

1 Short-term impact of FS-LASIK and SMILE on dry eye metrics and corneal nerve  
2 morphology.

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#### 15 **Conflict of interest**

16 The authors have no conflicts of interest to disclose.

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19

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23

24 **Abstract**

25 **Purpose**

26 To analyse the short-term (up to one month) clinical outcomes in patients undergoing  
27 corneal laser refractive surgery and the impact on dry eye disease (DED) metrics and  
28 corneal nerves using in vivo confocal microscopy (IVCM).

29 **Methods**

30 Unaided distance visual acuity (UDVA), corrected distance visual acuity (CDVA) and  
31 spherical equivalent refraction (SEQ) were determined in 16 and 13 patients undergoing FS-  
32 LASIK and SMILE respectively. DED metrics assessed were Ocular Surface Disease Index  
33 (OSDI), Dry Eye Questionnaire 5-items (DEQ-5), tear film osmolarity, tear meniscus height  
34 (TMH), non-invasive Keratograph break-up time (NIKBUT), ocular staining and meibomian  
35 gland atrophy. Automated analysis of corneal nerve fibre density (CNFD), corneal nerve  
36 branch density (CNBD), corneal nerve fibre length (CNFL) and corneal nerve fibre fractal  
37 dimension (CNFrD) were obtained from IVCM scans using ACCMetrics software (University  
38 of Manchester).

39 **Results**

40 Both surgical techniques provided good refractive and visual outcomes. DED symptoms  
41 were found to be significantly higher after FS-LASIK compared to SMILE ( $p < 0.05$ ). A  
42 significant decrease in TMH (~31%) and NIKBUT (~40%) was reported after FS-LASIK  
43 ( $p = 0.005$  and  $p = 0.001$  respectively) but not after SMILE. Both procedures affected CNFD,  
44 CNBD, CNFL and CNFrD but the impact was significantly greater with FS-LASIK ( $p = 0.001$ ).  
45 Only CNFL was correlated with reported symptoms (DEQ-5) after FS-LASIK ( $r = -0.545$ ,  $p =$   
46  $0.029$ ).

47 **Conclusion**

48 FS-LASIK and SMILE provided good refractive and visual outcomes. There was an  
49 increased impact on DED symptoms following FS-LASIK compared to SMILE although there  
50 were no significant differences between the procedures for most of the other ocular surface  
51 metrics assessed. IVCM findings showed that SMILE had less impact on the corneal nerves  
52 compared to FS-LASIK.

53

54

55 **Introduction**

56 The corneal nerves have a key role in ocular surface homeostasis. Damage to the corneal  
57 nerves (stromal, subbasal nerve plexus and epithelial nerves) as a result of trauma, long-  
58 term topical drug exposure (glaucoma eyedrops), contact lens wear (orthokeratology) or  
59 corneal surgical procedures can adversely affect the homeostasis of the tear film.<sup>1</sup>

60 Many studies have demonstrated that transection of corneal nerves during laser  
61 vision correction procedures can temporarily lead to suppression of tear secretion from the  
62 lacrimal gland while the nerves regenerate<sup>2</sup>, mucin expression on the corneal epithelium<sup>3</sup>,  
63 blink rate changes and increasing signs and symptoms of dry eye disease (DED). Risk  
64 factors for post-LASIK dry eye include pre-existing dry eye disease<sup>4</sup>, deeper laser ablations<sup>5</sup>,  
65 flap size and location of the hinge<sup>6</sup>. Small incision lenticule extraction (SMILE) is a flap-less  
66 procedure whereby a stromal tissue lenticule is extracted through a small corneal incision in  
67 order to correct myopia or myopic astigmatism.<sup>7</sup> SMILE aimed to overcome some of the  
68 limitations of LASIK due to its minimal disruption of the anterior corneal nerve plexus. SMILE  
69 creates a side cut tunnel (less than 3-5 mm) rather than a flap and utilises removal of mid-  
70 posterior stromal tissue rather than more anterior stromal tissue; this has been demonstrated  
71 to impact less on the ocular surface and aids its recovery after surgery.<sup>8</sup> In LASIK, subbasal  
72 nerve bundles and superficial stromal nerve bundles in the flap interface are transected.  
73 Only nerves entering the flap through the hinge region are spared while the excimer laser  
74 ablation transects further stromal nerve fibre bundles. In SMILE the anterior cornea is largely  
75 preserved other than in the region of the small incision. Several studies suggest that SMILE  
76 patients often have less significant symptoms than patients undergoing LASIK  
77 procedures.<sup>9,10</sup>

78 To explore the possible benefits of newer ophthalmic procedures such as SMILE  
79 over more traditional approaches, different diagnostic techniques have been considered.  
80 One of the most advanced techniques in terms of examining corneal structure at the cellular  
81 level, is in-vivo confocal microscopy (IVCM). IVCM can potentially reveal changes in the

