

1 **Precision dosing based optimisation of paroxetine during pregnancy for poor and ultra-**  
2 **rapid CYP2D6 metabolisers: a virtual clinical trial pharmacokinetics study**

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4 **Running Title: Precision dosing based optimisation of paroxetine during pregnancy**

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21 **ABSTRACT**

22 **Background:** Paroxetine has been demonstrated to undergo gestation related reductions in  
23 plasma concentrations, to an extent which is dictated by the polymorphic state of CYP 2D6.  
24 However knowledge of appropriate dose titrations is lacking.

25 **Methods:** A pharmacokinetic modelling approach was applied to examine gestational changes  
26 in trough plasma concentrations for CYP 2D6 phenotypes, followed by necessary dose  
27 adjustment strategies to maintain paroxetine levels within a therapeutic range of 20-60 ng/mL.

28 **Key Findings:** A decrease in trough plasma concentrations was simulated throughout gestation  
29 for all phenotypes. A significant number of ultra-rapid (UM) phenotype subjects possessed  
30 trough levels below 20 ng/mL (73-76 %) compared to extensive-metabolisers (EM) (51-53 %).

31 **Conclusions:** For all phenotypes studied there was a requirement for daily doses in-excess of  
32 the standard 20 mg dose throughout gestation. For EM, a dose of 30 mg daily in trimester 1  
33 followed by 40 mg daily in trimesters 2 and 3 is suggested to be optimal. For poor-metabolisers  
34 (PM) a 20 mg daily dose in trimester 1 followed by 30 mg daily in trimesters 2 and 3 is  
35 suggested to be optimal. For UM, a 40 mg daily dose throughout gestation is suggested to be  
36 optimal.

37

38 **KEYWORDS**

39 Paroxetine; pharmacokinetics; PBPK; pregnancy; phenotype

## 40 1. INTRODUCTION

41 Depression in pregnancy is a serious and prevalent condition with incidence rates as high as 20  
42 % [1]. Selective serotonin reuptake inhibitors (SSRIs) include antidepressants such as  
43 citalopram, fluoxetine, sertraline, paroxetine and fluvoxamine. Paroxetine is used to treat  
44 several conditions including major depressive disorder, social anxiety disorder, posttraumatic  
45 stress disorder, panic disorder, obsessive-compulsive disorder and anxiety disorder [2, 3].  
46 Paroxetine has been given a category D banding by the FDA because of its increased risk of  
47 causing birth defects when taken during the first trimester, in addition to being associated with  
48 neonatal withdrawal syndrome when administered later in pregnancy [4]. Nevertheless, the  
49 potential harms of using paroxetine during pregnancy should be weighed carefully against the  
50 potential for serious risks of untreated maternal depression. This is particularly important given  
51 that recent reports in the UK have suggested that 1 in 25 women (aged 20-35 years) who die  
52 by suicide, do so during the perinatal periods (conception-pregnancy and post-natal) [5]. And  
53 further, that poor mental health during gestation is highly correlated with poor mental health  
54 postnatally [6].

55 Paroxetine is primarily metabolised by Cytochrome P450 2D6 (CYP 2D6) and to a lesser extent  
56 (but equally important) by CYP 3A4, with minor roles for CYP 1A2, C219 and 3A5 [7].  
57 Further, paroxetine is also a mechanism-based inhibitor of CYP 2D6 [8, 9], which results in a  
58 significant decrease in clearance under multiple-dosing (steady-state) conditions [10]. Further,  
59 several studies have noticed an apparent increase in the activity of CYP 2D6 during gestation  
60 which results in an approximate 50 % decrease in paroxetine plasma concentrations compared  
61 to pre-pregnancy levels [3, 11-15]. However, perhaps complicating the use of paroxetine during  
62 gestation, is the fact that CYP 2D6 is extensively polymorphic with at least a 7-fold difference  
63 in the median total clearance between the extensive metabolism (EM) and poor metaboliser  
64 (PM) phenotypes [10, 16]. Furthermore, the therapeutic window was assumed to be in the

65 range of 20-60 ng/mL [17, 18]. However, therapeutic blood concentrations for paroxetine can  
66 range from 10 ng/mL to 120 ng/mL [19], with toxicity reported to commence at approximately  
67 350 ng/mL [20].

68 There are no well-controlled, large scale reliable studies of paroxetine use throughout gestation.  
69 However, the clinical toxicology database TOXBASE® (<https://www.toxbase.org>) [21], from  
70 the National Poisons Information Service Unit has published guidance for paroxetine use  
71 throughout pregnancy and suggest that paroxetine can be continued where an SSRI is  
72 considered clinically necessary and where paroxetine has been found to be the only effective  
73 agent. Further, the risks of continuing must be weighed against the possible negative outcomes  
74 associated with relapse [22]. It is important to consider the risks associated with any relapse  
75 as well the risk of relapse itself and recommendations are to use the lowest effective dose and  
76 for clinicians to follow this advice without risking relapse [22]. With this in mind, it is  
77 important that clinicians are aware of likely gestation-related variation in paroxetine levels  
78 [23].

