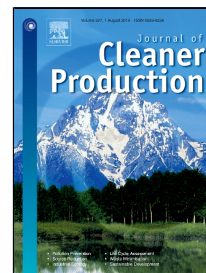


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# Modeling of hydrate-based CO<sub>2</sub> capture with nucleation stage and induction time prediction capability

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## Abstract

Gas hydrate technology is a promising approach for carbon capture. However, due to the multi-physics and multi-scale complexity of the process, this technology is not sufficiently understood for real-life scale applications. In particular, further fundamental studies of the hydrate formation mechanisms and rate are needed to achieve relevant insights into the process design and intensification. High-fidelity numerical models are crucial to capture and explain the dominant physicochemical mechanisms involved in the process. This paper presents a new variation of the shrinking core model (SCM) that can capture the practically observed features of the carbon dioxide (CO<sub>2</sub>) hydration process, including the nucleation phase behavior and induction time, which have not been exploited previously. Accordingly, the most significant contribution of the current work to the literature is the proposal and demonstration of an efficient and rapid predictive tool for the CO<sub>2</sub> hydrate nucleation process. Moreover, a model-based estimation of the induction time, as a critical parameter in CO<sub>2</sub> hydrate rate estimation and control, is presented. Additionally, the temperature history profile over the nucleation and growth phases is simulated and compared against experimental data from the literature. The proposed model offers an in-depth and rationale analysis tool compared to the primary forms of the SCM and other models in which the nucleation stage has been compromised for the sake of mathematical modeling and numerical solution simplicity. The proposed concept is generic enough to be used for CH<sub>4</sub> hydration process too.

**Keywords:** CO<sub>2</sub> capture, gas hydrate, nucleation, shrinking core model, induction time

## 1. Introduction

Clathrate gas hydrates are small, solid crystalline, ice-like structures formed at high-pressure and low-temperature conditions via van der Waals interactions of water molecules in the

1 hydrate lattice and gas molecules (Englezos, 1993). Many gases such as methane (CH<sub>4</sub>), carbon  
2 dioxide (CO<sub>2</sub>), ethane (C<sub>2</sub>H<sub>6</sub>), nitrogen (N<sub>2</sub>) and hydrogen sulfide (H<sub>2</sub>S) are capable of forming  
3 gas hydrates. Applications of hydrate technology in the separation and storage of gases such as  
4 CH<sub>4</sub> and CO<sub>2</sub> and desalination have been reported in the literature (Dashti and Lou, 2018;  
5 Dashti et al., 2015; Sloan and Koh, 2008). Under appropriate thermodynamic conditions, the  
6 amount of gas inside the hydrated crystals can reach up to 170 times more than that feasible  
7 under standard thermodynamic conditions (Selim and Sloan, 1989). At a pressure higher than  
8 4.5 MPa and a temperature lower than 283 K, CO<sub>2</sub> forms a hydrate structure, CO<sub>2</sub>·*n*H<sub>2</sub>O, where  
9 *n*=5.75 assuming full occupancy of the water cages (Sloan and Koh, 2008; Sun and Kang,  
10 2016). Under these conditions, the solubility of CO<sub>2</sub> in water is approximately 0.031 mole  
11 CO<sub>2</sub>/mole H<sub>2</sub>O (Diamond and Akinfiev, 2003). Feasible CO<sub>2</sub> capture in water may increase by  
12 fivefold through hydration. Unique features such as the moderate operational temperature  
13 range, low energy consumption and capability for continuous operation have made gas hydrate  
14 technology one of the promising approaches for CO<sub>2</sub> capture and separation (Dashti et al.,  
15 2015). Extensive research has focused on the thermodynamics of the gas hydrate formation  
16 process (Eslamimanesh et al., 2012). Experimental investigations of CO<sub>2</sub> hydrate formation  
17 mechanisms have also been widely reported (Clarke and Bishnoi, 2005; Dashti and Lou, 2018;  
18 Teng et al., 1995; Uchida et al., 1999; Yang et al., 2011; Yin et al., 2018). However, an  
19 insightful understanding of the complex fundamentals of the process, such as nucleation, is still  
20 needed for the gas hydrate-based CO<sub>2</sub> capture (HBCC) process to become technically and  
21 economically viable at large scales.

22 The process of gas hydrate formation includes two main steps: hydrate nucleation and growth.  
23 Based on concepts of crystallization, the availability of a supersaturated condition does not  
24 necessarily guarantee the initiation of the crystallization process; solid nucleate particles must  
25 exist in the solution (Mullin, 2001). Sloan and Koh defined the “nucleation” stage as the  
26 process in which small cluster particles of the gas and water molecules initiate, grow and  
27 dissolve in order to reach a critical cluster size (Sloan and Koh, 2008). Khurana et al. reported  
28 that the classical nucleation approach is insufficient to reveal the exact pathway of the  
29 nucleation process as well as the hydrate structures (Khurana et al., 2017).

30 Measurement of nucleation phase behavior through experimental investigations is very  
31 difficult and complicated due to the stochastic and sudden appearance of this phase (Ripmeester  
32 and Alavi, 2016). The nucleation stage is followed by the so-called “growth” stage in which a  
33 considerable increase in gas uptake occurs, and gas hydrate formation can be controlled by

1 pseudo-reaction intrinsic kinetics, heat or mass transfer phenomena or a combination thereof  
2 (Sloan and Koh, 2008). The roles played by these phenomena might be greatly affected by the  
3 nucleation process and variations in nucleate phase properties. Experimentally, it is challenging  
4 to capture the time-dependent variations of the nucleation process, which emphasizes the  
5 importance of numerical simulation as an alternative to further understanding this intermediate  
6 stage.

7 Current models for the gas hydration and nucleation processes are mostly based on  
8 thermodynamic concepts. Chemical potential (Skovborg et al., 1993), fugacity (Natarajan et  
9 al., 1994), and temperature (Vysniauskas and Bishnoi, 1983) variations are key variables used  
10 in hydrate modeling in the literature as driving forces to explain hydrate behavior. The  
11 modeling scale and methodology vary depending on the modeling target. The molecular  
12 simulation approach, for instance, is widely used in the literature to investigate gas hydrates at  
13 the nanoscale (English and MacElroy, 2015). Molecular simulations describe the nucleation  
14 process as a two-step process in which disordered crystal-like structures form and then are  
15 converted to more detectable crystalline forms of particles (Jacobson et al., 2010a). A critical  
16 challenge associated with molecular-level simulations is the time-consuming computations  
17 because of the slow processes, which include gas diffusion into the liquid phase and heat  
18 transfer during the formation of nucleate particles (English and MacElroy, 2015). To avoid  
19 large simulation timescales in the nucleation process, most studies have attempted to use a high  
20 driving force, which is not representative of the real experimental and industrial settings of the  
21 nucleation process (Walsh et al., 2011). Similar challenges and pitfalls are present when  
22 modeling of the gas hydrate growth phase is attempted (Ribeiro Jr and Lage, 2008).

23 Solid-fluid models are another approach to model the process of gas hydrate formation. The  
24 unreacted shrinking core model (SCM), in particular, has been commonly used for modelling  
25 of gas-solid reactions in which the diffusion rate is slow compared to the reaction rate on the  
26 unreacted core (Amiri et al., 2015). It has been shown that diffusion in the hydrate layer, in  
27 contrast to the mass transfer in the fluid surrounding the particle, is the most dominant  
28 controlling mechanism for the overall progress of CO<sub>2</sub> hydration (Dashti et al., 2019). Even  
29 though agitation in the reactor positively influences the mass transfer rate in the particle  
30 surroundings, it would not have such an impact on the rate of diffusion inside the reacting  
31 particle. Accordingly, the controlling role of the diffusion mechanism remains significant in  
32 explaining the applicability of SCM in both batch and stirred tank reactors modelling.

1 In SCM, the initial reaction occurs at the outer radius of the solid particle, and then the zone of  
2 the reaction moves into the core. As conversion proceeds, the size of the unreacted core  
3 decreases. Shi et al. proposed a variation of SCM to simulate gas hydrate growth in the presence  
4 of condensate oil in the flow loop (Shi et al., 2011) that incorporated the kinetic model  
5 developed by Englezos et al. (Englezos et al., 1987). The authors used experimental data to  
6 optimize the kinetic controlling parameters (gas diffusivity, porous property, and mass transfer  
7 efficiency). This model was further developed to study the growth kinetics of the methane  
8 hydrates in the presence of dry water and porous hydrogel particles (Shi et al., 2014; Shi et al.,  
9 2017). Falenty et al. supported the applicability of SCM for CO<sub>2</sub> hydrate formation from ice  
10 powders using cryo-scanning electron microscopy images (Falenty et al., 2013). While all of  
11 these studies have demonstrated the effectiveness of SCM for the prediction of CO<sub>2</sub> hydrate  
12 growth, none have investigated aspects of hydrate nucleation.