82 corneal nerve structure through its high resolution scanning system. When applied to the  
83 ocular surface, IVCM can image the overall structure of the corneal nerves, including nerve  
84 fibre length and nerve fibre density. The quality of the IVCM acquisitions can be comparable  
85 with histological samples without the need for fixing and processing samples, as with  
86 conventional light and electron microscopy.<sup>11</sup> However, despite these advantages in the  
87 technique, limitations in the software to process the scans from IVCM has been an issue as  
88 most of the scans are subsequently processed using manual or semi-automated programs  
89 which can be time-consuming and subject to observer bias in terms of reproducibility and  
90 repeatability.<sup>12</sup>

91 The aim of the current study was to determine the impact of femtosecond laser assisted  
92 (FS)-LASIK and SMILE on the ocular surface using a protocol for DED assessment  
93 recommended by the Tear Film Ocular Society (TFOS) Dry Eye WorkShop II (DEWS II)  
94 report.<sup>13</sup> A secondary aim was to assess the changes in the subbasal corneal nerve fibre  
95 structure before and after both procedures using IVCM. A fully automated approach to  
96 quantifying corneal nerve fibre morphology was used and findings were correlated with the  
97 DED metrics.

98

99 **Materials and Methods**

100 **Participants**

101 This prospective, longitudinal and observational study was performed at a private eye  
102 hospital in the UK (Optegra Eye Hospital London, London, UK). The patients enrolled were  
103 divided into two groups according to the types of surgery they were undergoing (either FS-  
104 LASIK or SMILE). All the study procedures were performed before and 1 month after  
105 surgery. The FS-LASIK group was composed of 16 subjects (7 males; 9 females) with a  
106 mean  $\pm$  SD age of  $32.6 \pm 9.1$  years and mean pre-operative refraction of  $-3.48 \pm 2.89$  D  
107 while the SMILE group was composed of 13 subjects (5 males; 8 females) with a mean  $\pm$  SD  
108 age of  $32.2 \pm 5.3$  years and mean pre-operative refraction of  $-4.67 \pm 2.12$  D. Only the eye  
109 with better visual acuity (VA) or the dominant eye (assessed using motor and sensory  
110 dominance tests) was included for evaluation in the study. Exclusion criteria for both groups  
111 were: prior surgery on the selected eye, DED diagnosis, unstable refractive error, ocular  
112 abnormalities or disease, progressive myopia or astigmatism, systemic disease such as  
113 diabetes and unwillingness to adhere to the study instructions or to give written informed  
114 consent before any study procedure. The study was conducted in accordance with the  
115 tenets of the Declaration of Helsinki and received a favourable opinion from the Aston  
116 University Research Ethics Committee.

117 **Surgery**

118 All the surgeries were performed by two experienced consultant ophthalmic surgeons. In the  
119 FS-LASIK surgery group, all the flaps were created using the VisuMax femtosecond laser  
120 platform (Carl Zeiss Meditec AG, Jena, Germany) set to a 500-kHz frequency. The diameter  
121 of the flaps was 8.1 to 8.9 mm. The hinges were positioned at 90 degrees (superior) and the  
122 side-cut angle was 90 degrees. The flap thickness was between 90 to 110  $\mu$ m. The stromal  
123 ablation was performed with the MEL 90 excimer laser platform (Carl Zeiss Meditec AG,  
124 Jena, Germany) using the Triple-A "Advanced Ablation Algorithm" with a 500-Hz pulse rate.  
125 In the SMILE group, the laser system used was the VisuMax femtosecond laser, and the

126 frequency was set to 500 kHz with a spot energy of 140 nJ. The lenticule diameter was  
127 between 6.5 to 7.0 mm, with a cap thickness set to 120 to 135  $\mu\text{m}$ . The tunnel size to extract  
128 the lenticule varied from 2 to 4 mm and the location was 90 and 120 degrees.

