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**‘GROWTH RINGS’ IN CRUSTOSE LICHENS: COMPARISON WITH
DIRECTLY MEASURED GROWTH RATES AND IMPLICATIONS FOR
LICHENOMETRY**

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22 **Abstract**

23

24 Some species of crustose lichens, such as *Ochrolechia parella* (L.) Massal., exhibit
25 concentric marginal rings, which may represent an alternative technique of measuring
26 growth rates and potentially, a new lichenometric dating method. To examine this
27 hypothesis, the agreement and correlation between ring widths and directly measured
28 annual radial growth rates (RaGR, mm a⁻¹) were studied in 24 thalli of *O. parella* in
29 north Wales, UK, using digital photography and image analysis. Variation in ring
30 width was observed at different locations around a thallus, between thalli, and from
31 year to year. The best agreement and correlation between ring width and lichen
32 growth rates was between mean width of the outer two rings (measured in 2011) and
33 mean RaGR (in 2009/10). The *O. parella* data suggest that mean width of the
34 youngest two growth rings, averaged over a sample of thalli, is a predictor of recent
35 growth rates and therefore could be used in lichenometry. Potential applications
36 include as a convenient method of comparing lichen growth rates on surfaces in
37 different environmental settings; and as an alternative method of constructing lichen
38 growth-rate curves, without having to revisit the same lichen thalli over many years.
39 However, care is needed when using growth rings to estimate growth rates as: growth
40 ring widths may not be stable; ring widths exhibit spatial and temporal variation; rings
41 may not represent 1-year's growth in all thalli; and adjacent rings may not always
42 represent successive year's growth.

43

44 Key Words: *Ochrolechia parella* (L.) Massal., Marginal growth ring, Radial growth
45 rate (RaGR), Annual variation, Lichenometry

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50 **1. Introduction**

51

52 Lichenometry has been used as a dating technique by earth scientists for more than 50
53 years. However, its usefulness and validity have been subject to intense scrutiny.
54 Recent studies have shown both the potential power and questionability of
55 lichenometry as a surface-exposure dating technique. For example, diligent lichen-
56 population studies – involving measurement and statistical analysis of several
57 thousand thalli growing on coeval surfaces – have greatly increased our understanding
58 of crustose lichen growth history, mortality, and longevity (Loso *et al.*, 2014) and
59 expanded the opportunities for surface dating applications. In stark contrast, others
60 have taken a highly critical view of the technique, either by highlighting the apparent
61 inaccuracy, imprecision, and non-reproducibility of the ages derived (e.g. Jomelli *et al.*,
62 2006); or, more recently, by strongly questioning the validity of the technique at a
63 fundamental level (Osborn *et al.*, 2015).

64

65 Nevertheless, there are many good reasons why the size of lichens (and some
66 bryophytes) growing on stone surfaces can shed useful, sometimes unique,
67 information on the exposure age (and history) of a surface. In fact, the very reasons
68 why Knut Faegri, Roland Beschel, James Benedict, and many other early pioneers of
69 the lichenometric technique found it so useful in the 1930s, 1950s and 1960s – and
70 why so many still do today (e.g. McEwen *et al.*, 2013; Bull, 2014; Foulds *et al.*,
71 2014). The fact that the monotonous slow growth of lichens can be measured (directly
72 over time), or inferred (from surfaces of known age) allows the use of certain lichens
73 as a form of biological chronometer – an environmental surrogate for the passage of
74 time. In this article we explore a little studied but potentially valuable branch of
75 lichenometry, viz. the use of marginal growth rings to estimate lichen growth rates
76 and lichen age.

77

78 Crustose lichens, representing several genera including species of *Ochrolechia*,
79 *Rhizocarpon*, and *Fuscidea* have concentric ‘rings’ at the margin of the thallus (Fig
80 1). Within a ring, alternating light and dark bands are often evident. The biological
81 origin of the bands has not been established but the lighter bands appear to represent
82 relatively rapid growth in summer while the narrow darker bands more truncated

83 growth in winter (Hale, 1973; Hooker, 1980; Armstrong and Bradwell, 2010). The
84 more growth is truncated in winter, the more evident the dark band appears to be and
85 the clearer the growth rings. This observation implies that rings may be more
86 prominent in species growing in more seasonal or more stressful climatic conditions.
87 If each complete light/dark couplet or ‘ring’ represented a single year’s growth,
88 growth could be traced back a number of years in some thalli. Lichen growth rings
89 could therefore provide a potentially new *in situ* lichenometric method of determining
90 the growth rate and, hence, approximating the age of lichen thalli (e.g. Armstrong,
91 1983; 2005a; 2005b, 2014; McCarthy, 2003). In short, if intra-thallus growth rings
92 could be used to infer lichen growth rates, akin to tree rings, they may serve as an
93 independent measure of lichen age, provide constraint on site-specific and between-
94 site growth rates, and help to restore trust in the lichenometric technique more
95 generally.

96
97 In a preliminary study, the widths of successive marginal rings in 25 thalli of
98 *Ochrolechia parella* (L.) Massal. (syn. *O. pallescens* auct. brit. p.p.), growing at a
99 maritime site in north Wales, UK, were measured (Armstrong and Bradwell, 2010).
100 Between 3 and 7 rings were frequently present at the margin, with ring width
101 generally varying from 1 – 2 mm, consistent with yearly variation in radial growth
102 rate (RaGR) reported in studies by direct measurement (Phillips, 1969; Armstrong,
103 2005a; 2006). In addition, the same preliminary study explored the potential for using
104 marginal growth rings to estimate the age of a crustose lichen thallus growing on
105 recently exposed bedrock adjacent to Breidalon, SE Iceland (Armstrong and Bradwell,
106 2010). A minimum exposure age estimate of AD 1959 \pm 5, consistent with the known
107 surface age, was obtained by measurement of its marginal rings and a simple ‘growth
108 rate’ extrapolation.

109
110 However, in a study of lichen growth rates on the South Orkney Islands in the
111 maritime Antarctic, Hooker (1980) emphasized caution in the use of lichen rings to
112 estimate growth rates. Hence, in *Buellia russa* (Hue.) Darb., rings were present only
113 in the non-lichenised hypothallus but each concentric ring did represent one year’s
114 growth. By contrast, in *Buellia coniops* (Wahlenb.) Th. Fr. and *Caloplaca*
115 *cirrochrooides* (Vainio) Zahbr., ‘pseudoannual rings’ were present in which each new

116 ring that developed appeared to represent two or more growing seasons. The rings in
117 *Caloplaca* were also not as distinct as those of other species and no new marginal
118 rings appeared to form during two subsequent growing seasons (Hooker, 1980).
119 Hence, further research is clearly needed before marginal zonation or rings can be
120 used as a reliable measure of lichen growth rate and longevity.

