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Abstract: Many commercial cemented glenoid components claim superior
fixation designs and increased survivability. However, both research and
clinical studies have shown conflicting results and it is unclear whether
these design variations do improve loosening rates. Part of the
difficulty in investigating fixation failure is the inability to directly
observe the fixation interface, a problem addressed in this study by
using a novel experimental set-up.

Cyclic loading-displacement tests were carried out on 60 custom-made
glenoid prostheses implanted into a bone substitute. Design parameters
investigated included treatment of the fixation surface of the component
resulting in different levels of back-surface roughness, flat-back versus
curved-back, keel versus peg and more versus less conforming implants.
Visually-observed failure and ASTM-recommended rim-displacements were
recorded throughout testing to investigate fixation failure and if rim
displacement is an appropriate measure of loosening.

Roughening the implant back ($R_a > 3 \mu\text{m}$) improved resistance to failure ($P < 0.005$) by an order of magnitude with the rough and smooth groups
failing at 8712 ± 5584 cycles (mean \pm SD) and 1080 ± 1197 cycles,
respectively. All other design parameters had no statistically
significant effect on the number of cycles to failure. All implants
failed inferiorly and 95 % (57/60) at the implant/cement interface. Rim-
displacement correlated with visually observed failure.

The most important effect was that of roughening the implant, which
strengthened the polyethylene-cement interface. Rim-displacement can be
used as an indicator of fixation failure, but the sensitivity was
insufficient to capture subtle effects.

Level of Evidence: Basic Science Study, Biomechanical Analysis.

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1 **Treatment of the Fixation Surface Improves Glenoid Prosthesis Longevity in-vitro**

2

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22 **Keywords:** glenoid, loosening, fixation failure, design, roughness, total shoulder arthroplasty

23 **Abstract**

24 Many commercial cemented glenoid components claim superior fixation designs and
25 increased survivability. However, both research and clinical studies have shown conflicting
26 results and it is unclear whether these design variations do improve loosening rates. Part of
27 the difficulty in investigating fixation failure is the inability to directly observe the fixation
28 interface, a problem addressed in this study by using a novel experimental set-up.

29 Cyclic loading-displacement tests were carried out on 60 custom-made glenoid
30 prostheses implanted into a bone substitute. Design parameters investigated included
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32 roughness, flat-back versus curved-back, keel versus peg and more versus less conforming
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34 recorded throughout testing to investigate fixation failure and if rim displacement is an
35 appropriate measure of loosening.

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38 \pm SD) and 1080 ± 1197 cycles, respectively. All other design parameters had no statistically
39 significant effect on the number of cycles to failure. All implants failed inferiorly and 95 %
40 (57/60) at the implant/cement interface. Rim-displacement correlated with visually observed
41 failure.

42 The most important effect was that of roughening the implant, which strengthened the
43 polyethylene-cement interface. Rim-displacement can be used as an indicator of fixation
44 failure, but the sensitivity was insufficient to capture subtle effects.

45

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1 **Introduction**

2 The clinical incidence of glenoid component loosening in total shoulder replacement (TSR)
3 remains high and increases dramatically at longer follow-up. Torchia et al. (1997) reported
4 radiographic loosening rates of 44% at 9.7 years while more recent papers have reported
5 48.5% at 10 years and 66.4% at 15 years (Young et al., 2011). In an attempt to lower these
6 loosening rates several types of glenoid components have been tried.

7

8 Current glenoid implant designs vary in several ways: the anchorage of the implant (keel or
9 pegs), the level of conformity between the humeral and glenoid components, curve-back
10 versus flat-back shape, and macrostructures for cement interlocking. The effect of all these
11 parameters on loosening of cemented all-polyethylene glenoid components is still not well
12 understood.

13

14 One design feature that has not received much attention is the roughness of the backside of
15 the implant. Anglin et al. (2001) demonstrated a dramatic improvement to glenoid resistance
16 to mechanical loosening in two specimens after sandblasting the backside of the polyethylene
17 glenoid component. The importance of roughening the polyethylene-cement interface was
18 also indicated by Nyffeler et al. (2003) who showed that the pull-out strengths of
19 polyethylene pegs in cement was increased by an order of magnitude in pegs that had been
20 roughened by sandblasting. The paper also reported clinical failure at the implant-cement
21 interface from a retrieved implant (Figures 6 and 7 in Nyffeler et al. 2003).

22

23 The lack of clarity of the effects of design parameters relates to the fixation being embedded
24 in the bone and impossible to observe directly. Instead, previous studies have measured
25 implant 'rim-displacement' and relied on this as an indirect measure of loosening (Anglin et

26 al., 2001; Collins et al., 1992; Oosterom et al., 2004). This methodology is recommended by
27 the American Society for Testing of Materials (ASTM F2028-14) and based on idealised
28 cemented glenoids as a model. Due to the lack of clarity in experimental testing, studies
29 investigating glenoid design features have largely utilised numerical methods to investigate
30 stress patterns during various loading regimes. Lacroix and Prendergast 1997 predicted peg
31 implants would perform better in normal bone, whereas keel would perform better in
32 rheumatic bone, however Mansat et al. 2007 found no clear differences in stresses between
33 peg and keel. In the case of flat-back verses curve-back, Iannotti et al. 2005 predicted higher
34 rim displacements in flat-back designs if completely de-bonded and Swieszkowski et al. 2003
35 predicted high implant wear with glenoid to humeral head radial mismatch of 5 mm or more.
36 Many finite element studies have been informative but cannot be predictive. Thus numerical
37 methods can be a powerful supportive tool for the investigation of implant designs, however
38 the variability in boundary conditions and interfacial conditions can result in varying
39 conclusions and further highlight the need for a validated experimental approach that can be
40 used in conjunction with numerical studies.

41

42 The aim of this study was to investigate the effect of the key design variables; keel versus
43 peg, flat-back versus curve-back, conforming versus non-conforming and rough-backed
44 versus smooth-backed on the life to failure of glenoid components subjected to cyclic
45 loading. The second aim was to determine whether the ASTM recommended rim-
46 displacement measure would correlate with direct visual observations of failure, thus
47 providing confidence in its use as a measure of likelihood of loosening.

48

49 **Materials and Methods**

50 In this study, the inability to directly observe the fixation interface was solved using custom-
51 made implants (Fig. 1). These samples are clearly different from commercial glenoid
52 prostheses but allowed direct visual inspection of the fixation for failure (Fig. 2). The samples
53 did not vary in the third dimension and will be described as 2D models/samples. Two-
54 dimensional models are reasonable in this testing configuration because the contact in metal-
55 on-polyethylene implants is point contact where the movement is predominantly
56 superoinferior, with relatively small anteroposterior movement (Anglin et al. 2000). This 2D
57 methodology has also been used in a previous paper (Junaid et al., 2010).

