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Structural performance and sustainability assessment of cold central-plant and inplace recycled asphalt pavements: A case study

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PII: S0959-6526(18)33248-7

DOI: https://doi.org/10.1016/j.jclepro.2018.10.222

Reference: JCLP 14624

To appear in: Journal of Cleaner Production

Received Date: 12 March 2018

Revised Date: 10 September 2018

Accepted Date: 21 October 2018

Please cite this article as: Gu F, Ma W, West RC, Taylor AJ, Zhang Y, Structural performance and sustainability assessment of cold central-plant and in-place recycled asphalt pavements: A case study, *Journal of Cleaner Production* (2018), doi: https://doi.org/10.1016/j.jclepro.2018.10.222.

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#### Structural Performance and Sustainability Assessment of Cold 1 **Central-Plant and In-Place Recycled Asphalt Pavements: A Case** 2 Study 3 Fan Gu<sup>1</sup>, Wangyu Ma<sup>1</sup>, Randy C. West<sup>1</sup>, Adam J. Taylor<sup>1</sup>, Yuqing Zhang<sup>2</sup>\* 4 <sup>1</sup>National Center for Asphalt Technology, 277 Technology Parkway, Auburn, Alabama 36830, US 5 <sup>2</sup> Aston Institute of Materials Research, Engineering Systems & Management Group, Aston University, Birmingham 6 7 B4 7ET, UK 8 \* Corresponding author: y.zhang10@aston.ac.uk; +44 121 204 3391 Abstract 9 This paper aimed at assessing the structural performance and sustainability of cold recycled 10 asphalt pavements. Four cold recycling technologies were investigated, including the cold central-11 plant recycling with emulsified and foamed asphalt binders (i.e., CCPR-E and CCPR-F), and the 12 cold in-place recycling with emulsified and foamed asphalt binders (i.e., CIR-E and CIR-F). 13 Firstly, the laboratory tests were conducted to comprehensively evaluate the dynamic modulus, 14 rutting, and cracking performance of cold recycled asphalt mixtures. Subsequently, these 15 laboratory results were used to determine the inputs of cold recycled asphalt mixtures for the 16 Pavement ME Design program, which was employed to predict the pavement performance. 17 Meanwhile, the National Center for Asphalt Technology also constructed four cold recycled 18 pavement sections in the field. The monitored and predicted pavement performance showed 19 similar trends in the first two years, but the Pavement ME Design program over predicted the rut 20 21 depth of these sections. The pavement performance results confirmed that the bottom-up fatigue cracking was a negligible distress mode for cold recycled asphalt pavements. In the following, the 22 life cycle cost analysis and life cycle assessment were conducted to evaluate the four different cold 23 recycling projects. The life cycle cost analysis results demonstrated that all of the four cold 24 recycling projects yielded less net present values than the HMA project. The life cycle assessment 25 data indicated that the cold recycling technologies reduced the energy consumption by 56-64%, 26 and decreased the greenhouse gas emissions by 39-46%. Finally, this study found that the overlay 27 28 and asphalt treated base thicknesses and climatic conditions had significant impact on the performance of cold recycled asphalt pavements. 29

# 31 *Keywords:* Cold Recycling; Laboratory Testing; Field Performance; Structural Assessment;

- 32 Sustainability
- 33

# 34 1. Introduction

Cold recycling is a rehabilitation method without the application of heat during the construction 35 process. This is a cost-effective rehabilitation technique, which is not only effective in eliminating 36 the rutting and fatigue cracking distresses of asphalt pavements (Alkins et al. 2008, Lane and 37 Kazmierowski 2005, Buss et al. 2017), but also conserves non-renewable resources and energy 38 (Thenoux et al. 2007, Tabakovic et al. 2016, Turk et al. 2016). Due to their merits in cost-39 effectiveness and sustainability, the cold recycling is currently attracting more and more attention 40 in the United States. Traditionally, cold recycling consists of two subcategories, i.e., cold in-place 41 recycling (CIR) and cold central-plant recycling (CCPR). CIR occurs within the roadway to be 42 recycled and uses 100 percent of the reclaimed asphalt pavement (RAP) generated during the 43 recycling process. CCPR is a process in which the asphalt recycling takes place at a central 44 location using a stationary cold mix plant. The cold recycling usually requires multiple binders, 45 including the bituminous material (e.g., foamed or emulsified asphalt binder), the chemical 46 47 additives (e.g., lime, cement or fly ash), and water (Gomez-Meijide et al. 2016, Cox and Howard 2016, Ma et al. 2017, Wang et al. 2018). A job mix formula defines the RAP gradation and the 48 composition of the multiple-binder system for cold recycled asphalt mixtures. Due to the high void 49 50 content of cold recycled asphalt mixtures, a surface course is required to protect the mixture from intrusion of surface moisture. Typically, the asphalt overlays are used for pavements with high 51 traffic volumes, while the chip seals, slurry surfacing and micro surfacing are employed for 52 pavements with low traffic volumes. Over the decades, the cold recycling has been an economical 53 rehabilitation technique for low volume roadways. Recently, the Virginia Department of 54 55 Transportation proved that cold recycling is also cost-effective for rehabilitation of heavy traffic volume roadways. To extend the use of cold recycling technologies, there is an urgent need to 56 develop a pavement design methodology for cold recycled asphalt pavements with heavy traffic 57 58 volume.

