

1 **Quetiapine dose optimisation during gestation: a pharmacokinetic modelling study**

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23 **ABSTRACT**

24 **Objectives:** The second generation antipsychotic quetiapine has been demonstrated to undergo
25 gestation related changes in pharmacokinetics. This study applied pharmacokinetic modelling
26 principles to investigate the mechanism of these changes and to propose new dosing strategies
27 to counteract these changes

28 **Methods:** A pharmacokinetic modelling approach was implemented using virtual population
29 groups. Changes in quetiapine trough plasma concentration during gestation were quantified
30 across all trimesters and dose adjustment strategies were applied to counteract these changes
31 by targeting a therapeutic range of 50-500 ng/mL throughout gestation

32 **Findings:** The application of the model during gestation predicted a decrease in trough
33 concentration. A maximum decrease of 58 % was predicted during trimester 2, and being
34 associated with a statistically significant decrease in oral clearance at gestation week 25, 204
35 $L/h \pm 100.8 L/h$ compared to non-pregnant subjects, $121.9 L/h \pm 51.8 L/h$. A dosing
36 optimisation strategy identified that dose increases to 500-700 mg twice daily would result in
37 32-55 % of subjects possessing trough concentration in excess of 50 ng/mL.

38 **Conclusions:** Quetiapine doses in pregnancy should be increased to 500-700 mg twice daily to
39 counteract a concomitant increase in metabolic clearance, increase in volume of distribution
40 and decrease in plasma protein binding.

41

42 **KEYWORDS**

43 Quetiapine; pregnancy; pharmacokinetics; PBPK; dose optimisation.

44 1. INTRODUCTION

45 Quetiapine is a second generation antipsychotic that was first approved by the US Food and
46 Drug Administration (FDA) in 1997 for the management of schizophrenia in both adults and
47 adolescents in addition to a range of other psychiatric disorders [1, 2].

48 Several reports have highlighted that quetiapine is the most commonly prescribed atypical
49 antipsychotic in women of childbearing age [3-5]. A key advantage of quetiapine over other
50 atypical antipsychotics is that it is unlikely to be associated with extrapyramidal symptoms and
51 is prolactin (PRL)-sparing but is associated with weight gain [6].

52 However, the use of pharmacological interventions for psychiatric disorders during pregnancy
53 is particularly challenging, given the need to balance stabilisation of maternal mental state with
54 the potential teratogenic effects of the prescribed drug. Often, this results in the cessation of
55 treatment during the gestational period, particularly in trimester 1 [7].

56 In the wider context of pregnancy, approximately 15 % of women have some form of
57 psychiatric illness with up to 13 % of women taking prescribed psychotropic pharmacological
58 interventions [8, 9]. Clinicians are likely to be faced with the possibility of treatment (or not)
59 within the perinatal setting. However, clinical studies have demonstrated that pregnancy should
60 be considered as a 'high-risk' period for relapse in the context of a discontinuation of any
61 maintenance treatment options [10-14]. This is particularly important given that recent reports
62 in the UK have suggested that 1 in 25 women (aged 20-35 years) who die by suicide, do so
63 during the perinatal periods (conception-pregnancy and post-natal) [15]. And further, that poor
64 mental health during gestation is highly correlated with poor mental health postnatally [14].

65 Mounting evidence supports the notion that cessation of therapy during pregnancy may be
66 detrimental to the mother for some antipsychotics, a choice which requires consideration of the
67 risks and benefits of pharmacological interventions during gestation [7, 16, 17]. Although the
68 risks of antipsychotic use during pregnancy may be outweighed by the clinical benefits,
69 gestation brings about significant changes in the physiology of the mother which can have
70 drastic changes on the pharmacokinetics of drugs administered during pregnancy. Quetiapine
71 is primarily metabolised by the phase-1 Cytochrome P450 enzyme CYP 3A4 [18], and
72 gestation can result in a significant increase in the expression of CYP3A4 [19-21] by between
73 25-40 % [22], which would enhance quetiapine metabolic clearance and hence results in a net
74 reduction in quetiapine plasma concentrations. An obvious change occurs in body composition
75 with a 40-50 % increase in plasma volume [23, 24] throughout gestation along with a

76 concomitant increase in body fat, approximately 4 kg, resulting in alterations of the volume of
77 distribution of hydrophilic and lipophilic drugs during gestation which would generally reduce
78 plasma drug concentration. In addition, decreases in the plasma-proteins albumin and alpha-1-
79 acidic glycoprotein will in turn increase the free drug fraction and directly influence the volume
80 of distribution [25-27].

81 In a recent retrospective study, the plasma levels of a range of antipsychotics were analysed
82 during gestation and it was identified that significant decreases in serum levels were evident,
83 particularly for quetiapine, which decreased by up to 70 % in trimester 3 [28].

84 At present, there are no well-controlled or reliable studies of quetiapine use during pregnancy,
85 and because of this reason the FDA have classified quetiapine as a category C drug, suggesting
86 it should be used during pregnancy only if the benefits to the mother outweigh any risks to the
87 patient. However, the US Office of Paediatric Therapeutics conducted a review of 220 adverse
88 reports associated with quetiapine, which were submitted to the FDA adverse event reporting
89 systems and identified that there doesn't seem to be a risk of congenital anomalies but
90 acknowledge the limited nature of the data reported [29]. Further, the clinical toxicology
91 database TOXBASE® (<https://www.toxbase.org>) from the National Poisons Information
92 Service Unit [30], has provided guidance for the use of quetiapine during gestation and does
93 not advocate its cessation necessarily, rather places emphasis on the consideration of the risk
94 of relapse on cessation compared to the benefits to the mother and child during gestation.

