

1 **Co-pyrolysis of biomass and plastic waste over zeolite- and sodium-based catalysts for**
2 **enhanced yields of hydrocarbon products**

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10
11 **Abstract**

12 *Ex-situ* co-pyrolysis of sugarcane bagasse pith and polyethylene terephthalate (PET) was
13 investigated over zeolite-based catalysts using a tandem micro-reactor at an optimised temperature
14 of 700 °C. A combination of zeolite (HZSM-5) and sodium carbonate/gamma-alumina served as
15 effective catalysts for 18% more oxygen removal than HZSM-5 alone. The combined catalysts led
16 to improved yields of aromatic (8.7%) and olefinic (6.9%) compounds. Carbon yields of 20.3%
17 total aromatics, 18.3 % BTXE (benzene, toluene, xylenes and ethylbenzene), 17% olefins, and 7%
18 phenols were achieved under optimal conditions of 700 °C, a pith (biomass) to PET ratio of 4 and
19 an HZSM-5 to sodium carbonate/gamma-alumina ratio of 5. The catalytic presence of sodium
20 prevented coke formation, which has been a major cause of deactivation of zeolite catalysts during
21 co-pyrolysis of biomass and plastics. This finding indicates that the catalyst combination as well
22 as biomass/plastic mixtures used in this work can lead to both high yields of valuable aromatic
23 chemicals and potentially, extended catalyst life time.

24 **Keywords:** Sugarcane bagasse pith, polyethylene terephthalate (PET), co-pyrolysis, HZSM-
25 5/Na₂CO₃/γ-Al₂O₃ catalysts, hydrocarbons

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48 **1. Introduction**

49 The impending transition to a low-carbon economy appears immutable and it has been generally
50 accepted that lignocellulosic biomass can play a pivotal role as a valuable renewable resource for
51 the production of biofuels, bio-based chemicals, and biomaterials. Unlike petroleum sources,
52 lignocellulosic biomass can be sustainably grown to be carbon-neutral, enabling mitigation of the
53 effects of greenhouse gases (GHG) emissions. Sugarcane bagasse is one of the most abundant
54 agricultural wastes, with approximately 570 million tonnes generated annually around the world
55 (FAOSTAT, 2018). In tropical and sub-tropical countries, sugarcane bagasse is used for pulp and
56 paper production. However, the presence of pith in the bagasse can cause operational problems
57 (Rainey and Covey, 2016). Sugar cane bagasse contains up to 30 wt% of pith, which is removed
58 during pulp and papermaking because of foaming, increased consumption of cooking chemicals
59 and as well as reduction in paper quality (Chambon et al., 2018). Therefore, the large amounts of
60 pith could be separated and deployed as an abundant and sustainable biomass feedstock for the
61 production of energy and chemicals.

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63 Today, global production of plastics stands at nearly 300 million tonnes per year, a large proportion
64 of this being the so-called single-use plastics, which end up mostly as waste. In 2016 alone, Europe
65 generated more than 25 million tonnes of plastic waste, of which 39% was landfilled (Ratnasari et
66 al., 2017). Among plastic wastes, PET is seen as a problem plastic for thermochemical recyclers
67 because of benzoic acid generation as a major degradation product, which impacts the quality of
68 pyrolysis oil (Diaz-Silvarrey, et al. 2018). The global consumption of PET is nearly 16 million
69 tonnes per year and it is estimated to reach more than 20 million tonnes by 2020 (TCPIE, 2017).
70 Although PET is largely recyclable into fibers, bottles, amorphous PET (APET) sheets and

71 strapping tape applications, the overall recycling rate of PET is still very low; for example it is less
72 than 10% in the USA (Ko, Sahajwala, and Rawal, 2014).

73
74 Therefore, plastic waste and agricultural wastes, such as pith of sugarcane bagasse, are abundant
75 sources for energy and chemical production, which otherwise pose serious disposal problems. The
76 fast pyrolysis is recognized as a more economical and environmentally friendly method to produce
77 biofuel and value-added products (Bridgwater, 2012). The condensed liquid product (bio-oil) can
78 be upgraded, mainly through the removal of oxygenated compounds in order to improve its fuel
79 and chemical properties (Bridgwater, 2012; Wang et al., 2015). Several physical, thermal,
80 chemical and catalytic technologies have been proposed for bio-oil upgrading but these are either
81 expensive or not widely available, and therefore have seen limited commercial interests
82 (Vanderbosch and Prins, 2010). In order to enhance the viability of bio-oil, researchers have
83 suggested co-pyrolysis of biomass and plastics as a potential route (Sharypov et al., 2002; Zhou et
84 al., 2006; Caglar and Aydinli, 2009; Brebu et al., 2010; Pinto et al., 2016). For example, biomass
85 can be co-processed via the catalytic co-pyrolysis with waste plastic using appropriate catalysts
86 (Dorado et al., 2015; Li et al., 2015; Jin et al., 2017; Chattopadhyay et al., 2018; Diaz-Silvarrey,
87 et al. 2018), to produce high-value aromatic (BTXE) chemicals, which are precursor compounds
88 in the pharmaceutical, textile, polymer, automobile, and food industries as well as important
89 additives for gasoline. The world demand for the aromatics including BTXE was valued at \$185.9
90 billion in 2017 (The Business Research Company, 2018).

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93 Catalytic fast pyrolysis (CFP) involves the direct conversion of pyrolysis vapours over a catalysts
94 bed without the need for vapour condensation and re-evaporation associated with conventional
95 bio-oil upgrading methods (Carlson et al., 2008; 2011; Cheng et al., 2011; 2012). CFP also avoids
96 the formation of difficult-to-process polymers during bio-oil condensation and storage (Wang, et
97 al., 2012; Elkabasi et al., 2014). Zeolite-based catalysts are effective for promoting the formation
98 of desired aromatic hydrocarbons during catalytic fast pyrolysis (CFP) of plastic-biomass blends
99 (Wang et al., 2013; 2014; Yao et al., 2015; Li et al., 2015). For instance, Zhang et al. (2015),
100 reported a relative content of 20% aromatics from the co-pyrolysis of biomass and plastics at a
101 temperature of 700 °C. However, short catalyst life-time (Wang et al., 2013; 2014, low carbon
102 efficiencies (Yao et al., 2015) and formation of large amounts of char and coke (Yao et al., 2015;
103 Li et al., 2015) are serious technical drawbacks that preclude a cost-effective scale-up of CFP.

104 Recent research papers (Dorado et al., 2015; Li et al., 2015; Jin et al., 2017; Chattopadhyay et al.,
105 2018; Lu et al., 2018) have revealed an enhancement of aromatic hydrocarbons yields when using
106 HZSM-5 catalysts during the pyrolysis of biomass with aliphatic polymers (PE and PP) and PET.
107 In general, literature has established the positive synergy between biomass and these plastics,
108 resulting in enhanced aromatic carbon yield and reduction of the solid residue (Dorado et al., 2015;
109 Lu et al., 2018). Due to the higher calorific values of plastics compared to biomass, catalytic fast
110 co-pyrolysis of the two feedstocks results in liquids with high energy density (Jin et al., 2017;
111 Chattopadhyay et al., 2018).