58

59 Sixty 2D samples, including 8 different designs were manufactured based on the design of
60 commercial implants (see Table I). No macrostructures on the keel and peg designs were
61 included. The commercially available designs were simplified to isolate the effects of design
62 variations without confounding the comparison by also having different macrostructures.
63 This was carried out due to the difficulty in standardising the macrostructures, since these
64 vary from one implant brand to another. The 60 samples were further divided into 2 groups:
65 24 were smooth, as machined (roughness 0-2 μm), while 36 samples were roughened to 3-5
66 μm . Roughness measures of a typical smooth-back glenoid implant in clinical use were
67 measured in the laboratory and found to be $1.58\pm 0.59 \mu\text{m}$ (peg) and $1.29\pm 0.24 \mu\text{m}$ (keel). A
68 commercially available rough-backed implant was measured to have a roughness of
69 $4.43\pm 1.39 \mu\text{m}$ (peg), thus both smooth and rough samples were within the range required.
70 The back of the implants were sandblasted to roughen the surface including the peg and keel
71 features and a Talysurf surface profiler (Taylor-Hobson, AMETEK Inc., Pennsylvania, USA)
72 was used to measure the surface roughness. R_a is most commonly used as a measure of
73 surface roughness and is defined as the arithmetic mean of the absolute values of the
74 roughness profile from the mean line.

75

76 The glenoid components were implanted into a polyurethane bone substitute using PMMA
77 bone cement (SimplexTM P, Stryker, New Jersey, USA) (Table II) by an orthopaedic shoulder
78 surgeon (SS). The bone substitute blocks were prepared using a CNC machine to accurately
79 cut out the glenoid back and accommodate a 2 mm cement mantle. The cement was hand-
80 mixed at room temperature for approximately 90 seconds then poured into the bone substitute
81 cavity. The volume of cement mixed was measured and consistent for each sample however,
82 the volume used per sample was not controlled as this varied from one design to another. The
83 implant was pressed into the cement using hand pressure and a conforming weight of
84 approximately 0.5 kg was placed onto the glenoid surface to maintain the 2 mm mantle
85 between the implant and bone and maintain a constant isotropic pressure during cement
86 polymerisation. The mantle thickness was manually checked at the time of cementing to
87 ensure it did not exceed 3 mm and was no less than 2 mm.

88

89 The cylindrical humeral head (radius 24 mm) was compressed into the glenoid using a
90 horizontal load of 1800 N applied by a pneumatic cylinder (Junaid et al., 2010). All samples
91 were cyclically tested with a frequency of 0.5 Hz under displacement-control and were tested
92 in a water bath at 37 ± 2 °C as described in the testing standard ASTM F2028-14 using a
93 servohydraulic machine (Instron 8874, Illinois, USA). The tests were halted and the water
94 bath removed every 2000 cycles for the samples to be inspected visually for failure and
95 failure progression. Subsequent to the visual inspection, a custom-made clamp fixed directly
96 to the bone substitute block was used to clamp two linear variable displacement transducers
97 (LVDTs) (Solatron Metrology, Bognor Regis, UK), which were aligned to measure the
98 superior and inferior rim via reference pins inserted at the implant rim edge as specified by
99 the ASTM standard (Fig. 1). The clamp was attached to the bone substitute block throughout

100 testing with only the LVDT removed intermittently during testing to ensure LVDT alignment
101 and point of reference on the glenoid rim was consistent.

102

103 Emulating the ASTM standard, prior to cyclic testing, two additional samples of each design
104 were quasi-statically loaded in a non-destructive test to determine the load and displacement
105 to subluxation. The subluxation curves of the more- and less-conforming groups of
106 components (Fig. 3) were averaged and 90% of the corresponding load was defined as the
107 nominal vertical testing load during cyclic testing. The cyclic test set-up was displacement
108 controlled to avoid sudden extensive failure progressions between inspections, and the actual
109 testing load decreased slightly as failure progressed. To ensure the 90% subluxation load was
110 maintained, the imposed displacement was readjusted every 4000 cycles. Cyclic loading was
111 carried out from the centre of the glenoid to the superior rim, as described in a previous paper
112 (Junaid et al., 2010). This imposed compressive loads on the superior rim and tensile loads on
113 the inferior rim. This loading regime is supported by clinical findings of shoulder
114 biomechanics showing predominantly superior loading and humeral head migration *in vivo*
115 (Bergmann et al. 2007; Trial and Nuttall 2002). Initial failure was defined as when the failure
116 crack was first visible, mid failure as when the crack reached the keel or first peg and failure
117 was defined as when the failure crack reached the midline of the implant (Fig. 2).

118

119 The Anderson-Darling test was used to test for normality of the data and a non-orthogonal
120 ANOVA test was carried out to test for statistical significance between the designs.

121

122 **Results**

123 All 60 samples irrespective of design failed from the inferior edge. Fifty seven failed at the
124 implant-cement interface, two in the bone substitute and one at the cement-bone interface.

125 Significant differences were not found between any design pairs; only roughness had a clear
126 effect ($P < 0.005$) (Fig. 4). The average number of cycles (\pm SD) to failure for the rough group
127 was approximately eight times greater at 8712 ± 5584 compared to the smooth group at
128 1080 ± 1197 cycles.

129

130 When using the rim displacement measure it was also not possible to identify any statistically
131 significant differences between any of the roughened design pairs (Fig 4). Rim measurements
132 were not originally part of the study and were not carried out on the smooth samples. An
133 important observation was that increasing inferior rim displacement was associated with
134 progressive visual failure in all implant designs (Fig. 5 & 6). Rim displacement greater than
135 0.61 mm indicated with 95% confidence if a sample was no longer intact and had
136 experienced either mid-failure or failure.

137

138 **Discussion**

139 The most important finding of this study was that a rougher surface of the back of the glenoid
140 component increased the number of cycles to failure by an order of magnitude. In contrast,
141 the study did not identify any significant effects of implant design. Improving the interface
142 strength through roughness has been shown by Anglin et al. (2001), however, this is the first
143 time a study has investigated design features and roughness together.

144

145 The most important methodological contribution was the creation of a method to observe
146 crack formation around an implant during cyclic loading. Previously, it has never been shown
147 that rim displacement does in fact correlate with initial or progressive loosening. The visual
148 observation of failure progression – crack growth at the implant-cement interface - was
149 correlated to the change of inferior rim displacement. The failure mode always initiated at the

150 inferior edge of the prosthesis, along the implant-bone interface as also found in a previous
151 study (Raiss et al., 2011).

152

153 The common perception that the failure is in the cement-bone interface is mostly based on
154 clinical studies that rely on radiographs. However, radiographic failure (radiolucent lines)
155 between the implant and cement interface are not visible due to polyethylene being
156 transparent itself making it impossible to differentiate any radiolucent lines from the
157 polyethylene. It is also important to note that radiographic loosening detects gross loosening,
158 however this study is aimed at investigating the early signs of failure where the resolution
159 and accuracy needed are beyond the capabilities of current radiographic images.