79 In the context of post-natal period, paroxetine has been reported to lead to neonatal withdrawal  
80 syndrome, particularly persistent pulmonary hypertension of the new-born (PPHN) when  
81 paroxetine is used beyond 20 weeks gestation, but not amongst infants of mothers who used  
82 the drug prior to eight weeks [24]. However, this risk is thought to be small for the SSRI group  
83 as a whole [25].

84 Given that poor mental health during gestation is a highly correlated with poor mental health  
85 postnatally [6], the benefit of therapy should be weighed against the potential risk of cessation  
86 of therapy and the associated consequence for the mother and child [6, 26]. However, the  
87 requirement for adjustments of daily dosing duration gestation is uncertain.

88

89 In light of the paucity in pharmacokinetic data for paroxetine during gestation, we have, for the  
90 first time, applied the concept of pharmacokinetics-based virtual clinical trials dosing to  
91 elucidate possible dose adjustments that could be implemented in both EM and polymorphic  
92 CYP 2D6 subjects throughout gestation. The primary aim of this study was to use the  
93 principles of mechanistic pharmacokinetic modelling and virtual clinical trials to: (i) elucidate  
94 the causative effects of this decrease in plasma paroxetine levels during gestation and (ii) to  
95 provide a clinically relevant dosing adjustment strategy that could be implemented to maintain  
96 plasma paroxetine levels during gestation, when taking into consideration the CYP 2D6  
97 phenotype status patients.

98

## 99 **2. METHODS**

100 The physiologically-based pharmacokinetic (PBPK) modelling tool Simcyp was utilised to  
101 conduct virtual clinical trials simulations in subjects (Simcyp Ltd, a Certara company,  
102 Sheffield, UK, Version 17). For studies in Step 1, simulations incorporated mixed genders  
103 (50:50), with studies in Step 2-4 utilising females only. A four-stage workflow approach was  
104 applied for the development, validation and simulation studies with paroxetine (Figure 1).

105 Adaptations to both the paroxetine ‘compound file’ and the Pregnancy ‘population group’ were  
106 made and described below.

### 107 **2.1 Step 1: Validation of paroxetine**

108 Within the virtual clinical trial simulator Simcyp, the ‘healthy volunteer’ (HV) population  
109 group was used to simulate ‘non-pregnant’ females as a baseline, with the ‘pregnancy’  
110 population group utilised for all gestational studies. The pregnancy population group was  
111 developed by Simcyp, to include necessary gestational dependant changes in physiology, such

112 as blood volume and organ/tissue perfusion and enzyme/protein expression thought to play a  
113 role in altering the pharmacokinetics of drugs [27-30].

114

115 Paroxetine has been previously developed by Simcyp and incorporated into the Simcyp  
116 simulator [7]. However, to account for the impact of physiological alterations during gestation  
117 on paroxetine pharmacokinetics, a modification to the prediction of the volume of distribution  
118 at steady-state ( $V_{ss}$ ) was required, from a pre-set minimal-PBPK model to a full-body PBPK  
119 distribution model. This required the application of a Weighted Least Square (WLS) approach  
120 and the Nelder-Mead minimisation method to the calculation of  $V_{ss}$  from a tissue-partition  
121 coefficient scalar ( $K_p$  scalar) [31]. The pharmacokinetics parameters used for paroxetine  
122 model are detailed in Supplementary Materials (Table S1).

123 Validation of the revision made to the paroxetine compound file employed three single dose  
124 studies and two multiple dose studies: (i) 28 male healthy volunteers (18-50 years old) dosed  
125 a single oral dose of 20 mg [32]; (ii) 9 healthy male subjects administered a single 20 mg oral  
126 dose of paroxetine [33]; (iii) 12 healthy volunteers aged between 20-35 years old (9 males, 3  
127 females) administered a 20 mg single dose of paroxetine [34]; (iv) 28 healthy volunteers  
128 administered a 20 mg daily for 13 days, with sampling on days 12 and 13 [35]; (v) 7 healthy  
129 males administered a 20 mg oral dose of paroxetine daily for 3 days, with sampling on day 1  
130 and 3 [36].