The existing studies primarily focused on the laboratory and field evaluation of cold recycled asphalt mixtures. For instance, Kim and Lee (2006) and Wirtgen (2012) developed mix design methods for cold recycled asphalt mixtures with foamed asphalt binder. These mix design methods not only define the requirements for RAP materials, foamed asphalt binder, and chemical

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additives, but also provide the procedures to design the optimum binder system. With the increase 63 of field experience in cold recycling, Asphalt Recycling and Reclaiming Association (ARRA) 64 (2015) developed new mix design methods for cold recycled asphalt mixtures with foamed and 65 66 emulsified asphalt binders, which redefined the material requirements and the performance criteria for asphalt mixtures. Kim et al. (2009), Khosravifar et al. (2015), Diefenderfer et al. (2016), and 67 Lin et al. (2017) conducted the dynamic modulus laboratory test for various cold recycled asphalt 68 mixtures. They found that the cold recycled asphalt mixtures exhibited less temperature and 69 frequency dependencies compared to hot mix asphalt (HMA), but still should be classified as 70 thermo-viscoelastic materials. Niazi and Jalili (2009), Kim et al. (2009), and Khosravifar et al. 71 (2015) evaluated the rutting resistance of cold recycled asphalt mixtures using the permanent 72 deformation tests. They pointed out that the rutting resistance of cold recycled asphalt mixtures 73 was dependent on the type and dosage of binders, in particular on the dosage of cement. If the 74 dosage of cement is less than 1.5%, the cold recycled asphalt mixtures generally have less rutting 75 resistance than the HMA (Bocci et al. 2011, Grilli et al. 2012, Stimilli et al. 2013, Leandri et al. 76 2015). Yan et al. (2010) investigated the fatigue cracking resistance of cold recycled asphalt 77 mixtures. They concluded that the fatigue properties of foamed and emulsified asphalt treated 78 79 mixtures were similar to the HMA. Diefenderfer et al. (2012, 2016) evaluated the CIR and CCPR projects in I-81 highway in Virginia and National Center for Asphalt Technology (NCAT) Test 80 Track, respectively. They reported that all of the cold recycling sections exhibited excellent 81 82 performance in terms of rutting and fatigue cracking resistance.

Although a great deal of studies have comprehensively characterized the performance of 83 cold recycled asphalt mixtures, limited research has dealt with the structural assessment of cold 84 recycled asphalt pavements. Diefenderfer et al. (2015) and Diaz-Sanchez et al. (2017) determined 85 the layer coefficients of cold in-place and central-plant recycled asphalt pavements for use in 86 87 AASHTO 93 Design. Their methodologies relied on an empirical relationship between the layer coefficient and the back-calculated resilient moduli. This relationship was originally developed for 88 HMA (Huang 2004), but whether it is suitable for cold recycled asphalt mixtures is still not clear. 89 90 Moreover, more highway agencies are abandoning the AASHTO 93 Design method and adopting the Mechanistic-Empirical Pavement Design Guide - now available as the AASHTOWare 91 92 Pavement ME Design program (Smith and Braham 2018, Shirzad et al. 2018). In the current Pavement ME Design program, the cold recycled asphalt mixture is considered as a bound base 93 material, which means that users only need to assign a constant resilient modulus. However, this 94

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assumption contradicts the fact that cold recycled asphalt mixture exhibits thermo-viscoelastic 95 characteristics being functional as an asphalt layer. Therefore, there is a need to develop a 96 mechanistic-empirical structural assessment methodology, which will take into account the 97 98 mechanical characteristics (e.g., viscoelasticity) of cold recycled asphalt pavements. Furthermore, the developed methodology should discriminate the pavement performance by using different cold 99 recycling technologies. The methodology should also be capable of evaluating the effects of 100 structural properties and climatic conditions on the long-term performance of cold recycled asphalt 101 pavements. These analyses will facilitate the use of cold recycling technologies for different 102 103 pavement structures in different climate regions.

In addition, the cold recycling is recognized as a cost-effective and sustainable
rehabilitation technique. However, there is no study available to compare the different cold
recycling technologies including CCPR and CIR in terms of life cycle costs and environmental
benefits. These comparisons will be beneficial for pavement practitioners to select the right cold
recycling technology for the given traffic volume, environment, and pavement structure.