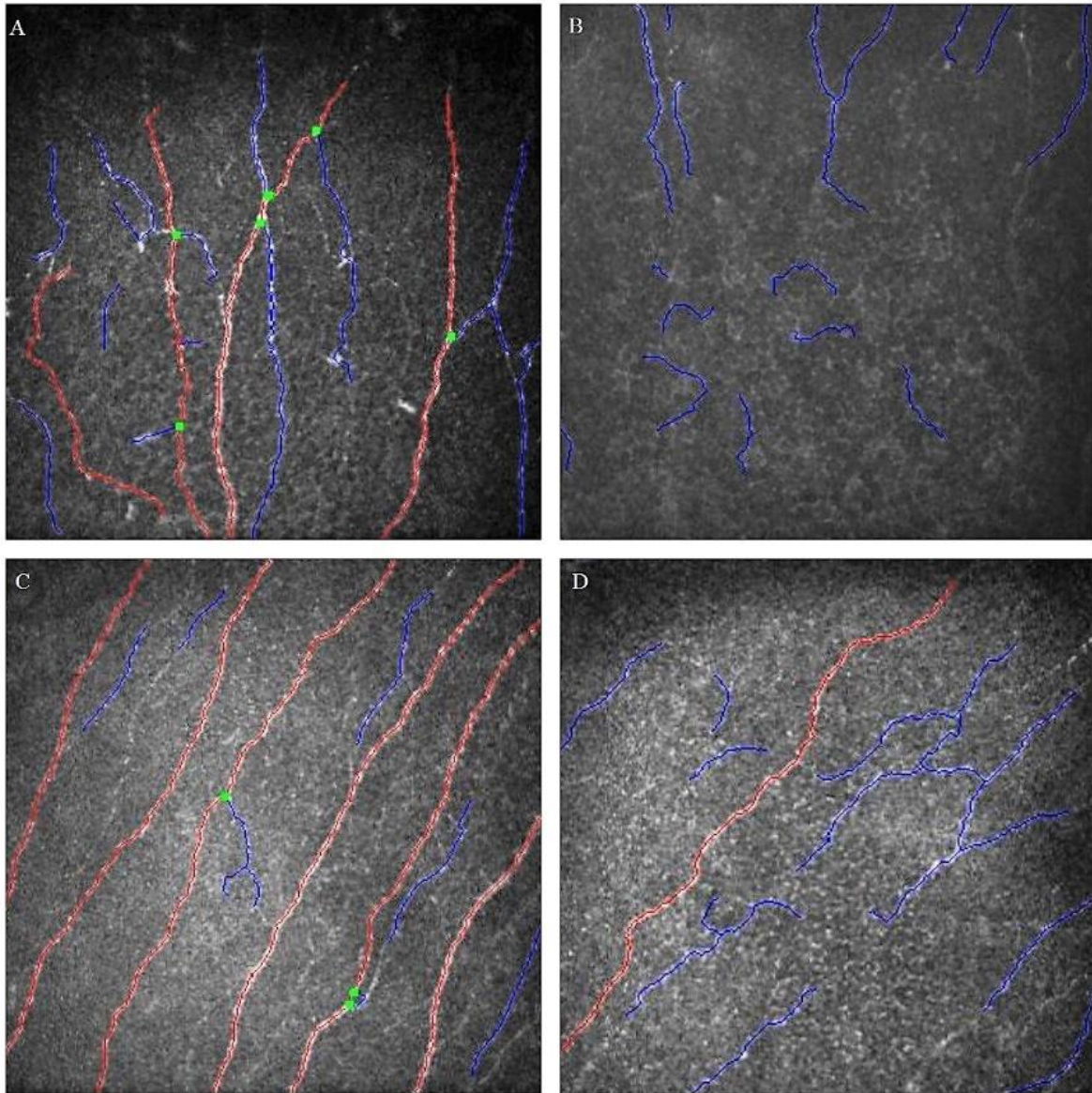
### 129 **Refractive and ocular surface assessment**

130 Monocular unaided distance visual acuity (UDVA), monocular corrected distance visual  
131 acuity (CDVA) were assessed with a logMAR chart and spherical equivalent refraction  
132 (SEQ) was determined using subjective refraction carried out by qualified optometrists  
133 experienced in examining corneal refractive surgery patients. The ocular surface  
134 assessment consisted of the following tests: Ocular Surface Disease Index (OSDI) and Dry  
135 Eye Questionnaire 5-items (DEQ-5) questionnaires; tear film osmolarity using the TearLab<sup>®</sup>  
136 Osmolarity System (TearLab<sup>®</sup> Corporation, Escondido, US); tear meniscus height (TMH), as  
137 the average of three objectively determined non-invasive Keratograph break-up times  
138 (NIKBUT); ocular staining using the Oxford Scheme grading scale with both fluorescein and  
139 Lissamine green and analysis of the meibomian glands (Meiboscore) was undertaken using  
140 an OCULUS Keratograph 5M<sup>®</sup> (K5M) (OCULUS, Wetzlar, Germany).

### 141 **In vivo confocal microscopy**

142 The laser scanning confocal microscope used in this study was the Heidelberg Retinal  
143 Tomograph with a Rostock Corneal Module (HRT-RCM) (Heidelberg Engineering GmbH,  
144 Dossenheim, Germany) which has demonstrated good repeatability and reproducibility in the  
145 assessment of corneal nerve fibres metrics.<sup>14,15</sup> Five to ten images of the corneal subbasal  
146 nerve plexus were acquired at a depth range between 50 to 80  $\mu\text{m}$  (Fig. 1). The images were  
147 acquired at the optical centre of the cornea while the patient fixated a static light source,  
148 improving the chance that the images were from the same location. A real-time camera  
149 linked to the device was used by the examiner to manually optimize alignment between the  
150 central part of the cornea and the confocal probe. Five representative and complete images  
151 of the central corneal subbasal nerve plexus were selected for analysis. All the IVCM scans  
152 were performed by the same trained examiner (AR) after the application of a topical

153 anaesthetic (Minims Oxybuprocaine Hydrochloride 0.4%, Bausch & Lomb, Florida, US) to  
154 reduce the blink reflex and increase patient comfort during the acquisitions.