160

161 Therefore these clinical papers can only conclude that there are radiolucent lines in the bone-
162 cement interface but cannot conclude that there are no radiolucent lines in the implant-cement
163 interface. Nyffeler et al. (2003) reported clinical failure at the implant-cement interface of a
164 retrieved implant. Although this failure mode appears to be rare at the stages of failure where
165 the implant is grossly loose, the authors suspect that this is an early failure mode and not as
166 rare as is commonly thought due to the inability of radiographs to show failure in this
167 interface. Gregory et al. (2009) also found early implant-cement failure testing in cadaveric
168 bone in a similar cyclic glenoid loosening study using CT imaging, further strengthening the
169 possibility of early implant-cement failure occurring that is not identifiable clinically. Further
170 on-going work by the authors using cadaveric tissue has shown similar findings to Gregory et
171 al. 2009 with early implant-cement interface failure evident in most samples and some
172 cement-bone failure.

173

174 The experimental loading regime for this study was used specifically to analyse the type of
175 failure mode observed and to investigate the hypothesis of whether implant-cement de-
176 bonding due to tensile or compressive loading is the main contributor to failure. Furthermore
177 limiting the test to superior cyclic loading is not an unreasonable testing regime as there is
178 clinical and *in vivo* evidence to show predominately superior loading and humeral head
179 migration in the glenohumeral joint (Bergmann et al. 2007; Trial and Nuttall 2002).

180

181 The main limitation of the study was that each design group consisted of 3 or 6 samples. The
182 reason for this discrepancy in numbers is due to the initial aim of producing 3 distinct
183 roughness groups with 3 repeats. However, the roughing techniques using sandblasting alone
184 was insufficient to achieve a higher roughness group, thus the roughness groups were pooled
185 and compared to the smooth group. The relatively small group numbers may have prevented
186 identifying statistical significance of design effects even when such effects may exist.
187 However, a post-hoc power analysis of the 16 groups (8 design groups in both the rough and
188 smooth groups) shows the study to have more than 80% power ($\alpha=0.05$). Despite this, a post-
189 hoc analysis of the rough and smooth groups separately to identify the power of the design
190 features within the group found the power to be considerably less than 80%. This may
191 indicate that the sample number per group was insufficient for analysing the design effects in
192 detail. Despite this, the results show a general trend that effects were not detectable within the
193 boundaries of a standardised test and therefore indicates other factors may be more influential
194 to the fixation strength such as roughness, bone quality, cement penetration, component
195 positioning and surgical technique. The number of cycles to failure in the smooth (n=23) and
196 rough (n=36) groups demonstrated a clear dependence on roughness above all other design
197 parameters.

198

199 The other limitation (and strength) is the use of the 2D sample configuration, which clearly
200 differs from the geometry of commercial glenoid components. It is difficult to evaluate the
201 effect of this simplification, but the justification is it allowed interfacial failure to be observed
202 directly and it can provide both verification and greater insight for studies using more
203 realistic models where the failure would not be visible. The loading scenario reflected a
204 higher loading regime to what is typically expected in a glenoid implant for the reason that a
205 2D experimental set up was used. *In vivo* work by Bergmann et al. 2011 showed peak loads
206 at 200% body weight, approximately translating to 1400 N. Although, the compressive load
207 used at 1800 N was higher than the ASTM standard, it was not physiologically unreasonable
208 due to the 2D setup of the experiment. Such a set up will require a contact pressure that is
209 reflective of the physiological contact pressures (3D setup) rather than using the same loads
210 in absolute terms. Therefore to ensure comparable testing conditions, the contact pressures in
211 the 2D setup was compared to a 3D setup using Hertzian contact mechanics, showing contact
212 pressures to be comparable with 4.1 and 5.9 MPa in the 2D and 3D scenario respectively.
213 Therefore, while the 2D loads were higher than the clinical 3D loads, the resulting interface
214 stresses were comparable to those of the clinical setting. In addition to making allowance for
215 similar contact pressures, published *in vivo* data (Bergmann et al. 2011) and simulation data
216 (Anglin et al. 2000) suggests these test parameters are proximate to peak loads experienced
217 physiologically.

218

219 It was speculated that the omission of macrostructures may have had an effect on the results;
220 this was carried out due to the difficulty in standardising the macrostructures for
221 investigation. Since these vary from one implant brand to another, the study focussed on the
222 key design features that glenoid implants have in common. To include various
223 macrostructures could have diluted the comparison on cause of failure or resistance to failure.

224 This study was carried out for two purposes: to investigate key design features and to validate
225 a quantitative method of measuring failure progression. The authors plan to test this
226 measurement method on 3D commercial implants for further validation testing. Further study
227 on the nuances in macrostructures and their effects on fixation in keel and peg designs is
228 needed and may be more suited to a 3D setup.

229

230 Large standard deviations were observed in all designs, particularly for the roughened
231 samples, despite the controlled nature of the experiment. This may be as a result of variations
232 in the level of cement interlocking in the implant surface and bone substitute. Indeed further
233 study of the surface topography and surface patterns of the implant back may be interesting to
234 investigate further but was beyond the scope of this study. In regards to the consistency of
235 cement penetration/interdigitation, although this was not measured to ensure consistency for
236 every implant, the cementing technique reflected clinical practice and was carried out by a
237 clinical colleague. This is believed to more accurately reflect clinical conditions as opposed
238 to ensuring equal cement penetration throughout the cement mantel.

239

240 There is a question of whether using bone substitute is relevant. The choice in using bone
241 substitute that is validated to the density range and compressive properties of human bone is
242 believed to be valid in this case and in alignment with the ASTM standard (F2028-14). By
243 removing an element of large variation in the study this allows for more conclusive tests to be
244 carried out on other contributing factors to fixation failure. As mentioned, the results in this
245 study also concurs with a cadaveric study using CT imaging showing early interfacial failure
246 at the implant-cement interface (Gregory et al. 2009) and further on-going work by the
247 authors using cadaveric tissue also supports this finding. It may be that due to the cementing
248 conditions, cement adheres better to dry bone substitute than real bone, however both the

249 cement-bone and implant-cement interfaces experience the same load transmissions,
250 therefore it could be argued that the failure ranking would remain the same despite possible
251 variations in interfacial strengths. However, investigating the efficacy of different designs in
252 light of varying bone conditions and cement penetration is still a necessary part of
253 investigating glenoid fixation failure which is beyond the scope of this study.