13 The Englezos model integrates the crystallization and mass transfer theories to describe hydrate  
14 crystal growth (Englezos et al., 1987). According to this model, hydrate particle growth  
15 involves two sequential steps: (1) diffusion of gas molecules from the bulk solution of gas to  
16 the hydrate-water interface and (2) hydration reactions at the interface. The second step is an  
17 adsorption process involving the incorporation of gas molecules into water molecules and  
18 subsequent stabilization of the structured water. The driving force in this model is the  
19 difference between the fugacity of the dissolved gas and the three-phase equilibrium fugacity.  
20 The hydrate nucleation stage significantly affects the hydration history profiles, gas  
21 concentration, and temperature profiles, based on numerous experimental studies (Khurana et  
22 al., 2017; Mullin, 2001; Natarajan et al., 1994; Vatamanu and Kusalik, 2010). It is evident that  
23 an understanding of nucleation phase behavior and induction time variations requires  
24 nucleation experiments with a complex design. Numerical studies can provide invaluable  
25 insights on this stage of the process. Previous modeling studies have mostly focused on either  
26 the CO<sub>2</sub> hydrate growth phase (Yin et al., 2018) or the microscale phenomena of CO<sub>2</sub>  
27 nucleation formation conditions at microsecond timescales via molecular simulation (He et al.,  
28 2017; Khurana et al., 2017). From the reactor design and optimization perspective, however, a  
29 mesoscale model must be integrated with a macroscale (reactor) model that considers mass and  
30 heat transport barriers.

31 In this paper, a new variation of the SCM with modifications to the reported work by Amiri et  
32 al. (Amiri et al., 2013b) is developed to describe gas hydrate formation. The model captures  
33 both the mass transfer and heat transfer involved in the hydrate formation process and provides

1 a simulated temperature profile and gas consumption profile that are similar to the reported  
 2 experimental results. The new model consists of two stages to simulate both the nucleation and  
 3 growth of the gas hydrates. The most significant contribution of the current work is to propose  
 4 and demonstrate an efficient and fast predictive tool for the nucleation process as part of a  
 5 whole CO<sub>2</sub> hydration process. Moreover, a model-based estimation of the induction time, as a  
 6 critical parameter in CO<sub>2</sub> hydrate rate estimation and control, is presented. Table 1 provides a  
 7 comparison of recent CO<sub>2</sub> hydrate modeling studies in the open literature with the present work.

8 Table 1. Comparison of recent CO<sub>2</sub> hydrate modeling studies and the current study.

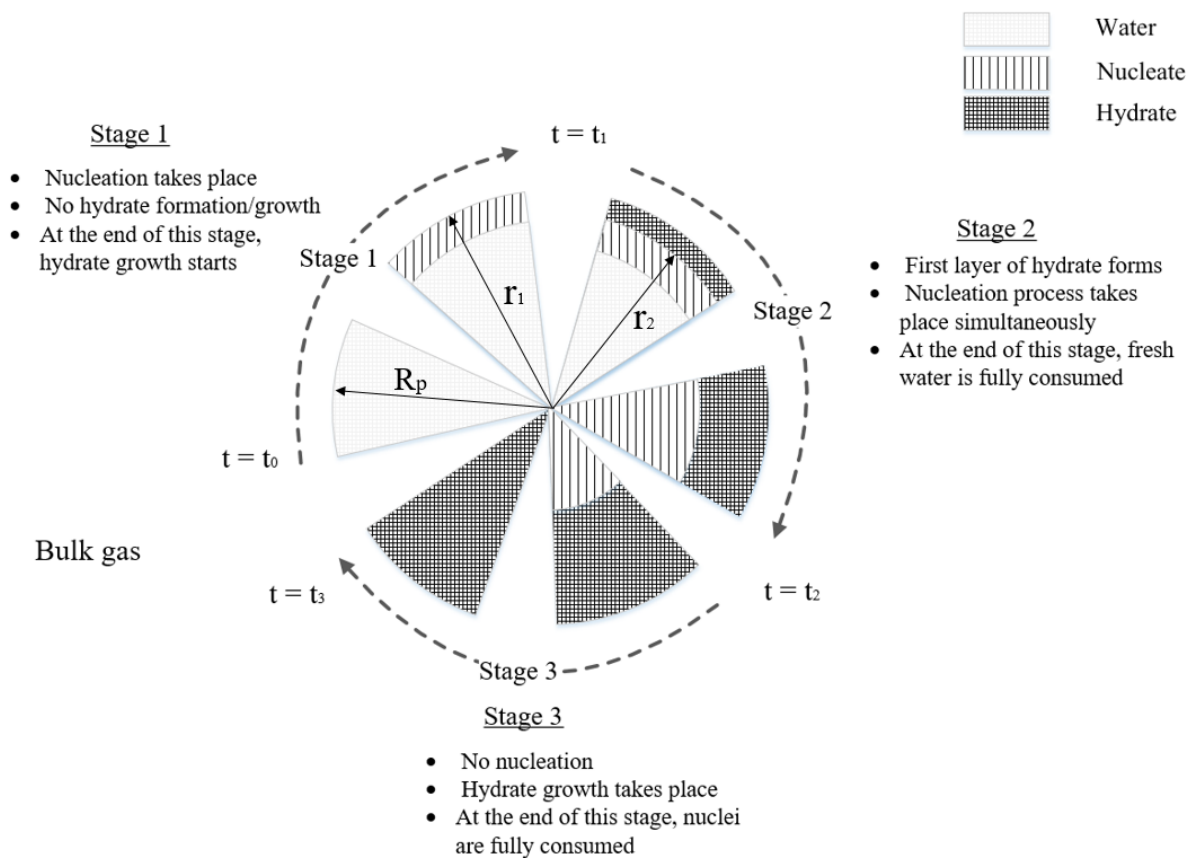
Features	Contributions				
	(Falenty et al., 2013; Henning et al., 2000; Staykova et al., 2003)	(Mochizuki and Mori, 2006; Mori, 2001; Uchida et al., 1999; Uddin et al., 2008)	(Kvamme et al., 2004; Radhakrishnan and Trout, 2002)	(Bai et al., 2011, 2012)	This work
Application of SCM in CO <sub>2</sub> hydrates	*	–	–	–	*
Model-based induction time prediction	–	–	–	*	*
Modeling of the nucleation stage	–	–	*	*	*
Non-isothermal modeling	–	*	–	–	*

Notations: Considered (\*), Not considered (–)

## 9 2. Multi-stage SCM development

10 A multi-stage SCM (MSSCM) is proposed and used in this study to capture the features  
 11 relevant to the nucleation and growth stages, especially the effects of transient control factors.  
 12 The model is established based on work by Amiri et al. on the simulation of the calcination  
 13 process in which the gas product inhibits the reaction and a solid intermediate product also  
 14 forms (Amiri et al., 2013a; Amiri et al., 2015). In an effectively agitated reactor with a constant  
 15 supply of CO<sub>2</sub> gas molecules, as reported by Linga et al., (Linga et al., 2007) hydrate formation  
 16 is considered to occur in individual water droplets, and therefore the MSSCM encompassing  
 17 both the nucleation and growth steps can be schematically illustrated as shown in Fig. 1.  
 18 Nucleation occurs at Stage 1, and there is no hydrate formation/growth during this stage.  
 19 Further progress of the process in Stage 2 results in the initial growth of gas hydrates, with the  
 20 formation of the first layer of hydrates. At this stage, nucleation continues while growth of  
 21 hydrates also occurs. At the end of Stage 2, the fresh water is fully consumed, leaving either  
 22 nucleate or hydrate behind. At Stage 3, rapid hydrate growth occurs and converts all nucleates  
 23 to the CO<sub>2</sub> hydrate. There is no new nucleate formation at Stage 3. The following assumptions  
 24 have been applied in the current model:

- 1 1. The water droplet is initially pure and spherical with a constant radius throughout the
- 2 process.
- 3 2. When the process starts, the reactor pressure and temperature are 3.25 MP and 270 K,
- 4 respectively. At this time, CO<sub>2</sub> dissolution begins.
- 5 3. CO<sub>2</sub> dissolution was assumed to be included in the nucleation processes. Therefore, the
- 6 induction time refers to the time needed to allow CO<sub>2</sub> accumulation – via dissolution
- 7 and nucleation – to reach a critical level sufficient for hydrate formation. The
- 8 contribution of the dissolution term in overall model performance was examined as
- 9 presented in the model analysis part.



10

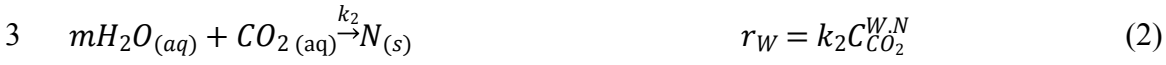
11 Fig. 1. Schematic of the multi-stage-multi-reaction model for the CO<sub>2</sub> hydration process with  
 12 spherical geometry ( $r_1 = r^{W.N}$ ,  $r_2 = r^{N.H}$ )

13 For the formation of CO<sub>2</sub> hydrates, the overall reaction is expressed by Eq. (1).



15 in which  $C_{CO_2}$  is the CO<sub>2</sub> local concentration and  $k_1$  is the reaction rate constant.

1 In this model, an intermediate nucleate ( $N$  or  $CO_2 \cdot mH_2O$ ) stage is considered over the pathway  
 2 to  $CO_2$  hydrate ( $H$  or  $CO_2 \cdot nH_2O$ ) product, expressed as the following:



5 where  $k_2$  and  $k_3$  are the reaction rate constants in Eq. (2) and Eq. (3) and  $m = (1 + \alpha)n$ .  $C_{CO_2}^{W,N}$   
 6 and  $C_{CO_2}^{N,H}$  are the  $CO_2$  concentrations at the water/nucleate ( $W.N$ ) and nucleate/hydrate ( $N.H$ )  
 7 interfaces, respectively. The multi-stage hydration model governing equations and their  
 8 derivation details are presented in Table 2 and the Appendix, respectively. The initial and  
 9 boundary conditions are the variables' values at the stages' interface (variables subscripted  
 10 with 12 and 23 for stage 1 to 2 and 2 to 3 exchanges, respectively). As the two subsequent  
 11 stages provide the same concentration and temperature at the interface, the stage exchange time  
 12 is estimated by equating the stages in the models.