131 Simulation trial designs were run to match clinical studies used in validation.

132

## 133 **2.2 Step 2: Validation of paroxetine during gestation**

134 Paroxetine plasma concentrations have been reported during gestation from a retrospective  
135 analysis of therapeutic drug monitoring services in Norway [3], consisting of 29 serum drug  
136 concentrations during pregnancy and 31 drug concentrations at baseline (non-pregnancy

137 females) obtained from 19 women taking an oral dose of 20 mg daily. This data was extracted  
138 and utilised as ‘observed’ data for validation purposes. The Simcyp Pregnancy population  
139 group was adapted to incorporate CYP 2C19 activity modifications during gestation, details of  
140 which can be found in the Supplementary Materials Section 1. Further, the optimised V<sub>ss</sub>  
141 predicted from Step 1 was applied here, which was allowed to alter in line with maternal  
142 physiological changes during gestation.

143 In simulating paroxetine pharmacokinetics during gestation, a 38-week trial design was  
144 utilised, with simulations conducted using a 3x10 trial design with a daily oral dose of 20 mg  
145 daily for all subjects. Data was collected over the final 24 hours of every fifth week. The trial  
146 design was also replicated for healthy volunteer population of non-pregnant females (baseline)  
147 dosed under the same dosing strategy for comparison. Furthermore, changes in AUC and total  
148 *in-vivo* clearance were quantified during gestation.

149

### 150 **2.3 Step 3: Phenotype simulation**

151 To assess the impact of CYP 2D6 phenotypes on maternal paroxetine plasma concentrations,  
152 data was extracted from an observational cohort study in 74 pregnant women aged from 25 to  
153 45 years who used paroxetine during pregnancy and where data was reported for gestational  
154 weeks 16–20, 27–31 and 36–40 [37]. The study included data from 43 extensive metabolisers  
155 (EM), 5 poor metabolisers (PM) and 1 ultra-rapid metaboliser (UM).

156 Simulations were conducted using a 10x10 trial design at GW 20, 30 and 38, with EM, UM  
157 and PM populations dosed 20 mg daily during gestation, and compared to results obtained from  
158 Simcyp.

159

160

161 **2.4 Step 4: Dose adjustment during gestation**

162 In order to identify the requirement for a dose adjustment during gestation, we examined the  
163 impact of dose escalation on paroxetine plasma concentrations. Doses were escalated in 5 mg  
164 increments every 3 days to 15-50 mg daily doses during gestation, with trough plasma  
165 concentrations analysed for the final day of each trimester.

166 Data was collected and reported for the EM, PM and UM phenotype. The percentage of  
167 subjects with trough plasma concentrations below 20 ng/mL and above 60 ng/mL were  
168 quantified for each trimester and each phenotype.

169

170 **2.5 Predictive performance**

171 For all simulations in steps 1-3, a prediction of a pharmacokinetic metric to within two-fold  
172 (0.5-2.0 fold) of that published clinical data was generally accepted as part of the ‘optimal’  
173 predictive performance [38-40].

174

175 **2.6 Visual predictive checks**

176 Model predictions in step 1-3 were compared to clinical studies using a visual predictive  
177 checking (VPC) strategy [41]. In this approach, the predicted mean/median and 5<sup>th</sup> and 95<sup>th</sup>  
178 percentiles of the concentration–time profiles (generated from Simcyp) were compared against  
179 the observed data for any validation data sets. The prediction was assumed to be valid when  
180 the predicted data points overlapped with the observed data sets.

181

182

183 **2.7 Data and statistical analysis**

184 All observed data obtained from clinical studies were extracted using WebPlotDigitizer v.3.10  
185 (<http://arohatgi.info/WebPlotDigitizer/>). Statistical analysis was conducted using a non-  
186 parametric Kruskal-Wallis with a Dunn's multiple comparison post-hoc test. Statistical  
187 significance was confirmed where  $p < 0.05$  was determined. All statistical analysis was  
188 performed using GraphPad Prism version 7.00 for Windows (GraphPad Software, La Jolla  
189 California USA, [www.graphpad.com](http://www.graphpad.com)).

190

191 **3. RESULTS**

192 **3.1 Step 1: Validation of a revised paroxetine full-body PBPK model**

193 A validated paroxetine model, developed and incorporated into the Simcyp Simulator, was  
194 utilised with adaptations to include a full-PBPK model for determination of appropriate  $V_{ss}$   
195 and to model physiological changes during gestation. The model was validated against a range  
196 of published clinical studies using the Simcyp healthy volunteer population group. For all  
197 single dose studies (Figure 2A and 2B) and multi-dose studies (Figure 2C), the simulated  
198 plasma concentration-time profiles were successfully predicted to within the observed range  
199 for each study and model-predicted  $t_{max}$ ,  $C_{max}$ , and AUC were predicted to within 2-fold of the  
200 reported parameters for each study, confirming successful validation (Table 1).

