 under cyclic loading. The bone-prosthesis micromotion results did not present significant
38 notch-induced variations.

39 **Conclusions:** Scapular notching will not lead to significant effects on the initial stability of
40 glenoid component in RTSA. This finding may explain the long-term longevity of RTSA in
41 cases of severe scapular notching. The relationship between scapular notching and weak regions
42 along the inferior screw may explain why fractures of the inferior screw are sometimes reported
43 in patients with RTSA clinically.

44 **Introduction**

45 Scapular notching is a result of mechanical impingement between the humeral cup and the
46 scapular neck, which often leads to implant wear and the generation of polyethylene (PE) debris.
47 The PE particles can trigger localised osteolytic reactions and further enlarge the bone notch.
48 Scapular notching is a frequently reported complication of Grammont reverse total shoulder
49 arthroplasty (RTSA), occurring in 44 % to 93 % of patients ¹⁻⁴. Notching can appear within the
50 first few postoperative months of a patient undergoing RTSA and may continue to progress
51 over time ^{1, 4, 5}. This condition is also sometimes accompanied by screw fracture and implant
52 loosening ^{6, 7}. Thus the presence of scapular notching has long been a clinical concern ⁶⁻⁸ and
53 numerous publications have reported on efforts to minimize bone-prosthesis impingement and
54 scapular notching ⁹⁻¹¹. However, a recent review of longevity studies for RTSA reported that
55 the postoperative survivorship of RTSA is 70 % at 15 years, or when viewing prosthesis failure
56 alone as the reason for revision the survivorship rate reaches 85 % at 15 years ¹. Moreover, at
57 a follow-up of 10 or more years, 93 % of patients with RTSA had scapular notching, 48 % of
58 whom being grade III or IV ¹. It is not yet clear whether scapular notching is associated with
59 implant survivorship, particularly whether a severe notch promotes aseptic glenoid loosening,
60 which has been reported in 12 % of Grammont RTSAs ⁸.

61 Previous studies on the fixation strength of the glenoid baseplate in RTSA included in-vitro
62 testing and finite element analysis (FEA). In-vitro testing can closely replicate the conditions
63 in the body, but the range of arm motions is restricted and this method can only provide limited
64 information on what is happening within the joint. Roche et al. ¹¹ used an in-vitro setup to
65 evaluate initial implant fixation through bone-prosthesis micromotion after scapular notching.
66 However, only arm abduction was simulated. Finite element analysis can simulate any joint
67 movement through a range of complicated activities and is beneficial for assessing stresses and
68 forces that cannot be easily measured using other means. This study is aimed to use an in-vitro
69 setup and FEA to quantitatively assess the correlation between scapular notching and glenoid
70 fixation in RTSA. The fixation was assessed according to initial implant stability and screw
71 stability. In-vitro testing was used to investigate the notch-induced variations of bone strain and
72 bone-prosthesis micromotion under 30°, 60° and 90° of humeral abductions respectively. For
73 more complex shoulder movements (lifting an object to head height and standing up from an
74 armchair), FEA was used to further study the effect of scapular notching on the glenoid fixation
75 with regards to screw safety, screw stability and initial implant stability with the parameters of
76 screw stress, bone stress on the surface of the screw hole and bone-prosthesis micromotion.

77 Given the high incidence of scapular notching but low revision rates for RTSA, it was
78 hypothesized that a Grade 4 scapular notch would have little effect on the stability of RTSA
79 during the simulated daily activities.

80 **Materials and methods**

81 1. In-vitro Testing

82 Three cadaveric scapulae (provided by Science Care, USA) (Table 1) without any history of
83 shoulder disease or surgery were used for the in-vitro evaluation. The method for preparing the
84 cadaveric scapulae for testing is described in a previous publication by the authors¹². The
85 cadaveric shoulders were first taken out from a -20 °C freezer and thawed at room temperature
86 the night before the in-vitro testing began. Then, the scapula was separated from each shoulder
87 and soft tissues on the surface of each scapula were removed. For the purpose of setting the
88 coordinate system with respect to the glenoid bone, the labrum on the glenoid was carefully
89 removed. Bone strains on the scapular surface and bone-prosthesis micromotions in both the
90 unnotched and notched conditions were measured with the aim of evaluating the effect of
91 scapular notching on implant stability. Methods preparing and measuring these two parameters
92 in the in-vitro testing are described below.