13 Table 2. Model equations for the multi-stage gas hydrate nucleation and growth stages

Stage	Equations	Initial conditions
1	$1 - \frac{C_{CO_2}^{W,N}}{C_{CO_2}^b} \left( 1 + \frac{\vartheta_1 k_2 R_p (r_1)^2}{D_{e,1}} \left( \frac{1}{\left(\frac{r_1}{R_p}\right)} - 1 \right) \right) = 0$	
	$\frac{dr_1}{dt} = - \frac{MW_{H_2O}}{\rho_{H_2O}} k_2 \frac{C_{CO_2}^{W,N}}{2}$	$r_1 = R_p$ at $t = t_0$
	$\frac{dT}{dt} = \frac{h(T_b - T) - \left(\frac{r_1}{R_p}\right)^2 k_2 \Delta H_2 C_{CO_2}^{W,N}}{\frac{R_p \rho_{H_2O}}{3MW_{H_2O}} C_{p,1}}$	$T = T_b$ at $t = t_0$
2	$\frac{C_{CO_2}^{N,H}}{C_{CO_2}^b} - \frac{C_{CO_2}^{W,N}}{C_{CO_2}^b} \left( 1 + \frac{\vartheta_1 k_2 R_p (r_1)^2}{D_{e,2}} \left( \frac{1}{\left(\frac{r_1}{R_p}\right)} - \frac{1}{\left(\frac{r_2}{R_p}\right)} \right) \right) = 0$	
	$1 - \frac{C_{CO_2}^{N,H}}{C_{CO_2}^b} - \left( \frac{\vartheta_1 k_2 R_p C_{CO_2}^{W,N}}{D_{e,2} C_{CO_2}^b} \left(\frac{r_1}{R_p}\right)^2 + \frac{\vartheta_2 k_3 R_p C_{CO_2}^{N,H}}{D_{e,2} C_{CO_2}^b} \left(\frac{r_2}{R_p}\right)^2 \right) \left( \frac{1}{\left(\frac{r_2}{R_p}\right)} - 1 \right) = 0$	
	$\frac{dr_1}{dt} = - \frac{MW_{H_2O}}{\rho_{H_2O}} k_2 \frac{C_{CO_2}^{W,N}}{2}$	$r_1 = r_{12}$ at $t = t_1$



$$\frac{dr_2}{dt} = -\frac{MW_N}{\rho_N} k_3 C_{CO_2}^{N,H} \quad r_2 = R_p \text{ at } t = t_1$$

$$\frac{dT}{dt} = \frac{h(T_b - T) - \left(\frac{r_1}{R_p}\right)^2 k_2 \Delta H_2 C_{CO_2}^{W,N} - \left(\frac{r_2}{R_p}\right)^2 k_3 \Delta H_3 C_{CO_2}^{N,H}}{\frac{R \rho_{avg,2}}{3MW_{avg,2}} C_{p,2}} \quad T = T_{12} \text{ at } t = t_1$$

$$3 \quad 1 - \frac{C_{CO_2}^{N,H}}{C_{CO_2}^b} \left( 1 + \frac{\vartheta_2 k_3 R_p (r_2)^2}{D_{e,3}} \left( \frac{1}{\left(\frac{r_2}{R_p}\right)} - 1 \right) \right) = 0$$

$$\frac{dr_2}{dt} = -\frac{MW_N}{\rho_N} k_3 C_{CO_2}^{N,H} \quad r_2 = r_{23} \text{ at } t = t_2$$

$$\frac{dT}{dt} = \frac{h(T_b - T) - \left(\frac{r_2}{R_p}\right)^2 k_3 \Delta H_3 C_{CO_2}^{N,H}}{\frac{R_p \rho_{avg,3}}{3MW_{avg,3}} C_{p,3}} \quad T = T_{23} \text{ at } t = t_2$$

### 3. Model solution and validation

The Matlab *ode23* solver tool was used to numerically solve the set of ordinary differential equations in Table 2. Due to the complex nature of the nucleation phase, the uncertainty in its physical parameters is a real challenge. For the numerical solution (Table 3), the nucleate parameters were assumed based on the fact that the nucleation stage is a precursor stage to the hydration stage and is comprised of cages not fully occupied by the CO<sub>2</sub> molecules or semi-formed cages. Therefore, average values of water and hydrates were initially assumed for the nucleate physical properties. For instance, the molecular weight ( $MW_N$ ) and the density ( $\rho_N$ ) of nucleates were assumed to be the average value between the molecular weight and density of water and the CO<sub>2</sub> hydrates. The nucleation number ( $m$ ) was assumed as 10, and different values of the nucleation number were used in the model parametric analysis. The CO<sub>2</sub> concentration in the bulk gas ( $C_{CO_2}^b$ ) was estimated using the Peng-Robinson equation of state (Robinson and Peng, 1978) at a temperature of 270 K (Liang et al., 2016) and pressure of 3.25 MPa.

The effective diffusivities ( $D_e$ ) was estimated as the phase portion-based average value of the diffusivity of the hydrate and nucleate phases. For example, the effective diffusivity in the first stage ( $D_{e,1}$ ) is the average of the diffusivity of the nucleate and the water and changes with the

1 change in the thickness of the nucleated layer with time. The effective CO<sub>2</sub> diffusivity  
 2 coefficient values in gas hydrates were selected based on the reported values in the literature,  
 3 which range from  $1.0 \times 10^{-14}$  m<sup>2</sup>/s to  $2.0 \times 10^{-16}$  m<sup>2</sup>/s (Liang et al., 2016).

4 The reaction rate coefficient  $k$  values are reportedly affected by the hydrate surface areas  
 5 measured in the experiments (Yin et al., 2018). Values on the order of  $10^{-5}$  to  $10^{-8}$  are reported  
 6 in different studies (Bergeron and Servio, 2008; Hashemi et al., 2007; Ou et al., 2016). In this  
 7 study, however, we observed that  $k$  values in this range result in a very small Thiele modulus  
 8 ( $\ll 1$ ) indicating that there is almost no role for the reaction rate in controlling the process. This  
 9 observation is not consistent with previous studies reporting the intrinsic reaction rate as the  
 10 second controlling mechanism (Sloan and Koh, 2008). We therefore used  $k$  values on the order  
 11 of  $10^{-2}$  to conduct a meaningful parametric study, while more precise measurements,  
 12 particularly for the nucleation reaction, are needed through experimental studies and parameter  
 13 tuning optimization.

14 Table 3. Parameters used for MSSCM solution for the CO<sub>2</sub> hydration process

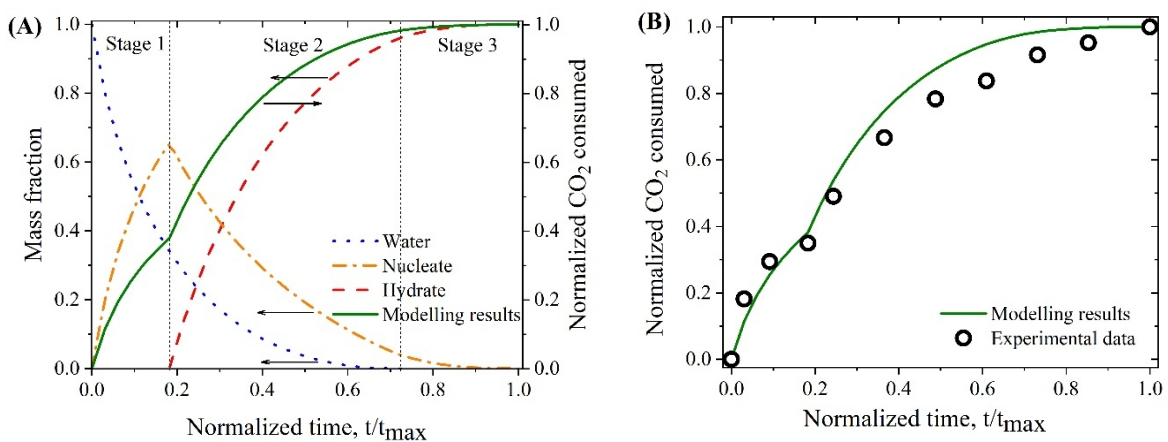
Parameter	Value
$C_{CO_2}^b, mol/m^3$	2063.1
$D_{e,1}, m^2/s$	$8.00 \times 10^{-14}$
$D_{e,2}, m^2/s$	$4.04 \times 10^{-14}$
$D_{e,3}, m^2/s$	$7.80 \times 10^{-16}$
$k_2, m/s$	$9.0 \times 10^{-2}$
$k_3, m/s$	$1.5 \times 10^{-3}$
$\Delta H_2, KJ/kg$	-20.61 (Lirio and Pessoa, 2013)
$\Delta H_3, KJ/kg$	-40 (Lirio and Pessoa, 2013)
$MW_{H_2O}, g/mol$	18
$MW_N, g/mol$	404
$n$	5.75 (Sun and Kang, 2016)
$R_p, m$	$8.29 \times 10^{-7}$
$\vartheta_1 (= m)$	10
$\vartheta_2 (= n/(m - n))$	0.4
$\rho_{H_2O}, kg/m^3$	1000
$\rho_N, kg/m^3$	1050

15

16 For the estimation of the stage change characteristics, such as time for induction, the fact that  
 17 the model variables including  $r$ ,  $C_{CO_2}$  and  $T$  remain the same in both phases (stages) is  
 18 considered. The same approach was used to identify the time when Stage 2 shifts to 3.