201

202 **3.2 Step 2: Validation of paroxetine during gestation**

203 Model predicted plasma concentrations during gestation overlapped with the range of  
204 observations reported [3] during the entire period of gestation (Figure 3). The mean at  
205 baseline,  $24.05 \text{ ng/mL} \pm 15.45 \text{ ng/mL}$ , decreased for trimesters 1 (week 5:  $21.51 \text{ ng/mL} \pm$

206 12.93 ng/mL), 2 (week 20: 18.09 ng/mL  $\pm$  11.72 ng/mL) and 3 (week 30: 17.16 ng/mL  $\pm$   
207 11.05 ng/mL), with a statistically significant decrease from week 15 onwards to week 35 ( $p <$   
208 0.05).

209 Given the polymorphic nature of the primary metabolic pathway of paroxetine (CYP 2D6), the  
210 changes in both clearance and AUC were further assessed during gestation for EM, PM and  
211 UM phenotype subjects within the heterogeneous healthy volunteer population generated by  
212 Simcyp (default Caucasian frequencies: EM: 86.5 %, PM: 8.2 % and UM: 5.3 %).

213 For both EM and PM, statistically significant differences in the AUC were apparent from  
214 gestational week (GW) 15 (EM) and GW10 (PM) onwards, respectively and GW25 for UM  
215 when compared to baseline subjects (Figure 4) (Supplementary Materials: Table S2 and S3).  
216 For CL, statistically significant differences for both EM and PM were evident from GW10  
217 onwards and week 20 for UM. (Supplementary Materials: Table S2 and S3) (Figure 4).

218 For UM the AUC and CL demonstrated a 70-80 % decrease and 450-480 % increase in  
219 trimester 3 when compared to baseline, respectively (Figure 4). This is in comparison to EM  
220 where a 19-22 % decrease and 16-18 % increase in AUC and CL were noted from baseline, in  
221 trimester 3, respectively (Supplementary Materials: Table S2) (Figure 4).

222

223

### 224 **3.3 Step 3: The impact of CYP 2D6 phenotypes on paroxetine levels during gestation**

225 The effect of CYP 2D6 phenotypes on maternal paroxetine plasma concentrations during  
226 pregnancy were subsequently directly explored. Paroxetine plasma concentrations have  
227 previously been reported in CYP 2D6 phenotyped subjects [37]. To validate the ability of the  
228 model of recapitulate the impact of CYP 2D6 phenotypes (EM, PM and UM) on paroxetine  
229 levels, we compared model predictions of uniform singular phenotype population to those  
230 reported [37]. For EM, the predicted range of paroxetine plasma concentration (determined  
231 from the range of simulated maximum and minimum values), where within the range reported  
232 (Figure 5A). For PM (Figure 5B) and UM (Figure 5C), despite there being a limited number  
233 of reported values plasma concentration measurements available, predicted paroxetine values  
234 were generally within or spanning the range reported [37] (Figure 5).

235 Within each phenotype, a decrease in both peak and trough concentrations were noted (Table  
236 2), with the UM phenotype resulted in a significant number of subjects possessing trough levels  
237 below 20 ng/mL (73-76 %) compared to EM (51-53 %) (Table 2).

238

### 239 **3.4 Step 4: Paroxetine dose optimisation**

240 To identify appropriate dose adjustments during gestation for CYP 2D6 phenotypes, the  
241 number of subjects with trough concentration below 20 ng/mL and above 60 ng/mL were  
242 quantified over the dosing range of 15-50 mg daily.

243 In all phenotypes studies (EM, PM and UM), the daily dose required was in excess of the  
244 standard 20 mg/day throughout gestation. The choice of optimal dose was based around  
245 ensuring a balance of a low percentages of subjects with plasma levels below 20 ng/mL or  
246 above 60 ng/mL. In order to accomplish this, a suggested indicator of 20 % was used to ensure,

247 where possible, as many subjects as possible had trough concentration above 20 ng/mL in  
248 addition to being below 60 ng/mL (Figure 6).

249 For EM, a dose of 30 mg daily in trimester 1 followed by 40 mg daily in trimesters 2 and 3 is  
250 suggested to be optimal. For PM a 20 mg daily dose in trimester 1 followed by 30 mg daily in  
251 trimesters 2 and 3 is suggested to be optimal. For UM, a 40 mg daily dose throughout gestation  
252 is suggested to be optimal

253 In determining the appropriate dose, the 40-50 mg/d doses resulted in the highest individual  
254 trough concentration in the range of 200-300 ng/mL for the trial group (Supplementary  
255 Materials: Table S4).

256

#### 257 **4. DISCUSSION**

258 Depression is far more prevalent in women than men [42, 43], and is the leading cause  
259 of disability worldwide [44]. Furthermore, the prevalence of depression during pregnancy is  
260 thought to be in excess of 10 % [45], however the use of mental health services by pregnant  
261 women is low, approximately 14 %, when compared to non-pregnant women, approximately  
262 25 % [46]. The use of pharmacological treatment for mental health disorders during pregnancy  
263 is governed by balancing the risk to the foetus alongside the risk of relapse in the mental health  
264 of the mother.