95 We have, for the first time, applied the principles of mechanistic pharmacokinetic modelling
96 and virtual clinical trials to better elucidate the causative effects of this decrease in plasma
97 quetiapine levels during gestation, to provide a clinically relevant dosing adjustment strategy
98 that could be implemented to maintain plasma quetiapine levels during gestation.

99 The objectives of this study were to: (i) develop a robust and validated pharmacokinetic model
100 for quetiapine; (ii) identify a suitable therapeutic window for quetiapine and (iii) explore the
101 impact of gestation on quetiapine plasma levels and address any alterations with clinically
102 appropriate dose adjustments.

103

104 2. METHODS

105 Simulations were performed using the virtual clinical trial simulator Simcyp (Simcyp® Ltd, a
106 Certara company, Sheffield, UK, Version 16). The ‘Healthy Volunteer’ population group was
107 used for ‘non-pregnant’ females and the ‘Pregnancy’ population group utilised for all
108 ‘pregnancy’ studies. The latter population group included necessary gestational dependant
109 changes in physiology, such as blood volume and organ/tissue perfusion and enzyme/protein
110 expression, which are thought to play a role in altering the pharmacokinetics of drugs [31-34].
111 A 4-stage modelling approach was implemented. A previously validated model of quetiapine
112 [35] was utilised with adaptations through the inclusion of CYP3A5 metabolic clearance
113 pathway [36, 37].

114

115 2.1 Step 1: Validation of quetiapine

116 In order to implement a pregnancy model within Simcyp the previously validated quetiapine
117 model [35] required modification as the model primarily implemented a minimal-PBPK model,
118 which does not allow consideration of a distinct foetal/placental tissue compartment and
119 physiological alterations in other maternal tissues during gestation. For simulations in pregnant
120 subjects, a full-PBPK distribution model was required and therefore tissue-partition coefficient
121 (K_p) estimates were calculated using the Rogers and Rowland approach [38, 39]. These were
122 then parameter estimated (using a Weighted Least Square (WLS) method and the Nelder-Mead
123 minimisation approach) through the optimisation of a tissue partition coefficient scalar, $K_{p_{\text{scalar}}}$,
124 using a total of 3 single dose studies and 1 multi-dose study: (i) 12 men (24-42 years old) dosed
125 a single oral dose of 25 mg [40]; (ii) 15 men and 3 women (29-63 years old) dosed at 25 mg
126 twice daily on day 1, 50 mg twice daily on day 2, 100 mg twice daily on day 3, 200 mg twice
127 daily on day 4 and 300 mg twice daily on day 5 until day 10 [40]; (iii) 10 men (35-55 years
128 old) dosed at 25 mg three times daily (TID) (6 am, 2 pm and 10 pm) on day 1 and dose escalated
129 to 50 mg TID on day 2, 75 mg TID on day 3, 100 mg TID on day 4 and by 50 mg increments
130 daily until 250 mg TID on days 7 and 8 [41]; (iv) 11 men and 2 women (19-58 years) dosed at
131 25 mg twice daily (BD) on day 1 and dose escalated to 50 mg BD on day 2, 75 mg BD on day
132 3, 100 mg BD on day 4 and by 50 mg increments daily until 300 mg BD on 8 until day 21 [42].

133

134 Model simulations were run to match the reported age range and patient number reported by
135 each study. However, in the absence of this information, a default trial size of 100 subjects
136 (10x10 design) aged 20-40 years old was used.

137 Quetiapine model parameters can be found in Supplementary Materials: Section 1.

138

139

140 **2.2 Step 2: Validation of CYP3A5 metabolic clearance modification**

141 To further validate the appropriateness of modifications made to the CYP3A5 intrinsic
142 clearance [37], three retrospective clinical drug-drug interactions studies were used to further
143 validate the model and consisted of: (i) ketoconazole dosed alone for days 1 to 3 and in
144 conjunction with quetiapine on day 4 [40]; (ii) quetiapine dose escalated to 300 mg twice daily
145 by day 5 and maintained for 34 days. Thereafter carbamazepine initiated with a 200-mg dose
146 on the evening of day 9, followed by 200 mg twice daily on days 10- 12, and increased to 200
147 mg three times daily from days 13-33 with a final dose on the morning of day 34 [40] and (iii)
148 quetiapine dose escalated from 25 to 250 mg three times daily by day 10 and maintained until
149 day 23 with phenytoin administered at 100 mg three times daily on days 13-33 in conjunction
150 with quetiapine [41].

151 Where possible, trial design and sampling duration was replicated from the original studies.

152

153 **2.3 Step 3: Validation during gestation**

154 A recent report by Westin *et al* [28] retrospectively collated serum level of antipsychotics
155 before, during and after pregnancy. Data for quetiapine consisted of 66 measurements during
156 pregnancy, 11 during the first 12 weeks following pregnancy and 144 at baseline, from 33
157 women. Subjects were stabilised on 400 mg/daily. This data was extracted, pooled and utilised
158 as 'observed' data for validation purposes.

159 In simulating quetiapine pharmacokinetics during gestation, a 38-week trial design was
160 utilised, with simulations conducted using a 10x10 trial design with dosing adjusted on a daily
161 basis by 50 mg/day to 200 mg twice daily for all subjects.