1 The model-based time-dependent mass fraction profiles of water, nucleate, hydrate, and  
 2 experimentally measured gas uptake data (Linga et al., 2007) are presented in Fig. 2. It is  
 3 impossible to obtain internal particle insights experimentally to validate the particle  
 4 composition. However, the trajectory of the particle gas uptake behavior achieved via the  
 5 model agrees well with the experimental trend, thus validating the modeling strategy. The  
 6 experimentally reported CO<sub>2</sub> uptake is based on the bulk gas concentration change over time  
 7 and provides no insight on the amount of water consumed. This explains the observed deviation  
 8 between the absolute values of CO<sub>2</sub> uptake in the model and experiment (Fig. 2).

9 The results in Fig. 2(A) show that at the first stage ( $t_0/t_{max}=0$  to  $t_1/t_{max}=0.18$ ), the nucleation  
 10 process consumes water and produces nucleate without hydrate formation. This corresponds to  
 11 the time period in which slow gas uptake is frequently observed in the experimental graphs  
 12 (Fig. 2(B)). At the end of this stage, the nucleate fraction reaches the maximum value. A decline  
 13 starts due to the commencement of hydrate growth from critically sized nucleates, indicating  
 14 the beginning of Stage 2, at  $t_1/t_{max}=0.18$ . This stage involves both nucleation and initial rapid  
 15 growth of hydrates, resulting in the formation of the hydrate shell. The rapid CO<sub>2</sub> hydrate  
 16 growth is indicated by the increase in the hydrate mass fraction. This stage corresponds to the  
 17 gas uptake observed in the experimental results ( $t_1/t_{max}=0.18$  to  $t_2/t_{max}=0.72$ ). Stage 2  
 18 finishes at  $t_2/t_{max}=0.72$ , which is estimated using the point at which fresh water is fully  
 19 consumed. During Stage 3, the remaining nucleate is converted to the hydrate. The rate of mass  
 20 fraction changes during this stage is slow compared to the other stages, principally due to the  
 21 mass transfer and heat transfer limitation, and the slow driving force will result in slow hydrate  
 22 formation. Finally, at the end of this stage, the mass fraction rate of the hydrate approaches 1.



23

1 Fig. 2. Results of multi-stage gas hydrate nucleation and growth for the basis case simulation:  
2 (A) stages, phases distribution, and gas uptake; (B) comparing modeling results with  
3 experimental gas uptake data for the CO<sub>2</sub>/N<sub>2</sub> system from Linga et al. (Linga et al., 2007).

4 The nucleation and the growth stage results have been validated against the experimental data  
5 using the practically observed trends. The current model prediction compares reasonably with  
6 the principal gas consumption trends presented in the literature. The gas consumption and water  
7 conversion trends are exchangeable using the hydration number. The experimental data were  
8 taken from Linga et al., who reported a series of experimental works on CO<sub>2</sub>/N<sub>2</sub> hydrate  
9 formation (Linga et al., 2007). The operating pressure in the study was much lower than that  
10 thermodynamically needed for N<sub>2</sub> hydrate formation. It is reasonable to assume that no nitrogen  
11 hydrate formation occurs and that there is no interference from the presence of nitrogen in this  
12 work. Further, the authors reported that the CO<sub>2</sub> content in the hydrate phase increases as the  
13 experiment progresses. For example, at a sampling time of 16 min the CO<sub>2</sub> fraction in the  
14 hydrate phase is 0.87 mole fraction while at a sampling time of 128 min, it is about 0.89 mole  
15 fraction. Therefore, it is evident that the hydrate phase is enriched with the CO<sub>2</sub> rather than N<sub>2</sub>.

16 Since model results are based on a single particle and experimental results are based on an  
17 unknown number of particles, we have considered a dimensionless (normalized) gas uptake  
18 term for comparison purpose. Assuming all particles perform similarly in the reactor, particles  
19 number has no role in the dimensionless gas uptake defined as the amount of CO<sub>2</sub> consumed  
20 at any time over the overall CO<sub>2</sub> consumed over process course. The normalization was  
21 conducted as the gas uptake and any time divided by gas uptake at the end of the test. This  
22 approach will eliminate the role of the number of particles. As shown in Fig. 2(B), the modeling  
23 result for gas consumption reveals a two-stage process that is similar to that observed  
24 experimentally. Moreover, the gas uptake history is in a good agreement with the  
25 experimentally measured values.

26 Furthermore, Lederhos et al. (Lederhos et al., 1996) conducted an experimental study in a  
27 batch reactor to investigate the effects of the kinetic inhibitors on hydrate inhibition, as  
28 evaluated by measuring the gas consumption versus time. The process history graph clearly  
29 shows the hydrate nucleation and growth processes that are captured in the current model. They  
30 schematically illustrated the nucleation stage (or induction time) as the time elapsed before the  
31 first detectable gas consumption (growth stage). Yin et al. reported a similar gas uptake trend  
32 for CH<sub>4</sub> hydrate formation in a batch stirred reactor based on the results of Englezos et al.

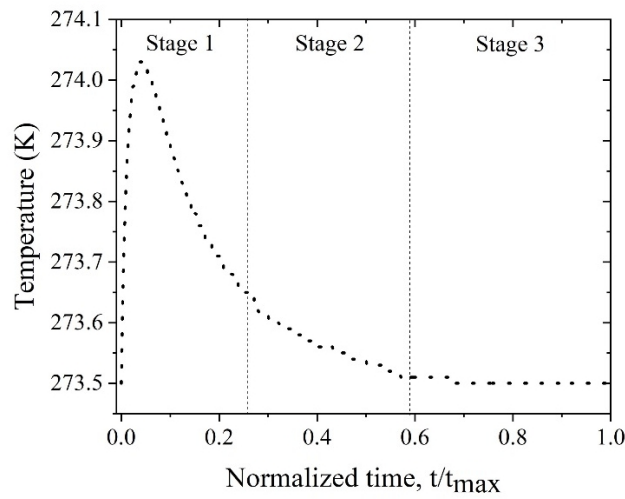
1 (Englezos et al., 1987; Yin et al., 2018). They considered the first stage as the gas dissolution  
2 and induction period, and at this stage, the amount of gas consumption is very small due to the  
3 dissolution of the gas molecules in the liquid phase. These practical observations corroborate  
4 the presented multi-stage model as a consistent paradigm for the hydration process. He et al.  
5 investigated the nucleation of the CO<sub>2</sub> hydrate using molecular simulation, and a small CO<sub>2</sub>  
6 mass fraction during the nucleation stage was observed (He et al., 2017). The same trend in the  
7 results using molecular simulation was reported by Bi et al. (Bi et al., 2016) Jacobson et al.  
8 simulated the mechanism of the nucleation process using molecular simulation by showing the  
9 number of occupied cages versus time. They showed that at the nucleation stage, the cages are  
10 not occupied by the CO<sub>2</sub> molecules, and as hydrate growth starts, the cages are occupied by the  
11 CO<sub>2</sub> molecules (Jacobson et al., 2010b). All of these studies support the history trends  
12 presented in Fig. 2, confirming the validity of the modeling framework.

#### 13 **4. Non-isothermal behavior**

14 To capture the thermal history of the reacting particles, integration of the heat transfer and  
15 kinetics of gas hydrate formation was implemented. Accordingly, energy conservation and  
16 constitutive equations were added to the primary multi-stage model and solved simultaneously  
17 (Table 2). The particle temperature profile resulting from the thermal profiles of the individual  
18 stages is presented in Fig. 3. Regarding the gas hydration temperature profile, an initial rise  
19 followed by a decline and final settling at approximately 273.5 K for the CO<sub>2</sub> hydration case  
20 has been reported in many experimental studies. This profile can be explained based on the  
21 release of heat due to the initial nucleation and hydration. Sloan and Koh highlighted that the  
22 rapid increase in temperature indicates hydrate formation in a high-pressure cell with constant  
23 volume (Sloan and Koh, 2008). The temperature of the cell/particle subsequently gradually  
24 decreases due to nucleation rate mitigation and heat transfer to the surrounding particles. The  
25 temperature settlement at the final value can be observed in Stage 3, as shown by theoretical  
26 (this work) results. The thermal capability is an applied added value of the current model that  
27 has not been taken into account in previous models (Bollavaram et al., 2000; Shi et al., 2011).  
28 While the proposed model importantly allows the prediction of the thermal trends of the  
29 particle and the reactor, parameter tuning must be conducted for any specific case study using  
30 the experimental data under relevant conditions. The model-based temperature profile, Fig. 3,  
31 well simulates the temperature history trends observed in the experimental works including the  
32 temperature peak and settlement.