265 Confounding treatment however, are gestation related alterations in maternal physiology which  
266 can impact upon the pharmacokinetics of drugs. These alterations include the reduction in  
267 intestinal motility, increased gastric pH, increased cardiac output, reduced plasma albumin  
268 concentrations, and increased glomerular filtration rate [47]. However, the consequences of  
269 such alterations are often difficult to ascertain in controlled trials for obvious ethical reasons,

270 which leaves prescribers to empirically treat pregnant patients according to their understanding  
271 of the changes in biochemical and physiologic functions [14].

272 However, to assess the potential impact of pregnancy on antidepressant therapy, the use of  
273 robust and validated mechanistic pharmacokinetic models provides an opportunity to  
274 prospectively assess the potential changes in a drug's pharmacokinetics to support medicines  
275 optimisation.

276 Paroxetine is primarily metabolised by CYP2D6 and to a lesser extent by CYPs 3A4, 1A2,  
277 C219 and 3A5 [7]. Further, paroxetine is also a mechanism-based inhibitor of CYP 2D6 [8,  
278 9], which results in a significant decrease in clearance under steady-state conditions [10]. The  
279 use of paroxetine during gestation is complicated by the fact that several studies have  
280 noticed an apparent increase in the activity of CYP 2D6 during gestation [11-15], with an  
281 associated decrease in paroxetine plasma concentration during gestation, by up to 50 %, in  
282 comparison to non-pregnant females [3].

283 Given the lack of more detailed clinical studies examining this phenomenon, for the first time  
284 this study applied the principle of pharmacokinetic modelling to prospectively assess the use  
285 of paroxetine in pregnancy population groups and attempted to relate changes in plasma  
286 concentrations during gestation to a potential therapeutic window region. The Simcyp  
287 pregnancy PBPK model has been utilised by our group and others for prediction of the impact  
288 of changes in plasma concentrations associated with gestation [28, 31, 48], however this is the  
289 first time it has been utilised in the context of paroxetine.

290 The development of the model utilised an existing, validated and published model of  
291 paroxetine within the Simcyp Simulator, with minor modification to allow it to be used in the  
292 context of pregnancy, particularly to account for the impact physiological changes in  
293 gestation on paroxetine pharmacokinetics. This was accomplished by utilising paroxetine

294 within a full-body physiologically based pharmacokinetics (PBPK) model. This adaptation  
295 required validation against single and multiple dose studies in non-pregnant subjects (Step 1)  
296 followed by pregnant subjects (Step 2). Resulting predictions in non-pregnant subjects, were  
297 within 2-fold of those reported along with appropriate VPC confirming population level  
298 variability in plasma concentrations (Figure 2) were appropriately predicted in relation to the  
299 clinically reported variability (Table 1).

300         There is currently a paucity of pharmacokinetics data examining the impact of  
301 gestation on paroxetine plasma concentrations. To our knowledge, Westin *et al* [3] is the  
302 only publication (to date) containing paroxetine plasma concentrations sampled in patients  
303 throughout gestation. This was therefore used as the basis for validating the paroxetine  
304 pregnancy PBPK model. Simulations were conducted for the entire gestation period (38  
305 weeks) and sampling and quantification conducted on the final day of each week for every 5<sup>th</sup>  
306 week during gestation (Weeks 0-35) (Figure 2). In non-pregnant subjects ('baseline'), the  
307 predicted plasma concentrations (24.05 ng/mL  $\pm$  15.45 ng/mL) were within 2-fold of those  
308 reported by Westin *et al* [49] (33.5 ng/mL) (Table 2) and further spanned across a similar  
309 range of reported values. Westin *et al* [3] reported a 12 %, 34 % and 51 % decrease in mean  
310 plasma concentration at for trimesters 1-3, respectively. Using the PBPK model we  
311 demonstrated a similar decrease of up to 30% by trimester 3 (Figure 2).

312 In order to understand the rationale for the decrease in paroxetine plasma levels during  
313 gestation, we further assessed changes in total (*in-vivo*) clearance and AUC. This was  
314 demarked for the CYP 2D6 phenotype of each subject. In all phenotypes, the clearance  
315 increased during gestation, which mirror the increase in 2D6 activity reported during gestation  
316 [14], with the greatest difference in clearance occurring in trimester 3 (Supplementary  
317 Materials: Table S2). This increase in clearance would therefore reduce the overall  
318 bioavailability within subjects, as demonstrated by the statistically significant difference in the

319 AUC in trimester 3 for all phenotypes (Supplementary Materials: Table S2). Within each  
320 phenotype, the UM subjects demonstrated the greatest difference in both clearance and AUC  
321 during gestation.