162 For all dosing approaches in pregnancy, unless otherwise stated, the pre-dose (trough) plasma
163 concentration was ascertained 10 hours following each dose. For assessment of plasma
164 concentration, all concentrations were dose adjusted to the defined daily dose (DDD), whereby
165 the simulated plasma concentration was divided by the daily dose and subsequently multiplied
166 by the DDD (the average maintenance dose per day for its main indication in adults)[43].

167 For comparison, the trial design was also replicated for Healthy Volunteer population of non-
168 pregnant females dosed under the same dosing strategy.

169 **2.4 Step 4: Dose adjustment during gestation**

170 Limited data currently exists purporting to show a relationship between plasma quetiapine
171 levels and clinical responses and these have recently been summarised in a review by Mauri et
172 al (2018) [44]. Further, a suggested therapeutic window of between 100-500 ng/mL has been
173 proposed by the Arbeitsgemeinschaft für Neuropsychopharmakologie und
174 Pharmakopsychiatrie (AGNP) [45] and this was adopted as the potential therapeutic window.
175 However, the region of 50-100 ng/mL was also considered as a 'borderline' range, given that
176 doses in the range of 150-800 mg daily can yield mean trough concentrations in the range of
177 27-387 ng/mL [46-50]. Although the FDA advocated maximum recommended dose is 800 mg
178 daily [51], a number of studies have assessed the safety of higher doses in non-pregnant
179 subjects to a maximum of 1400 mg daily [52-54] with no significant safety concerns.

180 In a recent case report the need for dose adjustment to be made during pregnancy for women
181 with bipolar disorder was highlighted [55], with dose escalation by up to an additional 350
182 mg/daily in some cases to maintain symptom control during gestation. Further, previous
183 reports of foetal exposure of quetiapine have occurred at dose ranges of 300-600 mg/day during
184 gestation with no harmful effects on the new-born [56-59]. Interestingly a dose of 1200 mg/day
185 was also used at mid-pregnancy (21 weeks gestation) as identified in a case report by Çabuk
186 [60], which resulted in a normal birth. Although mainly case reports, these serve as useful
187 guidance for potential dose escalation strategies required.

188

189 In order to assess the requirement for dose optimisation, simulations were conducted with 100
190 subjects (10x10 design) aged 20-30 years. Simulations were commenced on day 1 of gestation
191 and terminated on day 1 of week 39. Dose escalation studies included 'baseline' simulations
192 of 200 mg twice daily and subsequently by 50 mg increments every 3 days to a maximum of
193 700 mg twice daily. Data was sampled on the final 24 hour period of every 5th week up to and
194 including week 38.

195 **2.5 Predictive Performance**

196 For all simulations in steps 1-3, a prediction of a pharmacokinetic metric to within two-fold
197 (0.5-2.0 fold) of published clinical data was generally accepted as part of the 'optimal'
198 predictive performance [61-63].

199 2.7 Visual Predictive Checks

200 Model predictions in step 1-3 were compared to clinical studies using a visual predictive
201 checking (VPC) strategy [64]. In this approach, the predicted mean/median and 5th and 95th
202 percentiles of the concentration–time profiles (generated from Simcyp®) were compared
203 against the observed data for any validation data sets. The prediction was assumed to be valid
204 when the predicted data points overlapped with the observed data sets.

205 2.8 Data and statistical analysis

206 All observed data obtained from clinical studies were extracted using WebPlotDigitizer v.3.10
207 (<http://arohatgi.info/WebPlotDigitizer/>). Statistical analysis was conducted using a non-
208 parametric Kruskal-Wallis with a Dunn's multiple comparison post-hoc test. Statistical
209 significance was confirmed where a $P < 0.05$ was computed.

210

211 3. RESULTS

212 3.1 Step 1: Validation of quetiapine

213 A previously published quetiapine model was adapted with the incorporation of a full-PBPK
214 model in order to predict tissue partition coefficient and enable a full mechanistic model to be
215 utilised, in addition to the incorporation of a CYP3A5 metabolic pathway. The adapted file
216 was validated against a range of published clinical studies using the Simcyp Healthy Volunteer
217 population group (See section 2.1). For all single and multi-dose studies (Supplementary
218 Materials: Section 2 Figure S1) along with drug-drug interactions simulations (Supplementary
219 Materials: Section 2 Figure S2), the simulated plasma concentration-time profiles were
220 successfully predicted to within the observed range for each study and model-predicted t_{\max} ,
221 C_{\max} , and AUC were predicted to within 2-fold of the reported parameters for each study,
222 confirming successful validation (Table 1).

223

224 When compared to non-pregnant females (baseline), the median steady-state trough plasma
225 concentrations of quetiapine decrease during gestation (Figure 1) with a statistically significant
226 difference between baseline and the mid-point of trimesters 1-3 (final day of weeks 6, 20 and
227 32 respectively) ($P < 0.001$, Dunn's post-hoc comparison) (Table 2). During gestation the
228 predicted median plasma concentration decreased by between 52-58 %.

229 The reduced plasma concentration during gestation was associated with a concomitant increase
230 in oral clearance (CL/F) which was significantly different from baseline from gestational week
231 10 onwards ($P < 0.05$, Dunn's post-hoc comparison) and reached a maximum at GW 25, 204
232 L/h \pm 100.8 L/h compared to baseline, 121.9 L/h \pm 51.8 L/h (Figure 2A). Further, changes in
233 volume of distribution are significant from week 25 onwards, rising from a baseline of 329.2
234 L \pm 71 L to 368.4 L \pm 71.3 L at GW 38 (Figure 2B). A statistically significant increase in
235 unbound fraction was also noted from GW 10 onwards and with $f_{u,plasma}$ being 21-26 % greater
236 from weeks 30 onwards ($f_{u,plasma} = 0.0218-0.0225$) (Figure 2C).