121

122 For intra-thallus rings to be of use in lichenometry, they would need to be distinct;
123 stable from year to year; easily measurable; consistently represent one year's growth;
124 and show close agreement and correlation with directly measured RaGR. Hence, to
125 examine the feasibility of using marginal zonation rings as an estimate of lichen
126 growth, the degree of agreement and correlation between growth estimated from rings
127 and by direct measurement of RaGR was studied in a sample of 24 thalli of *O. parella*
128 growing in a maritime environment in north Wales, UK. The other principle
129 objectives of the study were to determine: (1) whether the rings were detectable and
130 easily measurable, (2) whether rings were stable from year to year, (3) whether
131 successive growth rings represented a consecutive series of 1-year growth increments,
132 and (4) the degree of agreement and correlation between rings and directly measured
133 RaGR.

134

135 **2. Materials and Methods**

136

137 *2.1 Site*

138

139 The study site was a series of south-facing maritime rock surfaces located in the Dyfi
140 estuary at 'Picnic island,' Aberdyfi, north Wales, UK (Grid Ref. SN 6196) in an area
141 of Ordovician slate rock described previously (Armstrong, 1974). These surfaces
142 possess a rich lichen flora characteristic of maritime siliceous rock in the west of the
143 UK (James *et al.*, 1977), have a high proportion of crustose species (Armstrong,
144 1974), and include a large population of *O. parella* with marginal rings (Armstrong,
145 1974; Armstrong and Bradwell, 2010). *O. parella* is a relatively common lichen and is
146 member of several different communities in north Wales, including those on north-
147 and south-facing rock surfaces, and rocks with steep or shallow surface slopes. It is a
148 potentially useful species for lichenometric dating studies.

149

150 2.2 Measurement of rings

151

152 The concentric marginal rings of 24 randomly-selected thalli of *O. parella*, with
153 largest diameters 18 – 118 mm were studied. Each thallus was photographed in its
154 entirety using a Canon IXUS 70 digital camera (7.1 Megapixels, Focal length 5.8 –
155 17.4 mm, Closest focusing distance 30 mm), which incorporates a x12 zoom lens,
156 providing a particularly clear image of the rings (Fig 2a). A scale measure marked in
157 mm was placed adjacent to each thallus. Width of each distinct growth ring was
158 measured using 'Image J' software developed by the National Institute of Health,
159 Bethesda, USA (Syed *et al.*, 2000; Girish and Vijayalakshmi, 2004) and available as a
160 free download. The width of each easily identifiable marginal ring was measured at
161 five randomly selected points around each thallus, using the outer edge of each dark
162 band as a baseline, and then averaged. Rings were measured in three successive years,
163 viz. at the beginning of January 2009, 2010, and 2011. Rings were numbered from the
164 edge towards the centre of the thalli and also identified according to year of
165 measurement, i.e., ring 10.3 would identify the third ring from the margin measured in
166 2010.

167

168 2.3 Measurement of growth

169

170 To measure RaGR of each thallus, the advance of the thallus margin was measured in
171 relation to fixed points marked on the rock located at 1 mm intervals from the thallus
172 edge (Hale, 1970; Armstrong 1973; 1975; 2013). Between eight and ten randomly
173 chosen locations were measured around each thallus. Growth increments were
174 measured with 'Image J' software using the method described previously (Armstrong,
175 2013, 2014). Hence, each lichen image was magnified to clearly reveal the fixed
176 markers and the scale measure. The image was then calibrated using the scale
177 measure and the distance from the margin to the fixed marker measured. Subsequent
178 measurements of these distances were made from photographs taken on 1, January
179 2009, 2010, and 2011 enabling estimates of RaGR (mm a^{-1}), averaged over all thallus
180 locations, to be made for each thallus in 2009 and 2010.

181

182 2.4 Data analysis

183

184 Comparisons of mean RaGR and ring width were performed using ‘t’ tests.
185 Correlations between the width of concentric rings and directly measured RaGR were
186 studied using Pearson’s correlation coefficient (‘r’) and regression methods
187 (Armstrong and Hilton, 2011). For this analysis, thalli exhibiting either zero growth
188 during the period under study or in which rings were indistinct or lost were excluded.
189 Correlation is not the same as ‘agreement’, i.e., two quantities may be highly
190 correlated but not agree in the quantity that they estimate. Hence, the extent of the
191 ‘agreement’ between the two measures of growth in individual thalli was assessed
192 using the Bland and Altman graphical method (Bland and Altman, 1986; 1996). This
193 method measures by how much the results obtained using two methods differ and
194 how far apart the two estimates of growth should be before there is significant
195 ‘disagreement’. The essential feature of a Bland/Altman plot is that the two estimates
196 of growth, from marginal rings and direct measurement, are subtracted for each
197 thallus and these differences are plotted against the mean of the two measurements.
198 The ‘mean difference’ averaged over thalli, is known as the degree of ‘bias’ and is the
199 central ‘bias line’ on a Bland/Altman plot. Either side of the bias line are plotted the
200 95% confidence intervals (CI) in which 95% of the differences in growth as estimated
201 by the two methods for the sample of thalli would be expected to fall.

202

203 3. Results

204

205 Fig 2a shows three concentric rings of *O. parella* measured in 2009 which are
206 relatively distinct over at least part of the thallus. Fig 2b shows rings in more detail
207 revealing the characteristic narrow dark and wider light bands. In addition, further
208 more subtle, banding is evident especially within the first ring. Fig 2c and 2d show the
209 same rings observed in 2009 and 2011, suggesting that the first two rings had largely
210 disappeared over this period as a result of marginal erosion.