To address the aforementioned research needs, this paper aimed at developing a 109 mechanistic-empirical pavement design methodology for cold recycled asphalt pavements, and 110 111 comparing the life cycle costs and environmental benefits of pavements when using different cold recycling technologies. In particular, the Pavement ME Design program was utilized to assess the 112 structural performance of cold recycled asphalt pavements. The laboratory tests including dynamic 113 114 modulus, permanent deformation and fatigue cracking tests were conducted to determine the appropriate inputs for cold recycled asphalt mixtures into the Pavement ME Design program. In 115 order to validate the prediction accuracy, the software predicted pavement performance was 116 compared against field performance measurements from test sections of the same material. 117 According to the predicted performance, a case study was conducted to investigate the 118 119 sustainability of asphalt pavements using different cold recycling technologies. Finally, a sensitivity analysis was conducted to evaluate the impacts of structural design parameters and 120 climatic condition on the performance of cold recycled asphalt pavements. 121

122

#### 123 2. Mix Design

This study followed the ARRA mix design guidelines to design cold recycled asphalt mixtures
with foamed and emulsified asphalt binders. The RAP materials were collected from a previous
construction project on US Highway 280 in Opelika, Alabama. The RAP binder content ranged

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from 4.9 – 5.2% and was performance graded as PG 100-10. The base asphalt binder used for
foaming and the emulsion were PG 67-22 binder from Birmingham, Alabama and PG 64-22
binder from Parsons Tennessee, respectively.

130 The Wirtgen laboratory foaming plant was used to produce the foamed asphalt. The asphalt foaming process was produced at 170°C and 1.3% water to obtain a foamed asphalt with 8.5 131 expansion ratio and 6-second half-life. A twin-shaft pug mill was used to mix RAP with foamed 132 binder at room temperature  $25 \pm 2^{\circ}$ C. The mixing time should not exceed 60 seconds. Immediately 133 after mixing, the specimens were compacted in a 100-mm diameter mold using a Superpave 134 gyratory compactor. The design number of gyrations was 35, and the desired height of the 135 specimens was 63.5±2.5 mm. The specimens were extruded from the molds after compaction, and 136 then cured in a forced draft oven at  $40 \pm 1^{\circ}$ C for 72 hours and cooled at  $25 \pm 2^{\circ}$ C for 24 hours. 137 Note that this curing protocol was used to condition specimens for both mix design and laboratory 138 performance testing. The compacted and cured specimens were tested for indirect tensile strength 139 in both dry and wet conditions following AASHTO T283 without freeze-thaw conditioning. The 140 ARRA criteria requires a minimum dry strength of 310 kPa and a minimum tensile strength ratio 141 of 0.7 for cold recycled asphalt mixtures. For central-plant recycled mixture, the foamed asphalt 142 143 content was 2.2% by the weight of dry RAP, and the total water content was 7.2% by the weight of dry RAP. For in-place recycled mixture, the foamed asphalt content was 1.8% by the weight of dry 144 RAP, and the total water content was 4.9% by the weight of dry RAP. A dosage of 1.5% Type I/II 145 146 Portland cement was added for both central-plant and in-place recycled mixtures to reduce the moisture susceptibility. 147

A cationic slow-set emulsifier INDULIN w-5 at a dosage rate of 1.0% was used to produce 148 the emulsified asphalt mixtures. The residue binder content was 62%. The pH value at room 149 temperature is 2.98. The penetration of recovered residue at 25°C was 56.2, and the softening 150 151 point of recovered residue was 48°C. Following similar mix design procedures of foamed asphalt, for central-plant recycled mixture, the emulsified asphalt content and total water content were 152 determined as 3.0% and 7.0% by the weight of dry RAP, respectively. While for in-place recycled 153 154 mixture, the emulsified asphalt content and the total water content were determined as 3.2% and 4.4% by the weight of dry RAP, respectively. A dosage of 1.5% Type I/II Portland cement was 155 156 also added for both central-plant and in-place recycled mixtures.

157 Figure 1 showed the gradations of the cold recycled asphalt mixtures before and after158 ignition. The figure legend used "RAP" to represent source RAP before burning, "F" to stand for

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159 foamed mixtures, and "E" for emulsion mixtures. The RAP materials were sampled after crushing

and fractionation using the CIR and CCPR processes. As presented in Figure 1, the CCPR had

161 coarser gradations than the CIR before and after ignition. After ignition, the foamed and emulsion

162 mixtures had similar aggregate gradations for both CIR and CCPR technologies.



#### 163 164

Figure 1. Aggregate Gradations of Cold Recycled Asphalt Mixtures

165

# 166 **3. Laboratory Characterization of Cold Recycled Asphalt Mixtures**

167 After mix design, the determined binder compositions were used in the CCPR and CIR processes.

168 The loose mixes were sampled from the central-plant and in-place, respectively, and then

169 compacted and cured in the laboratory. Three laboratory tests were conducted to characterize the

170 mechanical behavior of cold recycled asphalt mixtures, which included the dynamic modulus test,

171 permanent deformation test, and overlay test. The detailed test procedures and test results were