155  
156 Figure 1 Sample of IVCM images of the subbasal corneal nerves fibre analysed with  
157 ACCMetrics: before LASIK surgery (A) and after (B), before SMILE surgery (C) and after (D).  
158 Main nerve fibres in red, nerve branches in blue and branch points in green.

159

160 **Image analysis**

161 The automatic quantification of the subbasal corneal nerves was performed using a software  
162 programme ACCMetrics designed by the University of Manchester Research Group  
163 (Manchester, UK). Specifically, the analysis included corneal nerve fibre density (CNFD,  
164 number of main fibres per mm<sup>2</sup>), corneal nerve branch density (CNBD, number of branches  
165 per mm<sup>2</sup>), corneal nerve fibre length (CNFL, total length of main fibres and branches per  
166 mm<sup>2</sup>) and corneal nerve fibre fractal dimension (CNFrD). In brief, ACCMetrics analyses the  
167 images using two main processes: nerve-fibre detection and nerve-fibre quantification. The  
168 nerve-fibre detection works on methods based on machine learning able to report the  
169 detection of curvilinear features. The image is denoised and a threshold applied to generate  
170 a binary image of the nerves which is then filtered and thinned to obtain a one pixel wide  
171 skeleton. Branch and end points are identified to produce an optimised binary skeleton, as  
172 detailed by Chen et al.<sup>16</sup> The second process is the nerve-fibre quantification: the  
173 identification starts with the main nerve fibres (e.g. major length and width) considering  
174 length, orientation difference, intensity and width parameters. All these parameters are then  
175 compared with subscales of images previously loaded in the software to obtain a matrix  
176 match. In our study, the images were analyzed and those containing stromal or epithelium  
177 layers or artifacts (e.g. excessive compression of the layers/nerves) were discarded. Images  
178 with subbasal corneal nerves were analyzed with dimensions of 384 x 384 pixels with a pixel  
179 size of 1.0417  $\mu\text{m}$ .

180 The CNFrD measure consisted of the nerve fibre detection step as described above. CNFrD  
181 considers the structural complexity of the image (in this case, scans from the subbasal  
182 corneal nerve plexus) by comparing the changes in details to the change in scale . For this  
183 study it was calculated using a box counting method based on the detected nerve fibres. As  
184 described by Liu et al.<sup>34</sup>, the image considered is analysed using different sized boxes of  
185 1x1, 2x2, 4x4, etc. where the pixel location in the image is checked. The number of boxes is  
186 increased by 1 when any part of the detected nerve fibre is within a box. A series of points  
187 are plotted based on the number of boxes against the corresponding box sizes. The slope of



188 the line is the FD value where a higher value corresponds to a complex more evenly  
189 distributed nerve structure while fewer irregular nerves (e.g. increased tortuosity) will have a  
190 lower FD value. Chen et al.<sup>17</sup> used CNFrD measurement in diabetic patients and found that it  
191 is comparable with other subbasal corneal nerve metrics while Giannaccare et al.<sup>18</sup> have  
192 used it in patients with dry eye disease without showing a significant difference with a control  
193 group. However, more research is needed to confirm its utility.