93 Preparation for measuring bone strains on the scapular surface

94 On each of the scapulae, eight uniaxial strain gauges (FLA-2-11, Tokyo Sokki Kenkyujo Co.,
95 Ltd.) were attached at approximately 10 mm and 25 mm beneath the glenoid articular surface
96 and around the glenoid at each level (Figure 1). These two levels were chosen with the purpose
97 of investigating strain close to, and at a small distance from the glenoid. Strain gauges on the
98 anterior, posterior and superior surfaces of the scapula were roughly perpendicular to the
99 glenoid articular surface. The strain gauges located on the inferior surface were orientated
100 parallel to the lateral border. The procedure of fixing a strain gauge on the bone surface
101 conformed to the method introduced by Miles and Tanner¹³ and is detailed below. The location
102 where a strain gauge would be attached was firstly specified and marked with a black permanent
103 marker. Then, the periosteum on the target location for the strain gauge was cleared and the
104 bone surface was abraded with a piece of 400 grit silicon-carbide paper. As suggested by Wright
105 and Hayes¹⁴, the targeted bone surface was prepared with CSM-2 degreaser, a thin layer of M-
106 Bond catalyst, a thin layer of M-Bond 200 adhesive, and one drop of M-Bond 200 adhesive in
107 this order (Vishay Measurements Group U.K. Ltd). Finally, one strain gauge was attached and
108 pressed with a finger for approximately one minute on the target surface. All the strain gauges
109 were connected to a calibrated model P3 strain recorder (accuracy 1 $\mu\epsilon$) (Vishay Measurements
110 Group U.K. Ltd) for strain measurements.

111 Setup of bone-prosthesis interface micromotion test

112 Referring to Figure 2 (A), each scapula was secured in a container filled with
113 polymethylmethacrylate (PMMA) bone cement (Stryker Simplex®) at the approximately one
114 third of bone from the medial side. The coordinate system (Figure 2 (A)) was defined in
115 accordance with the system proposed by Terrier et al. ¹⁵, with the middle point of the glenoid
116 fossa being the origin (O) of the coordinate system. The X-axis was orientated from posterior
117 to anterior, the Y-axis was orientated from inferior to superior, and the Z-axis was defined as
118 being perpendicular to the glenoid articular surface. An experienced orthopaedic shoulder
119 surgeon implanted each shoulder joint with a Delta CTA RTSA (Depuy Synthes Company,
120 Warsaw, USA) using the procedure detailed in the 2005 version of the Delta implant surgical
121 guide (Depuy Synthes Company, Warsaw, USA). The relative movement (micromotion) at the
122 bone-prosthesis interface was measured using a Linear Variable Differential Transformer
123 (LVDT) (DP/2/S, Solartron Metrology, UK) (Resolution 0.01 µm) (Figure 2 (B)). Each LVDT
124 was firmly fixed on the metal glenoid component in the RTSA with an external rod (Figure 2
125 (B)). Movement of the probe on the LVDT corresponded to the relative movement between the
126 metal glenoid component and the position where the probe on the LVDT touches the bone. The
127 probes were initially positioned as close as possible to the bone-prosthesis interface. Four
128 calibrated LVDTs were fixed to the superior, inferior, anterior and posterior of the metal glenoid
129 implant.

130 Measurement in the unnotched bone condition

131 All the scapulae with the strain gauges and RTSA were firstly used for the measurement in the
132 unnotched bone condition. The test setup is shown in Figure 2 (B). The bone container holding
133 the unnotched scapula was secured on the platform of an Instron machine (Instron Ltd, UK).
134 The superoinferior direction of the scapula was aligned with the matching humeral cup (Depuy)
135 and the pneumatic cylinder. The humeral cup was fixed to the actuator in the Instron machine
136 (Instron Ltd, UK) and supplied the vertical force. The pneumatic cylinder was fixed with the
137 platform of the Instron machine (Instron Ltd, UK) and applied the horizontal force. Maximum
138 glenohumeral force values in the arm motions of 30°, 60° and 90° abductions were obtained
139 from the study of Terrier and associates ¹⁵ (Supplementary) and executed by the pneumatic
140 cylinder and the actuator in the Instron machine. The strain value measured by each strain gauge
141 around the glenoid under each abduction angle was recorded. The output from each LVDT was
142 also recorded. In order to reduce the effect of the viscoelastic properties of bone on the results,

