# Design of an Asymmetrical Rotor for Easy Assembly and Repair of Field Windings in Synchronous Machines

Nan Yang<sup>1</sup>, Wenping Cao<sup>1</sup>, Zheng Liu<sup>1</sup>, John Morrow<sup>2</sup>

<sup>1</sup>School of Engineering & Applied Science, Aston University, United Kingdom

<sup>2</sup> School of Electronics, Electrical Engineering and Computer Science, Queen's University Belfast, United Kingdom

Abstract: This paper introduces a new asymmetrical rotor design for easy assembly and repair of field windings in synchronous machines. A new rotor geometry is adopted in order to simplify the manufacture and maintenance process of installing the rotor windings. The asymmetrical rotor design is simulated by the 2-D finite element analysis (FEA), and verified by experimental tests on a 27.5 kVA prototype machine. The proposed topology can drive down the maintenance and repair costs of the machine without impacting on the machine's electro-magnetic performance. This design will have significant economic implications for machine design and repair industry, especially for mass production markets such as wind turbines and engine-generators.

### I. INTRODUCTION

Wound rotor synchronous generators are widely used in industry, including steam turbines, diesel-generator sets (gensets) and wind turbines [1]-[3]. For steam turbines and dieselgenerator sets, their synchronous machines are operated at a fixed speed. In wind turbines, variable-speed operation in synchronous machines is also applicable. In this case, gearboxes are required to convert the slow wind speed to the machine's synchronous speed whereas power converters are used to control the frequency and voltage for grid connection.

In would-rotor synchronous machines, both stator and rotor windings are required. The installation of the stator winding is relatively simple. Pre-manufactured coils can be inserted into the stator slots one by one to form a three-phase distributed winding, as shown in Fig. 1. On the contrary, the rotor winding installation is laborious. In general, the rotor coils are wrapped around the rotor poles to form a DC excitation field. This can also be done by a winding machine, as shown in Fig. 2. The coils are wound around the pole shoes which are then mounted on top of the pole bodies. As the size of the rotor increases, the winding machine needs to be very large. Therefore, this installation becomes more challenging, costly and also affects the physical integrity of the rotor.

In addition, synchronous machines are prone to winding failures, which account for half of the total machine failures in the field [4]. When these machines break down, a decision should be made either to replace them or to repair them, usually based on an economic analysis. If a rewinding becomes necessary, the rotor winding will be removed and replaced by a new one. During the process, the rotor core and the machine efficiency can be affected [5]. If the rotor coils can be preproduced and inserted into the rotor (similar to the stator winding), the winding machine can be made smaller and the manufacture costs be reduced significantly.



Fig. 1. Arrangement of the stator winding (distributed).



Fig. 2. Arrangement of the rotor winding (concentric).

## II. LITERATURE REVIEW

This work addresses the manufacturing issue associated with the rotor field winding by modifying the rotor structure to allow for easy assembly of the rotor coils.

Traditional rotor design concentrates on changing the rotor geometry to establish the tradeoff between competing optimisation objects (such as volume to efficiency, mass to efficiency) [6]. The rotor designs are then modified and analysed by the finite element analysis (FEA). A typical four pole synchronous machine is presented in Fig. 3. Their flux distributions and electro-magnetic performance can be obtained and compared.

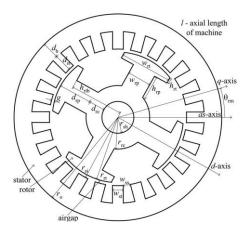
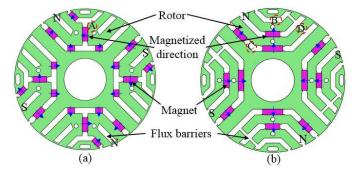


Fig. 3. Topology of traditional salient rotor for synchronous machines [6].

Another interesting aspect of the rotor design is the use of magnets and flux barriers in the rotor. Two examples are shown in Fig. 4 for illustration.



*Fig. 4. Rotor designs with magnet-based flux barriers* [7]. (*a*) *Design 1.* (*b*) *Design 2.* 

These designs guide the flux to flow in chosen directions, as to enhance the air-gap flux density This technology can be applied to variable types of machines such as synchronous reluctance machines [7]-[9], wound-rotor synchronous machines [10], and stator wound-field synchronous machines [11]. However, adding the flux barriers and magnets gives rise to the complexity and manufacture cost of the rotor. Therefore, this technology is not widely applied in industry.