- 172 presented as follows.
- 173 3.1 Dynamic Modulus Test

The dynamic modulus test was used to determine the viscoelastic inputs of cold recycled asphalt mixtures for the Pavement ME Design program. These tests were conducted in an asphalt mixture performance tester (AMPT) in accordance with AASHTO TP79 with some modifications. Three temperatures (4, 20, and 40°C) and three frequencies (0.1, 1, and 10 Hz) were selected for testing. The small-scale specimens (i.e., 50-mm diameter and 110-mm height) were fabricated

following a method proposed by Bowers et al. (2015). Two replicates were used in this test. Figure 179 2 presented the dynamic modulus master curves for HMA and cold recycled asphalt mixtures at a 180 reference temperature of 20°C. Herein, the HMA mixture contained 5.2% PG 64-22 asphalt binder 181 182 and 94.8% virgin aggregates. The air void content was 7% and the corresponding nominal maximum aggregate size was 9.5mm. In the log-scale frequency space, the low frequency range 183  $(10^{-5} \text{ to } 10^{-3} \text{ Hz})$  corresponds to the high temperature range, the mid-frequency range  $(10^{-3} \text{ to } 10^{-3} \text{ to } 10^{-$ 184 Hz) corresponds to the intermediate temperature range, and the high frequency range  $(10^3 \text{ to } 10^5 \text{ t$ 185 Hz) corresponds to the low temperature range (Gu et al. 2018). As shown in Figure 2, the cold 186 recycled asphalt mixtures generally had lower dynamic moduli than the HMA in the entire 187 frequency range. In the high frequency (or low temperature) range, the cold recycled asphalt 188 mixtures showed comparable dynamic moduli. While in the low frequency (or high temperature) 189 range, the CCPR foamed asphalt mixture showed a much higher dynamic modulus than the other 190 cold recycled materials. In the Pavement ME Design program, the dynamic moduli of HMA and 191 cold recycled asphalt mixtures were tabulated according to the specified temperatures and 192 frequencies in the test. The software was able to automatically predict the dynamic moduli of 193 asphalt mixtures at any given temperature and load frequency. 194



Figure 2. Dynamic Modulus Master Curves for Cold Recycled Asphalt Mixtures



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199 The permanent deformation tests were performed on small-scale specimens to evaluate the rutting resistance of cold recycled asphalt mixtures. The test procedures followed the AASHTO 200 Standard TP79. The tests were conducted at 54.5°C with a 483 kPa deviator stress and a 69 kPa 201 202 confining stress. Each specimen was subjected to the repeated compressive loading until the accumulated plastic strain reached 100,000 microstrains or the number of loading cycles reached 203 20,000 cycles, whichever came first. The accumulated plastic strain curves were used to evaluate 204 the rutting susceptibility of asphalt mixtures. Three replicates were used in this test. Figure 3 205 showed the permanent deformation test results for HMA and cold recycled asphalt mixtures. As 206 presented, the CCPR foamed asphalt mixture exhibited the greatest rutting resistance, while the 207 CCPR emulsified, CIR foamed, and CIR emulsified asphalt mixtures had less rutting resistance 208 than the HMA. Compared to the CIR asphalt mixtures, the CCPR asphalt mixtures had much less 209 susceptibility to rutting. This might be because the CCPR asphalt mixtures had coarse gradations 210 than the CIR asphalt mixtures. The rutting curves were fitted by a power function, as shown in 211 Equation 1. 212

 $\frac{\varepsilon_p}{\varepsilon_r} = aN^b \tag{1}$ 

where  $\mathcal{E}_p$  is the accumulated plastic strain,  $\mathcal{E}_r$  is the resilient strain, N is the number of load repetitions, and a and b are the model coefficients. Table 1 showed the determined rutting model coefficients for these asphalt mixtures. In the Pavement ME Design program, the rutting potential of asphalt mixture was calculated by Equation 2.

$$\frac{\varepsilon_p}{\varepsilon_r} = \beta_{r1} 10^{k_1} T^{k_2 \beta_{r2}} N^{k_3 \beta_{r3}}$$
(2)

where *T* is the layer temperature,  $k_1$ ,  $k_2$  and  $k_3$  are the rutting coefficients, and  $\beta_1$ ,  $\beta_2$  and  $\beta_{r_3}$ are the calibration factors, which are usually assumed as 1.0. For HMA, the default values of rutting coefficients are:  $k_1 = -3.35412$ ,  $k_2 = 1.5606$ , and  $k_3 = 0.4791$ . In this study, both HMA and cold recycled asphalt mixtures were assumed to possess comparable thermal characteristics, which meant that the k<sub>2</sub> value for cold recycled asphalt was also set as 1.5606. Accordingly, the k<sub>1</sub> and k<sub>3</sub> values for cold recycled asphalt were calculated by Equations 3 and 4, respectively.

225 
$$k_{1-CR} = k_{1-HMA} - \log_{10} \left( \frac{a_{HMA} \varepsilon_{r_{CR}}}{a_{CR} \varepsilon_{r_{HMA}}} \right)$$
(3)

226

$$k_{3-CR} = k_{3-HMA} - (b_{HMA} - b_{CR}) \tag{4}$$

227 where the subscript CR denotes the cold recycled asphalt, and the subscript HMA stands for the

HMA. The calculated k-values of cold recycled asphalt were also shown in Table 1.