112 Although different studies have been conducted about co-pyrolysis of biomass and plastics over
113 zeolite catalysts, yet the short lifetime of the zeolite catalysts and the formation of coke during the
114 process remain a great challenge. In addition, the optimization of the catalytic biomass – plastic
115 co-pyrolysis process, e.g. with regard to the optimal biomass to catalyst ratio for high yields of

116 aromatic and olefin compounds is not well understood yet. Thus, the overarching aim of this work
117 is the elucidation of the optimal reaction conditions to achieve high yields of aromatic
118 hydrocarbons compounds and low coke formation during the ex-situ catalytic fast co-pyrolysis of
119 PET and sugarcane bagasse pith using a novel combination of $\text{Na}_2\text{CO}_3/\gamma\text{-Al}_2\text{O}_3$ and HZSM-5
120 catalysts.

121 **2. Material and methods**

122 *2.1 $\text{Na}_2\text{CO}_3/\gamma\text{-Al}_2\text{O}_3/\text{HZSM-5}$ catalysts*

123 $\text{Na}_2\text{CO}_3/\gamma\text{-Al}_2\text{O}_3$ catalyst and HZSM-5 catalyst (Si/Al ratio = 23) were purchased from Zeolyst
124 (Netherlands). Before use, the catalysts were treated by calcination at a temperature of 600 °C in
125 a muffle furnace for 5 h. The calcined catalysts were then mixed in different ratios and crushed
126 before sieving to 300 – 500 microns particle size. Five catalysts with different HZSM-5: $\text{Na}_2\text{CO}_3/\gamma\text{-}$
127 Al_2O_3 ratios of 1:1 to 1:5 were prepared and used for the catalytic pyrolysis of the mixture of the
128 biomass and PET samples.

129

130 *2.2 Characterisation of sugarcane bagasse pith*

131 The sugarcane bagasse pith used in this study was obtained from the southern part of Iran. It was
132 initially pre-dried under sunlight for two days to decrease its moisture content below 10 wt%.
133 Thereafter, it was further dried in an oven at 105 °C for 2 h. The material was subsequently
134 comminuted to a size of less than 0.3 mm for analytical procedures. Proximate analysis (moisture,
135 volatile matter, fixed carbon, and ash content) and ultimate analysis (carbon, hydrogen, nitrogen,
136 and sulfur), were carried out on the bagasse pith to determine its composition. Proximate analysis
137 was performed using a Perkin Elmer Pyris 1 thermogravimetric analyzer (Shelton, U.S.A). The
138 carrier gas was N_2 at a flow rate of 10 ml/min. Separate samples of bagasse pith and PET were

139 loaded into the thermo-balance and heated up from 32 to 105 °C at 25 °C/min after which they
140 were kept isothermal at 105°C for 5 minutes. Subsequently, each sample was heated up from 105
141 to 905 °C at 25 °C/min and held at 905 °C for 15 minutes, before cooling to 200 °C at 25 °C/min.
142 Air was introduced at 20 ml/min and the sample reheated to 575 °C at 100 °C/min and kept at 575
143 °C for 15 minutes to burn off any remaining char (Ghorbannezhad et al., 2018b).

144 An adjustment of the ASTM D5373 standard method was used to determine the elemental
145 composition (CHNSO), using an EXETER CE 490 elemental analyzer (ASTM, 2016). Using the
146 ASTM protocols, the ultimate analyses were also performed. Standard methods (TAPPI, 2018)
147 were used to characterise the pith sample for the contents of cellulose (TAPPI, T264 om-88),
148 hemicellulose (TAPPI, T223 om-88), lignin (TAPPI T222 om-88), and acetone or ethanol
149 extractables (TAPPI T 204 om-88).

150

151 *2.3 Hot water pre-treatment of sugarcane bagasse pith*

152 Due to the process of producing and obtaining sugarcane bagasse pith, it often contains inorganic
153 materials, which may affect the pyrolysis process and influence product yields (Teng et al., 1998;
154 Xue, Braden and Bai, 2017). To remove the inorganics, a hot water pre-treatment procedure was
155 performed, using a water/solid mass ratio of 20 (Ghorbannezhad et al., 2018a). The biomass-water
156 mixture was heated to a temperature of 90°C for 30 min, after which the biomass was washed and
157 dried in an oven at 105°C for 5 h to decrease the moisture content below 10 wt%. The washed
158 sample was subsequently comminuted using a 1 mm hammer mill and sieved to a particle size
159 between 0.25 mm and 1 mm and used for the thermogravimetric analysis and catalytic fast
160 pyrolysis experiments. The biochemical compositions of the pre-treated bagasse pith sample were:
161 47.8% cellulose, 21.3% hemicellulose, 29.87% lignin and 1.12% extractives.

162 2.4 Ex-situ catalytic fast pyrolysis experiments

163 Ex-situ catalytic fast co-pyrolysis experiments of biomass and PET were conducted in a tandem
164 micro-pyrolyzer system (Rx-300 TR, Frontier Laboratories, Japan). The schematic of the
165 experimental setup can be found elsewhere (Wang et al., 2015). Briefly, the system consisted of
166 two independently heated temperature-programmable micro-reactors interfaced to a GC split
167 injection port. One of the micro-reactors was used for pyrolysis while the other contained the
168 catalyst bed for post-pyrolysis reactions of the pyrolysates. For each test, microgram quantities of
169 the biomass/PET mixtures (at different mass ratios) were placed in an 80 μ L deactivated stainless
170 steel cup, which then dropped into the 1st reactor for the pyrolysis process at set-point temperatures
171 from 400 to 800 °C. The pyrolysis vapours, was carried by helium flow directly over a fixed bed
172 of catalysts, located in the 2nd downstream quartz-tube micro-reactor held at a fixed temperature
173 of 450 °C. The catalyst bed temperature was selected based on some optimisation experiment using
174 three temperatures in the 400-800°C range (Ghorbannezhad et al., 2018b).

175
176 The vapour products leaving the second reactor were fed, via a dedicated interface, into a gas
177 chromatography fitted to a mass spectrometer (GC-MS) for separation and analysis. For the
178 analytical procedure, the injection port was held at 300 °C and the GC oven temperature was
179 initially held at 45 °C for 3 min, then ramped up to 280 °C with a heating rate of 10 °C/min, where
180 it stayed for an additional 6 min, given a total analysis time of 32.5 min. Helium, at a constant flow
181 of 1ml/min, was used as the carrier gas. A split ratio of 1:50 was used each time and all
182 measurements were performed, at least in duplicate to verify the reproducibility of the data.
183 Identification of components was carried out using the NIST10 Library Software installed on the

184 GC-MS system, while quantification of the yields of identified was determined through calibration
185 with external standards.