1

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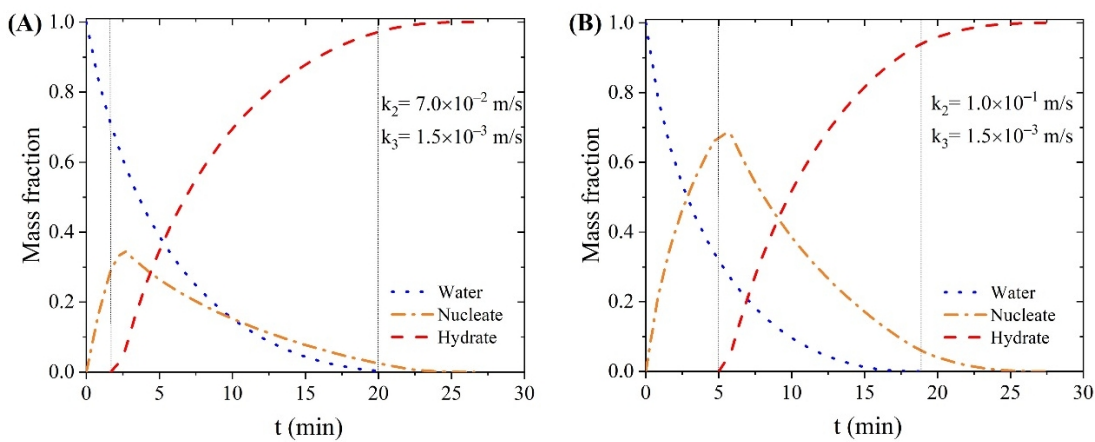
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4 Fig. 3. Temperature profile of the CO<sub>2</sub> hydration process comprising three stages of the thermal  
5 history achieved via MSSCM simulating experimentally seen temperature peak and settlement.

## 6 5. Model analysis

### 7 5.1. Sensitivity analysis of the reaction constant rates

8 Several studies have investigated the CO<sub>2</sub> hydration reaction rate during gas hydrate formation  
9 (Bergeron and Servio, 2008; Chun and Lee, 1996; Malegaonkar et al., 1997; Ou et al., 2016;  
10 Verrett and Servio, 2016). A sensitivity analysis of the competing reactions using the rates  
11 constants  $k_2$  and  $k_3$  was carried out to investigate the species distribution and steps/phases  
12 lifetime and induction time.



13

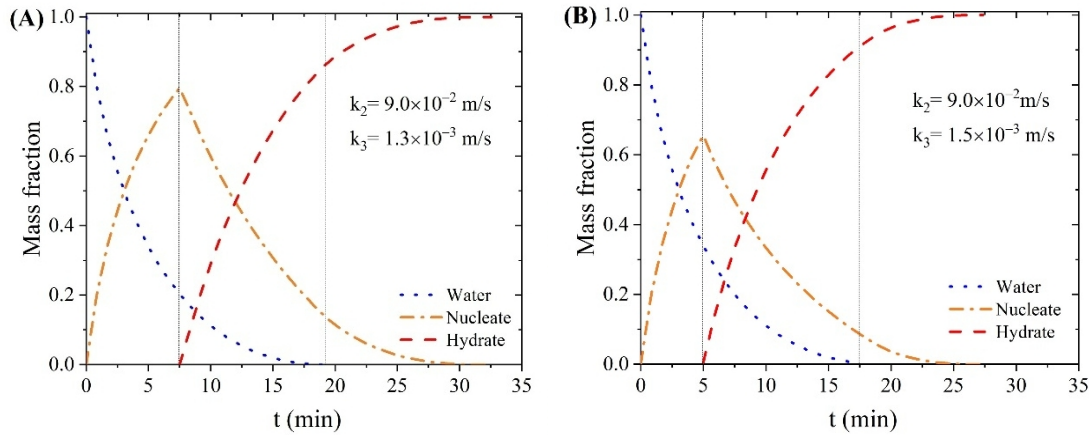
1 Fig. 4. Results of the multi-stage nucleation and growth model for the mass fractions of water,  
2 nucleate and hydrate versus time for (A)  $k_2=7.0\times 10^{-2}$  m/s and (B)  $k_2=1.0\times 10^{-1}$  m/s. The black  
3 dotted lines separate the three stages in MSSCM.

4 Fig. 4 indicates the phases' distributions inside the particle, the duration of the individual stages  
5 and the whole process for the two nucleation rate constants ( $k_2$ ) and the hydration rate constant  
6  $k_3 = 1.5\times 10^{-3}$  m/s. The faster nucleation process results in higher nucleate accumulation inside  
7 the particle, and the nucleate shows a stronger peak by  $k_2$ , whereas the hydration rate is slow  
8 and controlling. A greater amount of  $\text{CO}_2$  penetrating into the water droplet would be consumed  
9 for the nucleation process, resulting in a longer induction time due to the lack of  $\text{CO}_2$  for  
10 growth. This behavior is consistent with the mathematical estimation of the induction time in  
11 which the  $\text{CO}_2$  profiles in Stage 1 and 2 play a crucial role. A delay in hydration process  
12 initiation leads to a longer process completion time, i.e., from 26.6 min for  $k_2 = 1.0\times 10^{-1}$  m/s  
13 to 28.4 min for  $k_2 = 7.0\times 10^{-2}$  m/s. The induction time increases, and the nucleate shows a  
14 stronger peak by  $k_2$ . For small  $k_2$  values, the  $\text{CO}_2$  capture process proceeds mainly through  
15 Stage 2 (lasting for 18 min, ~67% of the overall time), in which all three phases exist, while  
16 for  $k_2 = 1.0\times 10^{-1}$  m/s, Stage 2 lasts for 12.5 min, ~44% of the overall time.

17 In the second sensitivity analysis, the effects of varying  $k_3$  were examined while  $k_2$  was  
18 considered to be a constant parameter in MSSCM for comparison. Fig. 5 illustrates the results  
19 for  $k_3 = 1.3\times 10^{-3}$  m/s and  $k_3 = 1.5\times 10^{-3}$  m/s at  $k_2 = 9.0\times 10^{-2}$  m/s. As shown in Fig. 5, as the  $k_3$   
20 value increases, the time required for total depletion of the nucleate core decreases, which  
21 results in higher impacts on Stages 2 and 3 compared to Stage 1. The induction is shorter at  
22 higher  $k_3$  values, and the process period mainly consists of Stages 2 and 3. Combining both  
23 individual analyses, it can be concluded that the  $k_2\times k_3$  is a reasonable measure for explaining  
24 the process behavior. A smaller  $k_2\times k_3$  would lead to a longer induction time, larger nucleate  
25 fraction peak, and longer completion time.

26

27



1

2 Fig. 5. Results of the multi-stage nucleation and growth model for the mass fractions of water,  
 3 nucleate and hydrate versus time for (A)  $k_3=1.3\times 10^{-3}$  m/s and (B)  $k_3=1.5\times 10^{-3}$  m/s. The black  
 4 dotted lines separate the stages in MSSCM.

5

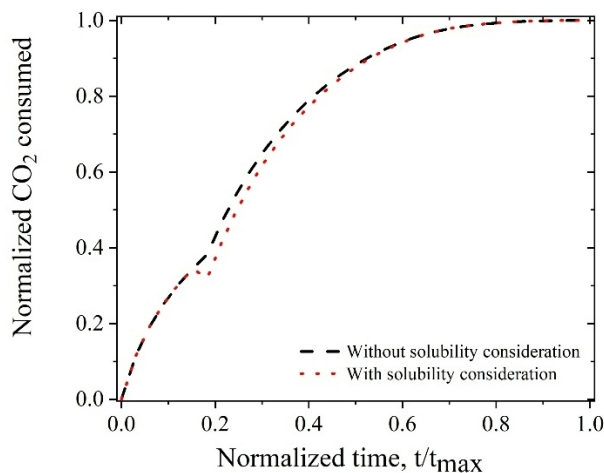
## 6 5.2. Effects of the CO<sub>2</sub> solubility in MSSCM

7 An analysis of the effects of the solubility on the CO<sub>2</sub> consumed using the experimental CO<sub>2</sub>  
 8 solubility in water at 3.25 MPa and 270 K (Diamond and Akinfiev, 2003) has been investigated  
 9 in this study. CO<sub>2</sub> dissolution in water under 3.25 MPa and 270 K is approximately 0.0325  
 10 mole CO<sub>2</sub> / mole H<sub>2</sub>O (Diamond and Akinfiev, 2003), which is not enough to fully form the  
 11 hydrate (CO<sub>2</sub>·5.75H<sub>2</sub>O) or even nucleate (CO<sub>2</sub>·*m*H<sub>2</sub>O, *m* > 5.75). For hydrate formation, a solid  
 12 solution of 0.174 (mole CO<sub>2</sub> / mole H<sub>2</sub>O) must be achieved. Accordingly, it was assumed that  
 13 the CO<sub>2</sub> needed for this process is mainly provided externally through diffusion. Fig. 6  
 14 illustrated the effects of the CO<sub>2</sub> solubility on the CO<sub>2</sub> consumption in MSSCM. It can be seen  
 15 from Fig. 6 that the CO<sub>2</sub> solubility does not have significant effects on the amount of CO<sub>2</sub>  
 16 consumption during CO<sub>2</sub> hydrate nucleation and growth.