254

255 This study has shown a large beneficial effect from roughening the back side of the glenoid
256 component. Although Anglin et al. (2001) first indicated the importance of roughening, based
257 on the immediate failure of just two smooth implants, this study provides a more
258 comprehensive analysis. In total hip and knee replacements, implant roughness have also
259 featured in mechanical testing studies looking at the ultimate and fatigue strength of
260 roughened metal acetabular cups and tibial trays respectively (Delaunay & Kapandji, 1997;
261 Miyakawa et al., 2004; Pittman et al., 2006). While there has been no other studies on the
262 effect of roughness on glenoid fixation, in a study of tibial tray fixation Pittman et al. (2006)
263 found that roughness increased the interface strength. The acetabular cup is not thought to fail
264 through an eccentric load mechanism such as those for the tibial tray and glenoid component
265 (Rocking horse mechanism) however, Delauney and Kapandji (1997) did find better
266 osseointegration of hydroxyapatite coatings on roughened cups compared to smooth.
267 Likewise, Miyakawa et al. (2004) found osseointegration improved with roughened screws.
268 Both studies on the acetabular cup investigate cementless fixations and therefore the findings
269 from these studies cannot easily be related to the findings of this study. In the case of the
270 femoral stem, detrimental effects of increased roughness on cemented stem loosening has
271 been well documented (Howie et al., 1998) and are caused by very different fixation
272 principles than those of the glenoid component and a comparison with these studies is not
273 meaningful.

274

275 Significant effects were not found between ‘keel’ and ‘peg’ designs or between flat- and
276 curve-back. However, implanting the prosthesis into bone substitute material does not take
277 into account that flat-backed designs require more resection of the subchondral glenoid bone
278 than curve-backed designs. Thus curve-backed designs may be more advantageous than
279 indicated in this study. Previous work evaluating commercially available implants, have
280 indicated that curve-backed and peg implants were superior to flat-backed and keel implants
281 (Anglin et al., 2001). An FE study also predicted higher rim displacement in the flat-backed
282 models and associated this with poorer fixation (Iannotti et al., 2005) while a clinical RSA
283 study suggested that curve-backed peg components perform better (Nuttall et al., 2007). In
284 contrast and consistent with the results of the present study, the clinical investigation by
285 Szabo et al. (2005) did not find a significant difference in radiolucent lines between the
286 curve- and flat-backed glenoid implants and another study showed that intermediate clinical
287 and radiographic outcomes are comparable between peg and keel implants (Throckmorton et
288 al., 2010). Nho et al. (2010) and Rahme et al. (2009) also reported comparable radiographic
289 outcomes of keel and peg implants.

290

291 This study did not find a significant effect of prosthesis conformity. Although greater
292 conformity led to larger forces being imposed as the subluxation limit was reached, this was
293 counterbalanced by the ‘humeral head’ component moving further from the centre of the
294 glenoids with less conformity, so both groups experienced loading which induced similar
295 rocking motion. Although constrained designs have been superseded, the literature of more
296 recent semi-constrained TSA designs is inconclusive. A recent retrieval study showed
297 significantly longer survival of more conforming designs (5.6 years) compared to 3.1 years in
298 the non-conforming designs (Nho et al., 2010). Also, Oosterom et al. (2004) and Lacroix and

299 Prendergast (1997) conclude that conforming designs are more durable. In contrast, Anglin et
300 al. (2001) and Orr et al. (1988) suggest that the fixation of less conforming designs is stronger
301 and Walch et al. (2002) showed a reduction of radiolucent lines associated with lower
302 conformity designs.

303

304 In this, as in other studies, the subluxation force was resisted only by the geometry of the
305 implant. In reality, the surrounding soft tissues, particularly in regard to less conforming
306 designs, play a role in resisting subluxation. However, there is very little knowledge of the
307 extent to which the soft tissues play a role and this greatly complicates the analysis of the
308 effect of implant conformity. In this study, comparing the subluxation distances between
309 more conforming and less conforming (3 to 4 mm respectively) shows a difference of 1 mm.
310 In absolute terms the distance is not high enough to bring large soft tissue effects and in
311 relative terms a 1 mm difference between conformities is small.

312

313 This study has established a direct link between increases in rim displacement and directly
314 observed failure in all implant designs. Although previous studies have used rim
315 displacement as an indirect measure of fixation failure (Anglin et al., 2001; Collins et al.,
316 1992; Oosterom et al., 2004), there was no established link between rim displacement and
317 loosening. This association provides confidence in the use of the ASTM recommended rim
318 displacement as an indication of fixation performance.

319

320 **Conclusion**

321 This study highlights the importance of implant surface roughness suggesting that roughening
322 to Ra values greater than 3 μm will result in prostheses that are more likely to outlive the
323 patient and avoid revision. However, further studies are needed to investigate if the results of

324 this in-vitro study will translate to improved performance in clinical practice. Significant
325 differences were not found in relation to fixation design features of pegs versus keel, curve-
326 versus flat-backed or conforming versus less conforming glenoid components and suggests
327 that surgeons do not need to be overly concerned about which particular fixation design to be
328 used.

329

330 The findings of the study support the use of rim displacement as a measure of fixation failure
331 and that threshold values (in the present study 0.6 mm) that identifies fixation failure can be
332 established.

333

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337

338 **Conflict of interest statement**

339 The authors have no conflicts of interest to declare.

340

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1 **Figure and Table legends**

2

3 Fig. 1: Samples cemented into bone substitute; flat-back peg (top-left) and curve-back keel
4 (bottom-left). Two LVDTs were used to measure rim displacements at the superior and
5 inferior parts of the component (right).

6

7 Fig. 2: Failure progression showing three modes of failure; no failure, mid-failure and failure.

8

9 Fig. 3: Subluxation curve of 8 designs (n = 2 samples each). A significant difference in
10 subluxation loads was found between the groups of implants with more or less conformity (P
11 = 0.04).

12

13 Fig. 4: Number of cycles to failure for samples of different designs and roughness (+/- SD).

14

15 Fig. 5: Average inferior rim displacements with failure progression (before, at mid-failure
16 and at failure respectively) for each of the eight roughened designs. Standard deviation is
17 shown by error bars. Mid-failure: failure crack reached the keel or first peg; Failure: failure
18 crack reached the implant mid-line.

19

20 Fig. 6: Change in rim displacement with number of cycles in the non-failed, mid-failed and
21 failed implant groups. Dashed lines are simple extensions to indicate the increase in rim-
22 displacement from the average of the originally all non-failed samples to the rim-
23 displacement when failure was first observed.

24

25 Table I: Overview of designs; two levels of backside roughness; two different fixations, peg
26 and keel; two different backing shapes, flat-backed (FB) and curve-backed (CB); and two
27 levels of conformity (larger radial mismatch indicates less conformity).