- HMA 
$$\rightarrow$$
 CCPR-E - - CIR-E  $\rightarrow$  CCPR-F - - CIR-F





232

Table 1. Rutting Model Coefficients of Cold Recycled Asphalt Mixtures

	Rutting Model Coefficients				
Asphalt Mixture	Power Model		Pavement ME Design Model		
	a	b	$\mathbf{k}_1$	$\mathbf{k}_2$	$\mathbf{k}_3$
НМА	2037	0.2813	-3.354	1.5606	0.4791
CCPR-F	1287	0.2718	-3.585	1.5606	0.4696
CCPR-E	3604	0.2753	-3.377	1.5606	0.4730
CIR-F	3018	0.3400	-3.569	1.5606	0.5378
CIR-E	2870	0.3358	-3.499	1.5606	0.5335

233

#### 234 3.3 Overlay Test

To evaluate the fatigue cracking resistance of cold recycled asphalt mixtures, the overlay tests were conducted in accordance with the Texas Department of Transportation Standard Tex-248-F with some modifications. The field sampled and laboratory compacted specimens were tested at a frequency of 0.1 Hz with a maximum opening displacement of 0.381 mm. The load force and the plate opening displacement were recorded during the test. The number of failure cycles corresponds to 93% reduction of initial load. A higher number of failure cycles indicates a

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better resistance to fatigue cracking. More details of overlay test can be found at Gu et al. (2015a 241 and 2015b). Four replicates were used in this test. Figure 4 showed the overlay test results for the 242 cold recycled asphalt mixtures. As illustrated, the CCPR foamed mixture had a better fatigue 243 244 cracking resistance than the CCPR emulsion mixture and the CIR foamed mixture had a lower fatigue cracking resistance than the CIR emulsion mixture. The statistical analysis was conducted 245 to determine the significance of difference between these mixtures. Tukey's pairwise comparison 246 showed the differences of fatigue cracking resistance was insignificant at a significance level of 247 0.05 (p-value from analysis of variance). Moreover, Schwartz et al. (2017) stated that the bottom-248 up fatigue cracking was not an important distress mode for cold recycled asphalt pavements. Thus, 249 this study did not consider the difference of fatigue properties among cold recycled asphalt 250 mixtures. In the Pavement ME program, the fatigue life of asphalt pavement was calculated by, 251

252 
$$N_{f} = 0.00432 * C * \beta_{f_{1}} * k_{1} \left(\frac{1}{\varepsilon_{1}}\right)^{k_{2} * \beta_{f_{2}}} \left(\frac{1}{E_{1}}\right)^{k_{3} * \beta_{f_{3}}}$$
(5)

where  $N_f$  is the fatigue life of asphalt pavement, *C* is the laboratory to field adjustment factor,  $\mathcal{E}_1$  is the tensile strain at the critical location,  $E_1$  is the stiffness of material,  $k_1$ ,  $k_2$  and  $k_3$  are the fatigue properties,  $\beta_{f_1}$ ,  $\beta_{f_2}$  and  $\beta_{f_3}$  are calibration factors. The default values of fatigue properties are:  $k_1 = 0.007566$ ,  $k_2 = 3.9492$ , and  $k_3 = 1.281$ . In this study, these default fatigue properties were used to represent the fatigue cracking resistance of cold recycled asphalt mixtures.



Figure 4. Overlay Test Results of Cold Recycled Asphalt Mixtures

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#### 260 4. Field Performance Prediction of Cold Recycled Asphalt Pavements

In 2015, the NCAT constructed four test sections on US280 in Lee County, Alabama, to evaluate 261 the field performance of cold recycled asphalt pavements. These sections included the CCPR with 262 263 emulsified binder (CCPR-E) and with foamed binder (CCPR-F), and the CIR with emulsified binder (CIR-E) and with foamed binder (CIR-F). Figure 5 showed the location and structures of 264 these test sections. To compare with these cold recycled asphalt pavements, one HMA pavement 265 structure was assumed as the control section (Control) in this study. As illustrated in Figure 5, the 266 thickness of the cold recycled asphalt layer was 90 mm. The cold recycled asphalt layer was 267 268 surfaced with a 25-mm HMA overlay. The underlying layers included 254-mm asphalt treated base (ATB), 203-mm unbound granular subbase, and subgrade soil. The annual average daily 269 traffic was 18,300 and 16% of the daily traffic was estimated to be heavy truck traffic. The traffic 270 speed limit was 105 km/h. 271

The Bottle Aubur		Opelika Pavem	ent Structures	Fest Sections	
Control			Cold Recycling		
Layer No.	Material	Layer Thickness (mm)	Material	Layer Thickness (mm)	
1	HMA Thinlay	25	HMA Thinlay	25	
2	HMA Binder Course	90	Cold Recycled Asphalt	90	
3	Asphalt Treated Base	254	Asphalt Treated Base	254	

272 273 4

5

NA= Not Available

Z	1	

#### Figure 5. Cold Recycled Asphalt Pavement Sections on US280 in Alabama

203

NA

Unbound Granular Subbase

Subgrade

203

NA

In this study, the level 1 inputs were used for characterizing the HMA overlay, the cold recycled asphalt layer, and the asphalt treated base in the Pavement ME Design program. These inputs were determined from the dynamic modulus and permanent deformation test results

Unbound Granular Subbase

Subgrade

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12

presented in the previous section. The level 2 inputs were used for unbound aggregates subbase
and subgrade soil. The resilient moduli of unbound aggregates and subgrade soil were 206 MPa
and 103 MPa, respectively. The analysis period was assigned as 15 years.