322 The decrease in plasma concentrations noted in our study concurs with previous reports [14,  
323 37], and may be associated with temporal changes in CYP 2D6 expression (induction) noted  
324 throughout gestation [15]. Ververs [37] reported an increase in PM plasma concentration [37]  
325 during gestation, which is in contrast to the reduction modelled within our studies. However,  
326 the number of PM subjects in their study,  $n=1$ , is low making it difficult to extrapolate to a  
327 larger cohort of PM subjects in a generalised fashion.

328         Given the importance of the phenotype of the subject on gestational paroxetine levels,  
329 we next explored the ability of the model to correctly capture phenotype levels and also to  
330 examine the trough levels in the context of the therapeutic window. Paroxetine plasma  
331 concentrations have previously been reported in CYP 2D6 phenotyped subjects [37], of which  
332 the EM, PM and UM were investigated using uniform singular phenotype populations. Ververs  
333 reported single point levels which were sampling at non-specific intervals post-dosing [37] and  
334 therefore comparison were made to  $C_{max}$  and  $C_{min}$  levels in each subject simulated in our  
335 studies. For both EM (Figure 5A) and PM (Figure 5B), model predicted levels spanned the  
336 range of reported levels across gestational weeks (Figure 5). For the UM phenotype  
337 population, only 3 observed samples were available across gestation (Figure 5C). Although the  
338 predicted levels spanned some of the predicted levels, the lack of UM data precludes a full  
339 comparison to be made (Figure 5).

340 For the PM phenotype, as a result of a loss of function alleles, gestational changes in paroxetine  
341 pharmacokinetics would be primarily governed by maternal physiological alterations or  
342 alternative clearance pathways, e.g. CYP 3A4, whose activity is known to increase during

343 gestation [50], rather than direct changes in CYP 2D6 expression. Thus, the combined impact  
344 of minimal CYP 2D6 mediated clearance (in PM phenotypes), but enhanced CYP 3A4  
345 clearance due to gestational induction, may result in a potential net minimal changes in plasma  
346 levels during gestation [48].

347 To assess the potential impact of these polymorphic subjects on possible sub therapeutic  
348 levels, we quantified the percentage of subjects with trough concentration below the lower  
349 therapeutic window (20 ng/mL). The UM group demonstrated significantly larger percentages  
350 below 20 ng/mL when compared to the EM group (Supplementary Materials: Table S4), > 70  
351 % from week 20 onwards. Whereas for the PM group, this remained at 34 % from week 20  
352 onwards. Given this variability, we next examined how a dose adjustment could be made for  
353 EM, PM and UM subjects throughout gestation.

354 For all phenotypes studies (EM, PM and UM), there was a requirement for daily doses  
355 in-excess of the standard 20 mg dose throughout gestation. Whilst there is some uncertainty  
356 as to the upper most limit of the therapeutic window (60-350 ng/mL) [19, 20, 51], the lower  
357 window was used as a reference point for dose optimisation with trough levels.

358 For EM, a dose of 30 mg daily in trimester 1 followed by 40 mg daily in trimesters 2 and 3 is  
359 suggested to be optimal. For PM a 20 mg daily dose in trimester 1 followed by 30 mg daily in  
360 trimesters 2 and 3 is suggested to be optimal. For UM, a 40 mg daily dose throughout gestation  
361 is suggested to be optimal

362 The PM phenotype has been shown to require more frequent switches and dose modification  
363 [52] due to an increase in the frequency and severity of associated concentration-dependent  
364 adverse effects [53], resulting in an approximate 4-fold increase in the risk of discontinuation  
365 during pregnancy [54]. This makes appropriate dose modification difficult in women who are  
366 already experiencing adverse effects during gestation, such as nausea from morning sickness

367 in addition to nausea as an SSRI adverse drug reaction. Further, for the UM group, this cohort  
368 would be at greater risk of sub-therapeutic paroxetine plasma concentration without a dose  
369 adjustment, resulting in an increase in depressive symptoms, as has been recently noted in a  
370 retrospective analysis of phenotyped pregnant women taking anti-depressant drugs during  
371 gestation [54].