143 a five-minute restoration period was allowed for each scapula before the start of the next loading
144 case ¹⁶. Due to possible impingement between the rod for securing the inferior LVDT on the
145 implant and the humeral cup at 30° and 60° abductions, inferior micromotions under these two
146 conditions were not recorded. The test was repeated three times for each abduction angle and
147 the average value was used to represent the strain and micromotion for that angle.

Commented [JS1]: How many cycles were tested for each loading case? What was the loading frequency? 1 Hz?

Commented [JS2]: So a total of 9 tests for each scapula?

148 Measurement in the notched bone condition

149 After all testing of unnotched samples was complete, a Nerot-Sirveaux grade 4 inferior artificial
150 notch (Figure 3 (A)) was hand made in each scapula with the most medial border of the notch
151 being roughly 10 mm below the inferior rim of the glenoid component ¹⁷. The positioning of
152 the notch was consistent with those reported in clinical literature ^{6, 18}. The strain gauges used
153 for the testing on the unnotched scapulae were used and remained in place during the notching
154 procedure. Prior to the testing in the notched condition, the positions of the strain gauges were
155 verified to be the same as in the unnotched condition testing. Gauges that were broken or
156 damaged were replaced with new ones at the same positions. The notched scapulae were then
157 moved back into the Instron machine. The same operation method of position of the bone
158 container on the Instron platform as used in the previous testing was used. The same loading
159 conditions (arm abduction to 30°, 60° and 90°) for the unnotched bone were applied. Strains
160 and micromotions around the glenoid were recorded and compared to the pre-notched results.

161 A student's t-test was used to investigate the effects of a severe notch on bone strain and
162 micromotion. A p-value of less than 0.05 was considered statistically significant.

Commented [JS3]: This must be a paired student t-test? Since you compared the same sample before and after the test.

163 2. Analysis of the Effect of Scapular Notching on Implant Stability in Daily Activities with 164 Finite Element Modelling

165 Before further analysis of the effect of scapular notching on the implant stability in complex
166 daily activities with finite element modelling (FEM), the notch-induced changes in bone strain
167 and bone-prosthesis micromotion in the arm abductions of 30°, 60° and 90° predicted from the
168 FEM were validated with the results from the previous experiments. The believable FEM which
169 had been validated with the in-vitro testing results would be used for the further study in daily
170 activities.

Commented [JS4]: So you already validated from a previous study? Did you publish that data? If so, would be important to reference here.

Commented [JS5]: I think you can delete this sentence as you are mentioning the same thing as the previous 2 sentences.

171 Validation of the finite element modelling

172 The method of building the FEM of a scapula with a Delta CTA prosthesis was described in
173 our previous work ¹⁹, and consists of the following steps. CT images (Table 1) of all three