237 Understanding the importance of maternal physiological changes during gestation on
238 quetiapine pharmacokinetics is clearly multifaceted. Therefore we conducted a sensitivity
239 analysis using a non-pregnant and pregnant (GW: 10, 20 and 30) female population group
240 where we directly examined the impact of variation in the CYP 3A4 hepatic abundance (137
241 pmol/mg protein to 180 pmol/mg protein, representing a 30 % increase from baseline levels)
242 and Kp scalar (1 to 3, representing a Vss range of 3.8 L/kg to 11 L/kg; implemented using
243 Simcyp estimated Kp's) (Figure 3). When considering non-pregnant subjects, the trough serum
244 concentrations are largely sensitive to changes in both Vss (Kp scalar) and CYP 3A4
245 abundance, although the former has a greater influence. Conceptually, an increase in Vss
246 would result in a net reduction in peak (C_{max}) plasma concentrations with a concomitant shift
247 in the distribution and elimination phases of the drug. However, this shift in the latter phases
248 of the plasma concentration-time profile would result in a net increase in the trough plasma
249 concentration (C_{min}) (Figure 3A). At a fixed hepatic abundance, for example the default hepatic
250 abundance in healthy (non-pregnant) subjects of 137 pmol/mg protein, any increase in Kp
251 scalar (and hence increased in Vss) would increase the C_{min} (Figure 3B). However, during
252 gestation the increase in CYP 3A4 hepatic abundance would negate the impact of an increase
253 in Vss on the C_{min} , and result in a net reduction in trough plasma concentration (Figure 3).

254

255 **3.4 Step 4: Dose adjustment during gestation**

256 In order to address the reduced plasma concentration during gestation, a dose escalation
257 strategy was explored, whereby doses were increased by 50 mg increments every 3 days to a
258 maximum of 500 mg twice daily, from a baseline dose of 200 mg twice daily.

259 As expected, the dose increase during gestation resulted in an increase in median plasma
260 concentration (Figure 4). A dose increase of 300 mg (i.e. 500 mg twice daily) was required to

261 yield > 70 % of subjects with a trough plasma concentration in excess of 50 ng/mL throughout
262 gestation (Table 3). However, a dose increase of 500 mg (i.e. 700 mg twice daily) was required
263 to ensure >60 % of subjects possessed a trough plasma concentration in excess of 100 ng/mL
264 throughout gestation (Table 3).

265

266

267 **4. DISCUSSION**

268 The decision to use any pharmacological intervention during pregnancy is challenging
269 for the mother in addition to the prescriber and requires clear knowledge of potential harmful
270 effects on the developing foetus and risks such as the development of gestational diabetes.
271 However, the choice to continue treatment or not, can be overshadowed by the clinical need
272 for therapy during gestation, and the potential consequences of withdrawing treatment [13, 14].

273 Gestation brings about clear physiological changes which are known to alter the
274 pharmacokinetic profile of drugs. However, the consequences of such changes are often
275 difficult to ascertain clinically in a controlled trial for obvious ethical reasons. However, in an
276 attempt to assess the potential impact of pregnancy on antipsychotic therapy, the use of robust
277 mechanistic pharmacokinetic models allows for a prospective assessment of the potential
278 impact and changes in plasma concentrations.

279 A recent report by Westin *et al* [28] examined the plasma concentrations of antipsychotics
280 during gestation from retrospective analysis of therapeutic drug monitoring (TDM) clinical
281 data from Norway. They identified that quetiapine and aripiprazole exhibited a significant
282 decrease in plasma concentrations during gestation, by between 50-80 % by trimester 3.
283 Further decrease were noted for perphenazine and haloperidol, but this was limited by the
284 number of TDM measurements available. Nevertheless, the potential for gestation-related
285 decrease in antipsychotic plasma concentrations was noted.

286

287 Given the lack of more detailed clinical studies examining these phenomena, this study applied
288 the principle of pharmacokinetic modelling to prospectively assess the use of quetiapine in
289 pregnancy population groups and attempted to relate changes in plasma concentrations during
290 gestation to a potential therapeutic window region. The Simcyp Pregnancy PBPK model has
291 been utilised by our group [65] and others [32, 33] for prediction of the impact of changes in

292 plasma concentrations associated with gestation, however this is the first time it has been
293 utilised in the context of quetiapine.

294 The model developed incorporated adaptations to two existing quetiapine PBPK models [35,
295 37] and was validated against single and multiple dose studies (Supplementary Materials:
296 Section 2 Figure S2). The resulting predictions were within 2-fold of those reported along with
297 appropriate VPC confirming population level variability in plasma concentrations were
298 appropriately predicted in relation to the clinically reports variability. Further, the inclusion of
299 the revisions to the CYP 3A5 component [37] were able to recapitulate the impact of
300 appropriate DDIs on plasma concentrations (Supplementary Materials: Section 2 Figure S3).