211

212 The number and mean widths of the concentric rings in each of the three years in all
213 thalli are summarized in Table 1. Thalli exhibited between one and six rings in 2009

214 (mean = 3.7, SD = 1.37), between one and seven rings in 2010 (mean = 3.7, SD =
215 1.59), and between two and seven rings in 2011 (mean 3.8, SD = 1.21). Comparisons
216 between successive years suggested that some rings clearly visible in 2009 had
217 become indistinct or had disappeared by 2011 in 6/24 and 3/24 thalli respectively. In
218 some thalli, marginal erosion resulted in the loss of rings followed by the
219 development of a new ring at the newly exposed thallus edge. Mean width of all rings
220 was in the range 0.48 – 0.77 mm (Standard deviation = 0.41 – 0.68), mean width in
221 2011 being less than in 2009/2010. The frequency distribution of ring number did not
222 exhibit significant skew or kurtosis in any year but the distribution of ring widths was
223 markedly asymmetric with a significant degree of skew in each of the three years
224 studied. A complete new ring with light and dark bands was observed to develop in
225 one or both years in eight thalli, while in the remainder, a partial ring or a complete
226 ring plus part of a new ring were formed.

227

228 Spatial and temporal variations in marginal ring width around an individual thallus
229 are shown in Fig 3. Several sources of variation in width are evident: (1) within a
230 single ring at different locations around the thallus, as indicated by the large standard
231 deviations, (2) between successive rings within a thallus, e.g., between rings 9.2 and
232 9.3 and (c) between the same ring measured in successive years, e.g., between rings
233 9.2 and 10.3, suggesting rings may continue to increase in width after the year of
234 formation.

235

236 A summary of thalli RaGR measurements is shown in Table 2. Mean RaGR was 0.44
237 mm a⁻¹ (range 0 -1.08, Standard deviation = 0.32) in 2009 and 0.34 mm a⁻¹ (range 0 –
238 1.16, SD = 0.31) in 2010. There was no significant differences in RaGR measured in
239 the two years (Paired 't' = 0.81, P > 0.05).

240

241 The correlation between the number and width of rings and thallus size is shown in
242 Table 3. There were no significant correlations between the number of rings present
243 and thallus diameter in any of the three years studied (r = 0.15 – 0.34, P > 0.05).
244 However, there was a significant positive correlation between ring width and thallus
245 diameter for the average of all rings (r = 0.50, P < 0.01) and for the average of the first
246 two rings (r = 0.57, P < 0.01) suggesting increasing growth rates with size. Directly

247 measured RaGR also increased with thallus diameter but exhibited a weaker
248 relationship with size than the growth rings ($r = 0.43$, $P < 0.05$).

249

250 Comparison and correlation between growth means derived from marginal rings and
251 direct measurement are shown in Table 4. There was no significant difference in
252 RaGR and ring widths when comparing RaGR in 2009 and width of the first ring in
253 2010 (10.1) ($t = -0.01$, $P > 0.05$), RaGR in 2010 and width of ring 11.1 ($t = 0.24$, $P >$
254 0.05), between RaGR in 2009 and mean width of rings 11.1 and 11.2 ($t = 0.15$, $P >$
255 0.05), and between mean RaGR in 2009/10 and mean width of rings 11.1 and 11.2 (t
256 $= -0.53$, $P > 0.05$) suggesting good agreement between these estimates. However,
257 there was a significant difference between mean RaGR in 2009/10 and mean width of
258 all rings present ($t = 3.01$, $P < 0.01$). There was no significant correlation between
259 mean RaGR in 2009/10, and the average width of all rings present ($r = 0.39$, $P > 0.05$)
260 and there were no significant correlations between RaGR in either 2009 ($r = 0.39$, $P >$
261 0.05) and 2010 ($r = 0.24$, $P > 0.05$) and width of the most recent ring. However, the
262 best combination of agreement and correlation was between mean RaGR in 2009/10
263 and the mean width of rings 11.1 and 11.2 ($r = 0.60$, $P < 0.05$). Fig 4 shows the linear
264 correlation between mean RaGR in 2009/10 and the mean width of rings 11.1 and
265 11.2 revealing, despite the significant correlation, a considerable degree of scatter
266 about the line.

267

268 A Bland and Altman plot of the same data shown in Fig 5 indicates the degree of
269 agreement/disagreement between the measures of ring width and growth. The bias
270 line is located at 0.02 indicating that averaged over all 15 thalli included in this
271 analysis, width of the most recent rings and actual growth measurements are
272 estimating essentially the same quantity. However, the degree of error for individual
273 thalli is large, the 95% confidence intervals being ± 0.48 mm. Eight out of 15 thalli
274 were located fairly close to the bias line (within 0.2 mm) suggesting good agreement
275 between the two methods. In addition, there were a further seven thalli in which
276 agreement was weaker; in three of these thalli ring widths overestimated growth
277 compared with RaGR and in four thalli ring widths underestimated growth. Hence,
278 averaged over a sample of thalli, the two methods show close agreement, but
279 agreement is poor for an individual thallus.

280

281 **4. Discussion**

282

283 Lichens are a potentially valuable dating tool for geoscientists and archaeologists.
284 However, the validity of lichenometry as a geochronological technique has been the
285 subject of intense recent criticism (Osborn *et al.*, 2015). Although their criticism
286 focuses on existing techniques (use of the largest, or several largest, lichens),
287 calibration curves and the non-reproducibility of lichenometric ages, the arguments of
288 Osborn *et al.* (2015) serve to undermine trust in the lichenometric technique
289 generally. The following discussion explores a potential new branch of the
290 lichenometric technique using marginal growth rings as an independent measure of
291 lichen age and their potential usefulness as a lichen-dating method.

292

293 Data are presented on the widths of marginal rings within a sample of the common
294 crustose lichen thalli (*O. parella*) growing at a maritime site in north Wales which are
295 then compared with directly measured growth rates. In this population, a high
296 proportion of thalli exhibited at least two distinct growth rings while a smaller
297 number of thalli exhibited four or more rings. These data agree with those of
298 Armstrong and Bradwell (2010) in suggesting that averaging marginal rings is a
299 possible alternative method of studying the growth of crustose lichens.