186 Equation (1) was used to calculate the % carbon molar yield of each compound identified and
187 quantified as follows:

$$188 \quad \% \text{ Molar carbon yield} = \frac{\text{Moles of carbon in products}}{\text{Moles of carbon in feedstock}} \times 100 \quad (1)$$

189

190 *2.5 Optimization by response surface methodology*

191 A central composite design (CCD) methodology was applied to identify and optimise the effects
192 of process conditions on the yield of valuable products. The co-pyrolysis temperature, biomass-
193 to-PET ratio, and HZSM-5-to- γ -Al₂O₃/Na₂CO₃ catalysts ratio were the independent variables. The
194 total aromatics, BTXE, olefins, and phenols yields were set as the dependent variables. A least-
195 square multiple regression methodology was performed to analyze the data using the Design
196 Expert 7 software package (Ghorbannezhad et al., 2018b). The experimental design results were
197 fitted using Eq. 2:

$$198 \quad Y = \beta_{k0} + \sum_{i=1}^4 \beta_{ki} x_i + \sum_{i=1}^4 \beta_{kii} x_i^2 + \sum_{i \neq j=2}^4 \beta_{kij} x_i x_j \quad (2)$$

199 Y is the predicted response (product yields); β_{k0} , β_{ki} , β_{kii} and β_{kij} represent regression
200 coefficients; and x_i , x_j are the coded independent factors (temperature, biomass to plastic ratio,
201 and HZSM-5 to γ -Na₂CO₃ ratio).

202 The best model was selected based on the coefficient of determination (R^2), the adjusted coefficient
203 of determination (R^2 -adj), the predicted coefficient of determination (R^2 -pred), root mean square
204 error of the predictions (RMSEP, see Eq. 3), and the absolute average deviation (AAD). Preferably,

205 R^2 must be near to 1 and the RMSEP and AAD (see Eq. 4) between the estimated and observed
206 data must be as low as possible (Myers, Montgomery, Anderson-Cook, 2011).

$$207 \text{ RMSEP} = \sqrt{\frac{\sum_{i=1}^N (y_{pre} - y_{exp})^2}{N}} \quad (3)$$

$$208 \text{ ADD} = \left\{ \sum_{i=1}^N (|y_{exp} - y_{pre}| / y_{exp}) / N \right\} \times 100 \quad (4)$$

209 Y_{pre} , Y_{exp} , and N are the predicted data, observed data, and the number of treatments, respectively.

210 The significant model of lack of fit indicates the equation of fitting is suitable to characterize the
211 results. All equations were obtained after elimination. After selecting the most accurate model, the
212 analysis of variance (ANOVA) was used to determine the statistical significance of the regression
213 coefficients by conducting a Fisher's F-test at 95% confidence level. The interactive effects of the
214 factors were observed using surface plots, derived from the chosen model (Ghorbannezhad et al.,
215 2016). Finally, the process was optimized, with the aim of maximizing the aromatics, BTXE,
216 olefins, and phenols yields with the same weight ($w = 1$) and the credibility of the optimum
217 conditions was diagnosed through the desirability values of the responses which ranged from 0 to
218 1. The closer values of desirability to 1 showed the more desirable and credible optimal conditions.
219 In this study, after the optimum point was obtained with the CCD software, the samples were tested
220 at this optimum point by laboratory experiments to obtain the results presented here. Furthermore,
221 these results were validated by a control experiment under the predicted optimum conditions.

222

223 **3. Results and discussion**

224 *3.1 Elemental analysis*

225 The elemental compositions of bagasse pith and PET are presented in Table 1. One of the main
226 parameters for biomass characterisation is water content. The efficiency of the pyrolysis process

227 is significantly reduced when moisture content is above 10 wt% (Bridgwater, 2012) and results in
 228 Table 1 showed that the biomass was successfully dried to below 10 wt% moisture content prior
 229 to pyrolysis in this work. The pith of sugarcane bagasse contained the high volatile matter (72
 230 wt%) which indicated the capability of its devolatilisation. The characterisation of sugarcane
 231 bagasse pith showed that it also contained substantial amounts of extractives and inorganic matter,
 232 especially Ca, K, and Mg (Table 1). The inorganic compounds required attention due to their
 233 catalytic activities, which could adversely affect the bio-oil yield and increase char formation
 234 during the pyrolysis process (Xue, Braden and Bai, 2017). Thus, the pre-treatment of fresh pith
 235 was essential to eliminate inorganic materials, without significant effects on the main biomass
 236 components.

237 Table1. Ultimate and Proximate analysis of Pith of bagasse and PET

Component	Weight%		Standard method
	Bagasse pith	PET	
Proximate Analysis (wt%, db*)			
Moisture	10	0.5	ASTM D 3173
Volatile	72	97.8	ASTM 3174
Fixed carbon	16.1	1.59	ASTM 3175
Ash	1.9	0.1	ASTM 3172
Ultimate Analysis (wt%, daf**)			
C	39.15	57.9	ASTM D 4239
H	5.35	4.13	ASTM D 4239
N	0.36	0.16	ASTM D 4239
S	0.01	0.01	ASTM D 4239
O	55.14	37.7	By difference
Inorganic Compounds (ppm, db*)			
Ca	19110	-	ASTM D 5373
Mg	3513	13.5	ASTM D 5373
K	3139	-	ASTM D 5373
Al	3596	217	ASTM D 5373
Si	18350	-	ASTM D 5373

*db = dry basis; **daf = dry ash-free basis,

238

239 3.2 Thermogravimetric analysis (TGA)

240 Fig. 1 shows the TGA and DTG curves of the thermal and non-catalytic degradation of the
241 individual pith and PET samples at a heating rate of 20 °C/min. For pith biomass, the first stage
242 started at room temperature and continued up to 100 °C with 10% weight loss, which is moisture
243 evaporation, followed by maximum weight loss of 60% observed from 250 to 350 °C due to a
244 progressive degradation of hemicellulose, cellulose, and lignin.

245
246 In contrast to pith, the PET exhibited maximum mass loss at a higher temperature of 400-575 °C,
247 where it levelled-off but continued its degradation up to 700 °C. These results were similar to
248 previous studies on the co-pyrolysis of biomass and plastics (Li et al., 2013; Ratnasari et al., 2017).

249 It was anticipated that the mixture of biomass and PET would present different degradation
250 patterns. In addition, catalysts (e.g. zeolites) could change the thermal decomposition profile of
251 the mixed pith and PET, by influencing the interactions among volatile components released from
252 the materials during pyrolysis. The catalytic activity could be interrupted by the effect of biomass
253 decompositions products, by deactivating the acidic zeolite sites and delay the catalytic reactions
254 of the plastics (Zhang et al., 2012). Literature shows that co-pyrolysis of biomass and plastic
255 mixtures are carried out above the normal biomass pyrolysis temperature of 500 °C due to the
256 technical problem of the presence of heavy hydrocarbons in the resulting oil product. Hence, a
257 potential solution to this problem is to operate co-pyrolysis systems at temperatures of 700 °C and
258 above (Lin et al., 2015; Uzoejinwa et al., 2018). Therefore, based on results from TGA/DTG
259 studies and literature sources, it was decided to carry out the co-pyrolysis tests at 700 °C, with the
260 validation of the optimised parameters in *Section 3.6*.