174 scapulae were used to reconstruct the geometry of the bone in Avizo 5 (Mercury Systems,
175 Andover, USA). Each reconstructed scapula model was implanted with a Delta CTA RTSA
176 with guidance from an experienced orthopaedic shoulder surgeon and following the surgical
177 technique for this type of prosthesis (2005 revision, Depuy Synthes Company, Warsaw, USA).
178 The glenoid component and screw positions of each scapula in the FEM was consistent with
179 those of the same bone in the previous cadaveric testing. Each FEM of an implanted scapula
180 was used to create two models: with and without a scapular notch (Figure 3 (B)). For each
181 notched model, a Nerot-Sirveaux grade 4 notch ⁶ was simulated to be consistent with the notch
182 created in the same cadaveric scapula. All the notched and unnotched FEMs were imported into
183 the software MSC Marc (MSC Software Corporation, Santa Ana, USA) for finite element (FE)
184 pre-processing and modelling. Methods of FEM in MSC Marc for the notched and unnotched
185 bone were the same. Each model of the bone with a Delta CTA RTSA was composed of
186 isotropic and linear elastic tetrahedral elements. The material properties of each element in the
187 FE model of the scapula were determined by the CT values and the density-modulus
188 relationship proposed by Carter and Hayes ²⁰. The FEM of the three cadaveric scapulae in the
189 intact condition were validated against results from in-vitro cadaveric testing in our previous
190 work ¹². The Young's modulus of the cobalt-chrome baseplate and the glenohumeral sphere
191 was set as 210 GPa ²¹, and that of the titanium screws for securing the glenoid component were
192 set as 110 GPa ²¹. The Poisson's ratio for all the elements was 0.3. The bone-prosthesis interface
193 was unbonded with a friction coefficient of 0.4 ²¹, which has been shown to be consistent with
194 in-vitro conditions ²². The screws were assumed to provide firm fixation, and thus to be rigidly
195 bonded with the bone. The FE models used the same coordinate system, arm abduction angles
196 and boundary conditions as the in-vitro testing. Similarly, the strain in the FE models was
197 recorded at the same points where the strain gauges were located in the in-vitro test and in the
198 same direction as the gauge orientation. The relative movement between the glenoid baseplate
199 and the position of the LVDT probe on the bone was also calculated. Convergence testing for
200 each analyzed scapula showed that a mesh size of 1.5 mm in the region of the glenoid and 3.0
201 mm in the remaining bone was able to produce reliable strains and micromotions ¹². The mean
202 notch-induced strain change in the position of each strain gauge for the three scapula models
203 was calculated. In addition, the bone-prosthesis micromotion in each direction of the glenoid
204 from the three subjects was also averaged. Because of unavoidable differences between the in-
205 vitro and FE models in accordance to notch shape, implant position, and screw location, a
206 comparison was made between the in-vitro and FE models to assess the effect of scapular

Commented [JS6]: What about the UHMWPE numeral cup lining?

Commented [JS7]: What was the % convergence?

207 notching on strain and bone-prosthesis micromotion. This comparison was used to assess the
208 accuracy of the FE model.

209 Effect of scapular notching on implant stability in daily activities

210 After validating the FE models as described above, the models were used to simulate two
211 complicated shoulder movements: 1. lifting a block to head height, and 2. standing up from an
212 armchair. These two activities have been reported to produce the greatest glenohumeral contact
213 forces and anteroposterior shear forces out of 13 daily shoulder activities in patients with RTSA
214 ²³. The force values for these two activities presented by Kontaxis et al. ²³ were used
215 (Supplement). Principal stresses along the screws and on the surface of the screw holes as well
216 as bone-prosthesis micromotions were evaluated. A student's t-test was used to assess the effect
217 of scapular notching on the stability of the glenoid implant. A p-value of less than 0.05 was
218 considered significant.

219 **Results**

220 In-vitro testing

221 The strains recorded from each strain gauge from the three cadaveric scapulae were averaged
222 and are presented in [Figure 4 \(A\) and \(B\)](#). The results indicated that the presence of a notch did
223 not lead to significant effects on the bone strains around the scapula ($p=0.86$). While the
224 magnitude of the strain changes varied depending on gauge location and activity being
225 performed. The loading-dependent characteristic of notch-induced bone strain presents a
226 necessity for more realistic and complicated loading simulations.

227 Mean bone-prosthesis interface micromotions in each LVDT position from the three subjects
228 were presented in [Figure 4 \(C\)](#). It is shown that the notch did not significantly impact the bone-
229 prosthesis relative movements around the glenoid component ($p=0.84$).

230 Validation of finite element modelling with the experimental measurements

231 Notch-induced strain variations from the FE models of the three subjects were averaged in each
232 strain gauge position and illustrated with the in-vitro results in [Figure 5](#). The FE results for the
233 notch-induced strain variations around the glenoid displayed a consistent trend with those from
234 the in-vitro testing. Both the FE and experimental data presented an apparent notch-induced
235 reduction in strain variations from the position close to the glenoid to that far away around the
236 glenoid. The maximal difference between the FE notch-induced variation around the glenoid
237 and that obtained from the experimental results was $14 \mu\epsilon$ and occurred in the lateral posterior
238 glenoid surface.