280 Figure 6 showed the predicted and measured rut depths using the Pavement ME Design program. In the legend, "P" stands for the predicted values, and "M" represents the measured 281 results. As illustrated in Figure 6, the predicted rut depth of CCPR-F section showed comparable 282 rut depth to the control section, which was around 10 mm after 15-year service life. Compared to 283 the control section, the CCPR-E section had a higher predicted rut depth, but still satisfied the rut 284 depth criterion, which allowed the rut depth less than 19 mm. While according to this rut depth 285 criterion, both CIR sections required rehabilitation activities before the end of the analysis period. 286 Specifically, the CIR-E section required the rehabilitation at the 10<sup>th</sup> year of service, and the CIR-F 287 section needed the rehabilitation at the 11<sup>th</sup> year of service. Figure 6 also showed that the model 288 predicted rut depths almost doubled those measured from the field thus far. This demonstrated that 289 the Pavement ME Design program over predicted the rutting depth for asphalt pavements. The 290 discrepancies between ME predictions and field measurements might be attributed to the lack of 291 local calibration of ME coefficients. Another reason for the discrepancies is that the current 292 293 laboratory curing protocol might not simulate the long-term physical and chemical changes of cold recycled asphalt mixtures in the field. In this study, the predicted performance did not consider the 294 increase of material properties due to the long-term curing. However, both the model predictions 295 296 and field measurements revealed the same sequence of rutting susceptibility for these cold recycled pavements, i.e., CCPR-F < CCPR-E < CIR-E < CIR-F. This finding was consistent with 297 the permanent deformation test results in the laboratory. 298





299 Figure 6. Predicted and Measured Rut Depths for Cold Recycled Asphalt Pavements 300 Figure 7 presented the predicted bottom-up fatigue cracking in asphalt pavements. It was 301 302 shown that the bottom-up fatigue cracking distress was only 1.4-1.6 % lane area in cold recycled asphalt pavements, which was much less than the threshold value for rehabilitation (i.e., 25% lane 303 304 area). This confirmed with the initial assumption that the bottom-up fatigue cracking was a negligible distress mode for cold recycled asphalt pavements. Within the 2-year service, no fatigue 305 cracking had been observed from these cold recycled pavement sections. The measured fatigue 306 cracking performance was consistent with the prediction from the Pavement ME Design program. 307











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319
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Figure 8. Predicted International Roughness Index for Cold Recycled Asphalt Pavements 320 321 In general, the CCPR-F section exhibited much better performance than other cold recycled pavement sections, which was even comparable to the control section. Compared to the 322 CCPR-F section, the CCPR-E section had much more rutting and fatigue cracking distresses, but 323 still passed the performance criteria in the analysis period. According to the Pavement ME 324 predictions, the CIR-F and CIR-E sections had severe rutting distresses, which may require major 325 rehabilitation at 10<sup>th</sup> and 11<sup>th</sup> year of service, respectively. Note that these conclusions are drawn 326 from the performance prediction results by the Pavement ME Design program. The designed 327 material properties of cold recycled asphalt mixtures are dependent on the adopted mix design 328 329 procedure and the laboratory curing protocol.

330

#### 331 5. Sustainability Assessment of Cold Recycled Asphalt Pavements

Based on the predicted performance results, this section compared the life cycle costs and

- environmental benefits of the four different cold recycling technologies including CCPR-E,
- 334 CCPR-F, CIR-E, and CIR-F.
- 335 5.1 Life Cycle Cost Analysis

To enable a fair comparison among competing pavement alternatives, all future anticipated costs and salvage value were discounted to the present to take into account the time value. The net

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338 present value (NPV) of initial construction and discounted future costs and salvage value was then

- determined for each alternative using the common economics formula shown in Equation 6.
- 340 Finally, the alternative with the lowest NPV was considered to be the most economical choice.

341 
$$NPV = Initial \ Const. \ Cost + \sum_{k=1}^{N} Future \ Cost_{k} \left\lfloor \frac{1}{\left(1+i\right)^{n_{k}}} \right\rfloor - Salvage \ Value \left\lfloor \frac{1}{\left(1+i\right)^{n_{e}}} \right\rfloor$$
(6)

342 where *i* is the discount rate;  $n_k$  is the number of years from initial construction to the k<sup>th</sup>

expenditure; and  $n_{\rho}$  is the analysis period. For the sake of simplicity, this study did not consider 343 any user costs in the life cycle cost analysis, and assumed the analysis period is only 10 years. 344 According to the predicted performance results, there were no rehabilitation activities required for 345 all of the four cold recycling technologies. Herein, the discount rate was assigned as 4.0%, which 346 347 was a common value used by most of the Departments of Transportation in the United States 348 (West et al. 2013). Table 2 compared the life cycle costs of the HMA and cold recycled pavement 349 alternatives. The details of life cycle cost analysis can be found at Tables S1-S4 of the supporting documents. As presented in Table 2, all of the cold recycled pavement sections had lower NPVs 350 than the HMA section. This confirmed that the cold recycling technique is more economical than 351 352 the replacement of HMA layer. By comparing the different cold recycling technologies, it was found that the CCPR technologies were more cost-effective than the CIR technologies. This was 353 because the CCPR pavements had comparable initial construction cost, but much higher salvage 354 value than the CIR pavements. Table 2 also demonstrated that the CCPR-F was the most 355 economical choice in this case study, which reduced the NPV by 32% when compared to the 356 357 HMA replacement.