Alternatively, claw-pole alternators can provide a simple winding installation solution [12]. As shown in Fig. 5, the field

coils can be clicked into its position between the two rotor poles. However, this topology is quite complex electromagnetically and the rotor is asymmetrical in the axial direction, a 3-D FEA tool must be used in order to determine its saturation level and leakage flux. This type of machine has quite high design and manufacture costs.

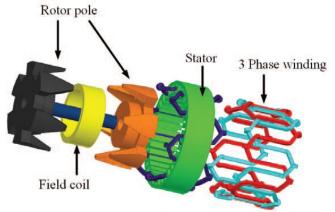


Fig. 5. Exploded view of the claw-pole machine [12].

As far as the rotor pole geometry is concerned, asymmetrical rotors were reported in the literature [12]-[14]. These rotor designs are characterised with unequal pole numbers of the stator and rotor [15] or unbalanced rotor slot geometry [16].

Two asymmetrical rotor geometries are shown in Fig. 6. Both designs use the asymmetrical rotor geometry for reluctance machines which are not accepted by industry. This paper adopts an asymmetrical rotor design for the purpose of simplifying the assembly process of the rotor winding in the case of synchronous machines.

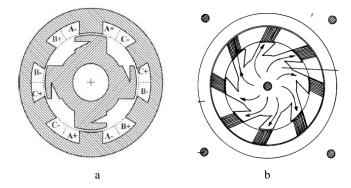


Fig. 6. Existing asymmetrical rotor designs. (a) Design 1 [13]. (b) Design 2 [17].

#### III. PROPOSED MACHINE TOPOLOGY

Based on the existing rotor designs, a new rotor is developed in a way that the rotor coils can be installed to the pole directly. A 3-D diagram of the proposed rotor is illustrated in Fig. 7. In the proposed topology, the field coils are premanufactured with insulation and covering. These coils are then installed onto the rotor pole from the tooth side and are fixed in the position between the rotor pole and rotor teeth, as illustrated in Fig. 8. Then, the coils can slide through the edge of the rotor onto the rotor pole. A non-magnetic shield is installed in the position to fix the coils and to offset the centrifugal force.

In this machine, the segmentation of rotor poles is no longer necessary for rotor winding installation, making it easy to insert and remove the field coils. It maintains the integrity of the rotor and reduces the magnetic reluctance along the rotor path.

Because of the asymmetrical rotor shape, the machine's mechanical balance is affected. However, this is not a big problem in small and medium synchronous generators since they operate in relatively low speed and reasonably stable environments. The excitation winding are set to be wider than the rotor pole arm but the difference is small (less than 5% of the width of the winding). The empty space allows for more insulation and bonding materials to be applied so as to improve thermal transfer within the rotor. Clearly, the machine is designed to operate unidirectional, which is not an issue for applications such as steam turbines, gen-sets and wind turbines, which are the target of this work.



Fig. 7. 3-D diagram of the proposed machine.



Fig. 8. Installation of the rotor coils.

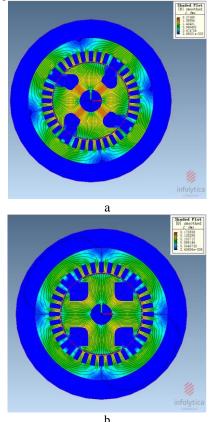
## IV. FINITE ELEMENT MACHINE MEDELLING

In order to evaluate the effectiveness of the proposed design, two 4-pole synchronous generators are modelled by the 2-D finite element software MagNet. The two use the same stator but two different rotors (one symmetrical and the other asymmetrical). The machine's stator is from a standard 27.5 kVA alternator while its salient rotor is used as a bench-mark against the new design.

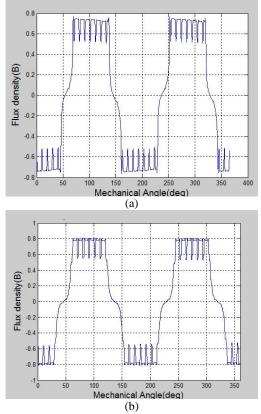
## A. No-load operation

A 2-D transient analysis (with motion) of the proposed synchronous generator with DC excitation is first studied. The air-gap flux density, spatial harmonics, induced EMF at no-load conditions are obtained and shown in Figs. 9-13, respectively.