372 The outcomes of the dose optimisation study identified that a dose increase would be  
373 required throughout gestation, irrespective of the phenotype. With EM requiring an increase  
374 to 30-40 mg daily, PM 20-30 mg daily and UM 40 mg daily. In all of these cases, the  
375 percentage of subjects with sub-therapeutic concentrations (<20 ng/mL) would be less than 20  
376 %. Post-natal dose tapering would be required to return maternal plasma levels to those in the  
377 pre-natal period. Whilst the capability of simulating the return of maternal physiology to the  
378 pre-natal period is not possible within Simcyp, Nagai *et al* (2013)[55] have suggested a tapering  
379 dose decrease of 10 mg per week commenced before delivery, based upon transplacental  
380 paroxetine transfer and pharmacokinetic modelling, may be effective in reducing the incidence  
381 of withdrawal symptoms in the neonate and mother. However, paroxetine has a very short  
382 half-life (compared to other SSRIs) and discontinuation phenomena are a concern. Clinicians  
383 should be encouraged to be alert for these during dose tapering as they would in any other dose-  
384 reduction phase with SSRIs.

385 It should be noted that given paroxetine is administered orally, changes in gestational gastric  
386 physiology such as delayed gastric emptying [56, 57] and alterations in gastric pH [58] may  
387 alter the absorption of paroxetine *ab orally*, studies have demonstrated that given paroxetine is  
388 completely absorbed [59, 60], changes in GI-physiology during gestation are likely to have a  
389 minimal effect. Further, paroxetine oral absorption is unaffected by changes in gastric pH [61]  
390 negating the potential impact of changes in paroxetine ionization and dissolution *ab orally*  
391 during gestation. However gestational related changes in maternal GI-physiology are not

392 currently incorporated in the Simcyp Simulator utilised within this study. Nevertheless, the  
393 utilising of robust validation approaches allowed for the pragmatic assessment of the need for  
394 dose adjustment during gestation, however further confirmatory clinical studies are warranted  
395 to confirm the results presented within this study.

## 396 **5. CONCLUSION**

397 The decision to continue or withdraw antidepressants during pregnancy is challenging when  
398 considering the paramount importance of both maternal and neonatal health. The prescriber  
399 must actively decide whether the benefit of continuing treatment outweighs any risk of the drug  
400 to the developing embryo/foetus. If treatment is continued throughout pregnancy, the changes  
401 in maternal physiology should be considered in dosing strategies. With paroxetine, this is  
402 further confounded given its susceptibility to CYP 2D6 polymorphism. Based upon modelling  
403 studies, our findings suggest that optimisation of paroxetine during pregnancy requires dose  
404 increase when compared to non-pregnant patients, driven by changes in tissue physiology and  
405 its impact on the volume of distribution, in addition to gestation related alterations in CYP  
406 isozyme abundance. For UM phenotypes, at least a doubling in the dose is required to provide  
407 a plasma concentration within the therapeutic range.

408

409 Although there is no requirement for genetic testing prior to initiation for SSRIs, our approach  
410 highlights the opportunity for pharmacokinetics to bring precision dosing into clinical practice.  
411 Pre-emptive genotyping may be an approach to support precision dosing in pregnancy to  
412 optimise drug therapy and to reduce the risk of relapse due to inadequate dosing.

413 However, further studies are required to assess both the extent of this gestational change on  
414 plasma concentrations and any associated requirement for dose adjustment, in addition to also

415 identifying a more accurate therapeutic range to more precisely define the necessary dose  
416 adjustments.

417

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422 The Author(s) declare(s) that there is no conflict of interest.

423

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- 589

590 **List of Figures**

591

592 **Figure 1. A four-stage workflow based approach to paroxetine modelling**

593

594 **Figure 2. Simulated paroxetine plasma concentrations following single and multiple**  
595 **dosing.**

596 (A) Single 20 mg oral dose of paroxetine [32, 34]; (B) Single oral 20 mg dose with observed  
597 data presented as multiple sampling [33]; (C) Multiple daily 20 mg oral dose [35, 36]. Solid  
598 lines represent mean predicted concentration-time profile with dotted lines representing 5<sup>th</sup> and  
599 95<sup>th</sup> percentile range. Solid circles represent observed clinical data from each study with error  
600 bars indicating standard deviation.

601

602 **Figure 3. Simulated paroxetine plasma concentrations during gestation**

603 Paroxetine plasma concentrations were simulated during gestation (n=30). Simulated  
604 concentrations represent post-dose trough concentrations (sampled at 24 hours after dosing)  
605 and collated at 5-week intervals over the gestation period (black open circles). Subjects were  
606 administered a 20 mg daily dose. ‘Baseline’ refers to non-pregnant females. Red open circles  
607 represent observed (pooled) plasma concentrations obtained from a total of 19 subjects. Shaded  
608 regions between 20 ng/mL to 60 ng/mL represents the therapeutic window.

609

610 **Figure 4. Impact of gestation on paroxetine pharmacokinetics, demarked by CYP 2D6**  
611 **population phenotype status.**

612 The impact of gestation on paroxetine (A) area under the curve (AUC) and (B) clearance at  
613 baseline (non-pregnant females) and during gestation. Data is demarked for the population  
614 (n=100) phenotype status with black circles representing EM, red circles representing UM and  
615 green circles represented PM. Solid coloured line represents median value.