28

Figure 1
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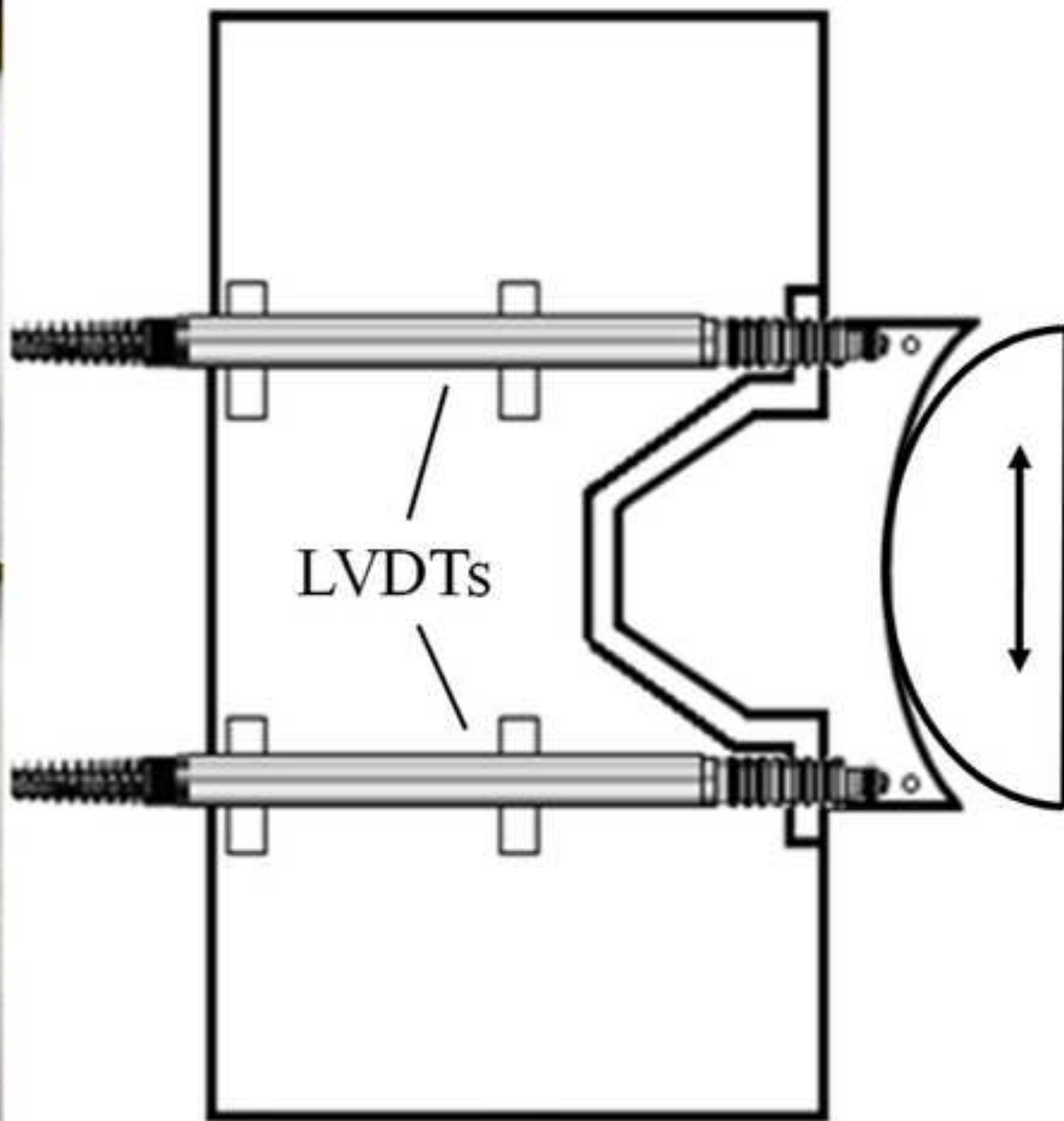
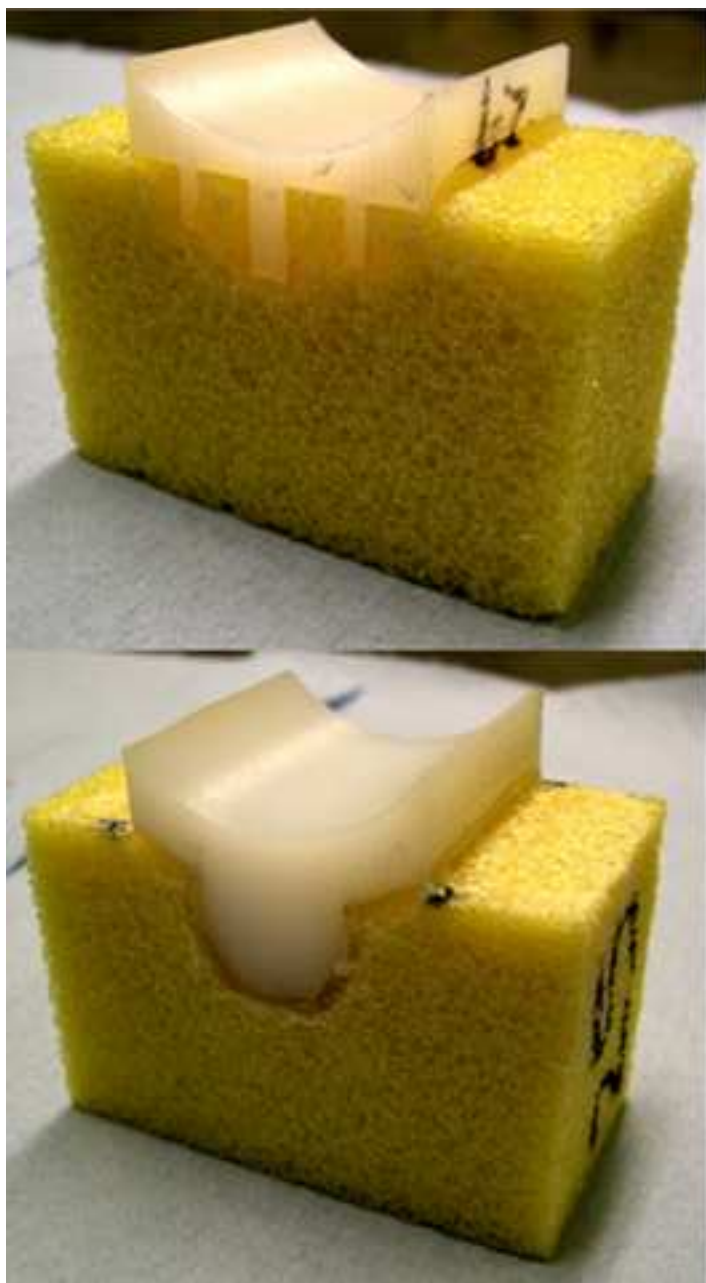


