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Research report

Phonological-lexical activation: A lexical component or an output buffer? Evidence from aphasic errors

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ABSTRACT

Single word production requires that phoneme activation is maintained while articulatory conversion is taking place. Word serial recall, connected speech and non-word production (repetition and spelling) are all assumed to involve a phonological output buffer. A crucial question is whether the same memory resources are also involved in single word production. We investigate this question by assessing length and positional effects in the single word repetition and reading of six aphasic patients. We expect a damaged buffer to result in error rates per phoneme which increase with word length and in position effects. Although our patients had trouble with phoneme activation (they made mainly errors of phoneme selection), they did not show the effects expected from a buffer impairment. These results show that phoneme activation cannot be automatically equated with a buffer. We hypothesize that the phonemes of existing words are kept active though permanent links to the word node. Thus, the sustained activation needed for their articulation will come from the lexicon and will have different characteristics from the activation needed for the short-term retention of an unbound set of units. We conclude that there is no need and no evidence for a phonological buffer in single word production.

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Several models of speech production include a phonological output buffer which, in connected speech, would hold on to phonological representations while these are converted into the corresponding articulatory programs (e.g., Fromkin, 1973; Dell et al., 1997a; Ellis, 1980; Shattuck-Hufnagel, 1979; Garrett, 1980). Empirical studies using aphasic patients have Q3 shown that a phonological output buffer is also used to produce non-words (Bub et al., 1987; Bisiacchi et al., 1989; Caramazza et al., 1986), but the evidence that a buffer is involved in single word production is more equivocal (see Shallice et al., 2000).

Studies with unimpaired speakers have provided some evidence that a buffer is needed in word production. For example, it has been shown that speech onset times are affected by the length of the word to be produced (Santiago et al., 2000, 2002; Roelofs, 2002a; Meyer et al., 2003) and by priming both the first and second syllable of disyllabic words (Meyer, 1990; Meyer and Schriefers, 1991; Schriefers and Teruel, 1999). These results show that speech is not initiated before a stretch of the phonological representation has been planned. However, the buffered representations responsible for these effects may be articulatory rather than phonological.

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The purpose of our study is to gather evidence for the 115 116 involvement of a buffer in word production by assessing whether the same capacity limitation which characterizes 117 temporary retention also characterizes single word produc-118 tion tasks. We will index capacity limitations with length and 119 positional effects and we will examine whether these effects 120 are present in the phonological errors made in single word 121 repetition and reading by aphasic patients. To ensure that the 122 level tapped is phonological rather than articulatory we will 123 consider only patients with no articulatory difficulties. 124

In this Introduction, we will first briefly discuss reasons why a phonological buffer should or should not be implicated in word production. Secondly, we will outline the type of evidence that can be used to bear on this question (length and positional effects). Thirdly, we will review evidence from the existing literature and finally, we will outline the plan of our study.

Why a phonological buffer in word 1. 134 04 production?

136 Some form of sustained activation is needed during word 137 production. Even if phonemes are activated in parallel in lexical access, some further processing stages are likely to be 138 carried out serially and this will require sustained activation. 139 Both syllabification processes and processes of phonological-140 to-articulatory conversion are likely to occur serially. 141

In Levelt, Roelof and Meyer's model (1999; henceforth LRM), 142 phonemes are activated in parallel from lexical nodes (the 143 term selected is also used, e.g., Roelofs, 1997, p. 258) and, then, 144 syllabified on the fly by a serial process that works from the 145 beginning to the end of the word and assigns syllable posi-146 tions to each of the phonemes. Not all production models, 147 however, include on-line syllabication. Syllable structure can 148 also be stored in the lexicon and, thus, retrieved in parallel 149 together with the linear sequence of phonemes (e.g., see 150 Romani et al., submitted for publication). Articulatory repre-151 sentations, however, are much less likely to be stored. The 152 reason to have articulatory representations distinct from 153 phonological representations is adaptation to context and this 154 includes not only the phonological context within the word, 155 but also the prosodic context within the utterance and this 156 cannot be stored. Moreover, phoneme-to-articulatory is likely 157 to occur serially. Speech takes place in time and ultimately 158 commands to the articulators must be dispatched in 159 a temporal sequence. Thus, an articulatory planning stage -160 intermediate between phonological representations and motor implementation (e.g., Romani et al., 2002; Romani and 161 Galluzzi, 2005) – is likely to operate with a degree of seriality to 162 focus resources on the phonemes that are to be produced first. 163