301 To our knowledge, Westin *et al* [28] is the only publication (to date) containing quetiapine
302 plasma concentrations throughout gestation and this was used as the basis for validating the
303 quetiapine pregnancy PBPK model. Simulations were run for the entire gestation period (38
304 weeks) with sampling of the first day on each week for every 5 weeks reported (Figure 1). For
305 non-pregnant subjects (baseline), model predicted plasma concentrations ($54.59 \text{ ng/mL} \pm 26.98$
306 ng/mL) were within 2-fold of those reported by Westin *et al* [28] (75.6 ng/mL) (Table 2), whilst
307 also spanning across a similar range. Westin *et al* [28] reported a 22 %, 57 % and 76 % decrease
308 in mean plasma concentration at for trimesters 1, 2 and 3 respectively. Using the PBPK model
309 we demonstrated a similar decrease of 52-58 % across gestation, although the predicted
310 decrease for trimester 1 was greater than that reported [28]. Nonetheless, the trend throughout
311 gestation for a decrease in plasma concentration was similar, and represents an important
312 phenomenon, which is likely to result in sub-therapeutic plasma concentrations if we assume a
313 lower limit of the therapeutic window to be 100 ng/mL .

314 In order to identify the cause of this change in plasma concentrations during gestation, we first
315 examined the impact of changes in CYP 3A4 expression on oral clearance. Previous reports
316 have identified significant alterations in CYP 3A4 expression with gestation, and given the
317 major contribution of CYP 3A4 to overall CYP-mediated metabolic clearance, $> 90 \%$ [18, 40],
318 this is a key component for the overall pharmacokinetics of quetiapine. The impact of gestation
319 on the metabolic clearance of CYP 3A4 substrates has been previously reported as leading to
320 an approximate 25-40 % increase in the clearance [22, 66]

321 An increase in oral clearance was observed at week 5 for pregnant subjects, ($149.5 \text{ L/h} \pm 75.17$
322 L/h) compared to baseline (non-pregnant) subjects at the same time point ($121.9 \text{ L/h} \pm 51.53$
323 L/h) (Figure 2A), however this was not statistically significant. From week 10 to 38, the oral

324 clearance increased, compared to baseline subjects, with week 20 demonstrating the greatest
325 difference ($184.1 \text{ L/h} \pm 100.5 \text{ L/h}$) ($P < 0.001$) (Figure 2A). Further, an increase in total body
326 water and plasma volume that occur throughout gestation did not have a significant impact on
327 the V_{ss} until week 25, where V_{ss} reached $357.2 \text{ L} \pm 71.9 \text{ L}$, compared to $329.2 \text{ L} \pm 71 \text{ L}$ for
328 non-pregnant subjects (Figure 2B). Previous reports have demonstrated V_{ss} can range from
329 400-800 L for non-pregnant subjects, for both single and multidose studies [67-69]. The
330 approximate 10 % increase in V_{ss} during gestation, although significant, may only contribute
331 a minor role to the change in trough concentration. A net increase in the unbound fraction
332 plasma ($f_{u,plasma}$) (21-26 % greater from weeks 30 onwards) was also simulated when comparing
333 baseline (Figure 2C). This net increase would result in an increase in circulating unbound drug,
334 resulting in an increase in the volume of distribution whilst also partly contributing to
335 potentially enhanced exposure of drug to the liver. However, the conceptualisation of this
336 effect on trough levels is multifaceted. The gestation-mediated increase in CYP 3A4 hepatic
337 abundance negates the impact of an increase in the volume of distribution and results in a net
338 reduction in trough plasma concentration (Figure 3).

339 To address the reduction in quetiapine plasma concentrations during gestation, we assessed the
340 impact of dose escalation which was required to recapitulate trough plasma concentrations to
341 within the therapeutic window. Because of the uncertainty surrounding the precise range of
342 the therapeutic window, a lower limit was set at either 50 ng/mL or 100 ng/mL (see section
343 2.4). In non-pregnant subjects, a 200 mg twice daily dose yielded a median steady-state trough
344 concentration of $59.47 \text{ ng/mL} \pm 26.98 \text{ ng/mL}$, which significantly decreased during gestation
345 to a minimum of 30.55 ng/mL at GW 20 ($P < 0.001$, Dunn's post-hoc comparison) (Figure 4)
346 (Supplementary Materials: Section 3 Table S2). Further, this resulted in a significant number
347 of subjects failing to attain the lower therapeutic window, < 35 % of subjects for 50 ng/mL
348 (Table 4) and < 15 % of subjects for 100 ng/mL (Table 3). This trend broadly concurs with
349 those reported by Westin *et al* [28], where the majority of reported plasma concentrations
350 during gestation fell below the 50 ng/mL lower limit (Figure 1), highlighting the need to
351 consider dose escalation during gestation.

352 Although a dose increase to 500 mg twice daily would be sufficient to ensure 30-50 % of
353 subjects attained the upper therapeutic window of 100 ng/mL (Table 3), a dose increase to 700
354 mg twice daily was identified as satisfying the requirement to attain both the 50 ng/mL and 100
355 ng/mL lower windows (Figure 4), with attainment of > 95 % and > 62 % of subjects
356 respectively. Whilst trials have suggested an upper dose of 800 mg/day [2, 70, 71], higher

357 doses of between 800-2000 mg/day [72-76] have been reported to be tolerated in acute and
358 maintenance therapy. Further, sparse case reports are available of significantly higher
359 overdoses of least 20-24 g being ingested with little acute effects [77, 78].

360 The increase in dose may warrant closer monitoring with possible monthly clinical evaluations
361 during gestation. This would allow for assessment for any worsening of mood disorder
362 symptoms during administration of higher doses of quetiapine. This can consist of trained
363 clinician administered structured interviews (e.g. SIGH-ADS[79] or MRS[80]). Furthermore,
364 recommendations from the Royal College of Psychiatrists Consensus Statement [81] advocated
365 the use of scales such as the Brief Psychiatric Rating Scale (BPRS)[82] and Health of the
366 Nation Outcome Scales (HoNOS)[83] in addition to assessing adverse effects through the
367 Liverpool University Neuroleptic Side Effect Rating Scale (LUNSERS)[84], for high dose
368 antipsychotic use.