358

 Table 2. Comparison of Life Cycle Costs of Pavement Alternatives

Pavement	Initial Construction	Salvage Value	Net Present Value
Alternatives	Cost (\$/LKM <sup>1</sup> )	(\$/LKM <sup>1</sup> )	(\$/LKM <sup>1</sup> )
HMA	34,257	15,225	23,971
CCPR-F	23,185	10,304	16,224
CCPR-E	23,754	7,918	18,405
CIR-F	19,866	0	19,866
CIR-E	22,467	2,043	21,088

359 Note:  $^{1}$  LKM = Lane Kilometer

367

#### 360 5.2 Life Cycle Assessment

The life cycle assessment was conducted to quantify the environmental benefits of the four different cold recycling technologies. Table 3 listed the life cycle inventory (LCI) of asphalt pavement. Note that this study only focused on the energy consumption and greenhouse gas (GHG) emissions of the five processes, including raw material production, asphalt mixture manufacture, pavement milling, material transport, and material placement. The material composition was described in the previous sections. The transport distance was 32 km for all projects.

Table 5. Elle Cycle Inventory of Asphalt I avenient					
Processes		Energy Consumption (MJ/ton)	GHG Emissions (kg/ton)	LCI Source	
	Asphalt Binder	4402	274	(EIA, 2013)	
Raw	Asphalt Emulsion (62% Residue)	3165	195	(Yang, 2014)	
Materials	Cement	5745	921	(PCA, 2007)	
	Crushed Aggregates	30	2.1	(EarthShift, 2013)	
	Water	10	0.3		
Manufacture	$HMA^{1}$	275	22	(Channet and	
	CCPR Mix	14	1	Bilal, 2003)	
	CIR Mix	15	1.13		
Milling		12	0.8		
Transport (km/ton)		1.3	0.06	(EPA, 2014)	
Placement	HMA	9	0.6	(Channot and	
	Cold Mix Asphalt	6	0.4	Bilal, 2003)	

 Table 3. Life Cycle Inventory of Asphalt Pavement

368 Note: <sup>1</sup> 20% RAP was used in the HMA

Figure 9 presented the energy consumption of HMA and cold recycling projects. It was

shown that the cold recycling technologies reduced the energy consumption by 56-64%.

371 Compared to the HMA project, both CCPR and CIR projects exhibited similar reduction in energy

consumption. As shown in Figure 9, the cold recycling projects dramatically reduced the energy

373 consumption in the manufacture process, and slightly saved the energy from the production of raw

materials. By comparison of the cold recycling projects, the CIR projects consumed less energy

than the CCPR projects, which was mainly because that the CIR projects took the material

transport out of the entire process.





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#### Figure 9. Energy Consumption of Cold Recycling Projects

Figure 10 showed the GHG emissions of HMA and cold recycling projects. Compared to 380 the HMA project, the cold recycling technologies reduced the amount of GHG emissions by 39-381 46%. Both CCPR and CIR projects exhibited similar reduction in GHG emissions. As 382 383 demonstrated in Figure 10, the cold recycling projects substantially reduced the GHG emissions in the manufacture process, which was due to the significant decrease of manufacture temperature. 384 Although the cold recycling projects utilized less asphalt binder and crushed aggregates, they still 385 had higher GHG emissions than the HMA project in the production of raw materials. This was 386 because that the production of cement yielded much higher GHG emissions than other materials. 387 Compared among the cold recycling projects, the CIR projects had marginally lower GHG 388 emissions than the CCPR projects, which was still attributed to the remove of material transport 389 from the entire process. 390

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Figure 10. Greenhouse Gas Emissions of Cold Recycling Projects

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# 395 6. Structural Performance Assessment of Cold Recycled Asphalt Pavements

The cold recycling treatment depths generally have a narrow range that is from 75 to 100 mm. For cold recycled asphalt pavement, an overlay is needed to place on top of the cold recycled layer to ensure good ride quality. To design a cost-effective cold recycled pavement structure, the thicknesses of overlay and ATB are dependent on the type of cold recycling technologies and the climate condition. This section discussed the influence of these design parameters on the performance of cold recycled asphalt pavements.

402 This study assumed the cold recycled pavement structure shown in Figure 5 as the base structure. The effect of overlay thickness on the performance of cold recycled asphalt pavements 403 404 was shown in Figure 11. As presented in Figure 11a, the increase of overlay thickness significantly reduced the final rut depth of the CIR sections and the CCPR-E section, and slightly reduced the 405 final rut depth of CCPR-F section. The pavements with 51 and 64 mm thick overlay could pass the 406 design criterion for rutting regardless of which cold recycling technology is applied. Figure 11b 407 showed that all of the pavement sections also met the requirement for IRI. It was demonstrated 408 that the increase of overlay thickness was beneficial for the ride quality. Increasing overlay 409 thickness from 25 to 64 mm reduced the IRI by 10.3% for the CIR-F section, 9.0% for the CIR-E 410 411 section, 1.7% for the CCPR-F section, and 6.4% for the CCPR-E section.





