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### ORIGINAL RESEARCH

Clinical characteristics and selection of treatment modality for patients with vitreomacular traction: real-world implementation of NICE guidance (TA297)

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**Aim:** To investigate the qualitative aspects in patient selection and the quantitative impact of disease burden in real world treatment of vitreomacular traction (VMT) and implementation of the National Institute for Health and Care Excellence (NICE) guidance (TA297).

**Methods:** A monocentric, retrospective review of consecutive patients undergoing optical coherence tomography (OCT) imaging over a 3 month period. Patients with VMT in at least one eye were identified for further data collection on laterality, visual acuity, symptoms, presence of epiretinal membrane, macular hole and treatment selection.

**Results:** A total of 3472 patients underwent OCT imaging with a total of 6878 eyes scanned. Out of 87 patients, 74 patients had unilateral VMT (38 right, 36 left) and 13 patients had bilateral VMT. Eighteen patients with unilateral VMT satisfied NICE criteria of severe sight problems in the affected eye. Eight were managed for a coexisting pathology, one refused treatment, one patient did not attend, two closed spontaneously, and one received ocriplasmin prior to the study start date. Only two patients with unilateral VMT received ocriplasmin and three underwent vitrectomy. Those failing to meet NICE criteria for unilateral VMT were predominantly asymptomatic (n=49) or had coexisting ERM (n=5) or both (n=2).

**Conclusion:** Ocriplasmin provides an alternative treatment for patients with symptomatic VMT. Our data shows that the majority of patients with VMT do not meet NICE TA297 primarily due to lack of symptoms. Those meeting NICE criteria, but not treated, tended to have coexisting macular pathology. Variation in patient selection due to subjective factors not outlined in NICE guidance suggests that real world outcomes of ocriplasmin therapy should be interpreted with caution.

**Keywords:** vitreomacular traction, posterior vitreous detachment, pharmacologic vitreolysis, ocriplasmin

## Introduction

Vitreomacular traction (VMT), first described by Reese et al 1970,<sup>1</sup> is a disorder widely accepted to be the result of traction at the vitreoretinal interface. This is due to vitreomacular adhesion (VMA) causing incomplete or anomalous detachment of the posterior hyaloid face from the internal limiting membrane during the physiological process of posterior vitreous detachment (PVD).<sup>1-6</sup> VMA and VMT can lead to reduced visual acuity from distortion, displacement, or disruption of the normal foveal and retinal anatomy, resulting in clinically recognized lesions, such as macular pucker, focal cysts, foveal eversion, and macular hole (MH) formation.<sup>7–12</sup> Until recently, observation or pars plana vitrectomy (PPV) were the only treatment modalities available. More

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recently, landmark clinical trials have reported that ocriplasmin, a recombinant truncated form of human plasmin, which acts by cleaving fibronectin and laminin, to be effective in resolution of VMT.<sup>13–16</sup> Furthermore, recent analysis of the trial data shows improved patient-reported visual outcome following ocriplasmin compared to placebo.<sup>17</sup> Real-world data has shown that VMT resolution following ocriplasmin varies between 42% and 50% and MH closure in 27%–50% of cases.<sup>18–22</sup> Quezada-Ruiz et al have also recently reported favorable visual outcome in eleven of 23 patients treated for VMT with and without MHs, and observed that VMT release occurred more frequently in those with outer reflectivity changes that resolved following VMT release.<sup>23</sup>

The National Institute for Health and Care Excellence (NICE) has published guidance (TA297) on patient-selection criteria for ocriplasmin therapy. NICE guidance indicates that patients with VMT – in the absence of epiretinal membrane (ERM) – with a stage II full-thickness MH (FTMH) less than 400  $\mu$ m and/or severe symptoms should be offered ocriplasmin treatment. In the costing tool provided with TA297, the number of patients requiring ocriplasmin therapy per year in England was estimated at 745 (with MH) and 209 (without MH) for a population of 55 million, and 120 patients who would still require vitrectomy due to persistent VMT following ocriplasmin therapy and two requiring additional vitrectomy for persistent VMT per year in a population of one million.