As can be seen from Fig. 9, the direction of the flux in the asymmetrical rotor has been shifted towards one side. The absence of the rotor teeth on one side reduces the flux path, creating an unbalanced air-gap flux distribution. This introduces an angle between the rotor and stator fields. In this case, the magnetic flux is concentrated on the teeth side of the rotor, making the area easy to saturate. This feature is found on the induced EMF waveforms as well as its saturation level, as shown in Figs. 10-13.



*Fig. 9. Flux distribution of the machines at no-load. (a) Asymmetrical rotor. (b) Symmetrical rotor.* 



*Fig. 10.* No-load air-gap flux density. (a) Asymmetrical (b) Symmetrical.

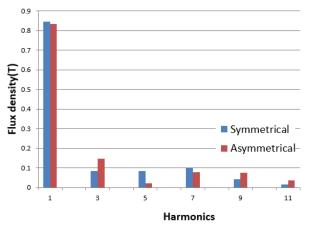


Fig. 11. FFT analysis of the no-load air-gap flux density.

Overall, the induced EMF, phase current and flux linkage between the two machines are similar. However, due to the asymmetrical pole geometry, the air-gap flux density of the new design is higher in the salient pole portion than the concave portion. This asymmetrical effect distorts the shape of the air-gap flux density along the rotor pole. The 3<sup>rd</sup> harmonic of its air-gap flux density is increased while other high-order harmonics are reduced when compared to the symmetrical machine. In addition to the air-gap flux density, an FFT analysis of the induced EMF is also conducted, as presented in Table 1. The THD of the asymmetrical rotor is still higher than its symmetrical counterpart as it gives rise to the 3<sup>rd</sup> harmonics remarkably. This should be carefully examined in the design process.

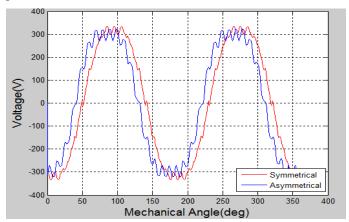


Fig. 12. No-load EMF voltages.

Table 1 FFT	Analysis of the	e No-load EMF
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Harmonics	Symmetrical rotor (Vrms)	Asymmetrical rotor (Vrms)
1	245.47	239.26
3	17.11	26.56
5	3.28	0.822
7	1.95	1.438
9	0.043	0.0234
11	0.162	0.772
THD	7.14%	11.13%

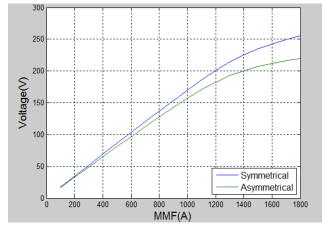
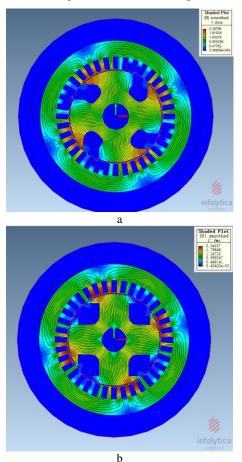


Fig. 13. No-load characteristics.

From Fig. 13, it is observed that the asymmetrical machine reaches saturation ealier than the symmetrical as the excitation MMF increases. This is caused by the flux shift where the flux tends to concentrate on the salient side of the rotor.

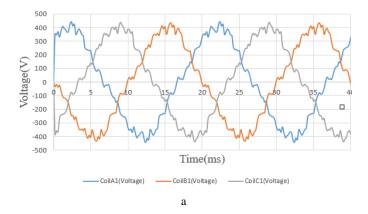
## B. Full-load operation

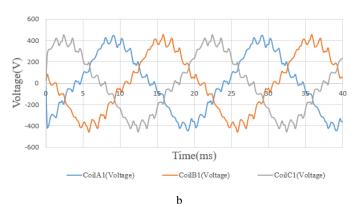
The magnetic flux distribution of the two machines at full load operation are investigated when the field winding is excited with the rated dc current, and the stator windings are fed by the rated three-phase ac current (38A peak).



*Fig. 14. Flux distribution of the machine at full-load. (a) Asymmetrical (b) Symmetrical* 

Test results shown in Figs. 14-16 are the flux distribution, induced EMF, and the pull-out torque, respectively. Again, the phase current and flux linkage in the two machines are very similar.