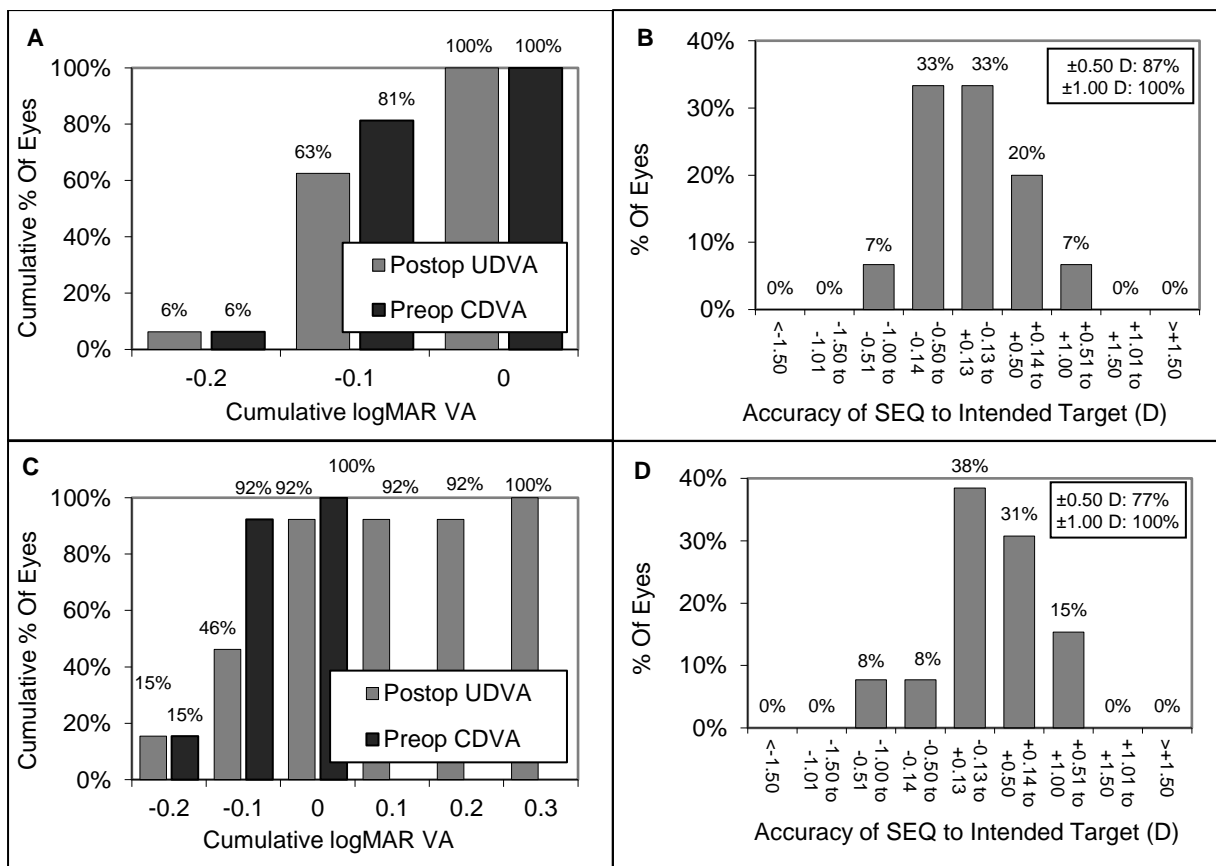
#### 194 **Statistical analysis**

195 All statistical analysis was performed using SPSS 23.0 (SPSS Inc., Chicago, US). Data  
196 normality was tested using the Shapiro-Wilk test. Group comparisons for normally distributed  
197 data were performed with Student's *t*-test comparing before and after the treatment while  
198 non-normally distributed variables were examined with the Wilcoxon signed rank test with 2  
199 related samples while using Mann-Whitney U test with 2 independent samples. The bivariate  
200 correlation analysis for normally distributed data was performed using the Pearson's test  
201 whereas data not normally distributed were analysed using the Spearman's test. A guide to  
202 interpreting correlation strength was derived from the recommendations of Navarro et al.<sup>19</sup> A  
203 *p*-value < 0.05 was taken to be statistically significant.

204

205 **Results**

206 There was no significant difference between groups in post-operative UDVA ( $p= 0.721$ ) and  
 207 post-operative SEQ ( $p= 0.769$ ). At one month, all eyes (100%) in the FS-LASIK group were  
 208 able to achieve a post-operative UDVA of 0.0 logMAR compared to the SMILE group of  
 209 which 92% achieved 0.0 UDVA at 1 month. 87% and 77% of eyes were found to be within  $\pm$   
 210 0.50 D of the intended target refraction in the FS-LASIK and SMILE groups respectively (Fig.  
 211 2).



218 Figure 2 Standard graphs for reporting refractive surgery outcomes (Waring graphs): A) the  
 219 visual acuity before and after FS-LASIK surgery (Preoperative (Preop) Corrected Distance  
 220 Visual Acuity (CDVA) vs Postoperative (Postop) Uncorrected Distance Visual Acuity  
 221 (UDVA)) and B) accuracy of the FS- LASIK surgical procedure in terms of residual refraction  
 222 after surgery. C) the visual acuity before and after SMILE surgery (Preop CDVA vs Postop  
 223 UDVA) and D) accuracy of the SMILE surgical procedure in terms of residual refraction after  
 224 surgery.

225 The ocular surface metrics obtained in the two groups are reported in Table 1.  
 226 Significantly higher symptomatology, assessed with both OSDI and DEQ-5 questionnaires,  
 227 were found comparing pre- versus post- operative scores in FS-LASIK (OSDI and DEQ-5  
 228 both with  $p=0.001$ ) but not when comparing pre and post-operative scores in SMILE (OSDI  
 229  $p= 0.374$  and DEQ-5  $p= 0.154$ ). TMH and NIKBUT were significantly reduced after the  
 230 LASIK procedure ( $p= 0.005$  and  $0.001$  respectively), but not when compared with the SMILE  
 231 technique at 1 month ( $p= 0.253$  and  $0.114$  respectively). No significant changes were found  
 232 for any of the remaining metrics such as tear film osmolarity, ocular staining and  
 233 Meibography (Meiboscore).