616

617 **Figure 5. Simulated paroxetine plasma concentrations for CYP 2D6 polymorphs.**

618 Paroxetine peak ( $C_{max}$ ) and trough ( $C_{min}$ ) plasma concentration were simulated in CYP 2D6  
619 EM (A), PM (B) and UM (C) subjects at gestations week 20, 30 and 38. Simulations  
620 concentrations were compared to reported plasma concentration (red open circles) for each  
621 phenotype. Blue circles:  $C_{min}$  of each subject; green circles:  $C_{max}$  of each subject.

622

623 **Figure 6. Phenotype-based dose optimisation of paroxetine during gestation.**

624 Paroxetine doses were escalated in 5 mg increments every 3 days to 15-50 mg daily does during  
625 gestation, with trough plasma concentrations analysed for the final day of each trimester in  
626 entirely EM, PM or UM pregnancy population groups. The number of subjects with trough  
627 plasma concentration below 20 ng/mL (left panels) or above 60 ng/mL (right panels) are  
628 reported.

629

630 **List of tables**631 **Table 1: Summary pharmacokinetics parameters from the single and multiple dose**  
632 **studies**

	<b>Dosing</b>	<b>PK Parameters</b>	<b>Observed</b>	<b>Predicted</b>
<b>Single</b>	Segura <i>et al</i> (2003)[33]	AUC <sub>(0-24 h)</sub>	96.50 (65.90)	156.83 (138.69)
		C <sub>max</sub>	8.60 (5.50)	11.10 (8.87)
		t <sub>max</sub>	5 (3-5)	3.9 (1.72)
	Yasui-Furukori <i>et al</i> (2007)[34]	AUC <sub>(0-48 h)</sub>	127 (67)	230.3 (222.34)
		C <sub>max</sub>	6.5 (2.4)	11.10 (8.87)
		t <sub>max</sub>	5 (4-10)	3.9 (1.71)
	Massaroti <i>et al</i> (2005)[32]	AUC <sub>(0-120 h)</sub>	225.04 (291.91)	312.34 (347.90)
		C <sub>max</sub>	9.02 (8.82)	11.10 (8.87)
		t <sub>max</sub>	5.03 (1.91)	3.89 (1.71)
<b>Multiple</b>		AUC <sub>(0-8 h)</sub> [Day 1]	53.8 (26.7)	65.37 (53.52)
		AUC <sub>(0-8 h)</sub> [Day 8]	159.8 (49.8)	205.76 (104.80)
	Segura <i>et al</i> (2005)[36]	C <sub>max</sub> [Day 1]	10.4 (4.8)	11.09 (8.87)
		C <sub>max</sub> [Day 8]	26.1 (7.1)	31.61 (15.18)
		t <sub>max</sub> [Day 1]	3 (3–5)	3.87 (1.62)
		t <sub>max</sub> [Day 8]	8 (3–8)	4.15 (0.83)

633

634 AUC= Area under the curve, C<sub>max</sub> = Maximum plasma concentration, t<sub>max</sub>= time at maximum  
635 plasma concentration. Data represents mean (standard deviation). AUC: ng/mL.h; C<sub>max</sub>:  
636 ng/mL; t<sub>max</sub>: h.

637

638 **Table 2. Simulated paroxetine plasma concentrations during gestation**

	<b>Week</b>	<b>C<sub>max</sub> (ng/mL)</b>	<b>C<sub>min</sub> (ng/mL)</b>	<b>Trough % &lt; 20 ng/mL (% subjects)</b>
	<b>20</b>	39.875 (129.6-2.45)	19.63 (0.15-91.87)	51
<b>EM</b>	<b>30</b>	37.235 (2.01-122.28)	18.765 (0.14-87.64)	53
	<b>38</b>	36.56 (1.88-120.04)	18.82 (0.15-86.16)	53
	<b>20</b>	46.535 (18.95-147.25)	25.225 (6.06-109.49)	34
<b>PM</b>	<b>30</b>	43.77 (17.62-139.78)	24.345 (6-105.09)	34
	<b>38</b>	42.85 (17.21-136.98)	24.435 (5.99-103.05)	34
	<b>20</b>	34.4 (0.55-110.91)	12.465 (0.04-73.3)	73
<b>UM</b>	<b>30</b>	31.69 (0.45-103.66)	11.665 (0.04-69.13)	76
	<b>38</b>	30.84 (0.42-102)	11.985 (0.04-68.22)	76

639

640 Data represents mean (range). EM: extensive metabolises; PM: poor metabolisers; UM:  
 641 ultrarapid metabolisers; C<sub>max</sub>: maximum plasma concentration; C<sub>min</sub>: minimum plasma  
 642 concentration.