The clinical characteristics that influence the clinical decision-making process in selecting patients for watchful waiting, ocriplasmin therapy, or vitrectomy in the real world have not been previously studied. Variable estimates of the frequency and prevalence of VMT have been published, but the resultant actual frequency of ocriplasmin use in the real world and the reasons for noncompliance are not known either.<sup>25–27</sup> Such quantitative and qualitative data could be valuable to clinicians in designing their local pathways for patient selection and for planning future resources to implement NICE guidance. In this study, we used a case-by-case tracking design to investigate the qualitative aspects in patient selection and the quantitative impact of disease burden in a real-world VMT treatment-service scenario.

## Materials and methods

The setting for the study was our hospital's retinal imaging department, which provides on-demand multimodal imaging for patients referred from primary care services from a catchment population of around 850,000 to our general ophthalmology services, in addition to patients referred into our secondary and tertiary macular referral service. In order to investigate patient-selection criteria for ocriplasmin therapy, a period of 3 consecutive months (May, June, and July 2014) was chosen to allow 6 complete months after publication of the NICE TA297 guidance. The complete electronic imaging database was used to identify consecutive patients undergoing optical coherence tomography (OCT) imaging on at least one occasion over this period. Individual imaging episodes were easily located on a common server, which contained all images archived from four networked OCT machines (Spectralis; Heidelberg Engineering GmbH, Heidelberg, Germany). In cases where patients attended more than once during the study period, the earliest imaging episode was selected. The individual scans were viewed using the proprietary software (Eye Explorer, Heidelberg Engineering).

Three observers (EWJP, SKA, and ISU) screened all scans to exclude all episodes where the posterior hyaloid line was not visible. All scan episodes where the posterior hyaloid line was distinctly visible as a line anterior to the retina and adhering to the macula were subsequently viewed by a senior retinal specialist (YCY and NN) to confirm the diagnoses of VMT or VMA with or without ERM and with or without an MH. For the patients confirmed to have VMT by the senior retinal specialist, case notes were then reviewed retrospectively. Data collected from case notes included visual acuity, symptoms, primary diagnosis, and subsequent management documented at the time of the hospital visit. Patients found to meet the NICE guidance were individually tracked for up to 6 months to determine the treatment they received and rationale for this treatment option. A flowchart was created to illustrate quantitatively the disposition in terms of management approach depending on eligibility according to NICE criteria and qualitatively the disposition in terms of factors attributed to patient eligibility or ineligibility (Figure 1). In line with Royal Wolverhampton Hospitals policy, ethics committee approval for retrospective data collection studies was not required. Patient consent is not necessary for clinical evaluation of local practice against current recommended guidelines.

### Results

During the 3-month study period, a total of 3,472 patients had OCT scans, with a total of 6,878 eyes scanned. Of 87 patients (54 females, 33 males) with an average age of 76.2 years (range 51–96 years), 74 patients had unilateral VMT (38 right, 36 left) and 13 patients had bilateral VMT. Representative spectral domain OCT images for VMT are shown in Figure 1. The quantitative and qualitative dispositions of these patients are shown in Figure 2.

Of 74 patients with unilateral VMT, 18 patients met NICE criteria for ocriplasmin and 56 did not. Of the 18

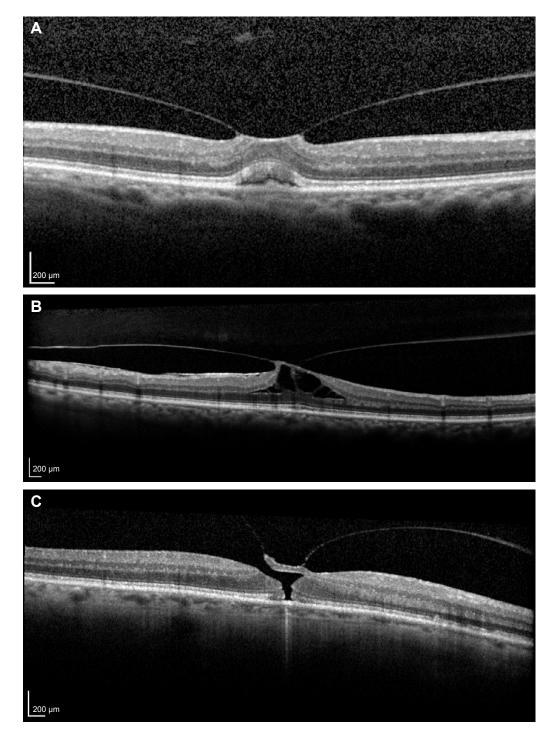


Figure I Representative SD OCT images of vitreomacular traction.