300

301 *4.1 Growth rings and growth models*

302

303 The number of rings present appears to be independent of thallus size probably
304 because rings are only clearly evident at the margin of the thalli. However, there was a
305 significant increase in ring width with thallus size which suggests more significant
306 growth in larger thalli. Various growth models have been proposed for the shape of
307 the growth curve of crustose lichens. Hence, Proctor (1977) studied the growth curve
308 of the placodioid species *Buellia (Diploicia) canescens* (Dicks.) DNot. It was
309 assumed that RaGR was proportional to an area of thallus in an annulus of constant
310 width within the growing margin and that the shape of the growth curve was
311 essentially asymptotic. By contrast, a number of studies (Armstrong, 1983; Haworth,
312 *et al.*, 1986; Bradwell and Armstrong, 2007) have suggested that in *Rhizocarpon*

313 *geographicum* (L.) DC., the growth curve is not asymptotic, but approximates to a
314 second-order (parabolic) curve: RaGR increasing in smaller thalli to a maximum and
315 then declining in larger thalli. However, Trenbirth and Matthews (2010) have
316 proposed several models for the growth curve of *R. geographicum* including models
317 in which growth increases with size, as in *O. parella*, remains relatively constant or is
318 parabolic with a declining phase. The present preliminary data provide no evidence
319 for a declining phase of growth in *O. parella*, instead growth seems to be low in
320 individuals 2-4 cm and then to increase rapidly in individuals greater than about 4 cm
321 in diameter. The relationship between rings and thallus diameter suggests that rings
322 measured over a sample of thalli of different size could be used to rapidly construct an
323 age-size curve for some crustose lichens and therefore an alternative method of direct
324 lichenometry (Trenbirth and Matthews, 2010; Armstrong, 2014).

325

326 Directly measured annual radial growth of lichen thalli over 2 years was found, in
327 most cases, to equate to marginal ring widths over the same time period – showing
328 that marginal rings in *Ochrolechia parella* are generally a good proxy for growth rate.
329 In this crustose species, the best combination of agreement and correlation was
330 achieved between the widths of the outer two rings measured in 2011 and mean
331 RaGR over the previous two years. Agreement and correlation was poor, however,
332 when all visible rings were included probably because either growth in earlier years
333 was distinctly different from that measured in 2009 and 2010 or possibly because of
334 subsequent changes in width of older rings. Poor agreement and correlation at the
335 level of an individual thallus, could be attributable to errors in identifying and
336 measuring rings, changes in ring morphology after they were formed, in the
337 measurement of RaGR, or intrinsic variation among thalli in the extent to which a
338 single ring actually represents a single year's growth (Hooker, 1980). This problem,
339 together with the observation that some thalli of *O. parella* exhibited zero growth or
340 marginal erosion over the period of the study, suggests a large sample of thalli,
341 probably at least 20-30, should be used in studies using growth rings to estimate
342 growth rates.

343

344 *4.2 Problems and caveats*

345

346 In addition to these findings, this study has highlighted several problems that should
347 be taken into account when attempting to use marginal rings to estimate growth rates
348 in any lichen population. First, there can be problems in identifying and measuring the
349 rings. Hence, rings were clearly evident and measureable at the thallus margin but
350 were increasingly obscured and difficult to measure behind the margin as a result of
351 variable amounts of growth in thickness causing thalli to ‘wrinkle’, and then become
352 further obscured by the formation of reproductive structures (apothecia) in the centre
353 (Fig 2a). In addition, the dark band, which may represent winter growth and which
354 was used as a baseline to measure each ring, was not always distinct enough to be
355 clearly identified in all thalli. Additional sub-mm banding was often evident within a
356 ring, which may represent seasonal variations in growth (Rydzak, 1961; Hale, 1970;
357 Armstrong, 1993; Lawrey and Hale, 1971; Fisher and Proctor, 1975; Moxham, 1981;
358 Benedict, 1990), making ‘annual’ ring identification difficult in some thalli. It is also
359 easier to identify and measure rings of larger than smaller thalli, the rings being
360 narrower and more crowded together in smaller thalli. Identification of tree rings can
361 also be complex in dendrochronology (Fritts, 1976) and a magnifying glass is often
362 useful in such studies (Jomelli *et al.*, 2012). In the present study, the rings were easy
363 to identify on digital photographic images, and can be magnified on screen to the
364 required extent, making them easy to measure using Image-J software (Armstrong and
365 Bradwell, 2010; Armstrong, 2013; 2014).

366

367 Second, the width of a ring varied at different locations around thalli, which was also
368 observed in the study by Armstrong and Bradwell (2010), and is consistent with
369 peripheral growth variations observed in many studies (Armstrong and Smith, 1992;
370 Armstrong and Bradwell, 2001; 2011). Consequently, in measuring ring width, a
371 mean of several measurements, between 5 and 10, should be taken at random
372 locations around each thallus.

373

374 Third, rings varied in measured width in successive years, some rings expanding
375 while others appearing to slightly contract. These variations could result from further
376 growth or contraction behind the margin attributable to wrinkling (Hale, 1970).
377 Hence, growth does not appear to cease at the end of a growth year which therefore,
378 contrasts with dendrochronology in which tree rings exhibit ‘annual termination’

379 (Fritts, 1976), and could be one explanation for the relatively poor correlation
380 between RaGR and ring width.

381

382 Fourth, there is considerable variation in width of ‘analogous’ rings in different thalli.
383 These results also suggest that local differences in microclimate over the rock surface,
384 e.g., associated with aspect (Armstrong, 1975; 2002; 2005a), slope, or
385 microtopographical variations, could influence ring width and should be investigated
386 (Armstrong, 2014).

387

388 Fifth, the margins of some thalli became eroded over the study period resulting in loss
389 of rings. Marginal erosion has been observed in many crustose lichens including
390 *Rhizocarpon geographicum* (L.) DC, in north Wales (Armstrong and Smith, 1987)
391 and in the north cascades, Washington state (Armstrong, 2005a) and may be caused
392 by environmental stress and/or competition. Marginal erosion in lichens is usually
393 followed by regrowth (Armstrong and Smith, 1987). Hence, in some thalli of *O.*
394 *parella*, the margin was eroded back to an earlier ring and then a new ring was formed
395 as growth resumed at the new location. These observations suggest that a series of
396 successive rings may not necessarily represent consecutive growth increments and
397 caution is therefore required in identifying ‘analogous’ rings in different thalli.