261

262 3.3 Non-catalytic fast co-pyrolysis of PET and pith

263 The non-catalytic fast pyrolysis of pith and PET was first performed using the Py-GC-MS. Figs.
264 2a and 2b present the chromatograms from the non-catalytic tests, illustrating the distribution of
265 products derived from separate components of pith and PET. Without the HZSM-5, the pith of
266 sugarcane bagasse decomposed into a distribution of different oxygenates as a result of the
267 breakdown of cellulose, hemicellulose, and lignin, while a mixture of hydrocarbons (alkenes and
268 alkanes) is liberated from the thermal decomposition of the long hydrocarbon chain structure of
269 PET. Anhydrosugars like levoglucosan, furans, alcohols, and ketones were the main products for
270 the non-catalytic pyrolysis of pith, similar to those reported elsewhere (Ghorbannezhad et al.,
271 2018a, 2018b).

272

273 3.4. Catalytic fast co-pyrolysis of PET and pith

274 As can be seen from the chromatograms in Fig. 2c, catalytic pyrolysis of pith over HZSM-5
275 predominantly decreased the content of oxygenated compounds and increased the yields
276 hydrocarbons. The compounds identified were similar to those reported in literature (Karagoz et
277 al, 2016; Ghorbannezhad et al, 2018) and included furan, benzene, toluene, xylenes, ethylbenzene,
278 indenes, and naphthalene, which were totally different from the products of non-catalytic fast
279 pyrolysis. Catalysts can therefore enable the change in type, yield, and distribution of products
280 during fast pyrolysis process.

281

282 While, it is worth noting that catalytic fast pyrolysis of biomass is a method to produce
283 deoxygenated pyrolysis liquids, it is still a low-efficiency process because catalytic mechanisms
284 lead to loss of hydrogen (mainly as water via dehydration) from the biomass, leading to

285 condensation of carbon atoms to form coke and consequently causing catalyst deactivation, which
286 shortens catalyst life-time. The oxygenated compounds in bio-oil or pyrolysis vapours are known
287 to undergo severe thermal degradation on solid acid catalysts, resulting in the formation of
288 carbonaceous deposits on the catalyst, and consequently, lead to an intense deactivation of the
289 catalyst (Sebestyne, et al, 2017). Zhang et al. (2015) also reported that the higher catalyst
290 deactivation occurred at a lower H/C_{eff} ratio of biomass feedstock which resulted in reduction of
291 aromatics yields. The loss of hydrogen can be compensated by mixing plastic as a hydrogen-
292 enriched reactant. HZSM-5 being a shape-selective acidic catalyst, can convert the anhydrosugars
293 into smaller molecules, such as furans, HMF, aldehydes, and other acids through carbocation
294 formation on the external surface acid sites of the catalyst (Speight, 1991). In addition, aromatic
295 formation has been reported to occur with Brønsted sites of HZSM-5 through a “hydrocarbon
296 pool”, in which aromatic hydrocarbons formed inside the zeolite pores as a result of catalysed
297 conversion of oxygenated compounds (Dorado et al., 2015). Regarding the aromatization reaction
298 pathway, some studies generally have confirmed the following steps: a) cracking and
299 deoxygenation of biomass into small C2–C5 olefins; b) oligomerization of C2–C5 olefins to
300 C6–C10 olefins, followed by transformation to C6–C10 dienes by transfer reactions; c)
301 aromatization of the dienes (Mullen and Boateng, 2015; Hoff et al., 2016).

302
303 Hoff et al. (2016) revealed that aromatics formation was strongly dependent on the crystallinity
304 and accessibility of framework aluminum sites over zeolite-based catalysts. However, the same
305 mechanisms are responsible for rapid deactivation of zeolite catalyst from coke formation (Mullen
306 and Boateng, 2015). Therefore, to improve catalysts' lifetime and reduce the formation of coke,
307 the use of specific sodium-based catalytic additives can be an interesting option because this

308 specific material offers large pores (microporous), giving better access for large and bulky
309 molecules and thus enhancing the catalytic conversion to aromatics.

310

311 *3.5 Catalytic co-pyrolysis over sodium-based catalyst*

312 The chromatogram in Figure 2d shows that the co-pyrolysis of biomass and PET over the
313 combined HZSM-5/ γ -Na₂CO₃ catalysts enhanced the selectivity of aromatic hydrocarbons in the
314 liquid product. Such a synergistic effect between PET and bagasse during the co-pyrolysis could
315 both improve the aromatics and reduce the coke formation (Dorado et al., 2015; Li et al., 2013;
316 2014). Hence to test this hypothesis, a quick set of experiments was carried out to evaluate the
317 effect of increasing PET/biomass ratio on coke formation in the absence of catalyst. The results
318 are shown in *Supplementary Information Fig. SII*, indicating that coke formation reduced with
319 increasing PET in the plastic/biomass blend, indicating that the plastic could prevent coke
320 formation. The reduction of coke formation during catalytic co-pyrolysis of biomass and PET can
321 help to extend the HZSM-5 life-time and further enhance the yields of the desired products. This
322 will be the subject of future research.

323

324 Fig. 3 presents the total yields of the aromatic hydrocarbon products from the co-pyrolysis of pith
325 at 700 °C with PET using a biomass/PET ratio of 4 and an HZSM-5/ γ -Al₂O₃/Na₂CO₃ of 5. The
326 cumulative amount of carbon in the aromatic products was around 22%. The transfer of hydrogen
327 from PET-derived aliphatics toward the lignin-derived oxygenated compounds could mitigate
328 polymerization and cross-linking char forming reactions. In fact, using PET as a hydrogen-rich
329 plastic appeared to support the ‘hydrocarbon pool’ pathway, ensuring that the oxygenated primary
330 pyrolysis products of biomass do not cause coke formation. Results also showed that H₂O

331 formation decreased, leading to an increase in aromatic compounds. The major aromatic
332 compounds obtained were ethylbenzene, toluene, and xylenes.

333 In addition to the 'hydrocarbon pool' pathway, aromatics formation during pyrolysis can occur via
334 the Diels-Alder cycloaddition of olefin gases (ethylene and propylene) and furan derivatives over
335 zeolite catalysts (Cheng and Huber, 2011). Hence, it is possible that the reactions between olefin
336 gases from PET and furans from pith, could have occurred in this study, leading to enhanced yields
337 of aromatic hydrocarbons such as benzene, toluene, and xylenes (Cheng et al., 2012). A plausible
338 schematic of aromatic hydrocarbon production via the combination of the hydrocarbon pool
339 pathway and the Diel-Alder reactions from the co-pyrolysis of biomass and plastic is illustrated in
340 Fig. 4.