Notes: (A) VMT with inner and outer retinal changes; (B) VMT with traction and cyst formation; and (C) VMT with full-thickness macular hole less than 400  $\mu$ m. Abbreviations: SD, spectral domain; OCT, optical coherence tomography; VMT, vitreomacular traction.

patients that met NICE criteria for ocriplasmin, only two patients actually received ocriplasmin. Three patients had PPV, and 13 patients had no intervention. The reasons given for not using ocriplasmin included 1) opted for PPV (n=3), 2) patient refused treatment (n=1), 3) watchful waiting recommended due to improving OCT appearances (n=2), 4) prior ocriplasmin without success (n=1), 5) lost to follow-up (n=1), and 6) presence of coexisting pathology (n=8). Of these eight patients, three had wet age-related macular degeneration (AMD) and were being treated with ranibizumab, two were diagnosed with dry AMD with no follow-up, one had severe primary open-angle glaucoma that required trabeculectomy, one opted for cataract surgery only without any VMT treatment, and another was awaiting

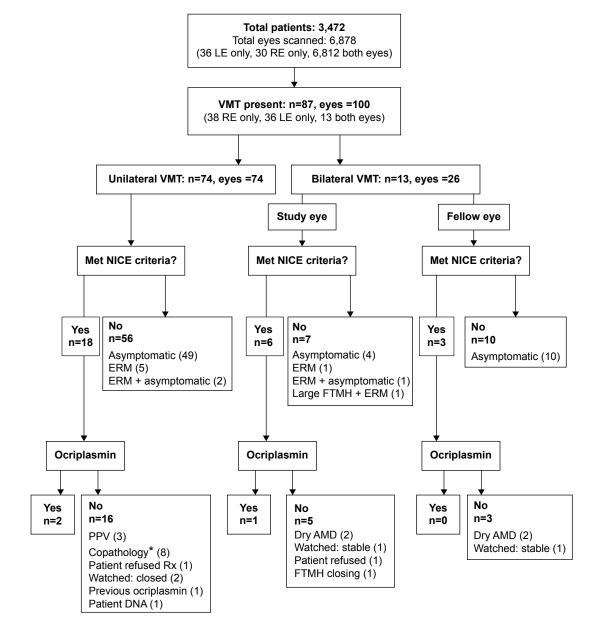


Figure 2 VMT study flow. Flow diagram demonstrating the number of patients with VMT recruited into the study based on retrospective analysis of SD OCT images. Note: \*Copathology represents those patients with concurrent eye disease that was more likely to be causing their symptoms (examples include AMD and cataract). Abbreviations: AMD, age-related macular degeneration; DNA, did not attend; ERM, epiretinal membrane; FTMH, full-thickness macular hole; LE, left eye; NICE, National Institute for Health and Care Excellence; OCT, optical coherence tomography; PPV, pars plana vitrectomy; RE, right eye; Rx, treatment; SD, spectral domain; VMT, vitreomacular traction.

phacoemulsification and MH repair (Table 1). The 56 patients failing to meet NICE criteria with unilateral VMT were predominantly asymptomatic (n=49) or had coexisting ERM (n=5) or both (n=2).

There were 13 patients with bilateral VMT, which are also illustrated in Figure 1. Dispositions are shown in terms of study eyes and the fellow eyes, where the study eye represents the eye with the more significant disease. Among the study eyes, six eyes met NICE criteria and only one received ocriplasmin. For the remaining five eyes, one patient refused treatment, two had dry AMD and were not followed up, one was watched and felt to be stable, and lastly one patient had FTMH that was closing spontaneously. Among seven study eyes that did not meet NICE criteria, four were asymptomatic, one had symptomatic ERM, one had ERM without symptoms, and one had a symptomatic large FTMH with ERM.

Three of 13 fellow eyes met NICE criteria, and none of these received treatment. Two eyes had dry AMD, and one eye was watched and found to be stable. Ten fellow eyes did not meet NICE criteria, and all of these were asymptomatic.