17 The CO<sub>2</sub> solubility in water may raise concerns about the applicability of SCM for the current  
 18 case study. Since the CO<sub>2</sub> diffusion rate decreases drastically upon formation of the hydrate  
 19 layer, the overall process is controlled by the CO<sub>2</sub> mass transfer rate, which allows a minor  
 20 amount of CO<sub>2</sub> to reach the interior and, in particular, the central parts of the water droplet.  
 21 This behavior reasonably justifies the applicability of SCM, as demonstrated by its successful  
 22 use in the literature (Falenty et al., 2013; Henning et al., 2000; Staykova et al., 2003). For the  
 23 gas uptake underestimation, one may combine the gas consumed through the dissolution and  
 24 nucleation mechanisms. In other words, the gas consumed for nucleation might be fitted to be  
 25 representative of both dissolution and nucleation gas consumption, where individual portions



1 can be estimated through thermodynamic estimations. Given the significantly higher gas  
 2 consumption in the growth stage compared to the dissolution and nucleation stages, this  
 3 simplification would not result in significant errors as shown in Fig. 6.

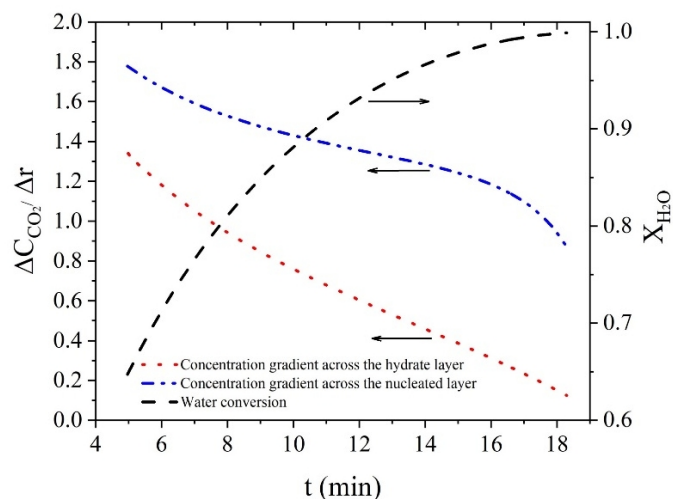


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5 Fig. 6. Effects of the CO<sub>2</sub> solubility on the CO<sub>2</sub> consumption estimated in MSSCM

### 6 5.3. Internal CO<sub>2</sub> concentration

7 As a mass transfer-controlled process, the intensification and control of CO<sub>2</sub> hydration might  
 8 benefit significantly from insights on the distributed and time-dependent concentration profiles  
 9 inside the reacting element. The proposed model readily offers such insightful data, in contrast  
 10 to the challenges in achieving such data from practical measurements. The concentration  
 11 difference across the phases' layers ( $\Delta C/\Delta r$ ) was estimated for the hydrate,  $(C_{CO_2}^b - C_{CO_2}^{N,H})/(R_p$   
 12  $- r_2)$ , and nucleate,  $(C_{CO_2}^{N,H} - C_{CO_2}^{W,N})/(r_2 - r_1)$ , layers during Stage 2 as presented in Figure 7.  
 13 Water conversion, as an indicator of reaction progress, is also depicted. The concentration  
 14 gradient across the nucleate layer is higher than that in the hydrate layer at any time during the  
 15 second stage.

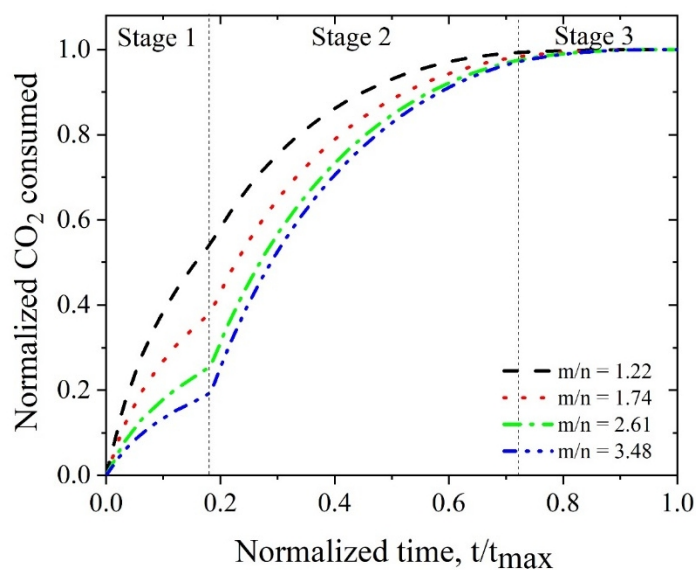


1  
2 Fig. 7. Concentration difference across the hydrate and nucleate layers and water conversion  
3 profile in Stage 2.

4 As the diffusivity ( $D_e$ ) is supposed to be higher in the nucleate than in the hydrate, mass transfer  
5 in the hydrate is predominant in controlling the conversion rate compared to the nucleate.  
6 However, the share of the nucleate that is mass transfer resistant cannot be ignored. From this  
7 viewpoint, a detailed quantitative evaluation of each phase share is feasible through MSSCM.

#### 8 **5.4. Model-based nucleate structure identification**

9 The nucleate configuration can be presented as  $\text{CO}_2 \cdot m\text{H}_2\text{O}$  with a considerable uncertainty  
10 associated with the nucleation number value,  $m$ , in contrast to the hydration number,  $n$ , for  
11 which we use a value of 5.75 from the literature (Sun and Kang, 2016). Different nucleation  
12 numbers ( $m=7, 10, 15, 20$ ) were tested in this analysis. As shown in Fig. 8, the proposed model  
13 provides a tool to explain different gas uptake profiles observed in practice based on the  
14 possible differences between the hydration and nucleation numbers and hence the variations in  
15 physical properties. For  $m \gg n$ , the induction time and the nucleation stages are expected to be  
16 more distinguishable in the gas uptake profile. For  $m \sim n$ , the nucleation and hydration stages  
17 behave similarly in the gas consumption history, thus requiring the use of the phase distribution  
18 profiles to detect the stages and the induction time. Since all of the profile trends shown in Fig.  
19 8 have been observed in practical experiments, the proposed model provides judgment criteria  
20 for assessing how the formed-nucleate structure deviates from the hydrate structure under the  
21 experimental condition of interest.



1

2 Fig. 8. Model-based gas uptake profile for different nucleation numbers. All types of the trends  
 3 shown have been observed in the experimental literature, thus indicating the diversity of  
 4 possible nucleation numbers.

## 5 6. Conclusions

6 A multi-stage-multi-reaction modeling framework was proposed and successfully used for the  
 7 CO<sub>2</sub> hydration case. Although this paper focuses on CO<sub>2</sub> hydrate formation, the concept is  
 8 generic enough to be applied to other hydration processes, such as CH<sub>4</sub> hydration, with minor  
 9 changes. Moreover, the model was presented for a spherical geometry but can potentially be  
 10 adapted for other geometrical domains, such as slab and cylindrical geometries, depending on  
 11 the reactor, pipeline, hydrodynamics regime, etc. The proposed model was capable of not only  
 12 capturing the CO<sub>2</sub> hydration progress but also predicting nucleate phase formation and  
 13 depletion. The former, in particular, is of crucial importance and enables a numerical estimation  
 14 of the induction time. The induction time has been frequently observed in experimental tests.  
 15 However, this study is the first to propose a model-based estimation method to predict the  
 16 nucleation stage. Moreover, the model's capability in capturing the dynamic thermal behavior  
 17 of the CO<sub>2</sub> hydration reaction was demonstrated. Based on the temperature profile, the  
 18 hydration start time and progress pattern are detectable. A model-based criterion was proposed  
 19 to use the experimentally measured gas uptake profiles to estimate the nucleation number, i.e.,  
 20  $m$  in  $CO_2 \cdot mH_2O$ . The numerical estimation of the internal concentration profiles for a  
 21 hydrating particle was demonstrated. The presented model requires a minor computation time  
 22 (less than a minute) using MATLAB for a single particle simulation and thus is

1 computationally suitable for use at the reactor scale, in which enormous particles are present.  
 2 The present study, therefore, provides a foundation for future research towards enhancing our  
 3 understanding of gas nucleation and hydration process behavior based on predictive models at  
 4 various spatiotemporal scales.

## 5 Nomenclature

6	$C_{CO_2}$	CO <sub>2</sub> concentration inside the particle ( $mol/m^3$ )
7	$C_{CO_2}^b$	concentration of CO <sub>2</sub> in gas bulk ( $mol/m^3$ )
8	$C_{CO_2}^{W,H}$	CO <sub>2</sub> concentration at the water/hydrate interface ( $mol/m^3$ )
9	$C_{CO_2}^{W,N}$	CO <sub>2</sub> concentration at the water/nucleate interface ( $mol/m^3$ )
10	$CO_2$	carbon dioxide
11	$C_{p,1}$	specific heat capacity in Stage 1 ( $kJ/kgK$ )
12	$C_{p,2}$	specific heat capacity in Stage 2 ( $kJ/kgK$ )
13	$C_{p,3}$	specific heat capacity in Stage 3 ( $kJ/kgK$ )
14	$D_e$	effective CO <sub>2</sub> diffusivity coefficient ( $m^2/s$ )
15	$D_{e,1}$	effective CO <sub>2</sub> diffusivity coefficient in Stage 1 ( $m^2/s$ )
16	$D_{e,2}$	effective CO <sub>2</sub> diffusivity coefficient in Stage 2 ( $m^2/s$ )
17	$D_{e,3}$	effective CO <sub>2</sub> diffusivity coefficient in Stage 3 ( $m^2/s$ )
18	$h$	heat transfer coefficient ( $kW/m^2K$ )
19	$H_2O$	water
20	$k_2$	reaction rate constant for Eq. (1) ( $m/s$ )
21	$k_3$	reaction rate constant for Eq. (2) ( $m/s$ )
22	$m$	nucleation number
23	$MW_{avg,2}$	average molecular weight in Stage 2 ( $g/mol$ )
24	$MW_{avg,3}$	average molecular weight in Stage 3 ( $g/mol$ )
25	$MW_{H_2O}$	molecular weight of water ( $g/mol$ )
26	$MW_N$	molecular weight of nucleate ( $g/mol$ )
27	$n$	hydration number
28	$m$	nucleation number
29	$r$	radius ( $m$ )
30	$r_1, r^{W,N}$	radius at water/nucleate interface ( $m$ )
31	$r_2, r^{N,H}$	radius at nucleate/hydrate interface ( $m$ )