369 Given that the metabolic clearance of quetiapine is mediated largely by CYP3A4 [18], and
370 gestation can result in a significant increase in the expression of CYP3A4 [19-21], an increase
371 in dose would be necessary during gestation to ensure trough plasma concentrations are in
372 excess of the lower therapeutic window.

373 Although limited studies have examined the need for a dose increase during pregnancy, those
374 that have reported this have shown that a 2-to-3 fold increase in dose is required in many cases
375 [60, 85, 86], dose increase were required in 80 % of the patients studied during pregnancy.

376 5. CONCLUSIONS

377 The primary outcome of our work is that quetiapine doses as high as 1400 mg/day may be
378 required during gestation, which is supported by case reports and clinical studies demonstrating
379 few adverse clinical effects when using at doses of in excess of 800 mg/day.

380 For the first time, through the implementation of virtual clinical trials analysis, we have
381 demonstrated that the reduction in quetiapine plasma concentrations are driven by both
382 alterations in tissue physiology and the impact this has on the overall V_{ss} , in addition to
383 variation in CYP 3A4 abundance changes during gestation. However, for other antipsychotics,
384 this phenomenon would largely depend upon the gestational changes in specific CYP isozymes.
385 For example, clozapine metabolic clearance is primarily mediated by CYP 1A2, which itself
386 can undergo significant decreases in pregnancy.

387 Further studies are required to assess both the extent of this gestational change on plasma
388 concentrations but also to also better identify a potential therapeutic range to better optimise
389 any necessary dose adjustments. However, we believe this study will provide a pragmatic basis
390 with which to consider dose adjustment throughout gestation.

391

392

393 CONFLICTS OF INTEREST

394 The authors declare that they have no conflicts of interest.

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398

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632 **Table 1: Summary pharmacokinetics parameters for validation studies in non-pregnant subjects**

633

634	Study	Sampling day	C_{max} (ng/mL)	t_{max} (h)	AUC (ng/mL.h)^a		
635	Grimm[40]	Day 1	Predicted	42.53 (25.32)	1 (0.23)	144.29 (97.29)	
636			Observed	45	1.25	181	
637		Day 6 +Ketoconazole	Predicted	123.02 (27.76)	1.28 (0.29)	1002.99 (409.18)	
638			Observed	150	1.25	1123	
639		Day 9	Predicted	663.42 (405.11)	1 (0.23)	2784.12 (2317)	
640			Observed	1042	1.5	4650	
641		Day 34 +Carbamazepine	Predicted	320.61 (131.19)	0.93 (0.22)	1137 (650.54)	
642			Observed	205	1.3	621	
643		Wong[41]	Day 8	Predicted	765.48 (339.7)	1 (0.22)	3168.82 (1673.07)
644				Observed	1048 (363)	1.4 (0.5)	3642 91375)
645			Day 8 +Phenytoin	Predicted	439.01 (267.12)	0.94 (0.21)	1414.03 (1167.38)
646				Observed	359 (328)	1.13 (0.36)	728 (445)
647	Potkin [42]	Day 21	Predicted	1032.71(50)	0.98 (0.65-1.40)	4223.86 (61)	
			Observed	1124.6 (31.9)	1.23 (0.5-3)	4508.9 (39.8)	

646

647 Data represents mean (Standard deviation). ^a Calculated for current dosing period.

648 **Table 2: Trough plasma quetiapine concentration during pregnancy**

	Baseline	T1	T2	T3
	^a			
	(ng/mL)			
Median	59.47	28.07	24.94	26.43
Mean	54.59	39.12	34.66	36.74
SD	26.98	29.09	25.26	26.41
SEM	4.61	6.20	5.38	5.63
CI high	47.71	46.82	41.39	44.18
CI low	31.09	22.16	19.96	21.23
Change (%) ^b		52.81	58.06	55.53

649

650 T1-T3 refers to each trimester; Data calculated from mid-point of each trimester; CI:
 651 confidence interval; SD: Standard deviation; SEM: standard error of the mean. ^a Baseline
 652 represents non-pregnant females; ^b Change refers to % changes from baseline.

653

654

655

656 **Table 3: Percentage of subjects with quetiapine trough concentrations greater than 50**
 657 **and 100 ng/mL**

Week	Dose Adjustment (mg)							
	50 ng/mL lower limit				100 ng/mL lower limit			
	Baseline	100	300	500	Baseline	100	300	500
5	34	66	86	98	14	24	55	81
10	28	58	82	97	9	21	43	73
15	25	48	75	96	6	19	34	69
20	24	45	72	95	4	18	33	65
25	24	44	72	95	4	16	32	63
30	24	45	74	95	4	18	33	62
35	28	54	81	96	9	20	38	68
38	31	60	83	96	12	22	44	69

658 Data calculated from day 1 of each week; Baseline represents non-pregnant females.

659

660 **LIST OF FIGURES**

661

662 **Figure 1: Simulated quetiapine plasma concentrations during gestation**

663 Simulated quetiapine plasma concentrations were generated during gestation using the Simcyp
664 Pregnancy population group, with the population group (n=33) redefined on a daily basis to
665 update study group physiology during gestation. Simulated concentrations represent post-dose
666 (trough concentrations) sampled 10 hours after dosing. Subjects were administered a 200 mg
667 twice daily dose (dose escalated from 25 mg twice daily over 1 week). ‘Baseline’ refers to
668 non-pregnant females. Simulated concentrations represent post-dose (trough concentrations)
669 sampled 10 hours after dosing and collated at 5-week intervals over the gestation period. Red
670 open circles represent observed (pooled) plasma concentrations obtained from a total of 33
671 subjects. Black open circles present simulated plasma concentrations.