*Fig. 15. Induced phase voltages of the machines at full-load. (a) Asymmetrical (b) Symmetrical* 

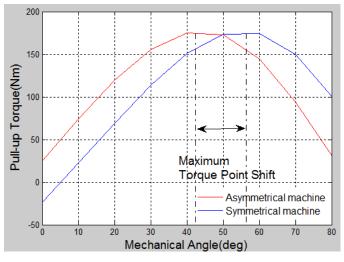


Fig. 16. The pull-out torques.

Due to the asymmetrical rotor geometry, the position of the machine for achieving maximum torque is also shifted. This angle can be explained by the difference of the stator and rotor field orientation. However, since most of the flux is concentrated on the teeth side of the rotor, the non-teeth side is is not effectively utilised. Therefore, there is room for optimising the rotor pole geometry to improve the electromagnetic efficiency.

# C. Calculation of $L_d$ and $L_q$

The measurement of the direct-axis reactance and quadrantaxis reactance is carried by a low-slip test following the standard method [10]. The rotor is driven by a prime mover to rotate at 1483.3 rpm (0.01 slip) while the field winding is open-circuited. The stator is fed with a 50 Hz, 4A ac current. The relative position of the stator and rotor magnetic fields is changing to reflect the different air-gap distance, and the test results are presented in Fig. 17.

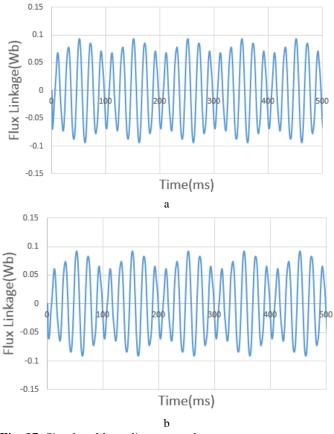


Fig. 17. Simulated low-slip test results. (a) Asymmetrical (b) Symmetrical

The maximum and minimum flux-linkages for the asymmetrical rotor are 0.094Wb and 0.068Wb, respectively. The direct-axis and quadrant-axis reactance are

$$L_d = 0.094 / 2 = 0.047 \,\mathrm{H}, X_d = \omega L_d = 7.3\Omega \tag{1}$$

$$L_q = 0.068 / 2 = 0.034 \,\mathrm{H}, X_q = \omega L_q = 5.3\Omega$$
 (2)

The maximum and minimum flux-linkages for the symmetrical rotor are 0.093Wb and 0.061Wb, respectively.

$$L_d = 0.092 / 2 = 0.046 \,\mathrm{H}, X_d = \omega L_d = 7.15\Omega$$
 (3)

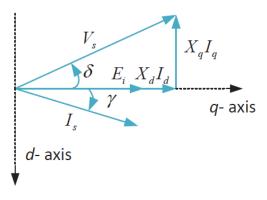
$$L_a = 0.061/2 = 0.031 \text{H}, X_a = \omega L_a = 4.82\Omega$$
 (4)

Due to the transformation of the rotor geometry, saliency of the rotor has been changed. This saliency change has its impact on the torque output of the alternator. Per the equations in [10], the output torque at any speed can be derived as:

$$T = X_{ad} I_f I_s \cos \gamma - \frac{1}{2} (X_d - X_q) I_s^2 \sin 2\gamma$$
(5)

 $X_d$ ,  $X_{ad}$  = per unit direct axis synchronous and magnetizing reactance at one per unit speed

 $X_a$  = per unit quadrature axis reactance at one per unit speed.



## Fig. 18. Phasor diagram

With *Vs* defined as the stator voltage and *Is* as the phase current, first component of the equation can be considered as the stator-rotor field interaction. The second part is the reluctance torque caused by the saliency of the rotor. A phasor diagram of the salient pole wound rotor synchronous machine is shown in Fig. 18.

Obviously, given by the measurement of d-q reactance, the rotor geometry change should have its impact on the torque production. Therefore, it is possible to modify the saliency of the rotor by modifying the geometry of the rotor instead of introducing extra component into the rotor.

## D. Power loss and efficiency

The core loss and copper losses are calculated using the Infolytica MagNet. The data are shown in Table.2.

From this table, the two designs have the same copper loss due to the same stator configuration and supply. The asymmetrical machine has a slightly lower torque and iron loss. With the same input power, the asymmetrical machine has a lower efficiency than the symmetrical machine. However, this reduction is very insignificant.