341

342 The catalysts seemed to have intensified the secondary reactions of organic components involving
343 C–C, C–O, and C–H bond cleavage during CFP. It would appear that the Na_2CO_3 improved the
344 deoxygenating rate and life-time of the zeolite catalysts by reducing surface coke formation, which
345 can be favourable towards the scale-up of the CFP process. Enhanced deoxygenation rate during
346 in-situ catalytic fast pyrolysis of *Jatropha* seed cake using Na_2CO_3 as catalyst has been reported
347 by Imran et al. (2016). In their study, the catalyst was mixed in a bench-scale entrained flow reactor
348 setup and resulted in an upgraded bio-oil with very low oxygen and water contents (7.1 and 6.8
349 wt.%, respectively), due to removal of undesirable compounds, i.e., acids and aldehydes.
350 Consequently, there oil product showed a dramatic increase in the aliphatic and aromatic
351 hydrocarbons content (Imran et al., 2016). It has been also observed that the sodium-based
352 catalysts like $\text{Na}_2\text{CO}_3/\gamma\text{-Al}_2\text{O}_3$ were the most active for deoxygenation of pyrolysis products
353 (Nguyen et al., 2013). In this present work, the decrease in oxygen content was accompanied by a

354 dramatic increase in CO₂ production during the Py-GC-MS experiment (Fig. 2d). The selectivity
355 of oxygenated compounds toward CO₂ is desirable in biomass deoxygenation to minimize
356 hydrogen loss. Nguyen et al. (2016) revealed that carboxylic acids were completely removed, and
357 hydrocarbon content significantly increased in the presence of the Na₂CO₃/γ-Al₂O₃ catalyst.
358 Nguyen et al. (2015) proposed the conversion of carboxylic acids over Na₂CO₃/γ-Al₂O₃ occurred
359 via the ketonisation of carboxylic acids, with subsequent decarboxylation/dehydration over
360 HZSM-5 into olefins. However, the catalyst was reported to have suffered from agglomeration and
361 loss of activity. However, chemical interaction or agglomeration of HZSM-5 and Na₂CO₃/γ-Al₂O₃
362 catalysts have not been determined in this present study.

363

364 *3.6 Optimization of catalytic co-pyrolysis process over sodium-based HZSM5 catalyst mixture*

365 As shown in Fig. 5, the independent effect of the HZSM-5 to Na₂CO₃/γ-Al₂O₃ ratio was
366 investigated to achieve preliminary optimisation of valuable co-pyrolysis products (aromatics,
367 BTXE, olefins, and phenols). The effect of the HZSM-5 addition was established within the range
368 of a 1 to 5 ratio relative to pith (biomass) in this study. The effect of changing the HZSM-5/
369 Na₂CO₃/γ-Al₂O₃ ratio was more pronounced in the yields of phenols compared to BTXE. An
370 HZSM-5 to the γ-Na₂CO₃ ratio of 4 gave the highest phenols yield as shown in Fig. 5.

371

372

373 In comparison with the effects of the HZSM-5 to Na₂CO₃/γ-Al₂O₃ ratio, the biomass to PET ratio
374 showed a greater effect on the yield of valuable co-pyrolysis products (Fig. 6). For instance, the
375 BTXE yield increased by increasing the biomass to PET ratio, while an increase in HZSM-5 to
376 Na₂CO₃/γ-Al₂O₃ did not show significant effects. The optimal biomass to PET was determined at
377 a ratio of 4 when the maximum aromatics, BTXE, olefins, and phenols were obtained at carbon

378 yields of around 22%, 19%, 17%, and 7 %, respectively (Fig. 6). The independent effect of biomass
379 to PET revealed that the phenols also exhibited the most significant changes in co-pyrolysis
380 valuable products, relative to changes in BTXE and aromatics. However, the total yields of phenols
381 were much lower compared to BTXE.

382
383 Fig. 7 shows the aromatics and BTX efficiency by evaluating several parameters such as biomass
384 to PET ratio, HZSM-5 to Na₂CO₃/γ-Al₂O₃, and temperature on the co-pyrolysis process. It can be
385 ascertained from Fig. 7 that the combination of HZSM-5 and Na₂CO₃/γ-Al₂O₃ catalyst was the
386 most effective parameter, resulting in 18% oxygen removal and 19% of BTX yields than either
387 HZSM-5 or Na₂CO₃/γ-Al₂O₃ alone. This could be attributed to the enhanced co-pyrolysis process
388 due to more deoxygenation and formation of aromatic as well as reduction of coke. Ghorbannezhad
389 et al. (2018b) indicated that the highest BTX compounds were obtained when using HZSM-5 with
390 a Si/Al ratio of 23. They reported that the increased cracking reaction of the primary pyrolysis
391 products taking place on the HZSM-5 zeolite catalyst could be attributed to the acidic nature of
392 HZSM-5.

393 Zhang et al. (2016) reviewed the co-pyrolysis performance which can be influenced by process
394 parameters like temperature, type of catalysts, and the ratio of co-reactants on the liquid products.
395 Nguyn et al. (2015) proposed that the coordination of Na⁺ ions and the hydroxyl and alkoxy
396 groups on the surface of Na₂CO₃/γ-Al₂O₃ was responsible for improving deoxygenation which
397 corresponded to higher number of sodium active sites. On the other hand, the pivotal role of sodium
398 concentrations rather than the surface area of catalysts resulted in the lignin-derived compounds
399 being promoted through catalytic scission of these groups over Na₂CO₃/γ-Al₂O₃ catalyst.

400

401 Based on the statistical analysis, the full quadratic model was selected as the best model and
402 biomass to PET ratio was shown to have the most significant effect on the aromatic compounds
403 yields and coke formation. The optimal yields of BTXE (18.3%) and total aromatic compounds
404 (20.3%) were obtained at a temperature of 700 °C, biomass to PET ratio of 4, and HZSM-5 to
405 Na₂CO₃/γ-Al₂O₃ ratio of 5. To validate the optimisation results, additional experiments with three
406 replications were carried out at the optimum situation. It was observed that the BTXE yield (18.3%
407 ± 1.04), aromatics (20.3% ± 0.79), olefins (17% ± 0.65) and phenols (7% ± 0.23) were close to the
408 predicted values (P <0.05), which indicated the high accuracy of the presented models.

409

410 **4. Conclusions**

411 This study has revealed that the co-pyrolysis of sugarcane bagasse pith with PET, using an *ex-situ*
412 Py-GC-MS, increased the production of aromatic compounds, especially BTXE and minimized
413 the formation of coke. Results indicated that the PET strongly influenced the thermal
414 decomposition of lignin in pith during the co-pyrolysis study. This implied that the transfer of
415 hydrogen from PET-derived aliphatics toward the lignin-derived oxygenated compounds could
416 mitigate polymerisation and cross-linking char forming reactions. In addition, dehydration
417 reactions that generate H₂O are suppressed. Moreover, the combination of sodium-based catalyst
418 (Na₂CO₃/γ-Al₂O₃) with HZSM-5 improved the deoxygenation reactions, while reducing coke
419 formation. Optimisation of *ex-situ* co-pyrolysis indicated that the maximum yields of aromatic
420 compounds were obtained at 700 °C, biomass to PET ratio of 4, and HZSM-5 to Na₂CO₃/γ-Al₂O₃
421 ratio of 5. At these conditions, an optimum BTX yield of 18.3% was obtained. Finally, this present
422 study showed that catalytic fast pyrolysis technology can be deployed to reduce the disposal of

423 waste plastics in landfills, reduce our dependence of fossil fuels, and introduced new pyrolysis-
424 based biorefinery pathways in chemical industries.