Parameter	FS-LASIK group (mean $\pm$ SD)		<i>P</i>	SMILE group (mean $\pm$ SD)		<i>P</i>	
	Before	After	Within surgery	Before	After	Within surgery	Between surgeries
OSDI (score)	8 $\pm$ 10	34 $\pm$ 23	<i>0.001</i>	8 $\pm$ 12	11 $\pm$ 8	0.374	<i>0.039</i>
DEQ-5 (score)	5 $\pm$ 3	12 $\pm$ 5	<i>0.001</i>	6 $\pm$ 3	7 $\pm$ 3	0.154	<i>0.006</i>
Osmolarity (mOsm/L)	295 $\pm$ 12	300 $\pm$ 14	0.629	291 $\pm$ 10	289 $\pm$ 9	0.975	0.054
TMH (mm)	0.32 $\pm$ 0.13	0.22 $\pm$ 0.09	<i>0.005</i>	0.30 $\pm$ 0.07	0.33 $\pm$ 0.08	0.248	<i>0.253</i>
NIK BUT (s)	11.3 $\pm$ 5.7	6.7 $\pm$ 3.6	<i>0.001</i>	10.2 $\pm$ 5.4	9.8 $\pm$ 4.6	0.121	<i>0.114</i>
Ocular staining (Oxford score)	0 $\pm$ 1	0 $\pm$ 1	0.609	0 $\pm$ 1	0 $\pm$ 1	0.742	0.938
MG (Meiboscore)	2 $\pm$ 2	2 $\pm$ 2	0.164	1 $\pm$ 1	1 $\pm$ 1	0.137	0.587

234 Table 1 Ocular surface parameters in FS-LASIK and SMILE group: statistically significant *p*  
 235 value are noted in italics. ocular surface disease index (OSDI), dry eye questionnaire 5-items  
 236 (DEQ-5), tear meniscus height (TMH), non-invasive keratography break-up time (NIK BUT),  
 237 Meibomian gland (MG), standard deviation (SD)

238

239 The IVCM analysis using the ACCMetrics software before and after FS-LASIK  
 240 (Table 2) showed significantly lower values for CNFD, CNBD, CNFL, and CNFrD post FS-  
 241 LASIK ( $p= 0.001$ ). Similarly, the SMILE procedure resulted in a significant reduction in  
 242 CNBD, CNFL and CNFrD ( $p= 0.003$ ,  $p=0.035$  and  $p= 0.022$  respectively) but no significant

243 reduction in CNFD ( $p= 0.071$ ). FS-LASIK had a greater impact on CNFD, CNBD and CNFL  
 244 showing a significant reduction when compared with SMILE ( $p= 0.001$ ).

Parameter	FS-LASIK group (mean $\pm$ SD)		<i>P</i>	SMILE group (mean $\pm$ SD)		<i>P</i>	
	Before	After		Pre- vs. post-op	Before	After	Pre- vs. post-op
CNFD (no. main fibres per mm <sup>2</sup> )	17.6 $\pm$ 4.3	4.9 $\pm$ 1.1	<i>0.001</i>	18.0 $\pm$ 7.1	15.6 $\pm$ 3.9	0.071	<i>0.001</i>
CNBD (no. branches per mm <sup>2</sup> )	12.8 $\pm$ 7.5	3.2 $\pm$ 0.7	<i>0.001</i>	15.5 $\pm$ 8.3	12.0 $\pm$ 5.4	<i>0.003</i>	<i>0.001</i>
CNFL (length fibres and branches per mm <sup>2</sup> )	12.4 $\pm$ 2.3	3.3 $\pm$ 1.3	<i>0.001</i>	11.3 $\pm$ 3.1	10.4 $\pm$ 2.4	<i>0.035</i>	<i>0.001</i>
CNFrD (changes in details (vague))	1.47 $\pm$ 0.04	1.38 $\pm$ 0.12	<i>0.001</i>	1.47 $\pm$ 0.04	1.40 $\pm$ 0.14	<i>0.022</i>	0.124

245 Table 2 Corneal nerve parameters analysed from IVCM scans in the FS-LASIK and SMILE  
 246 groups: statistically significant differences are noted in italics. corneal nerve fibre density  
 247 (CNFD), corneal nerve branch density (CNBD), corneal nerve fibre length (CNFL) and  
 248 corneal nerve fibre fractal dimension (CNFrD).

249

250 A significant correlation was found between OSDI scores before vs after surgery  
 251 ( $r= 0.652$ ,  $p= 0.006$ ) and between the pre-operative NIKBUT and post-operative TMH ( $r= -$   
 252  $0.742$ ,  $p= 0.001$ ) in the FS-LASIK group. In the SMILE group, pre-operative symptoms  
 253 (DEQ-5) were correlated with the stability of the tear film (NIKBUT) after the procedure ( $r= -$   
 254  $0.566$ ,  $p= 0.044$ ). Considering the changes in parameters (delta values) in both procedures,  
 255 the correlations between NIKBUT and TMH with the post-operative symptoms and signs  
 256 (delta values) has confirmed that only the symptoms assessed using the DEQ-5  
 257 questionnaire were correlated with NIKBUT ( $r= -0.624$ ,  $p= 0.023$ ). In terms of subbasal  
 258 corneal nerve parameter correlations with ocular surface metrics, only CNFL before surgery  
 259 was correlated with the symptomatology scores (DEQ-5) after the FS-LASIK procedure ( $r= -$   
 260  $0.545$ ,  $p= 0.029$ ).