 Table 2 Loss and efficiency of tow synchronous generators

 Item
 Asymmetrical rotor

 Symmetrical rotor
 Symmetrical rotor

Item	Asymmetrical rotor	Symmetrical rotor	
Copper loss (W)	216	216	
Iron loss (W)	384	397	
Torque (Nm)	153.7	158.6	
Speed (rpm)	1500	1500	
Efficiency	97.4%	97.5%	

## V. EXPERIMENTAL TESTS AND RESULT ANALYSIS

After a round of optimizing the rotor design and analysing the machine performance, the rotor design is finalised and the rotors are prototyped, as shown in Fig. 19.

Stator of the machine is a duplicate of a standard Cummins BCI-184F machine. Two rotors are manufactured for compare. Details of the machine are given in Table. 3.



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Fig. 19. Photos of the prototyped synchronous machine. (a) Stator, (b) Asymmetrical and Symmetrical Rotors

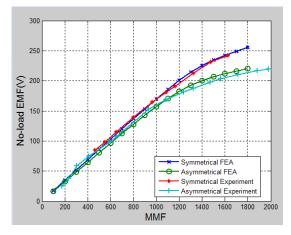
Table 3 Specifications of two generators					
Rated Power (kVA)	27.5	Rated Speed (rpm)	1500		
Rated Voltage (V)	380	Rated Frequency (Hz)	50		
Rated Power Factor	0.8	Stack Length (mm)	200		
Stator Slot Number	36	Pole Numbers	4		
Stator OD (mm)	310	Stator ID (mm)	192		
Rotor OD (mm)	188	Stator winding arrangement	Double- layer Star		

For stator wingdings, they are double-layer star connected windings with 144mm<sup>2</sup> for each layer. For rotor windings, 76 turns of copper coil (2.3mm radius each) are used on symmetrical rotor coils. However, due to the manufacture requirement, asymmetrical rotor adopts hand-wounded 1.5mm copper wires with 200 turns. This will affect the rated excitation current so the MMFs are used to describe the excitation in the following experiments.

A series of experimental tests have been conducted on the proposed machine with the two different rotors.

### A. Constant speed-variable excitation test

A constant speed-variable excitation test is conducted by coupling the test machine with a DC drive motor. The DC motor is used as prime-mover for keeping the speed of the rotor at synchronous speed (1500rpm). The stator is open-circuited and connected to a 3-phase power analyser to record the instantaneous quantities. The excitation is fed from a 3-phase AC supply through a rectifier. The excitation current is measured by an ammeter at the output terminal of the rectifier.



*Fig. 20.* Comparison of the constant speed-variable excitation test between the two machines.

When carrying the no-load test, the excitation is changed in step from high to low voltage using approximately even distributed points, starting from the rated value down to zero following the IEEE standard procedure. The armature voltage (in RMS) at the terminal versus the excitation current (in per unit) at rated speed are plotted in Fig. 20.

It is clear that the performance of the two machines is similar with the asymmetrical machine more likely to enter saturation earlier than the symmetrical one.

The reason is as stated in the previous chapter. The concentration of the flux on the teeth-side of the rotor make the asymmetrical rotor easier to saturate. The comparion between FEA simulation and Experiment results are also included in this figure to confirm the accuracy of the FEA simulation.

### B. Constant excitation -variable speed test

In this test, the excitation is fixed while the DC motor drives the test machine to rotate at variable speeds. The armature phase voltage is plotted against speed in Figs. 21-22. Again, the two machines perform almost identically.

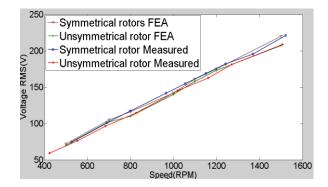
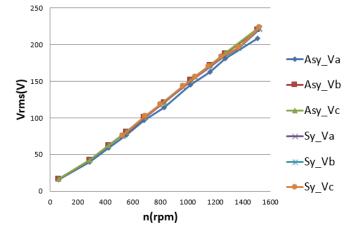


Fig. 21. FEA and measured results of the output voltage.



*Fig. 22.* Comparison of constant excitation-variable speed test between the two machines.

#### C. Sustained three-phase short-circuit test

The sustained three-phase short-circuit test is carried out by keeping the rotor speed at 1500rpm while three-phase windings are short-circuited at the stator terminals. The short-circuit current is recorded. The excitation is adjusted in steps from high to low current using approximately even distributed points, starting from the rated excitation current. The armature currents are measured at the terminals. The armature current versus the excitation current at rated speed is shown in Fig. 23.