1	$r_h$	rate of water conversion to hydrate ( $kg/m^2s$ )
2	$r_N$	rate of nucleate conversion to hydrate ( $kg/m^2s$ )
3	$r_W$	rate of the water conversion to nucleate ( $kg/m^2s$ )
4	$R_p$	particle radius ( $m$ )
5	$t$	time (min)
6	$t_0$	initial time (min)
7	$t_1$	time when hydrate growth starts (min)
8	$t_2$	time when fresh water is fully consumed (min)
9	$t_3$	time when nucleate is fully consumed (min)
10	$T$	temperature (K)
11	$T_1$	temperature at water/nucleate interface (K)
12	$T_2$	temperature at nucleate/hydrate interface (K)
13	$T_b$	temperature at bulk gas (K)
14	$X_{H_2O}$	water conversion
15	<b>Greek Letters</b>	
16	$\rho$	density ( $kg/m^3$ )
17	$\rho_{avg,2}$	average density at Stage 2 ( $kg/m^3$ )
18	$\rho_{avg,3}$	average density at Stage 3 ( $kg/m^3$ )
19	$\rho_N$	density of nucleate ( $kg/m^3$ )
20	$\vartheta_1, \vartheta_2$	stoichiometry coefficient ratios
21	$\Delta H_2$	reaction enthalpy in Eq. (2) ( $kJ/kg$ )
22	$\Delta H_3$	reaction enthalpy in Eq. (3) ( $kJ/kg$ )
23	<b>Sub-/Superscripts</b>	
24	$b$	gas bulk
25	$CO_2$	carbon dioxide
26	$g$	gaseous phase
27	$H$	hydrate
28	$H_2O$	water
29	$N$	nucleate
30	$N.H$	nucleate/hydrate interface
31	$p$	particle

1	$s$	solid phase
2	$W$	water
3	$W.N$	water/nucleate interface

#### 4 **Appendix: Model derivation**

##### 5 **Stage 1:**

6 The CO<sub>2</sub> molar rate due to the reaction in the nucleate-hydrate interface is:

$$7 \quad M_{r,1} = 4\pi r_1^2 \theta_1 k_2 C_{CO_2}^{W,N} \quad (A.1)$$

8 in which  $M_{r,1}$  is the CO<sub>2</sub> molar reaction rate at Stage 1. Using Fick's law, the rate of the CO<sub>2</sub>  
9 diffusion is given by:

$$10 \quad M_{d,1} = 4\pi r^2 D_{e,1} \frac{dC_{CO_2}^{W,N}}{dr} \quad (A.2)$$

11 where  $M_{d,1}$  is the CO<sub>2</sub> diffusion rate at Stage 1. Integrating from  $r_1$  to  $R_p$  and  $C_{CO_2}^{W,N}$  to  $C_{CO_2}^b$ :

$$12 \quad M_{d,1} \int_{r_1}^{R_p} \frac{1}{r^2} dr = -4\pi D_{e,1} \int_{C_{CO_2}^{W,N}}^{C_{CO_2}^b} dC_{CO_2} \quad (A.3)$$

13 or

$$14 \quad M_{d,1} \left( \frac{1}{R_p} - \frac{1}{r_1} \right) = 4\pi D_{e,1} (C_{CO_2}^b - C_{CO_2}^{W,N}) \quad (A.4)$$

15 or

$$16 \quad M_{d,1} = 4\pi D_{e,1} \frac{(C_{CO_2}^b - C_{CO_2}^{W,N})}{\frac{1}{r_1} - \frac{1}{R_p}} \quad (A.5)$$

17 Diffusion of CO<sub>2</sub> through the nucleate layer is equal to the CO<sub>2</sub> reaction in the water/nucleate  
18 interface:

$$19 \quad M_{r,1} = M_{d,1} \quad (A.6)$$

20 or

$$21 \quad 4\pi r_1^2 \theta_1 k_2 C_{CO_2}^{W,N} = 4\pi D_{e,1} \frac{(C_{CO_2}^b - C_{CO_2}^{W,N})}{\frac{1}{r_1} - \frac{1}{R_p}} \quad (A.7)$$

22 or

$$1 \quad C_{\text{CO}_2}^b - C_{\text{CO}_2}^{\text{W.N}} - \frac{r_1^2 \vartheta_1 k_2 C_{\text{CO}_2}^{\text{W.N}} \left( \frac{1}{r_1} - \frac{1}{R_p} \right)}{D_{e,1}} = 0 \quad (\text{A.8})$$

2 or

$$3 \quad C_{\text{CO}_2}^b - C_{\text{CO}_2}^{\text{W.N}} \left( 1 + \frac{r_1^2 \vartheta_1 k_2 \left( \frac{1}{r_1} - \frac{1}{R_p} \right)}{D_{e,1}} \right) = 0 \quad (\text{A.9})$$

4 or

$$5 \quad 1 - \frac{C_{\text{CO}_2}^{\text{W.N}}}{C_{\text{CO}_2}^b} \left( 1 + \frac{r_1^2 \vartheta_1 k_2 \left( \frac{1}{r_1} - \frac{1}{R_p} \right)}{D_{e,1}} \right) = 0 \quad (\text{A.10})$$

6 or

$$7 \quad 1 - \frac{C_{\text{CO}_2}^{\text{W.N}}}{C_{\text{CO}_2}^b} \left( 1 + \frac{\vartheta_1 k_2 R_p}{D_{e,1}} \left( \frac{r_1}{R_p} \right)^2 \left( \frac{1}{\left( \frac{r_1}{R_p} \right)} - 1 \right) \right) = 0 \quad (\text{A.11})$$

8 Water consumption rate is given by:

$$9 \quad N_{\text{H}_2\text{O}} = - \frac{d}{dt} \left( \frac{4\pi r_1^3 \rho_{\text{H}_2\text{O}}}{3 \text{MW}_{\text{H}_2\text{O}}} \right) \quad (\text{A.12})$$

10 in which  $N_{\text{H}_2\text{O}}$  is the water consumption rate. The relation between the water conversion and  
11 molar rate due to the reaction is:

$$12 \quad N_{\text{H}_2\text{O}} = \frac{1}{\vartheta_1} M_{r,1} \quad (\text{A.13})$$

13 Combining eq (A12 and A13) results in:

$$14 \quad \frac{dr_1}{dt} = - \frac{\text{MW}_{\text{H}_2\text{O}}}{\rho_{\text{H}_2\text{O}}} k_2 C_{\text{CO}_2}^{\text{W.N}} \quad (\text{A.14})$$

15 **Non-isothermal equations in Stage 1:**

16 Non-isothermal equations are based on the energy balance around the particle at each stage:

$$17 \quad \frac{4\pi R_p^3 \rho_{\text{H}_2\text{O}}}{3 \text{MW}_{\text{H}_2\text{O}}} C_{p,1} \frac{dT}{dt} = 4\pi R_p^2 h (T_b - T) - 4\pi r_1^2 k_2 C_{\text{CO}_2}^{\text{W.N}} \Delta H_2 \quad (\text{A.15})$$

18 or

$$1 \quad \frac{dT}{dt} = \frac{h(T_b - T) - \left(\frac{r_1}{R_p}\right)^2 k_2 \Delta H_2 C_{CO_2}^{W,N}}{\frac{R_p \rho_{H_2O}}{3MW_{H_2O}} C_{p,1}} \quad (A.16)$$

## 2 Stage 2:

3 CO<sub>2</sub> reaction rate at the water-nucleate interface is:

$$4 \quad M_{r,2} = 4\pi r_1^2 \vartheta_1 k_2 C_{CO_2}^{W,N} \quad (A.17)$$

5 where  $M_{r,2}$  is the CO<sub>2</sub> reaction rate at the water-nucleate interface at Stage 2. CO<sub>2</sub> diffusion  
6 rate at the water-nucleate interface is given by:

$$7 \quad M_{d,2} = -4\pi r_1^2 D_{e,2} \frac{dC_{CO_2}^{W,N}}{dr} \quad (A.18)$$

8 in which  $M_{d,2}$  is the CO<sub>2</sub> diffusion rate between the water-nucleate interface and nucleate-  
9 hydrate interface at Stage 2. Or:

$$10 \quad M_{d,2} = 4\pi D_{e,2} \frac{(C_{CO_2}^{N,H} - C_{CO_2}^{W,N})}{\frac{1}{r_1} - \frac{1}{r_2}} \quad (A.19)$$