261 **Discussion**

262 In light of the developments in corneal refractive surgery, a recommended protocol for DED  
263 assessment recommended by the TFOS DEWS II report together with a novel automated  
264 software programme (ACCMetrics) for nerve fibre analysis were used to determine the  
265 impact of two corneal refractive surgical techniques on the ocular surface. Both LASIK and  
266 SMILE have been shown to be safe and effective for correcting myopia and astigmatism.<sup>20</sup> In  
267 the current study, the refractive outcomes revealed a similar trend as reported by other  
268 authors <sup>21</sup>, where no complications and good safety and efficacy were reported. There was  
269 no difference in mean VA although the small difference in the percentage of eyes achieving  
270 0.0 logMAR in SMILE at four weeks post-op compared to FS-LASIK, might be attributed to  
271 the slightly delayed healing/recovery of the stromal tissue with SMILE as observed by Ağca  
272 et colleagues. <sup>9</sup> However, as previously reported by Shen et al.<sup>22</sup>, all eyes in the study  
273 achieved a post-operative refraction within  $\pm 1.00$  D, showing no significant difference  
274 between the procedures for SEQ at only one month.

275 A significantly greater increase in DED symptomatology was observed in the FS-  
276 LASIK group compared to the SMILE group, which was reported with both the OSDI and  
277 DEQ-5 questionnaires. Previously, Denoyer et al.<sup>8</sup> and Li et al.<sup>23</sup> reported a similar finding  
278 and hypothesized that the cutting of the subbasal corneal nerve fibres during flap creation  
279 (FS-LASIK) has greater impact compared with creating and extracting a stromal lenticule  
280 (SMILE), inducing more symptoms up to 6 months after surgery by which time the nerves  
281 are expected to have largely regenerated.

282 Following the TFOS DEWS II Pathophysiology report, hyperosmolarity of the tear film  
283 was described as a core mechanism of DED. Despite the importance of quantifying the  
284 osmolarity of the tear film<sup>24</sup>, it is not yet clear how robust its measurement is. Szczesna-  
285 Iskander reported the need for three consecutive measurements to achieve a reliable  
286 measurement, which naturally has resource implications in a busy clinical setting<sup>25</sup>, while  
287 Bunya et colleagues described high variability when measuring osmolarity in patients with

288 DED.<sup>26</sup> In the present study, the results were obtained from a single measurement. The  
289 osmolarity data obtained showed no significant difference pre versus post-operatively for  
290 both procedure types as previously reported by Denoyer et al.<sup>8</sup>

291 The study protocol was aligned with the recommendations in the TFOS DEWS II  
292 report where tear film assessments should be performed non-invasively. As previously  
293 described by Jung et colleagues<sup>27</sup>, TMH after LASIK was reduced. However, the difference  
294 in the cutting profile between SMILE (2 to 5 mm incision) and FS-LASIK (7 to 8 mm flap) led  
295 to no significant differences between the techniques for TMH. As most of the tear film  
296 volume is produced by the lacrimal gland that is innervated by parasympathetic and  
297 sympathetic nerves, any insult to the corneal trigeminal nerve branches or to the lacrimal  
298 gland reflex arc may reduce the secretion of tears, reducing TMH.

299 As with TMH, the stability of the tear film was also measured without the use of any  
300 vital dyes (e.g. fluorescein) which might have increased the variability of the measurement.  
301 The changes observed before versus after the surgery were greater with FS-LASIK (TMH  
302 reduced by 40%,  $p= 0.005$ ), while there was only a small impact with SMILE (TMH reduced  
303 by 3%,  $p= 0.248$ ) confirming the greater impact of the LASIK procedure on tear  
304 homeostasis.

305 Although other investigators<sup>23</sup> have demonstrated a greater impact on corneal and  
306 conjunctival staining with FS-LASIK compared to SMILE, attributing the cause as an  
307 interaction between the corneal nerves and the epithelial cells, this study supported the  
308 findings of Zhang et al.<sup>28</sup> that neither of the procedures increased ocular surface staining.  
309 Likewise the meibomian glands were not significantly affected by either type of surgery in  
310 this cohort.