239 The comparison between the FE and experimental micromotion variations indicated that the FE
240 model of scapulae can predict the same levels of micromotions to the in-vitro testing. The
241 maximum FE-experimental difference in the notch-induced micromotion variations around the
242 glenoid was $0.5 \mu\text{m}$.

243 Effect of scapular notching on implant stability in daily activities

244 Distributions of the maximum principal stress along the inferior screw from the three subjects
245 before and after notching were predicted with FE analysis. It showed the same trend of stress
246 distribution along the inferior screw for the three subjects. One subject's stress distribution
247 when standing up from an armchair are illustrated in [Figure 6 \(A\)](#). It exhibited that high stresses
248 appeared in the root of screw cap. The scapular notch resulted in an increase in the maximum

Commented [JS8]: This seems very small, is it definitely 0.5 microns?

249 principal stress for all the three subjects. The averaged maximum principal stresses from the
250 three subjects in cases of a Nerot-Sirveaux grade 4 inferior notch reached 72.5 MPa (SD 4.8
251 MPa) while lifting a block to the head height and 172.0 MPa (SD 6.2 MPa) while standing up
252 from an armchair. When averaging the notch-induced change of the maximum principal stresses
253 on each cross section along the inferior screw at 2 mm intervals, all three subjects' results
254 presented consistent trends in the two shoulder activities. One subject's results were illustrated
255 in [Figure 6 \(B\)](#). Both simulated arm activities led to apparent notch-induced increase of the
256 maximum principal stress in the root of the screw cap and the conjunction between the notch
257 and the inferior screw. The results also indicated that large glenohumeral contact force resulted
258 from the activity of standing up from an armchair led to the most apparent increase of stress
259 after scapular notching.

260 Distribution of the maximum principal stress on the surface of the inferior screw hole before
261 and after notching was used to assess the possibility of notch-induced bone fracture. The results
262 from all three subjects showed the same stress distribution. High stresses appeared close to the
263 screw tip as shown in [Figure 7](#), which is one subject's stress distributions before and after
264 scapular notching when standing up from an armchair. In addition, it was found that the bone
265 stress on the surface of the inferior screw hole after scapular notching increased, with the mean
266 maximum principal value for the three subjects in the two simulated shoulder joint activities
267 being 3.3 MPa (SD 0.9).

268 Micromotion distributions at the bone-prosthesis interface for the three subjects before and after
269 scapular notching were calculated. [Figure 8](#) presents distributions of one subject's bone-
270 prosthesis micromotion when rising from an armchair. The results indicated that there were not
271 significant variations in the bone-prosthesis micromotion ($p=0.87$). The mean peak notch-
272 induced increase of bone-prosthesis micromotion for the three subjects was 2.7 μm (SD 0.6)
273 when standing up from an armchair and 1.2 μm (SD 0.1) when lifting a block to head height.

274 **Discussion**

275 Both in-vitro testing and FE analysis methods were utilized to investigate the effect of inferior
276 scapular notching on the glenoid fixation in RTSA. The most important finding is that (1) notch-
277 induced stress variation was loading and location dependent. (2) An inferior scapular notch led
278 to apparent increase in the root of the screw cap as well as the screw-notch interface. (3) The
279 bone stress on the surface of the inferior screw hole increased after scapular notching. (4) A
280 severe inferior scapular notch resulted in few variations in the micromotion at the bone-
281 prosthesis interface during daily arm activities.

282 Strains on the surface of three cadaveric scapulae before and after scapular notching under 30°,
283 60° and 90° arm abductions were measured using in-vitro testing. The results showed that
284 notch-induced strain variation was loading and location dependent. The region close to the
285 notch was generally impacted by the notch more than the region far away from the notch. It is
286 possibly because no bone supports the inferior screw in the region of bone loss, and thus bone
287 close to the notch suffered more stresses.