398

399 A further problem in using marginal rings as a measure of growth is determining what
400 each marginal ring actually represents. Early studies suggested that the lighter bands
401 represented rapid summer growth and the narrow dark bands growth in winter (Hale,
402 1973) and therefore, that each ‘ring’ represented a single years growth. In eight thalli,
403 one complete growth ring did appear to be formed in a single year. In the remaining
404 thalli, however, either an incomplete ring or a complete ring and part of a second ring
405 were formed in a single year. The factors responsible for these variations are currently
406 unknown and require investigation. Hence, these data agree with the study by Hooker
407 (1980), who identified a more complex relationship between marginal rings and
408 growth. Hence, we would recommend that in any proposed lichenometric study of
409 lichen growth or age growth rings, each new species will need to be calibrated against
410 actual directly measured growth rates using a sample of at least 20 – 30 thalli.

411

412 4.3 Implications for lichenometry

413

414 Not all crustose lichens have growth rings but marginal rings have now been recorded
415 in sufficient species from several genera to make them potentially useful in
416 lichenometry (e.g. including *Rhizocarpon*, *Ochrolechia*, *Pertusaria*, *Fuscidea*,
417 *Buellia*, and *Caloplaca* (Hale, 1973; Hooker, 1980; Armstrong and Bradwell, 2010))
418 Where lichen growth rings are present and suitably calibrated, they may offer a
419 number of potential applications for lichenometric dating work. Primarily, growth
420 rings provide an alternative *in situ* method of estimating lichen growth rates and
421 hence lichen age, which could prove particularly valuable in regions where it is
422 currently not possible or not practical to calibrate lichen age-size curves or generate
423 lichen demographic growth-rate data.

424

425 Where present, growth rings could be used to supplement indirect lichenometric
426 dating studies by providing a measure of radial growth rate on independently dated
427 surfaces and surfaces of unknown age. Crucially this opens the possibility of
428 examining growth rate variation between sites where growth rate estimates were
429 previously not possible. Quantifying any growth rate variability (or uncertainty) is an
430 important consideration when deriving lichenometric ages (Innes, 1985; McCarthy,
431 1999; Trenbirth & Matthews, 2010). Much debate still surrounds the micro- and
432 macro-environmental effect of climate on lichen growth rates and the implications for
433 lichenometric dating studies (e.g. Beschel, 1961; Jochimsen, 1973; Innes, 1985;
434 Bradwell & Armstrong, 2007; Osborn *et al.*, 2015). For instance, glacial moraines
435 situated in a precipitation-dominated environment cannot be accurately dated using a
436 lichenometric curve calibrated in a precipitation-starved setting. Careful work by
437 Matthews (2005) showed a growth rate differential of ~20% existed in lichen growth
438 rates along a west-east gradient in southern Norway. Matthews (2005) recommends
439 the use of regionally controlled dating curves when conducting lichenometric
440 assessments across areas with differing climates or high levels of environmental
441 heterogeneity. This recommendation is backed up by direct measurements of lichen
442 growth rates, spanning more than a decade, along an extreme climatic gradient in
443 Antarctica (Sancho *et al.*, 2007). Unfortunately, calibrating regional dating (age-size)
444 curves is often impractical due to the absence or scarcity of control surfaces at high-

445 latitude high-altitude sites. This can lead to adaptation or adjustment of existing
446 lichenometric dating curves (e.g. Erikstad & Sollid, 1986; Evans *et al.*, 1999;
447 Winkler, 2004; Principato, 2008), sometimes without justification. We suggest that
448 annual growth rings could allow lichen growth to be assessed quantitatively and
449 conveniently across climatic provinces and between study sites without the need to
450 establish time-consuming lichen growth station experiments.

451

452 Owing to the slow growth of crustose lichens, directly measured growth rate data take
453 several years or even decades to collect depending on the climatic setting (e.g.
454 Benedict, 1990; McCarthy, 2003; Trenbirth & Matthews, 2010; Armstrong, 2014).
455 Growth rings, where present, may offer a relatively rapid, cost effective and non-
456 destructive, way to estimate lichen growth rates across a wide range of thallus sizes
457 and across a wide range of environmental settings. With more research into their
458 formation and evolution, growth rings may offer an alternative method of constructing
459 lichen growth-rate curves and assembling demographic growth rate data, especially in
460 remote or extreme environments, and thereby add to the growing literature on this
461 topic. In due course, it is hoped the multi-faceted approaches to the study of lichen
462 growth may help to deepen our understanding and reduce the uncertainties currently
463 surrounding the biological and ecological basis of lichenometry.

464

465 **5. Conclusions**

466

467 Marginal, concentric, growth rings occur in numerous crustose lichen species. Our
468 data show that the average widths of the outer two rings obtained from a reasonable
469 sample of thalli provide a good estimate of the recent radial growth rate (in *O.*
470 *parella*). However, our data also indicate that caution must be exercised; for example
471 marginal rings cannot always simply be assumed to accurately represent the annual
472 growth rate of any individual thallus. Neither can successive rings always be assumed
473 to necessarily reflect consecutive yearly growth increments. In addition, the
474 assumption that one complete ring is formed each year may not be true for all thalli,
475 owing to marginal erosion effects and competition from other species. These caveats
476 aside, comparisons of directly measured lichen growth from year to year with
477 marginal ring widths over the same period, do suggest that most marginal growth

478 rings, in *O. parella* at least, form annually and are a good proxy for radial growth rate
479 at the time of ring formation. This relationship is encouraging for those wishing to
480 ascertain the age of surfaces using crustose lichens, as marginal zonation is present in
481 many different genera and could potentially provide a previously unexploited dating
482 tool. We suggest that marginal growth rings could be of use in lichenometry as an
483 alternative *in situ* method of estimating the recent growth rate, and potentially the age,
484 of thalli growing on surfaces; and also as a rapid means of comparing lichen growth
485 rate variations between sites.

486

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488

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492

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668

669 **Table 1.** The number (N), mean width (mm), range (mm), standard deviation (SD),
 670 and degree of skew and kurtosis of marginal growth rings in a sample of thalli of the
 671 crustose lichen *Ochrolechia parella* (L.) Massal. in three successive years (** P <
 672 0.01).