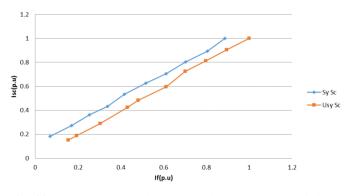


Fig. 23. Comparison of the two machines in sustained threephase short-circuit test.

For a given excitation current, the short-circuit current in the asymmetrical machine is lower than the symmetrical one. This should be carefully examined in the fault analysis since the short-circuit current is smaller.

## D. Inductive load test

Inductive loads are the most common type of loads connected to the power system. Therefore, the machine's response to such load changes is of critical importance in terms of the system stability. This load test is designed to compare the performance of the two designs under the same conditions. In the test, the stator terminals are connected to a power analyzer in parallel with an inductive load bank. The phase voltage and current are measured by the power analyzer and the excitation is measured by an ammeter at the output terminal of the rectifier.

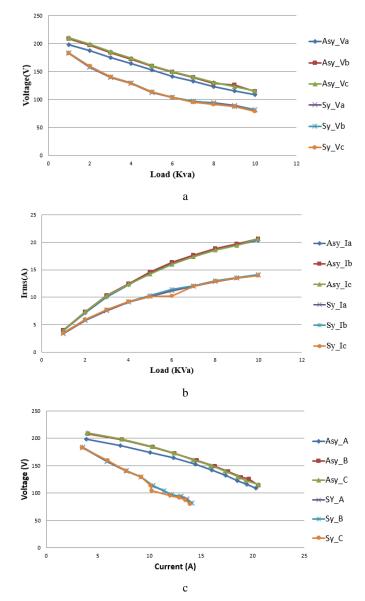


Fig. 24. Comparison of the two machines at varying inductive loads.

The excitation current is initially adjusted to achieve a given armature voltage (i.e. rated EMF). Then, the inductive

load is gradually increased from 0 to 5 kW in steps. The voltage, current are recorded, and presented in Fig. 24. The test results show that the EMF voltage of the machine with the asymmetrical rotor is less sensitive to the load variations. As a result, the proposed design can improve the system stability under load variations.

#### E. Resistive load test

The resistive load test has the same setting as the inductive load test. The voltage and current are recorded as well as the load. The test results are presented in Fig. 25.

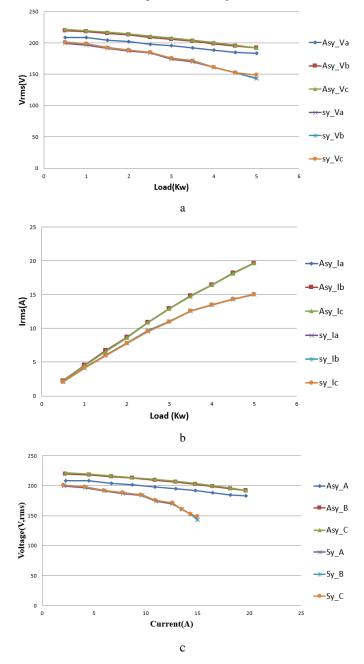


Fig. 25. Comparison of the two machines at different resistive loads.

Test results show the same trend as the inductive load test. The asymmetrical rotor performed better in both cases. This confirms the excellent performance of the proposed machine under different load conditions.

Through simulation and experimental tests, the effectiveness of the asymmetrical rotor design is verified. In addition to the modified rotor geometry for easy assembly, the designed rotor also shows potential in saliency-enhancement. However, it is also noticed that this design suffers from high saturation level as well as lower power factor. Therefore, further optimisation should be considered in further studies.

#### VI. CONCLUSION

This paper has presented a new rotor design of synchronous generators targeted for diesel-generating sets. The rotor pole is asymmetrical, effectively shifting the magnetic field to change the saliency of the rotor. As a result, the power output is influenced as well as its power factor range.

By adopting an asymmetrical rotor geometry, field windings can be easily installed on the rotor, thus simplifying machine assembly and repair procedures. Simulation results from 2-D finite element analysis and experimental results from testing a 27.5 kVA prototype machine have verified the new rotor design. Overall, the power profile can be improved, in addition to easy assembly of the field windings.

The developed technique can significantly reduce the maintenance and repair costs of synchronous generators, especially for those very large alternators and for mass production markets such as gen-sets and wind power generation. Machine designers, manufacturers and repairers can benefit from this design in terms of reduced capital and maintenance costs.

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