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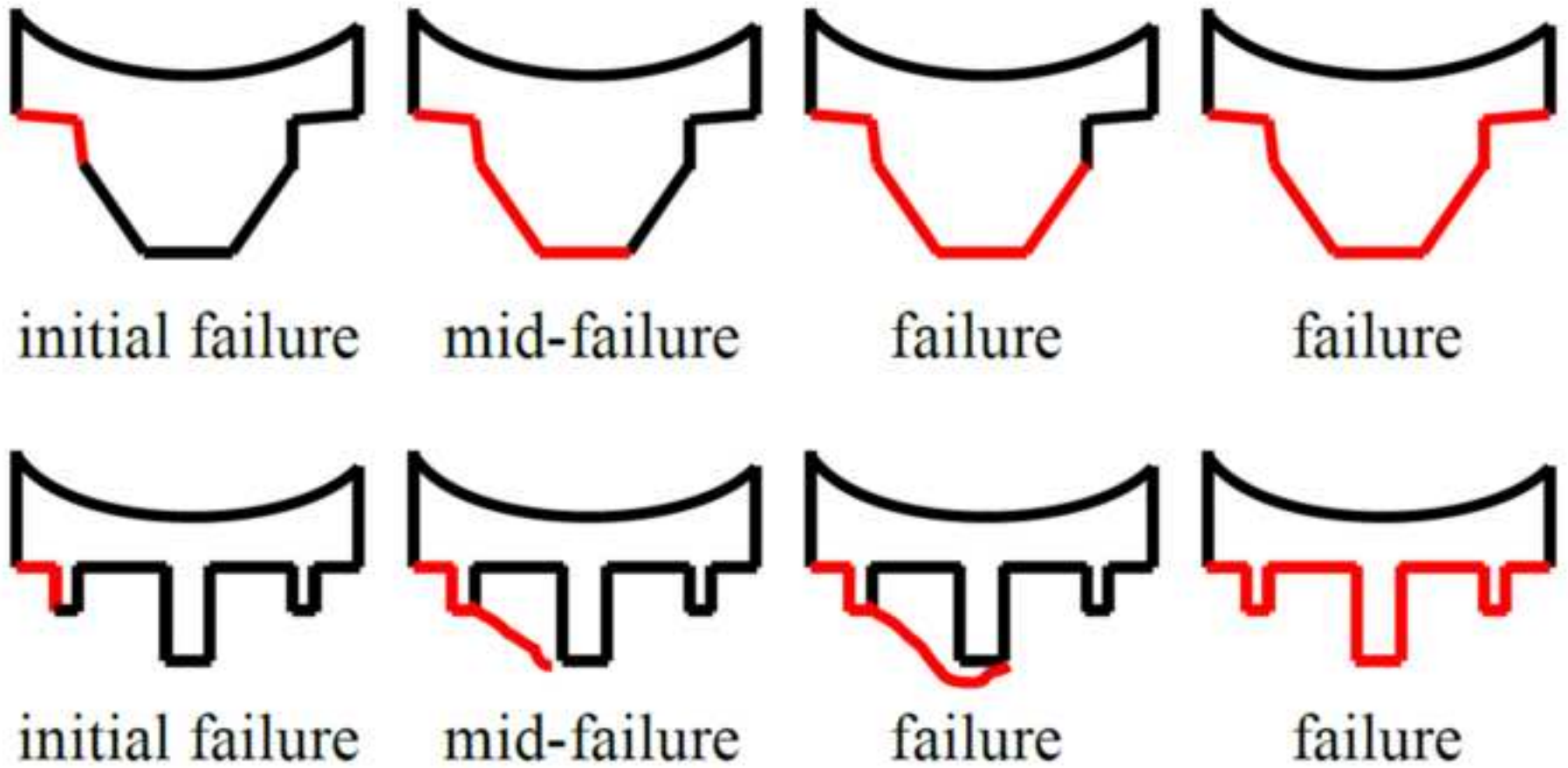


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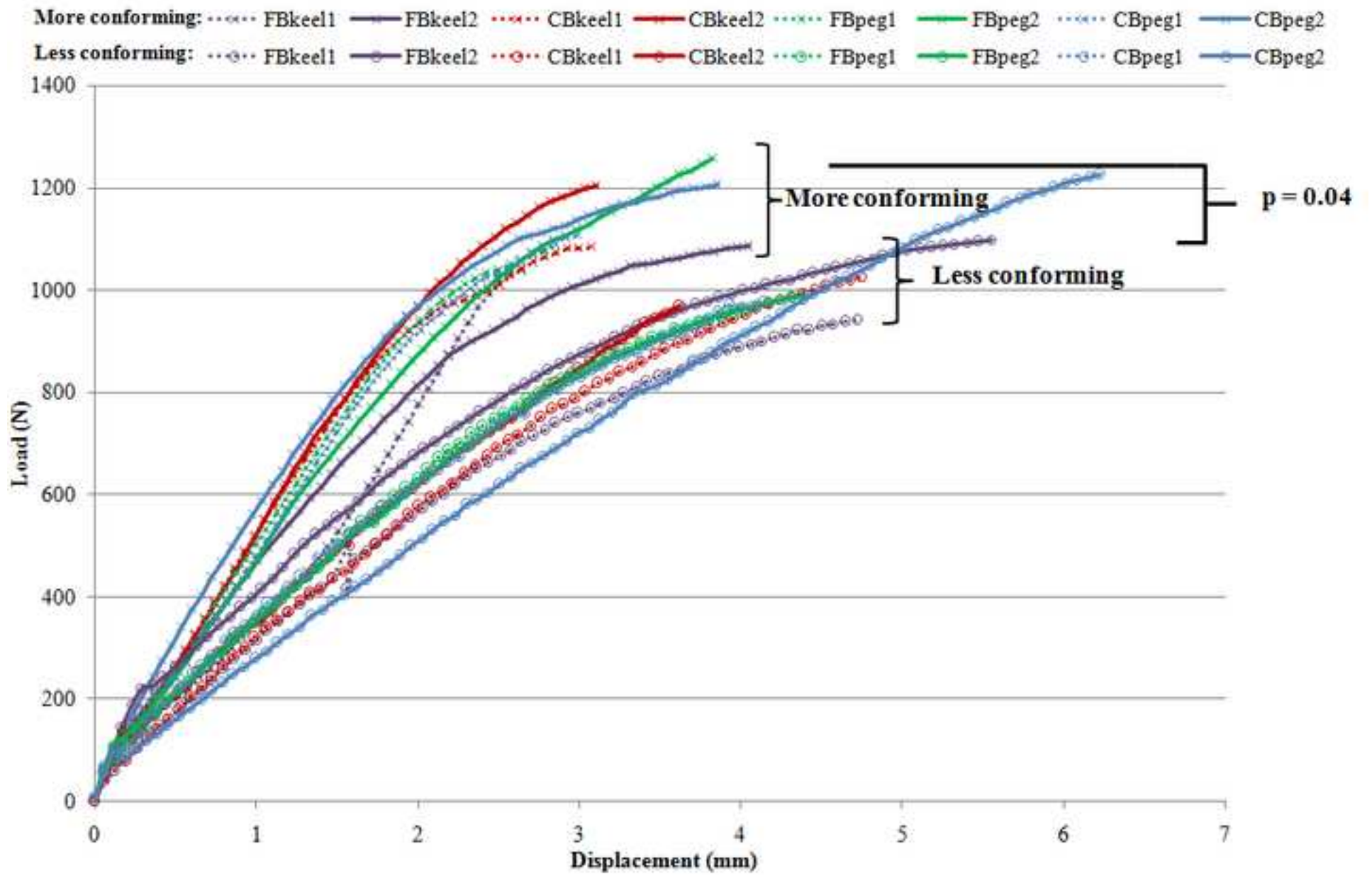