672

673 **Figure 2: Impact of physiological alterations during pregnancy on quetiapine**
674 **pharmacokinetics**

675 Changes in quetiapine (A) clearance, (B) volume of distribution and (C) unbound fraction in
676 plasma at baseline (non-pregnant females) and during gestation. Gestational week is indicated
677 by GW. Box-plots ideates range (upper and lower bars) with calculation of median and 25th/75th
678 percentiles. * P < 0.05; ** P < 0.01; *** P < 0.001; **** P < 0.0001.

679

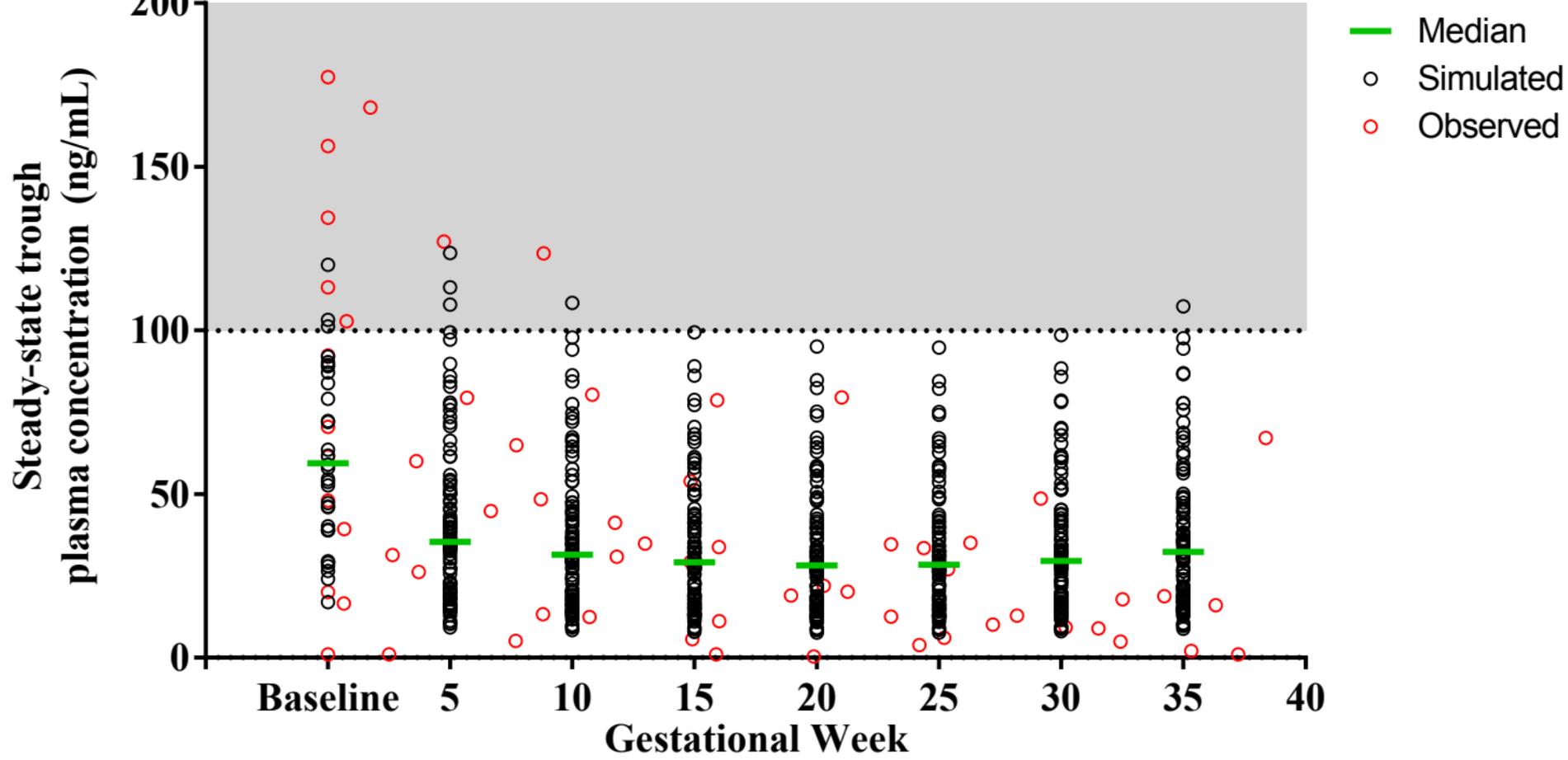
680 **Figure 3: Impact of alterations in Kp and CYP 3A4 abundance during pregnancy on**
681 **quetiapine plasma concentrations**

682 (A) The impact of changes in Kp scalar and CYP 3A4 hepatic abundance on quetiapine
683 plasma concentrations following multiple 200 mg oral doses (12-hourly). Solid lines
684 represent fixed CYP 3A4 abundance but increasing Kp scalar, with dashed lines representing
685 changes in Kp scalar but fixed CYP 3A4 abundance. (B) A sensitivity analysis comparing
686 the impact of variation in Kp scalar (1 to 3) and CYP 3A4 abundance (137 to 180 pmol/mg
687 protein) on final dose trough plasma concentrations in non-pregnant (red) and GW10 to 30.