674	<u>Year</u>	<u>Variable</u>	<u>N</u>	<u>Mean</u>	<u>Range</u>	<u>SD</u>	<u>Skew</u>	<u>Kurtosis</u>
675								
676	2009	Number	24	3.7	1 - 6	1.37	0.11	-0.55
677							(0.47)	(0.92)
678		Width	232	0.77	0.08 - 2.93	0.68	1.03**	0.03
679							(0.16)	(0.32)
680	2010	Number	20	3.7	1 - 7	1.59	0.03	-0.28
681							(0.51)	(0.99)
682		Width	187	0.80	0.11 – 3.39	0.72	1.25**	0.58
683							(0.18)	(0.35)
684	2011	Number	13	3.8	2 - 7	1.21	0.01	-0.65
685							(0.62)	(1.19)
686		Width	128	0.48	0.08 – 2.06	0.41	1.86**	3.16
687							(0.21)	(0.42)
688								

689

690 **Table 2.** Direct measurement of radial growth rate (RaGR, mm a⁻¹) of the crustose
 691 lichen *Ochrolechia parella* (L.) Massal. in two successive years (2009 and 2010) at a
 692 maritime site in north Wales, UK (N = Number of thalli measured, SD = Standard
 693 deviation).

695 <u>Year</u>	<u>N</u>	<u>Mean</u>	<u>Range</u>	<u>SD</u>
697 2009	24	0.44	0 – 1.08	0.32
699 2010	22	0.34	0 – 1.16	0.31

701 Comparisons between RaGR in 2009 and 2010: Paired ‘t’ = 0.81 (P > 0.05)

702

703 **Table 3.** Correlations (Pearson's 'r') between number and width of growth rings,
704 directly measured RaGR and thallus size.

705

706

707	<u>Correlation</u>	<u>'r'</u>	<u>'P'</u>
708			
709	Number of rings/Thallus diameter 2009	0.15	P > 0.05
710	Number of rings/Thallus diameter 2010	-0.04	P > 0.05
711	Number of rings/Thallus diameter 2011	0.34	P > 0.05
712			
713	Mean width of all rings/Thallus diameter	0.50	P < 0.01
714	Mean of first two rings/Thallus diameter	0.57	P < 0.001
715			
716	Mean RaGR in 2009 and 2010/Thallus diameter	0.43	P < 0.05
717			

718

719 **Table 4.** Comparison between means ('t' tests) and correlation (Pearson's 'r')
 720 between directly measured annual radial growth rates (RaGR, mm a⁻¹) of *Ochrolechia*
 721 *parella* and peripheral growth rings (* P < 0.05, ** P < 0.01, ns = not significant)

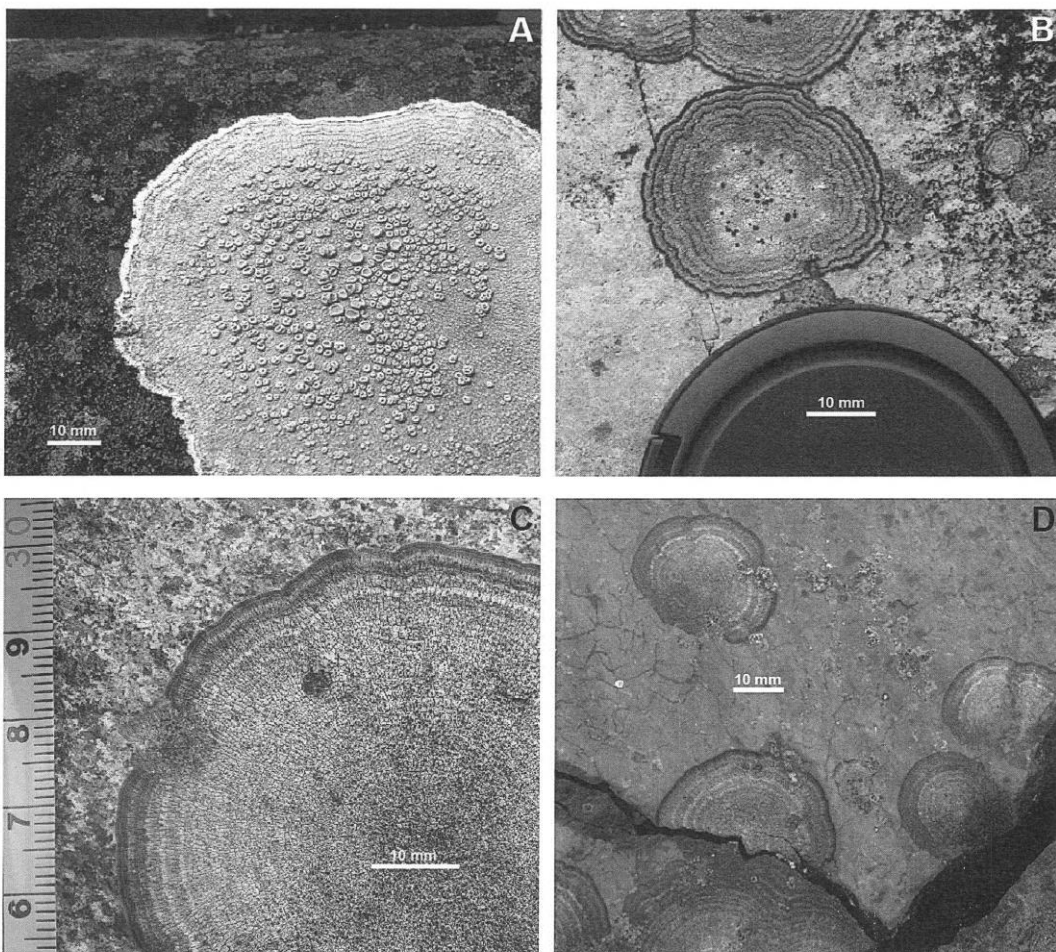
723	<u>Comparison/Correlation</u>	<u>'t'</u>	<u>'r'</u>
724			
725	RaGR in 2009 with width of ring 10.1	-0.01 ns	0.39 ns
726			
727	RaGR in 2010 with width of ring 11.1	0.24 ns	0.24 ns
728			
729	Mean RaGR in 2009 and 2010	3.01**	0.39 ns
730	with mean of all visible growth		
731	rings		
732			
733	RaGR in 2009 and mean of rings	0.15 ns	0.33 ns
734	11.1 and 11.2		
735			
736	Mean RaGR in 2009 and 2010 with	-0.53 ns	*0.60
737	mean of rings 11.1 and 11.2		
738			