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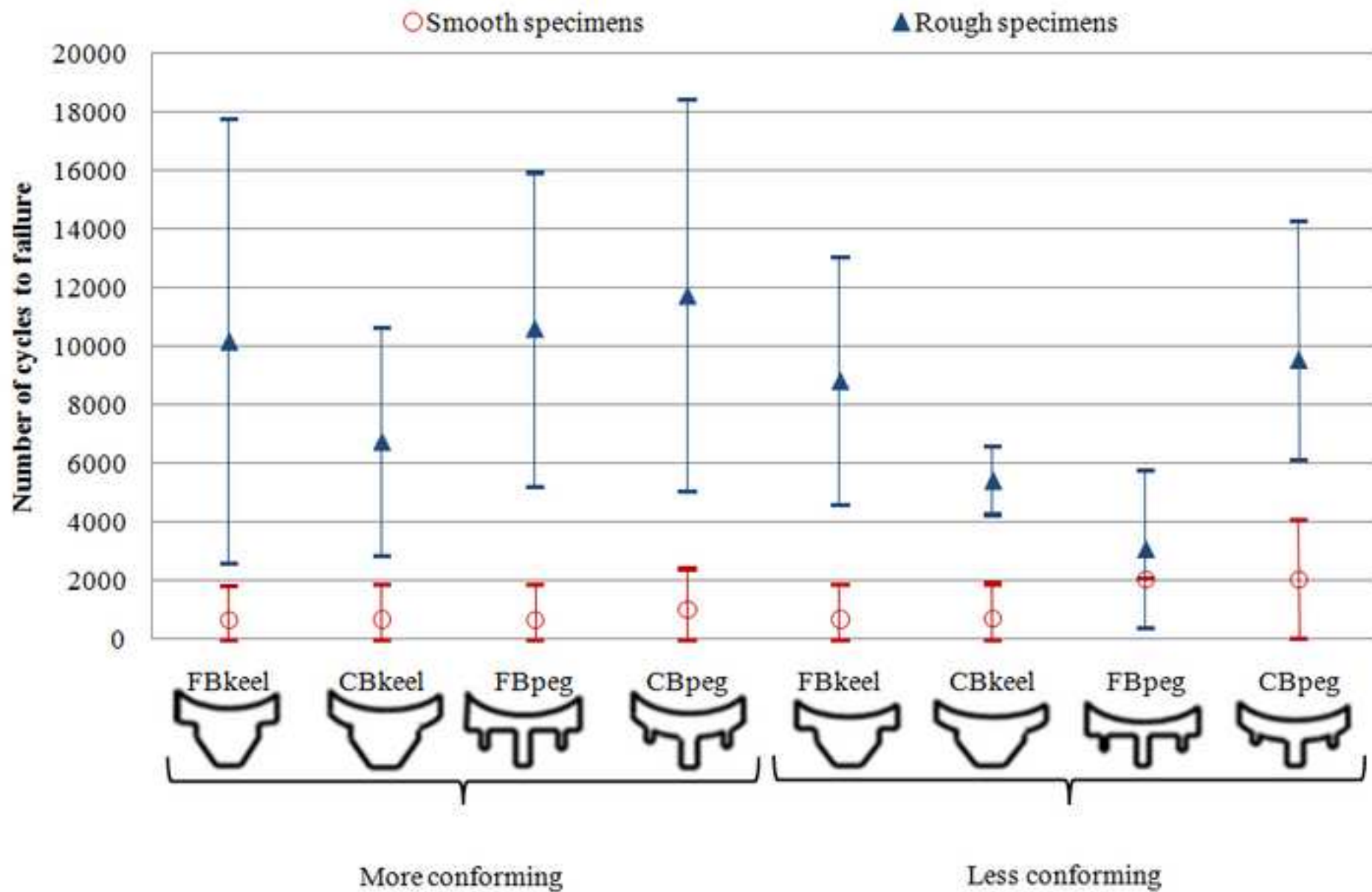