11 CO<sub>2</sub> diffusion rate is equal to CO<sub>2</sub> reaction rate:

$$12 \quad M_{r,2} = M_{d,2} \quad (A.20)$$

13 or

$$14 \quad C_{CO_2}^{N,H} - C_{CO_2}^{W,N} - \frac{r_1^2 \vartheta_1 k_2 C_{CO_2}^{W,N}}{D_{e,2}} \left( \frac{1}{r_1} - \frac{1}{r_2} \right) \quad (A.21)$$

15 or

$$16 \quad \frac{C_{CO_2}^{N,H}}{C_{CO_2}^b} - \frac{C_{CO_2}^{W,N}}{C_{CO_2}^b} \left( 1 + \frac{\vartheta_1 k_2 R_p}{D_{e,2}} \left( \frac{r_1}{R_p} \right)^2 \left( \frac{1}{\left(\frac{r_1}{R_p}\right)} - \frac{1}{\left(\frac{r_2}{R_p}\right)} \right) \right) = 0 \quad (A.22)$$

17 Water consumption is given by:

$$18 \quad N'_{H_2O} = -\frac{d}{dt} \left( \frac{4\pi r_1^3 \rho_{H_2O}}{3MW_{H_2O}} \right) \quad (A.23)$$

19 in which  $N'_{H_2O}$  is water consumption at Stage 2. The relation between the water conversion and  
20 molar rate due to the reaction is:



$$1 \quad N'_{H_2O} = \frac{1}{\vartheta_1} M_{r,2} \quad (A.24)$$

2 or

$$3 \quad \frac{dr_1}{dt} = - \frac{MW_{H_2O}}{\rho_{H_2O}} k_2 C_{CO_2}^{W,N} \quad (A.25)$$

4 CO<sub>2</sub> Diffusion rate through the nucleate layer is given by:

$$5 \quad M'_{d,2} = 4\pi D_{e,2} \frac{(C_{CO_2}^b - C_{CO_2}^{N,H})}{\frac{1}{r_2} - \frac{1}{R_p}} \quad (A.26)$$

6 where  $M'_{d,2}$  is the CO<sub>2</sub> diffusion rate between bulk CO<sub>2</sub> and nucleate-hydrate layer. CO<sub>2</sub> reaction  
7 rate at the nucleate-hydrate interface is:

$$8 \quad M_N = 4\pi r_2^2 \vartheta_2 k_3 C_{CO_2}^{N,H} \quad (A.27)$$

9 in which  $M_N$  is the CO<sub>2</sub> reaction rate at the nucleate-hydrate interface. Mass balance around the  
10 particle at Stage 2 is:

$$11 \quad M'_{d,2} = M_{r,2} + M_N \quad (A.28)$$

12 or

$$13 \quad C_{CO_2}^b - C_{CO_2}^{N,H} - \frac{r_1^2 \vartheta_1 k_2 C_{CO_2}^{W,N} + r_2^2 \vartheta_2 k_3 C_{CO_2}^{N,H}}{D_{e,2}} \left( \frac{1}{r_2} - \frac{1}{R_p} \right) = 0 \quad (A.29)$$

14 or

$$15 \quad 1 - \frac{C_{CO_2}^{N,H}}{C_{CO_2}^b} - \left( \frac{\vartheta_1 k_2 R_p C_{CO_2}^{W,N}}{D_{e,2} C_{CO_2}^b} \left( \frac{r_1}{R_p} \right)^2 + \frac{\vartheta_2 k_3 R_p C_{CO_2}^{N,H}}{D_{e,2} C_{CO_2}^b} \left( \frac{r_2}{R_p} \right)^2 \right) \left( \frac{1}{\left( \frac{r_2}{R_p} \right)} - 1 \right) = 0 \quad (A.30)$$

16 The rate of nucleate consumption is given by:

$$17 \quad N_N = - \frac{d}{dt} \left( \frac{4\pi r_2^3 \rho_N}{3 MW_N} \right) \quad (A.31)$$

18 in which  $N_N$  is the rate of nucleate consumption. The relation between the rate of nucleate  
19 consumption and reaction at the nucleate-hydrate interface is:

$$20 \quad N_N = \frac{1}{\vartheta_2} M_N \quad (A.32)$$

21 or

$$1 \quad \frac{dr_2}{dt} = -\frac{MW_N}{\rho_N} k_3 C_{CO_2}^b \frac{C_{CO_2}^{N,H}}{C_{CO_2}^b} \quad (A.33)$$

## 2 **Non-isothermal equations in Stage 2:**

3 An energy balance around the particle at Stage 2 is given by:

$$4 \quad \frac{4\pi R_p^3 \rho_{avg,2}}{3 MW_{avg,2}} C_{p,2} \frac{dT}{dt} = 4\pi R_p^2 h (T_b - T) - 4\pi r_1^2 k_2 C_{CO_2}^{W,N} \Delta H_2 - 4\pi r_2^2 k_3 C_{CO_2}^{N,H} \Delta H_3$$

5 (A.34)

6 or

$$7 \quad \frac{dT}{dt} = \frac{h(T_b - T) - \left(\frac{r_1}{R_p}\right)^2 k_2 \Delta H_2 C_{CO_2}^{W,N} - \left(\frac{r_2}{R_p}\right)^2 k_3 \Delta H_3 C_{CO_2}^{N,H}}{\frac{R_p \rho_{avg,2}}{3 MW_{avg,2}} C_{p,2}} \quad (A.35)$$

## 8 **Stage 3:**

9 CO<sub>2</sub> reaction rate at the nucleate-hydrate interface is:

$$10 \quad M_{r,3} = 4\pi r_2^2 \vartheta_2 k_3 C_{CO_2}^{N,H} \quad (A.36)$$

11 where  $M_{r,3}$  is the CO<sub>2</sub> reaction rate at the nucleate-hydrate interface at Stage 3. CO<sub>2</sub> diffusion  
12 rate at Stage 3 is given by:

$$13 \quad M_{d,3} = 4\pi D_{e,3} \frac{(C_{CO_2}^b - C_{CO_2}^{N,H})}{\frac{1}{r_2} - \frac{1}{R_p}} \quad (A.37)$$

14 in which  $M_{d,3}$  is the CO<sub>2</sub> diffusion rate between bulk CO<sub>2</sub> and nucleate-hydrate interface. CO<sub>2</sub>  
15 rate of the reaction is equal to the rate of the diffusion:

$$16 \quad M_{r,3} = M_{d,3} \quad (A.38)$$

17 or

$$18 \quad C_{CO_2}^b - C_{CO_2}^{N,H} - \frac{r_2^2 \vartheta_2 k_3 C_{CO_2}^{W,N} \left(\frac{1}{r_2} - \frac{1}{R_p}\right)}{D_{e,3}} = 0 \quad (A.39)$$

19 or

$$20 \quad 1 - \frac{C_{CO_2}^{N,H}}{C_{CO_2}^b} \left(1 + \frac{\vartheta_2 k_3 R_p}{D_{e,3}} \left(\frac{r_2}{R_p}\right)^2 \left(\frac{1}{\left(\frac{r_2}{R_p}\right)} - 1\right)\right) = 0 \quad (A.40)$$

1 The rate of nucleate consumption is given by:

$$2 \quad N'_N = -\frac{d}{dt}\left(\frac{4\pi r_2^3 \rho_N}{3 MW_N}\right) \quad (\text{A.41})$$

3 where  $N'_N$  is the rate of nucleate consumption at Stage 3. The relation between nucleate  
4 consumption and reaction rate in Stage 3 is:

$$5 \quad N'_N = \frac{1}{\vartheta_2} M_{r,3} \quad (\text{A.42})$$

6 or

$$7 \quad \frac{dr_2}{dt} = -\frac{MW_N}{\rho_N} k_3 C_{CO_2}^b \frac{C_{CO_2}^{N,H}}{C_{CO_2}^b} \quad (\text{A.43})$$

### 8 **Non-isothermal equations in Stage 3:**

9 An energy balance around the particle at stage 3 is given by:

$$10 \quad \frac{4\pi R_p^3 \rho_{avg,3}}{3 MW_{avg,3}} C_{p,3} \frac{dT}{dt} = 4\pi R_p^2 h (T_b - T) - 4\pi r_2^2 k_3 C_{CO_2}^{N,H} \Delta H_2 \quad (\text{A.44})$$

11 or

$$12 \quad \frac{dT}{dt} = \frac{h(T_b - T) - \left(\frac{r_2}{R_p}\right)^2 k_3 \Delta H_3 C_{CO_2}^{N,H}}{\frac{R_p \rho_{avg,3}}{3 MW_{avg,3}} C_{p,3}} \quad (\text{A.45})$$

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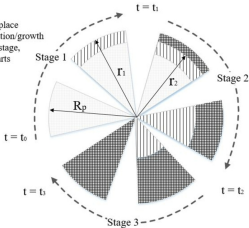
- A kinetic model is presented to observe features of the hydrate-based CO<sub>2</sub> capture.
- The model is able to predict the nucleation phase behavior and induction time.
- Temperature history profile over the nucleation and growth phases is simulated.
- The numerical estimation of the internal concentration profiles for a hydrating particle is demonstrated.
- A model-based criterion was proposed to estimate the nucleation number.

ACCEPTED MANUSCRIPT



### Stage 1

- Nucleation takes place
- No hydrate formation/growth
- At the end of this stage, hydrate growth starts



### Stage 2

- First layer of hydrate forms
- Nucleation process takes place simultaneously
- At the end of this stage, fresh water is fully consumed

### Stage 3

- No nucleation
- Hydrate growth takes place
- At the end of this stage, nuclei are fully consumed