739

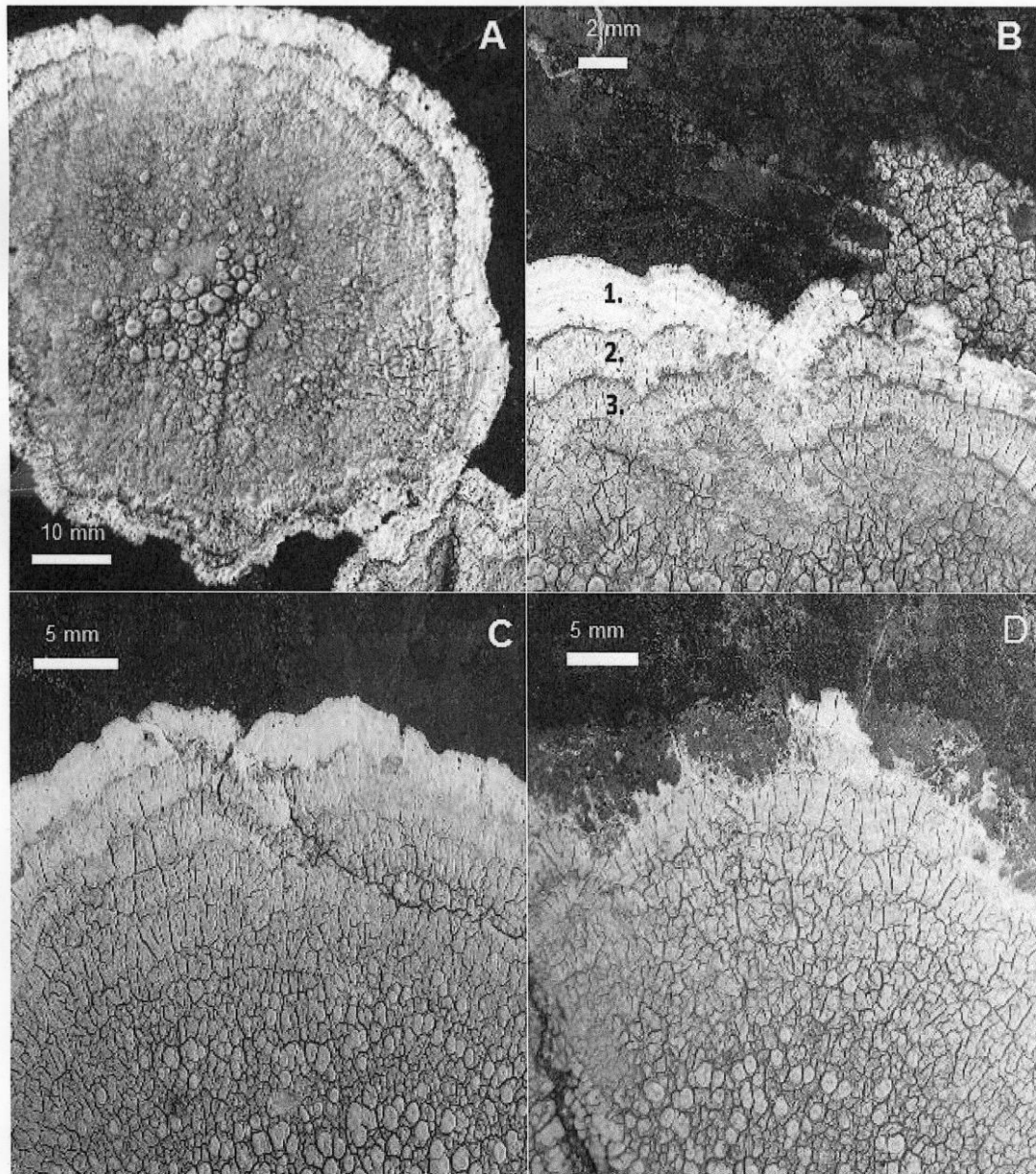
740 **Figures**

741

742 **Fig 1.** Examples of clear marginal zonation or ‘growth rings’ in crustose lichens. (A)
743 a large *Ochrolechia parella* (L.) Massal. thallus on a gravestone, Inchnadamph. NW
744 Scotland showing several rings, (B) a thallus of *Fuscidea cyathoides* (Ach.) V.Wirth,
745 Věžda, growing on a quartzite boulder, shore of Loch Eriboll, NW Scotland with
746 young apothecia, (C) a thallus, possibly a species of *Rhizocarpon* or *Fuscidea*
747 growing near shore of Breidalon, SE Iceland, (D) a thallus of *Fuscidea cyathoides*
748 (Ach.) V.Wirth, Věžda, with pycnidia growing on Basalt boulder, near
749 Svinafellsjökull, SE Iceland.



750



751
 752 **Fig 2.** Marginal rings in thalli of the crustose lichen *Ochrolechia parella* (L.) Massal.
 753 growing at a maritime site in north Wales: (A) overall view of a thallus showing three
 754 distinct rings over a part of the thallus; apothecia are also visible towards the centre of
 755 the thallus, (B) the rings in more detail revealing the characteristic dark and wider
 756 light bands with some additional banding evident within the first ring, (C) rings of a
 757 thallus in 2009, and (D) rings of the same thallus in 2010 after marginal erosion

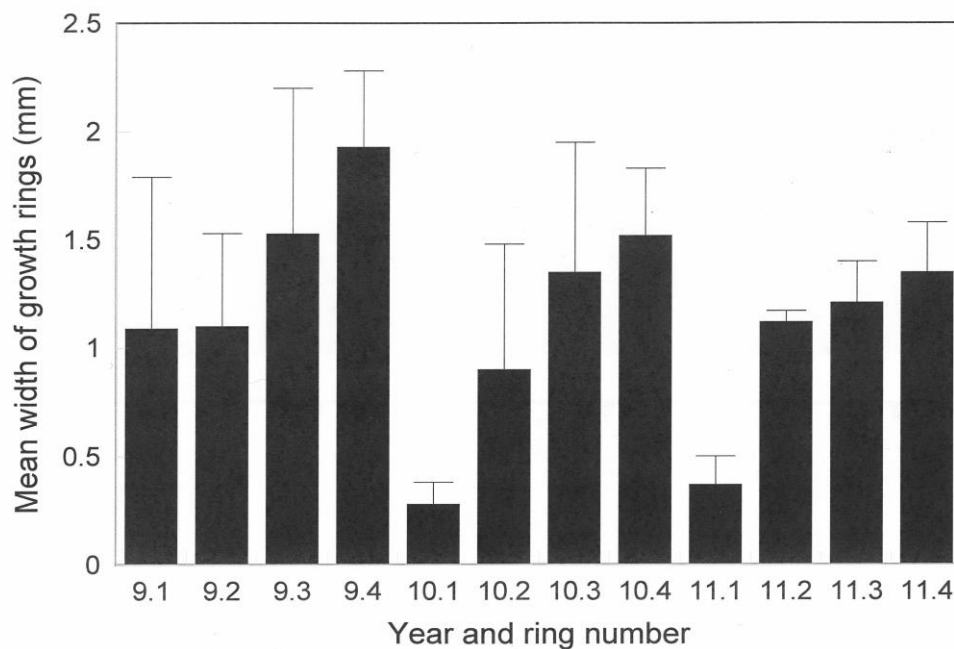
758

759 **Fig. 3.** Variation in ring width of a single thallus of *Ochrolechia parella* (L.) Massal.