Table I Patients meeting NICE criteria with	th visual problems due to c	opathologies
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Patient	Copathology	Management Ranibizumab injection(s)		
1	Wet AMD + SRF			
2	Dry AMD and dystrophy	None		
3	Dry AMD + CME	None		
4	Cataract	Phacoemulsification (declined joint procedure with PPV)		
5	Wet AMD + CNV	Ranibizumab injection(s)		
6	Right MH	PPV and phacoemulsification		
7	POAG	Trabeculectomy		
8	Wet AMD + RAP lesions	Ranibizumab injection(s)		

Abbreviations: AMD, age-related macular degeneration; CME, cystoid macular edema; CNV, choroidal neovascularization; MH, macular hole; NICE, National Institute for Health and Care Excellence; PPV, pars plana vitrectomy; POAG, primary open-angle glaucoma; RAP, retinal angiomatous proliferation; SRF, subretinal fluid.

Table 2 shows the distribution of treatment modality used for patients meeting NICE criteria. The average age of these patients was 75.6 years. Six patients received treatment, three received ocriplasmin therapy, and three underwent PPV. Those eyes that underwent PPV had worse visual acuities prior to intervention.

## Discussion

There has been a paradigm shift in the management of patients with VMT, macular pucker, ERM, and MH. A subset of patients with focal VMT, without ERM formation, with an FTMH (less than 400  $\mu$ m), and/or severe symptoms can now be treated with ocriplasmin therapy instead of conventional PPV. This new medical vitreolysis approach is supported by high-level evidence from large, well-designed, randomized clinical trials and also by recent guidance issued by NICE. In this study, we set out to investigate the qualitative and quantitative aspects of the selection process involved in identifying suitable patients for ocriplasmin therapy, in order to understand the frequency and numbers of patients that meet the strict NICE guidance criteria for vitreolysis and also the

Table 2 Treated patients meeting NICE criteria

multitude of factors that lead to selection of alternative forms of management, such as PPV or watchful waiting.

The most striking finding was the high frequency of VMT. Within a period of 3 months in a medium-size department, 3,472 patients received at least one OCT scan. Of this number, a total of 74 patients were found to have unilateral VMT and another 13 patients had bilateral VMT. This figure is a reflection of how commonly encountered focal VMT is in everyday practice. Many of these patients have coexisting pathologies, such as AMD, which require monthly visits, and this can lead to a false impression of a higher annual incidence rate of new cases of focal VMT. The true incidence of new cases of focal VMT is not known, as there have been few longitudinal population studies performed to capture the occurrence of new cases over time in a population. At the 20-year follow-up of the Beaver Dam eye study, VMT was present in 28 eyes in 24 individuals (1.6% of the 1,540 participants).<sup>26</sup> Although the current study cannot give an accurate figure for incidence of VMT, assuming that each patient in our study represents a new case, we estimate the incidence to be approximately

Patient	Age (years)	Initial VA	Diagnosis	Treatment	Follow-up (days)	Postoperative macular status	VA on follow-up
A	81	6/12	Left symptomatic VMT	Ocriplasmin	25	MH closed – VMT persists	6/12
В	73	6/12	Right symptomatic VMT	Ocriplasmin	115	VMT persists	6/12
С	77	6/24	Left symptomatic VMT	Ocriplasmin	280	VMT persists	6/18
D	59	6/120	Left symptomatic VMT + FTMH	PPV	147	MH closed	6/60
E	80	6/60	Left symptomatic VMT	PPV	232	VMT resolved	6/60
F	75	6/36	Right symptomatic VMT + FTMH	PPV	89	MH closed	6/24
Average	74.2				148		

**Notes:** Six patients underwent treatment following the study period. The average age of these patients was 74.2 years. Visual acuity appeared to be better in the ocriplasmin group compared to those receiving PPV. Average follow-up was 148 days after the first OCT scan. None of the patients receiving ocriplasmin had vitreous detachment at follow-up, although one had MH closure. Two of two patients undergoing PPV for VMT with FTMH had macular hole closure.

Abbreviations: FTMH, full-thickness MH (meeting NICE guidance); MH, macular hole; NICE, National Institute for Health and Care Excellence; PPV, pars plana vitrectomy; VA, visual acuity; VMT, vitreomacular traction; OCT, optical coherence tomography.