425

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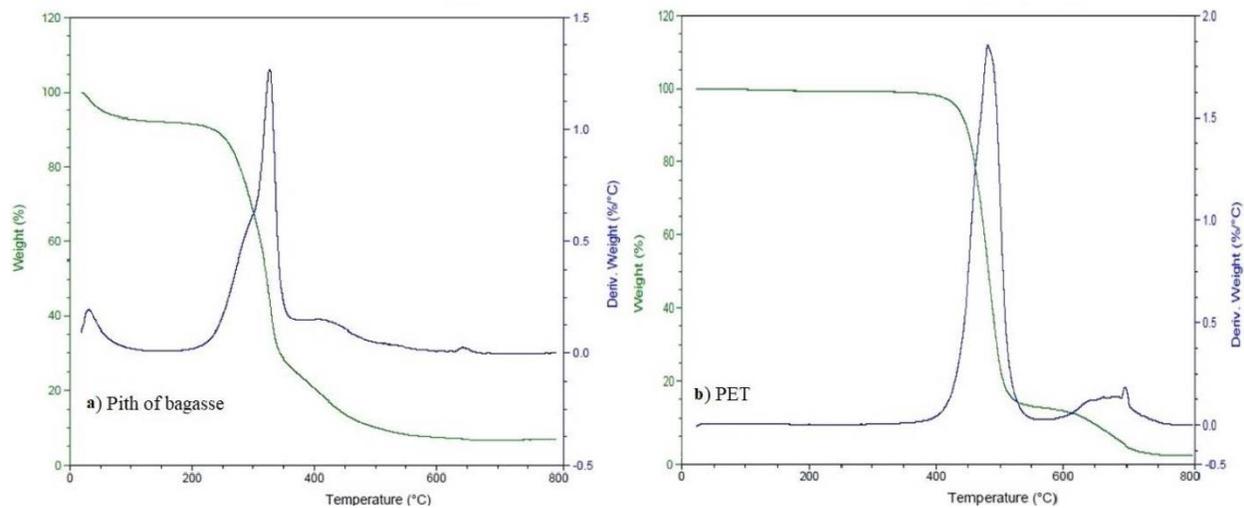
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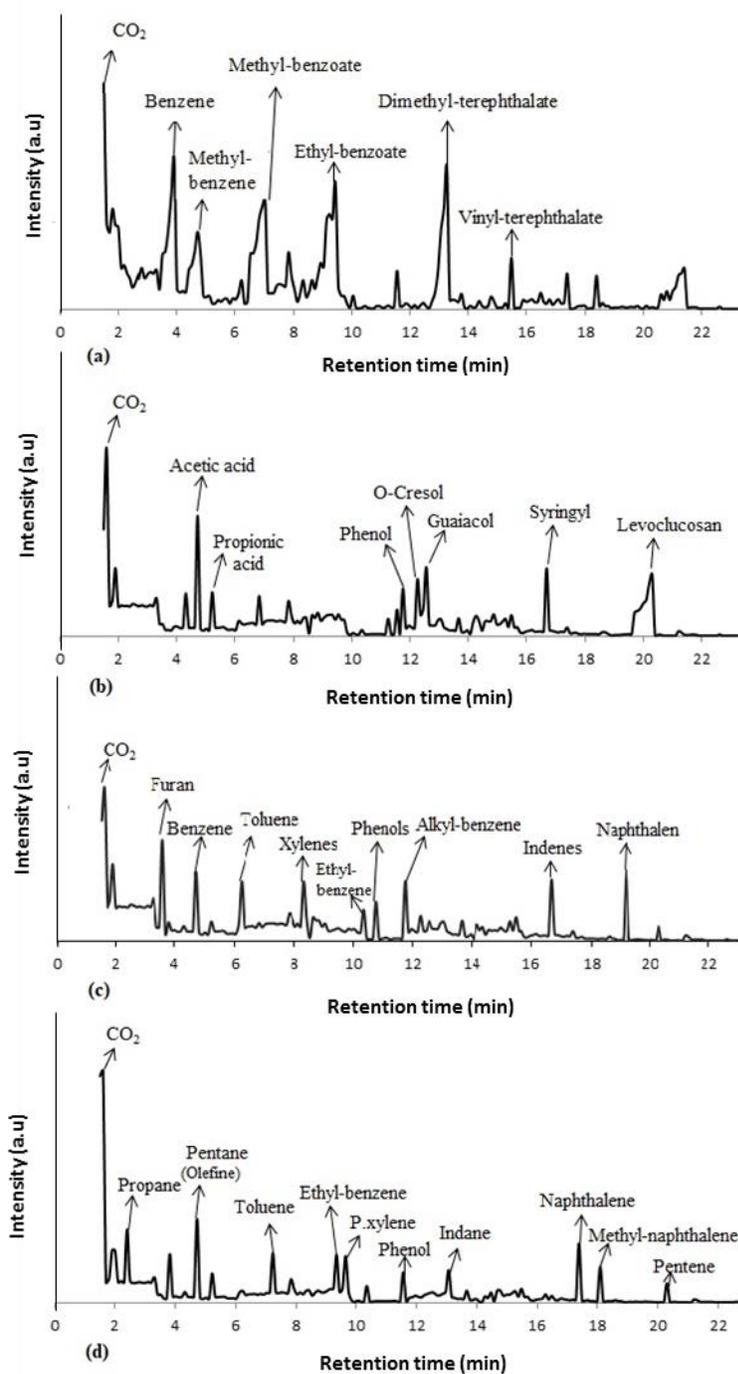