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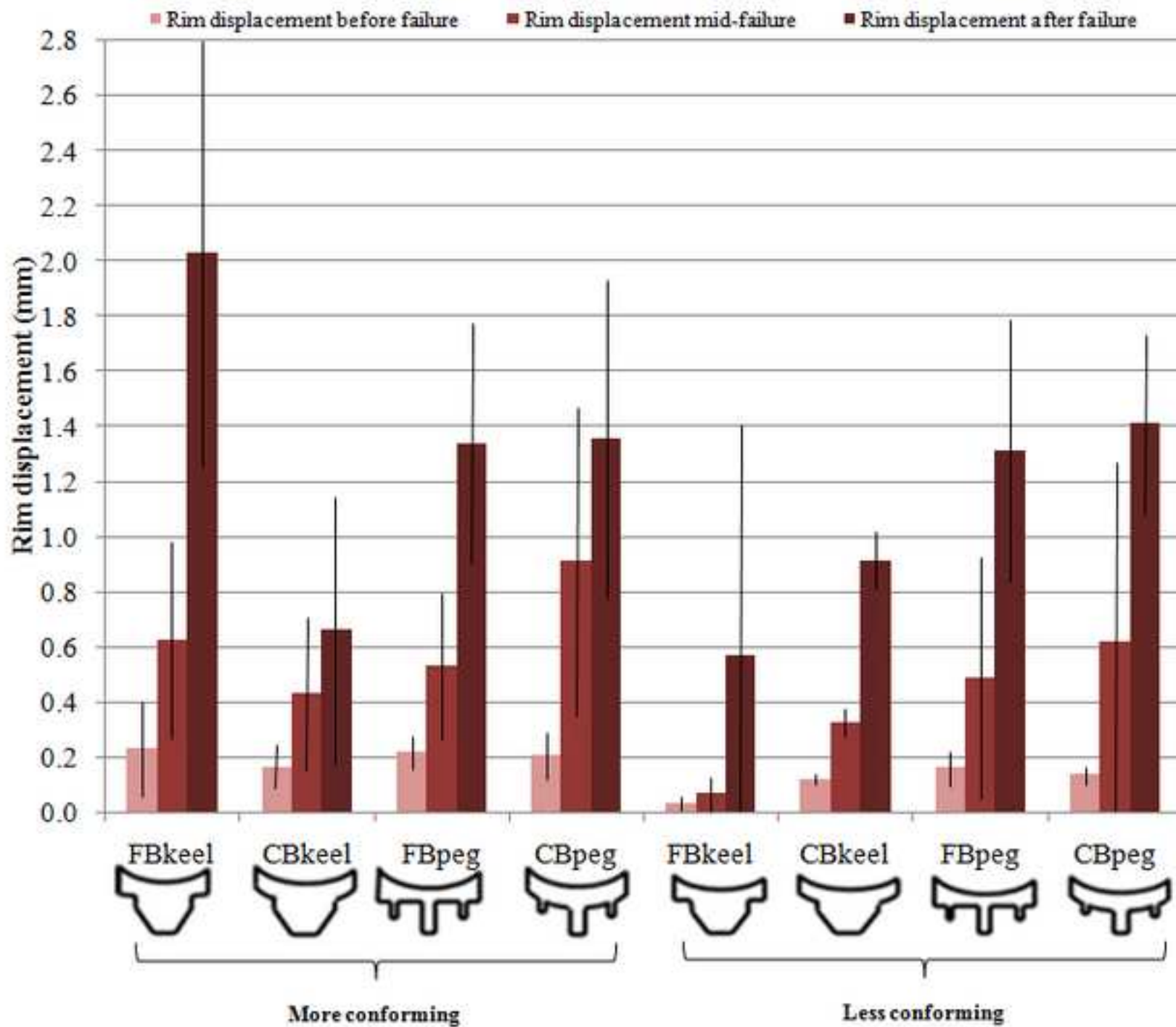


Figure 6
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Change in Rim Displacement over Number of Cycles in Failed, Partially failed and Non-Failed Implants

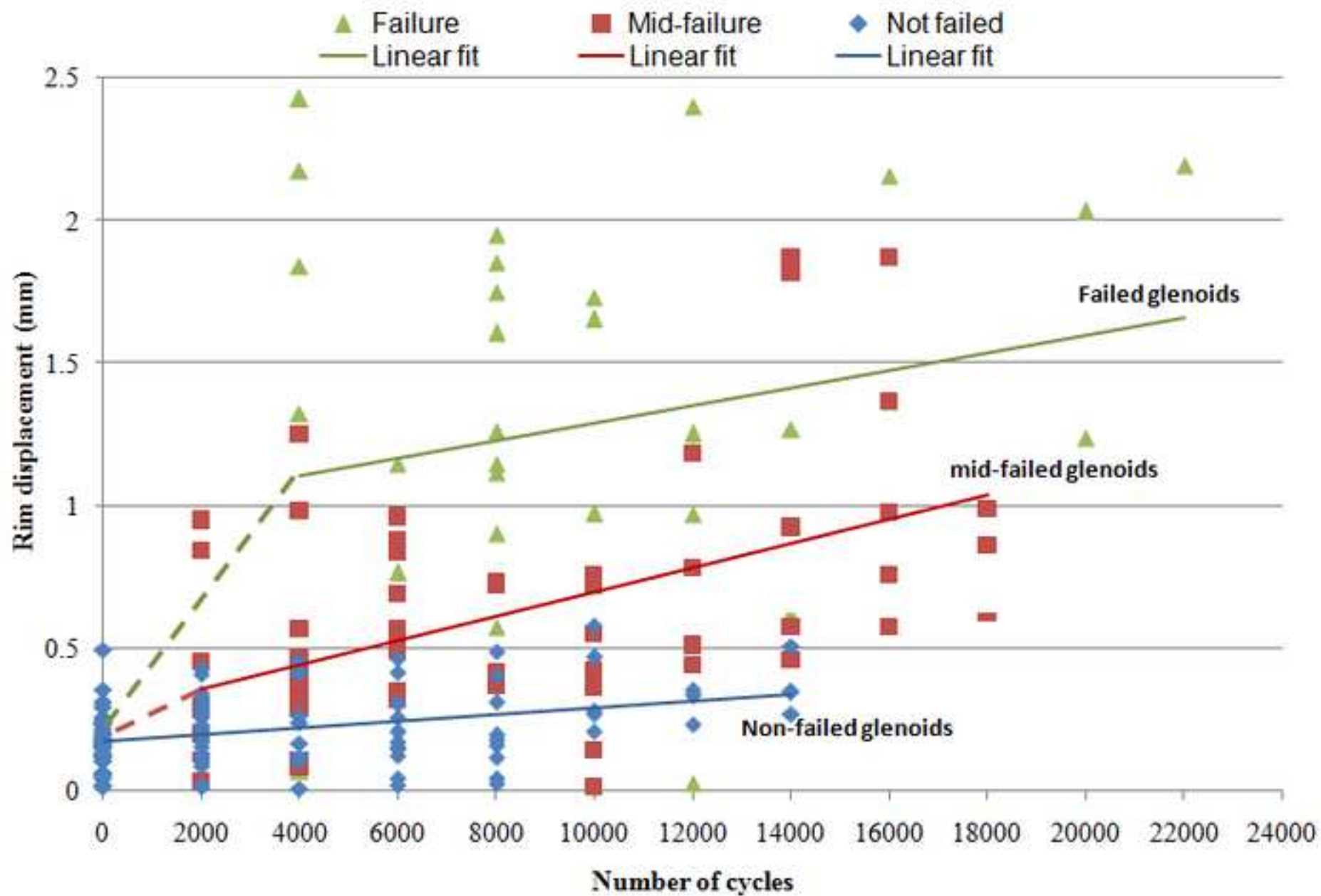


















Table 1

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	Smooth ($R_a = 0-2 \mu\text{m}$)				Rough ($R_a = 3-5 \mu\text{m}$)			
Radial mismatch (glenoid radius)	Keel		Peg		Keel		Peg	
	FB	CB	FB	CB	FB	CB	FB	CB
5 mm* (29 mm) Less conforming	 n = 3	 n = 3	 n = 3	 n = 3	 n = 3	 n = 3	 n = 3	 n = 3
1 mm* (25 mm) More conforming	 n = 3	 n = 3	 n = 3	 n = 3	 n = 6	 n = 6	 n = 6	 n = 6

* Humeral head radius was 24 mm leading to mismatches of 5 mm and 1 mm when related to the respective glenoid radii shown.

Conflict of interest statement

The authors have no conflicts of interest to declare.