760 Rings were numbered from the edge towards the centre of the thalli and also

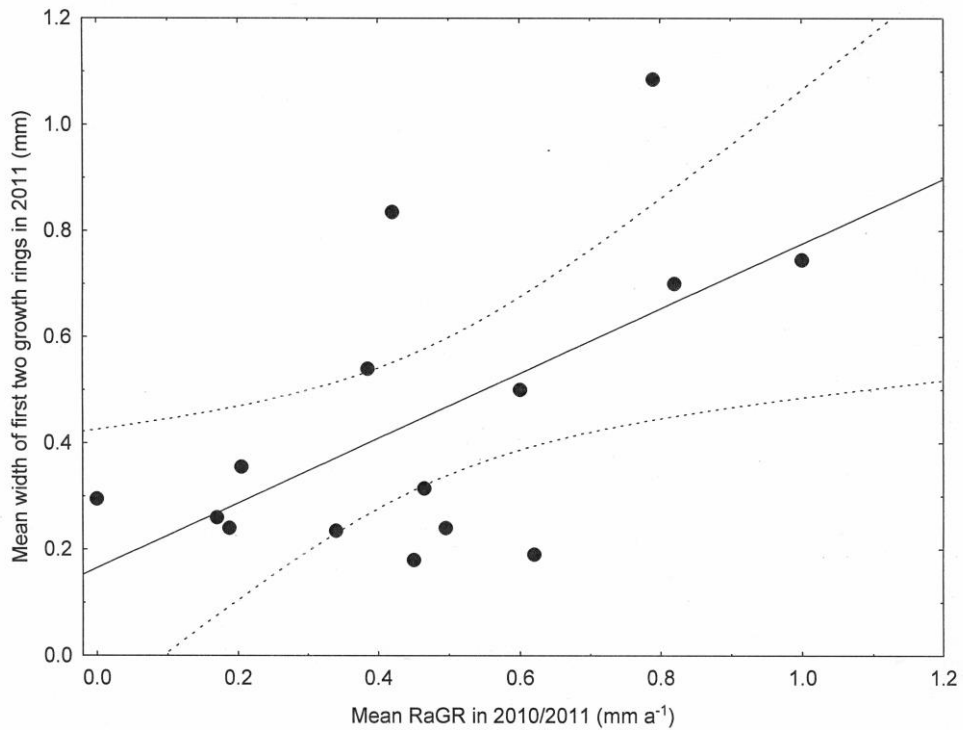
761 identified according to year of measurement, e.g., ring 10.3 indicates the third ring

762 from the margin measured in 2010. Bars indicate standard deviation (SD).



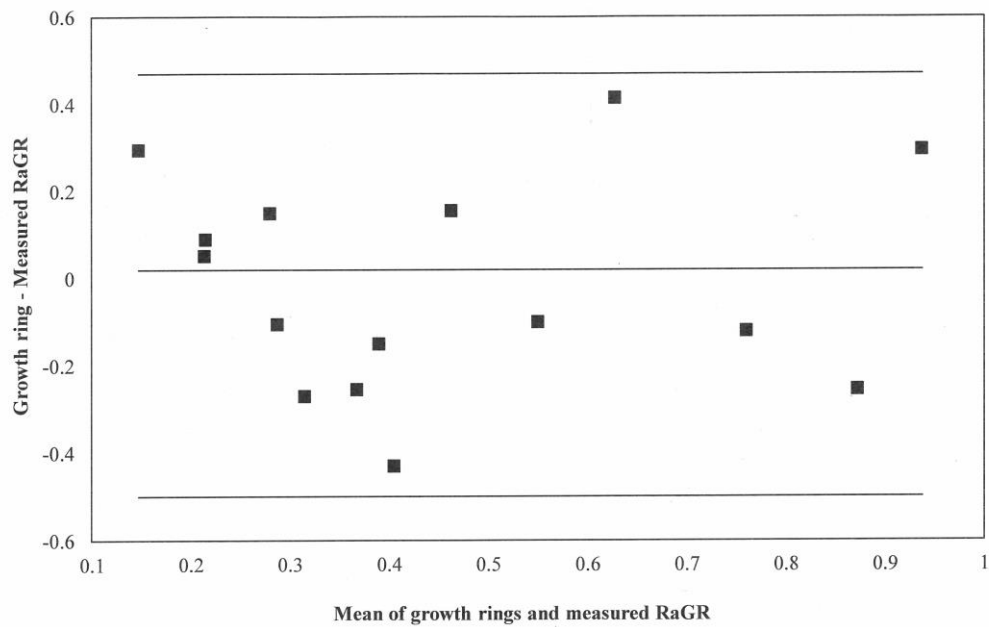
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765
 766 **Fig 4.** The relationship between mean width of the rings 11.1 and 11.2 and mean
 767 radial growth rate (RaGR) (mm a⁻¹) in 2009/10 in the crustose lichen *Ochrolechia*
 768 *parella* (L.) Massal. growing at a maritime site in north Wales (Pearson's 'r' = 0.56, P
 769 < 0.05, Linear regression: $Y = 0.165 + 0.6103X$ with 95% confidence intervals).
 770

771 **Fig 5.** A Bland and Altman plot showing the degree of agreement/disagreement
772 between mean radial growth rate (RaGR) (mm a⁻¹) in the crustose lichen *Ochrolechia*
773 *parella* (L.) Massal.measured over two years (2009/10) measured directly and the
774 width of marginal rings 11.1 and 11.2 (Bias line (BL) = 0.02; SD = 0.25; CI = 95%
775 confidence intervals).



776