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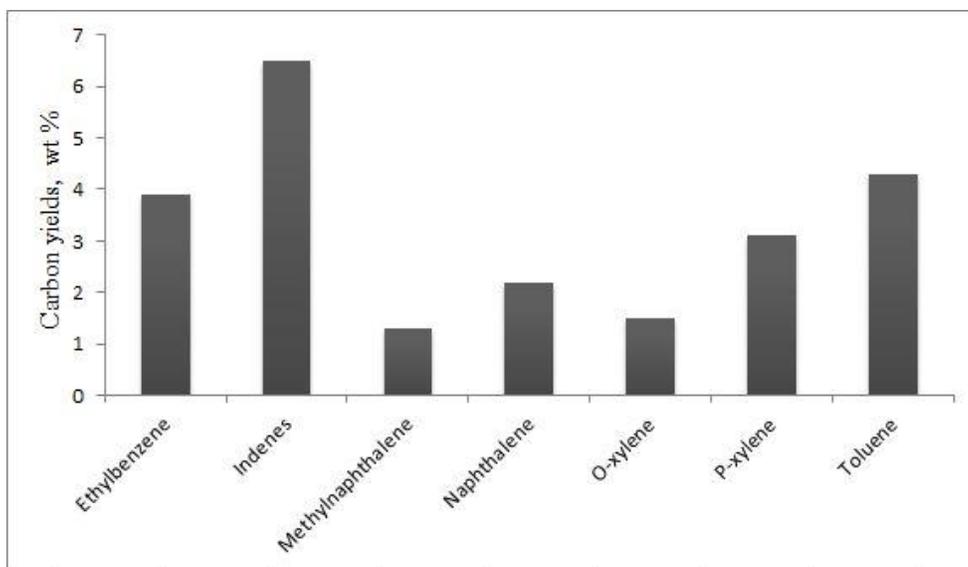
Fig. 1. TGA and DTG analysis, a) Pith of bagasse and b) PET

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642 **Fig. 2.** Py-GC-MS chromatography for, a) PET; b) pith of bagasse; c) Pith of bagasse with643 HZSM-5 catalyst; d) Co-pyrolysis of pith of bagasse with PET over HZSM-5/γ-Na₂CO₃ catalyst



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645 **Fig. 3.** Carbon yields of the aromatic hydrocarbons from co-pyrolysis in the presence HZSM-

646 5/ γ -Al₂O₃/Na₂CO₃ at 700 °C

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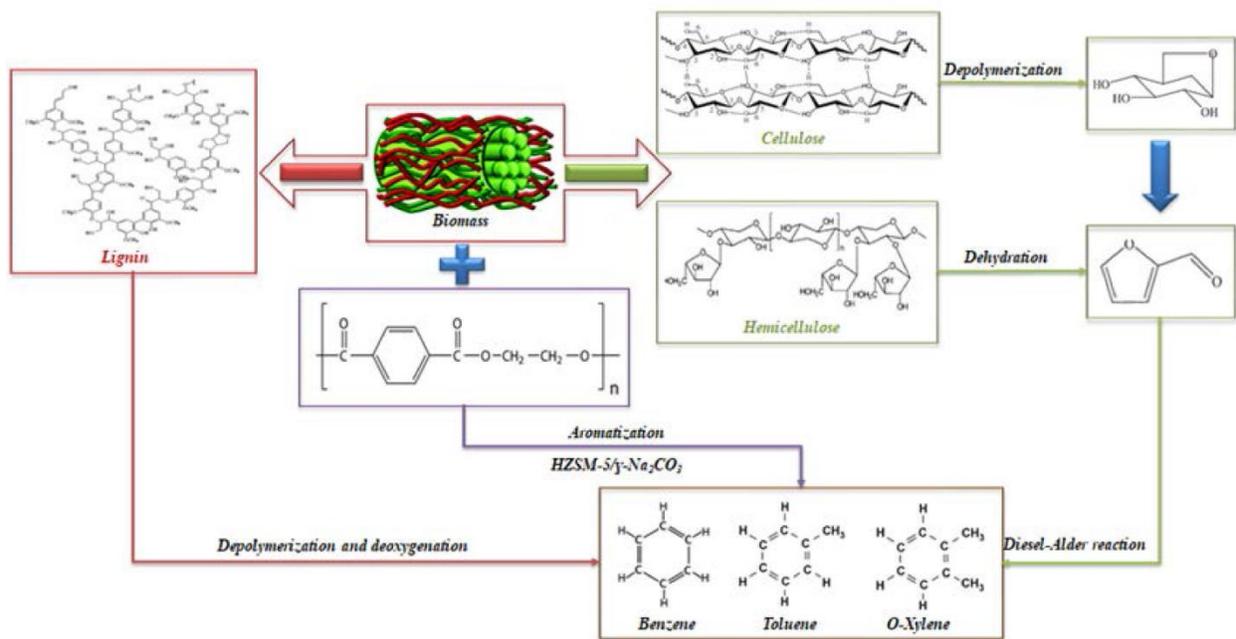
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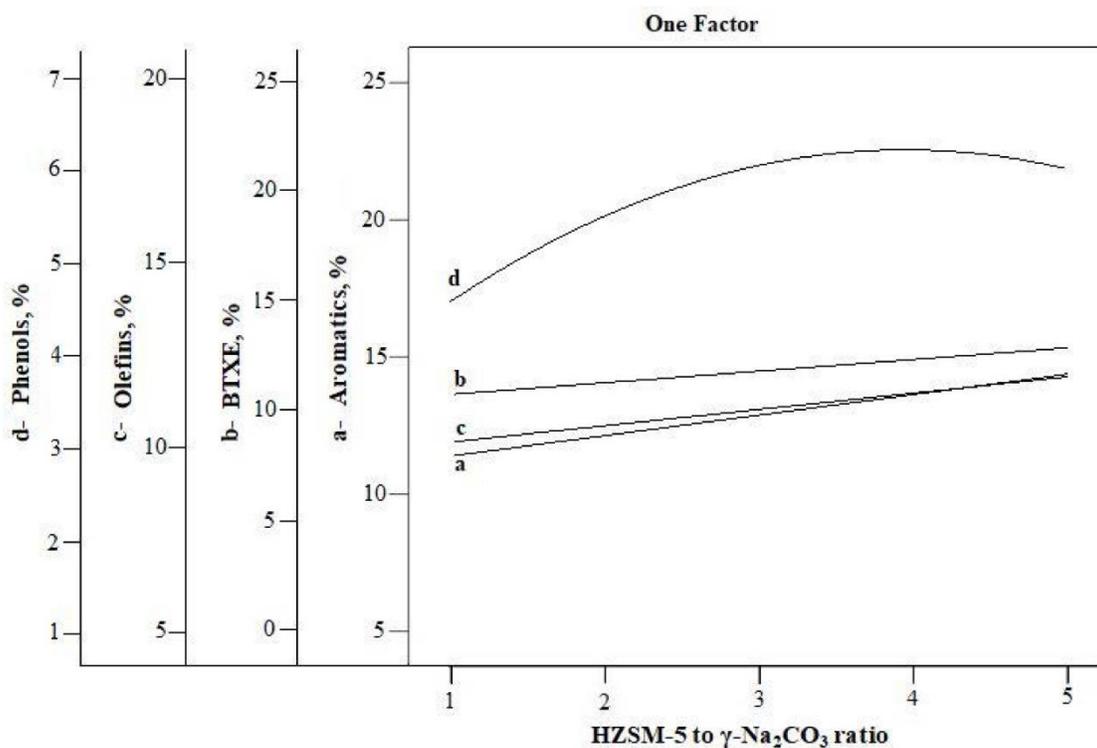
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Fig. 4. The co-pyrolysis reaction pathways of biomass and PET



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678 **Fig. 5.** The independent effect of HZSM-5 to γ -Na₂CO₃ on, a) Aromatics; b) BTXE; c) Olefins;
 679 d) Phenols