688

689 **Figure 4: Dose optimisation of quetiapine during gestation**

690 The impact of dose escalation on median quetiapine plasma concentrations during gestation.
691 Box-plots indicate range (upper and lower bars) with calculation of median and 25th/75th
692 percentiles. Baseline dose was 200 mg twice daily with escalation indicated as the additive
693 increase in dose from baseline. Dark shaded region indicates the proposed therapeutic
694 window (100-500 ng/mL) with the lighter shaded region (50-100 ng/mL) indicating the
695 proposed 'extended' range of the therapeutic window.



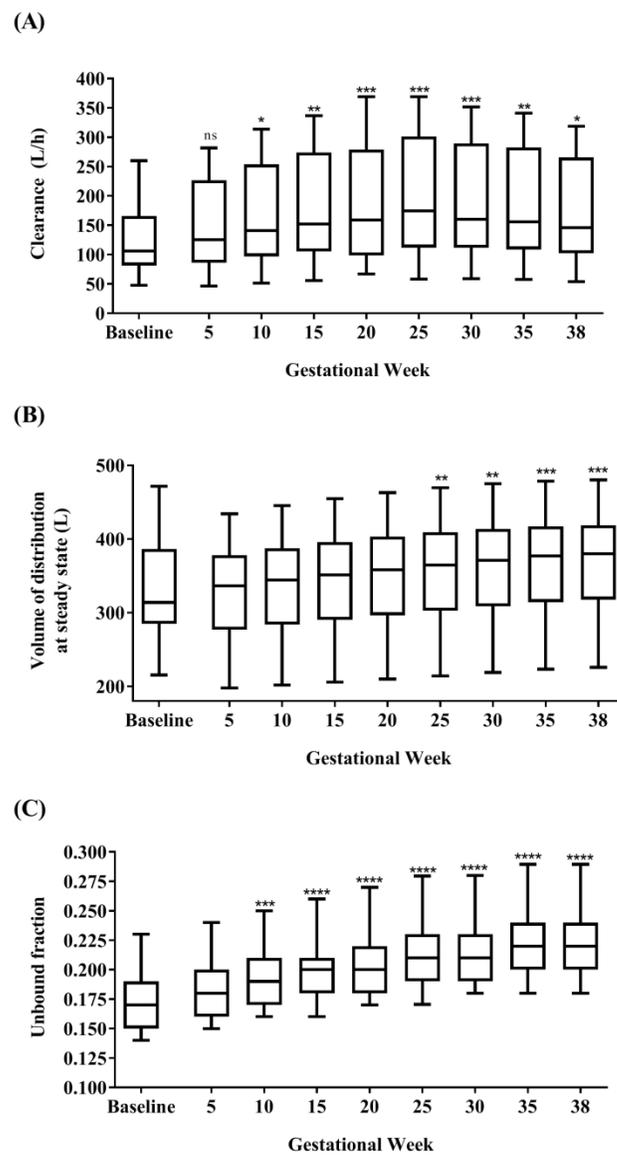


Figure 2

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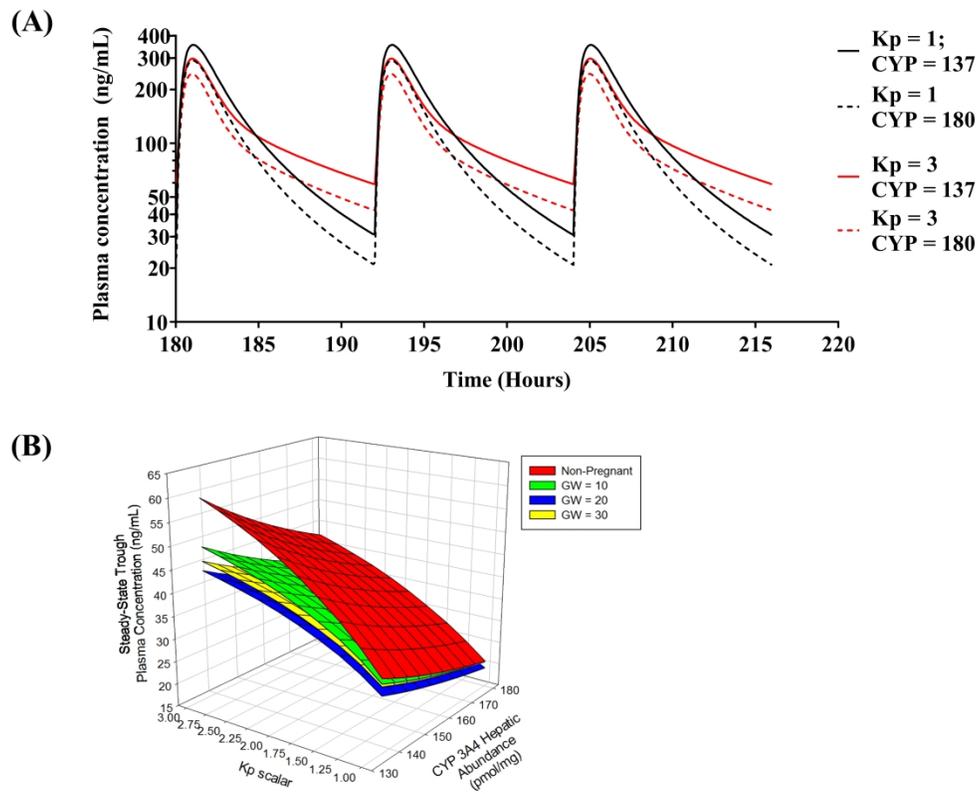


Figure 3

249x206mm (600 x 600 DPI)