288 The FEM for predicting the strains and micromotions in the bone condition of an inferior
289 scapular notch were validated with the completed in-vitro testing. The maximum FE-
290 experimental deviation of the notch-induced strain variations was 14 $\mu\epsilon$, and that of the bone-
291 prosthesis micromotion changes was 0.5 μm . The differences between the FE predictions and
292 the experimental results could have been induced by the unavoidable inconsistent notch
293 geometries and positions of the glenoid prosthesis in the FEM to those in the in-vitro testing.
294 The slight changes of the location of the glenoid component in RTSA and the notch surface
295 created by hand may have led to variations of force transmitted from the glenoid prosthesis to
296 the bone. The contact condition at the interface between the non-locked screws (the anterior
297 and posterior screws) and the bone is possibly another explanation for the FE-experimental
298 variations. In the FE model, the non-locked screws were assumed firmly secured. The real
299 condition may not have been the same as the assumption in the FE modeling, and may have led
300 to different experimental results. However, the FEM of the three scapulae when they were in
301 the intact condition had been validated against the results from the in-vitro cadaveric testing in
302 our previous work ¹². Moreover, the notch-induced strain variations predicted from the FEM
303 displayed a consistent trend to those measured from the in-vitro testing in the same loading and
304 fixation conditions. Thus, the FEM was able to predict believable strain variations induced by
305 the inferior scapular notch. The maximum notch-induced change of bone-prosthesis

306 micromotion (0.5 μm) was much lower than the threshold for bone integration (50 μm)²⁴, thus
307 the FEM was able to predict the effect of the inferior scapular notching on the bone ingrowth
308 after RTSA implantation.

309 With the validated FEM of implanted scapulae, two complicated physical daily shoulder
310 activities were simulated. The predicted notch-induced stress changes along the inferior screw
311 depicted that a notch led to apparent increase of screw stress in the root of the screw cap and
312 the screw-notch interface. The two regions of big notch-induced stress variation predicted from
313 the FEM are a line with the positions of screw fractures reported from the clinical practices⁶.
314²⁵. The agreement between FE prediction and the clinical observation presented that the FEM
315 of an implanted scapula with a scapular notch could predict believable results when the effects
316 of the severe notch on the inferior screw were analyzed. In this study, the predicted maximal
317 principal stress of the inferior screw in the bone condition of a Nerot-Sirveaux grade 4 notch
318 was 172 MPa and occurred when standing up from an armchair, which resulted in the largest
319 glenohumeral joint contact force in the 13 daily arm activities reported by Kontaxis²³. This
320 value was much lower than the fatigue strength of the inferior screw material (titanium, 600
321 MPa) in daily life²⁶. It documented that the inferior screw in a scapula implanted with a RTSA
322 was comparatively safe even in the bone condition of a severe inferior scapular notch. The
323 incidence of breakage of the inferior screw accompanied with the scapular notching in clinical
324 practice was 2% reported from Sirveaux and associates⁶ and 1% in the Grassi and co-workers'
325 study²⁵. The screw fracture was possibly caused by the movement of the humeral component
326 into the notch and the impact to the inferior screw²⁷. It may also be induced by the stress
327 concentration in the inferior screw thread, reducing the screw fatigue life. Some incorrect
328 surgical techniques, such as overtensioning of deltoid muscle observed in clinical practice⁸,
329 could be another factor leading to screw fracture in the case of scapular notching. The results
330 of this study documented that the notch-induced stress variation was loading-dependent.
331 Overtensioning of deltoid muscle may increase the **glenohumeral contact force** and induce
332 higher stresses than our predictions. Generally, the inferior screw is comparatively safe even in
333 the presence of a severe inferior notch. However, if the inferior screw breaks, the root of the
334 screw cap and the bone-notch interface are the regions of highly potential risk.

335 The maximal principal stresses on the surface of the inferior screw hole after scapular notching
336 were analyzed. The peak stress in the cancellous bone on the surface of the inferior screw hole
337 reached 3.3 MPa (SD 0.9). This value was lower than the regional ultimate strength (13 MPa -
338 110 MPa)²⁸⁻³⁰ and failure strength (9 MPa - 15 MPa)²⁸ of cancellous bone, but on the same

Commented [JS9]: Did you include normal joint compression in your experiments and FE simulation?