2.5%. The comparatively high frequency of VMT in our study could be explained by a varying demographic. However, it could also represent the high fallout of patients in the Beaver Dam study (4,926 participants at baseline to 1,540 at 20 years), which reflects survival as opposed to participation. Due to the asymptomatic nature of VMT as reflected in this study, it is likely that any study involving symptomatic patients will underestimate the frequency of disease in the population.

Another finding was that of 87 patients with focal VMT in one or both eyes, 63 patients were not suitable for ocriplasmin therapy, as they were either asymptomatic or had associated ERM. This high proportion is in keeping with previous reports and meta-analyses of the rates of focal VMT in the overall population. This finding may justify the provision of separate guidelines for monitoring of these patients, so that early cases of MH can be detected and treated more promptly with either vitreolysis or surgery. The large proportion of patients in this category may represent a future public health issue or burden on resources.

Of 24 patients who satisfied the NICE criteria for ocriplasmin, only three eyes received this treatment. The reasons for noncompliance with the guidance were quite interesting and varied. Three patients who received vitrectomy typically had worse visual acuity compared to those treated with ocriplasmin, and these patients all opted for this treatment over ocriplasmin. Twelve eyes in ten patients were not treated, due to coexisting eye disease, in particular AMD of varying severity, considered the more likely cause for visual symptoms. The other reasons included partial or full resolution, patient refusal and patient nonattendance.

Our low numbers of patients treated with ocriplasmin did not agree with predicted figures that have been published. Amoaku et al predicted 10-20 patients would require treatment per 100,000 per year. From our figures, using a catchment population of 850,000, we estimate a frequency of ocriplasmin use at 1.4 per 100,000 per year.<sup>28</sup> This discrepancy may well be partly due to the variation in patient selection for treatment and in particular the subjective interpretation of the definition of "symptomatic", and what constitutes focal VMT on an OCT scan. Another factor may be the lack of guidance on coexisting macular pathology. A large proportion of cases of symptomatic focal VMT also had coexisting pathology. There may be different emphasis on the exclusion of patients with coexisting pathology between studies and between sites. Although NICE guidance does not explicitly exclude focal VMT with coexisting macular pathology to be treated with ocriplasmin, some clinicians (like ours) may still be excluding such patients, as they would not have been eligible for entry into the pivotal MIVI-TRUST (Microplasmin for Intravitreous Injection – Traction Release without Surgical Treatment) trials on which the NICE guidance was based.<sup>14</sup> Another potential reason for excluding patients is refractive error. It is well known that eyes that are highly myopic are at increased risk of developing retinal detachment following PVD, and the presence of very early VD in myopic eyes has been recently reported using swept-source OCT.<sup>29</sup> Although we did not capture any cases with myopia as copathology in any of the ocriplasmin-excluded cases in the 3-month study period, high myopia with pathological vitreous and retinal changes may be an additional factor that could deter selection of patients for ocriplasmin therapy.

In our study, we could not capture the stage of PVD in those eyes with VMT, as this was not a necessary criterion of selection of therapy for VMT at our site. However, as such imaging techniques as swept-source OCT become more widely available, the stage of PVD may become more reliable, as shown recently by Itakura et al and Uchino et al, and will undoubtedly play a more important role in the decision-making process for VMT therapy.<sup>29,30</sup>

Ocriplasmin represents a valuable alternative treatment option for patients with symptomatic focal VMT, which has been found by this and many other studies to be a commonly encountered condition. However, the number of cases suitable for ocriplasmin therapy may actually be quite low, and the main reasons for exclusion were lack of sufficient symptoms and the coexistence of another macular pathology. These subjective factors are not covered explicitly under NICE criteria, and future publication of real-world outcomes of ocriplasmin therapy should be interpreted cautiously, as there may be variation in patient selection. Clinicians in other departments with high attrition rates due to their selection process for ocriplasmin therapy may find our results of corroborative value. The large proportion of patients without significant symptoms may warrant the development of strategies to monitor such patients in a cost-effective way.

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Regeneron, and Genentech. Travel expenses and speaker fees were received by NN from Novartis and Bayer. The other authors report no conflicts of interest in this work.

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