(b) International Roughness Index
 Figure 11. Effect of Overlay Thickness on Cold Recycled Asphalt Pavement Performance
 after 15-Year Service





# (b) International Roughness Index Figure 12. Effect of ATB Thickness on Cold Recycled Asphalt Pavement Performance after 15-Year Service

In the Long-Term Pavement Performance (LTPP) database, the climate in the United 425 426 States is divided into four zones, namely, wet-freeze, wet-no-freeze, dry-freeze and dry-no-freeze. The aforementioned cases were located in the State of Alabama (AL), which was classified in wet-427 428 no-freeze zone. To evaluate the impact of climate condition on structural design, other three weather stations were also analyzed in this study, which included Los Angeles in California (CA, 429 dry-no-freeze), Bozeman in Montana (MT, dry-freeze), and Minneapolis in Minnesota (MN, wet-430 freeze). Figure 13 showed the impact of climate conditions on the performance of CCPR-E 431 sections. As presented in Figure 13a, the MT section had the lowest rut depth when compared 432 against other sections. The AL and CA sections exhibited similar resistances to rutting. Compared 433 to the no-freeze zones (i.e., AL and CA sections), the freeze zones (i.e., MT and MN sections) 434

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resulted in less rutting distress. This might be because the asphalt materials in freeze zones were 435 much stiffer than those in no-freeze zones. As shown in Figure 13b, the CA section had the lowest 436 IRI value in comparison to other sections. Compared to wet zones (i.e., AL and MN sections), the 437 438 dry zones yielded lower IRI values at the end of analysis period. In this case study, the CCPR-E structures shown in Figure 5 passed both the rutting and IRI design criteria in the all four climatic 439 zones. It is worth mentioning that the current Pavement ME Design considers the influence of 440 climate on pavement performance by varying the mechanical properties of asphalt material and 441 unbound material. The influence of climate on moisture damage and freeze-thaw effects are not 442 443 included in the analysis.



(b) International Roughness Index Figure 13. Impact of Climate Conditions on the Performance of CCPR-E Sections 444 445 446

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#### 448 7. Conclusions and Future Work

This study evaluated the structural characteristics of cold recycled asphalt pavements using a
mechanistic-empirical approach, and assessed the sustainability of cold recycling technologies in
terms of life cycle costs and environmental benefits. The major contributions of this paper were
summarized as follows:

- The dynamic modulus test results confirmed that the cold recycled asphalt mixtures should be considered as thermo-viscoelastic materials (Kim et al. 2009). The permanent deformation test results demonstrated that the CCPR mixtures showed less rutting susceptibility than the CIR mixtures, and the CCPR-F mixture had comparable rutting resistance to HMA. The overlay test results showed that the cold recycled asphalt mixtures had comparable resistances to fatigue cracking.
- This study confirmed that the bottom-up fatigue cracking was a negligible distress mode for cold recycled asphalt pavements (Schwartz et al. 2017). Four cold recycled asphalt pavement sections (i.e., CCPR-E, CCPR-F, CIR-E, and CIR-F) were constructed in the State of Alabama, US. The monitored and predicted pavement performance showed similar trends in the first two years, but the Pavement ME Design program over predicted the rutting depth of these sections relative to the field measurements.
- The results of life cycle cost analysis demonstrated that all of the four cold recycling
  projects yielded less NPVs than the HMA project. Compared among the cold recycling
  projects, the CCPR-F was the most economical choice in this case study. The life cycle
  assessment data indicated that the cold recycling technologies reduced the energy
  consumption by 56-64%, and decreased the GHG emissions by 39-46%. Compared to the
  CCPR projects, the CIR projects had slightly less energy consumption and GHG emissions.
- The rut depth and IRI of cold recycled asphalt pavements were significantly affected by the
  overlay and ATB thicknesses and the climatic conditions.
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474 Cold recycled asphalt mixtures are evolutive materials whose properties change due to the
475 physical and chemical processes, such as moisture evaporation, emulsion setting, and cement
476 hydration. The future studies should focus on the development of laboratory curing protocol to
477 simulate these long-term physical and chemical changes. The developed curing protocol will be

- crucial to determine the material properties of cold recycled asphalt mixtures for pavement
- 479 structural design.
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# 481 Acknowledgements

- 482 The authors acknowledge the financial support provided by the US Federal Highway
- 483 Administration via a pooled fund study and the UK Royal Academy of Engineering via the
- 484 Distinguished Visiting Fellowship Program. Special thanks are extended to Wirtgen America,
- 485 Ingevity, and East Alabama Paving for their construction assistance and to Raymond Powell and
- 486 Jason Nelson for their contribution to field-testing. The authors also appreciate the five anonymous
- 487 reviewers for their insightful remarks.
- 488

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