Commented [JS10R9]: If not, perhaps this might be another explanation as to why some screws fail

339 level as the fatigue failure strength (3.57 MPa) for the epiphyseal cancellous bone with Young's
340 modulus of 400 MPa after 1 million cycles³¹. The finding suggests that scapular notching may
341 increase the risk of bone fracture close to the inferior screw hole and may explain the possible
342 screw loosening in the presence of scapular notching, which were reported to cover 40% of
343 glenoid loosening⁶.

344 Micromotions at the bone-prosthesis interface were analysed to assess the effects of a severe
345 inferior scapular notch on the initial stability of glenoid prosthesis in RTSA. The results showed
346 that few variations in the notch-induced bone-prosthesis micromotions were observed after
347 scapular notching, with a peak increase of approximately 2.7 μm (SD 0.6) when rising from an
348 armchair and 1.2 μm (SD 0.1) when lifting a block to head height. The maximum predicted
349 bone-prosthesis micromotion of the implanted scapula accompanied by a severe scapular notch
350 was 59.8 μm , which is on the same level as the threshold for bone growth (50 μm)²⁴ and
351 predicted a generally effective bone-prosthesis environment for the bone osteointegration. This
352 finding was in line with the report from Nyffeler et al.¹⁸, in which an eight-month follow-up
353 retrieved Delta III RTSA in the scapula accompanied by a Grade 3 inferior notch was generally
354 well supported by the bone biological attachments.

355 There are several limitations. Firstly, the unavoidable inconsistency in the notch geometries,
356 the positions of the glenoid prosthesis and screw fixations, between the experiment and the
357 FEM, limit the precision of statistical comparison. In our previous work, the FEM of the three
358 cadaveric scapulae in the intact condition were validated against results from in-vitro cadaveric
359 testing¹². Moreover, the differences between the FE predicted notch-induced variations of
360 inferior screw stress and those from experiments were much smaller than the fatigue strength
361 of the titanium screw material. The FE-experimental variations of bone-prosthesis
362 micromotions were also much lower than the threshold for bone ingrowth. Therefore, the FEM
363 of a scapula accompanied by an inferior notch can produce a consistent result to the reality.
364 Secondly, only severe inferior notch (Nerot-Sirveaux grade 4) was used in this study, although
365 scapular notches are also observed in the anterior and posterior scapulae¹⁷. Because an inferior
366 notch is one of the most significant with regards to bone loss, as well as screw fractures that
367 were reported in the bone being associated with the inferior scapula notch in clinic^{6,25}, a severe
368 inferior scapular notch is appropriate in assessing the implant fixation. Thirdly, the assessment
369 of bone fracture was limited by the use of the fatigue failure value from the bovine cancellous
370 bone with Young's modulus of 400 MPa³¹. A proper fatigue failure limitation from scapular
371 trabecular bone in daily life would improve the accuracy of our assessment. Finally, the use of

372 LVDTs precluded the ability to measure the relative bone-prosthesis movement in the inferior
373 scapula. Future iterations of this test paradigm may use slightly different motion capture
374 techniques (i.e. Laser extensometer) to capture the displacements in all the regions around the
375 glenoid (anterior, posterior, inferior, superior).

376

377 **Conclusion**

378 This study is aimed to investigate effects of scapular notching on the fixation of glenoid
379 component in Grammont RTSA. Both the in-vitro testing and FEM results presented few notch-
380 induced variations of bone-prosthesis micromotions. The stress values along the inferior
381 titanium screw in the implanted scapula accompanied by an inferior notch were lower than the
382 screw fatigue strength (600 MPa) and documented that the inferior screw was comparatively
383 safe even in the presence of a severe inferior notch on the scapular neck. These findings may
384 explain the long-term longevity of RTSA in the case of severe scapular notching. However, the
385 relationship between the inferior scapular notch, the weak regions along the inferior screw (the
386 root of the screw cap and the screw-notch conjunction) and the slightly notch-induced increase
387 of the bone stresses on the surface of the inferior screw hole, is possibly an explanation for the
388 positions of the inferior screw fracture and the screw loosening accompanied by scapular
389 notching.

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393

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