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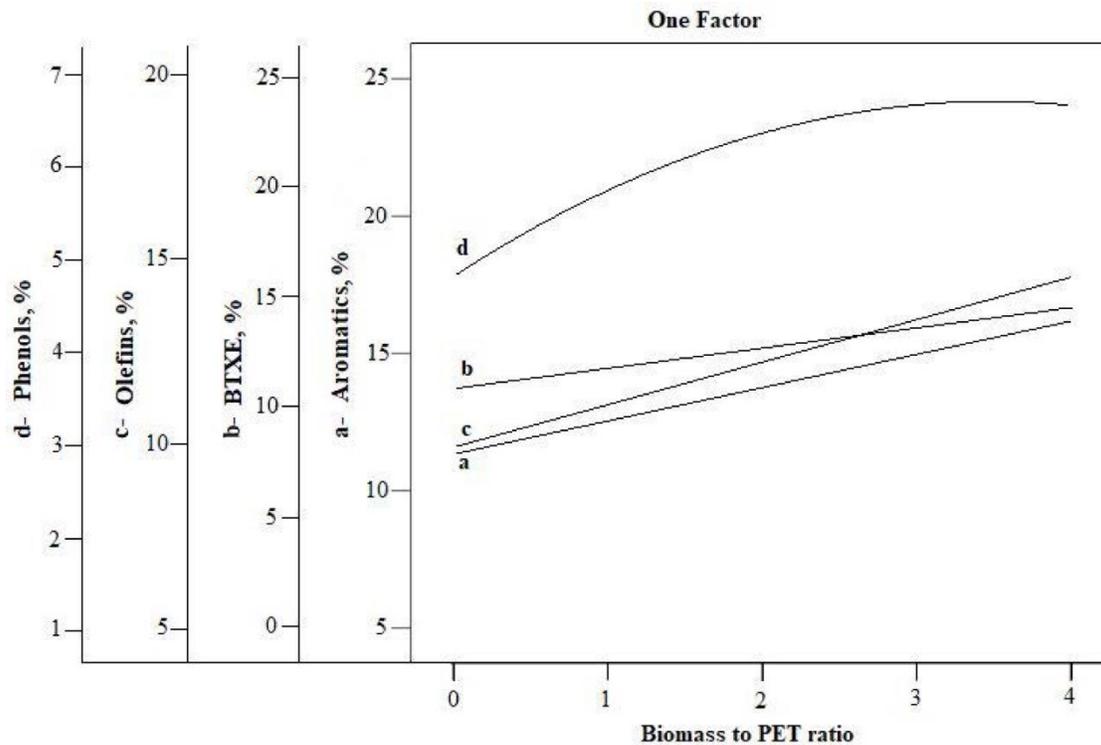
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694 **Fig. 6.** The independent effect of Biomass to PET ratio on, a) Aromatics; b) BTXE; c) Olefins;
 695 d) Phenols.

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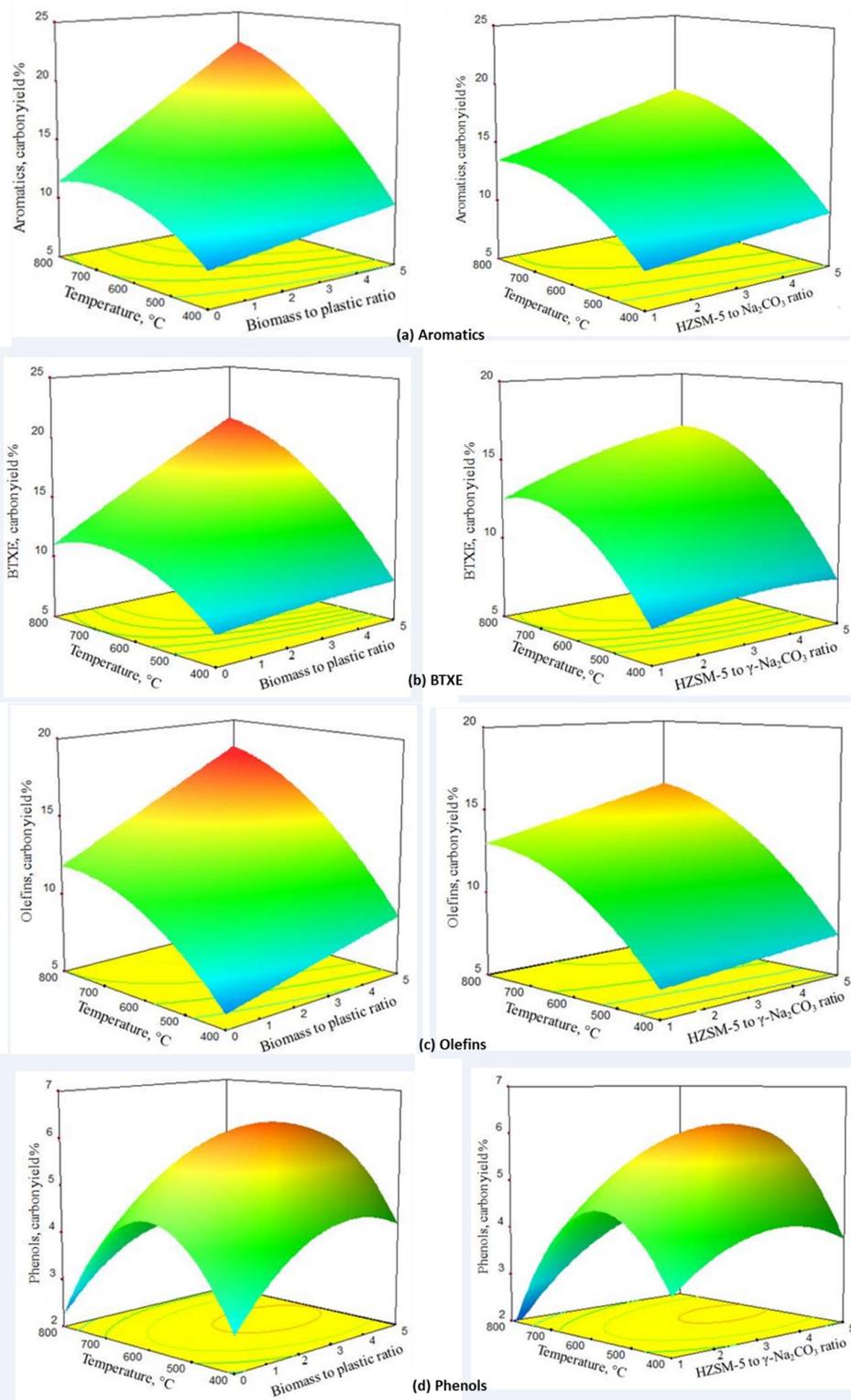
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710 **Fig. 7.** The interaction between temperature, biomass to plastic and HZSM-5 to γ - Na_2CO_3 on
 711 the co-pyrolysis distributions, a) Aromatics; b) BTXE; c) Olefins; d) Phenols