311 This is the first study where the automated quantification of corneal nerve  
312 morphology with ACCMetrics was used to determine the impact of FS-LASIK and SMILE on  
313 the ocular surface. The advantages of using ACCMetrics are in reducing the bias from

314 manual tracing of nerves and the time needed to analyse the IVCM scans (4 to 7x faster  
315 than non-automated methods) making it more feasible to apply in the clinical setting.<sup>15</sup> As  
316 expected, a significant reduction in the FS-LASIK group in terms of subbasal corneal nerves  
317 (up to 75% reduction considering CNFD, CNBD and CNFL before vs. after the procedure)  
318 was observed while in the SMILE group the impact was less (up to 23% reduction). The  
319 present results are in agreement with Denoyer et colleagues<sup>8</sup>, confirming that the SMILE  
320 flap-less procedure is a safe and effective way to manage refractive error, with the benefit of  
321 being less impactful on corneal nerve structure. Additionally, the CNFrD values obtained by  
322 ACCMetrics, as previously described as a measure of structural complexity of the corneal  
323 nerves by Giannaccare et al.<sup>18</sup>, were significantly reduced after both procedures. The  
324 reduction of this parameter might indicate its utility in describing the healing process of the  
325 subbasal nerve structure after surgery. However, further follow-up in a larger number of  
326 participants would be useful to confirm this.

327           As previously reported by Denoyer et colleagues<sup>8</sup>, the increased DED  
328 symptomatology was associated with a decrease in corneal nerve fibres. However, as  
329 reported by Vestergaard et al.<sup>29</sup> comparing femtosecond laser procedures, none of the  
330 objective DED metrics (TBUT and TMH) were correlated with corneal nerve fibre  
331 morphology in the present study.

332           Patients undergoing both procedures were matched in terms of age, sex and  
333 refractive state, but were not randomised to a treatment which could have led to some bias  
334 in the results. Also, the patients and examiner (AR) were not masked as all the pre- and  
335 post-operative examinations were performed by the same clinician. ACCMetrics was not  
336 able to provide information on the tortuosity of the subbasal corneal nerves which has been  
337 demonstrated to have a possible role in early DED diagnosis.<sup>18</sup> In addition, the software  
338 might have included artefacts in the quantification leading to false-negative and false-  
339 positive results. Additionally, ACCMetrics was not able to assess the dendritic cells pre- and  
340 post surgery which have previously been found to increase in density close to the subbasal

341 nerve plexus in patients with severe DED symptoms.<sup>30</sup> Finally, a larger sample size for the  
342 cohorts with longer follow-up schedules will provide further confirmation and insight into the  
343 possible benefits of SMILE versus LASIK in terms of ocular surface homeostasis and  
344 corneal nerve morphology.

345 In conclusion, FS-LASIK and SMILE provided favourable visual outcomes in both  
346 study groups. However, FS-LASIK surgery had more impact on DED symptomatology than  
347 SMILE, but this was not the case for the dry eye objective metrics when the surgeries were  
348 compared. Accordingly, TMH and NIKBUT might not be sensitive enough techniques to  
349 detect the post-surgical changes in this study, perhaps due to the modest sample size  
350 considered. SMILE surgery resulted in significantly less changes to the corneal nerve fibre  
351 metrics compared to FS-LASIK. This further confirms that SMILE surgery has less impact on  
352 the sensory neural loop of the cornea, which may account for less post-operative DED  
353 compared to LASIK, although the changes in the corneal nerve morphology were not  
354 correlated with DED metrics in this cohort.

355



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364 **Conflict of interest**

365 The authors have no conflicts of interest to disclose.

366

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442

443 **Figure Legends**

444 Figure 2 Sample of IVCM images of the subbasal corneal nerve fibres being analysed with  
445 ACCMetrics software: before (A) and after (B) FS-LASIK, before (C) and after (D) SMILE.  
446 Main nerve fibres shown in red, nerve branches shown in blue and branch points shown in  
447 green.

448 Figure 2 Visual and refractive outcomes for the two groups: A) visual acuity before and after  
449 FS-LASIK (Preoperative (Preop) Corrected Distance Visual Acuity (CDVA) vs. Postoperative  
450 (Postop) Uncorrected Distance Visual Acuity (UDVA)) and B) SEQ outcome after FS- LASIK  
451 compared to target (N=16) C) visual acuity before and after SMILE (Preop CDVA vs Postop  
452 UDVA) and D) SEQ outcome after SMILE compared to target (N=13).

453 Table 2 Ocular surface parameters in the FS-LASIK and SMILE groups: statistically  
454 significant differences are noted in italics. ocular surface disease index (OSDI), dry eye  
455 questionnaire 5-items (DEQ-5), tear meniscus height (TMH), non-invasive keratography  
456 break-up time (NIK BUT), Meibomian gland (MG), standard deviation (SD).

457 Table 2 Corneal nerve parameters analysed from IVCM scans in the FS-LASIK and SMILE  
458 groups: statistically significant differences are noted in italics. corneal nerve fibre density  
459 (CNFD), corneal nerve branch density (CNBD), corneal nerve fibre length (CNFL) and  
460 corneal nerve fibre fractal dimension (CNFrD).