Any serial processing stage will require sustained activation 164 of the phonemes on which the operations are carried out. The 165 question, however, is whether this activation is the same as 166 that that the literature characterizes with the expression 167 'phonological buffer' or whether, instead, comes from the 168 lexicon. For example, the LRM model just mentioned does not 169 include a phonological buffer in single word production. LR&M 170 do not say this explicitly, but since phonemes are activated by 171 lexical nodes, there is no need for further STM resources. 05

Instead, they hypothesize the existence of an articulatory buffer. The syllabified segments access a library of syllablesized articulatory programs which accumulate in this buffer until a complete phonological word is ready for articulation (Roelofs, 2002b, p. 466). A buffer is needed here since there is no higher-level node providing activation to the ordered set of articulatory syllables. The model by LRM, then, well exemplifies a model where a phonological buffer is not needed for word production given the existence of phonological lexical representations.

Other models, however, have assumed that a phonological buffer is needed even in single word production. A whole class of models has been developed to explain sustained phoneme activation during serial articulatory planning and/or motor implementation through activation gradients imposed on the phonemes or letters (Houghton, 1990; Hartley and Houghton, 1996; Glasspool and Houghton, 2005). Phoneme activation would be higher for the beginning phonemes and decrease progressively so that phonemes become available to the articulators in the right order. In these models, activation gradients have been described as having the function of a buffer, but this characterization has not gone unchallenged. Other authors have assumed that activation gradients are a property of lexical access rather than a distinct function involved in prolonging lexical activation (e.g., Ward and Romani, 1998, 2000; Glasspool et al., 2006; see also discussion later).

The question of whether the sustained activation needed in single word production is the extra boost that we equate with the buffer or it is indistinguishable from the activation needed in lexical access is not just terminological, but it has theoretical and empirical consequences. It is linked to the more general question of whether the resources involved in temporary retention are the same as those involved in representing information long term. If they are the same, than, the same effects of capacity limitations which characterize short-term retention should also apply to the access of 'permanently' stored information. In other words, they should also characterize tasks tapping lexical access such as repetition and reading when performance is strained as it is the case in patients making phoneme-selection errors in word production (for the view that there is only one kind of phonological activation see Martin and Saffran, 1997; Jefferies et al., 2006; Page et al., 2007; for the view of differences in representation and retention see Martin and Breedin, 1992; Oakhill and Kyle, 2000; Romani et al., 2008). We will consider length and positional effects - which are pervasive in the ISR literature - to be prima-facie evidence of capacity limitations.

2. **Evidence of capacity limitations**

2.1. Length

ISR is dramatically affected by the number of units in the series to recall, whether these units are letters, words or digits. It is also affected, however, by the length of the units. Longer words are recalled worse than shorter words. This is known as the word length effect and although is was, for some time, attributed to rehearsal (longer words take longer to be

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pronounced and, therefore, longer to rehearse), a consistent body of evidence now shows that it should be mainly attributed to longer words occupying more buffer capacity. What is important is the number of phonemes in a word and not how long it takes to pronounce it (e.g., Service, 1998). Consistently, the word length effect does not disappear in conditions which prevent rehearsal. Length effects are still present with nonwords in articulatory suppression conditions (Romani et al., 2005) and with words in fast presentations rate conditions (Campoy, 2008). Both these conditions make rehearsal very difficult. Therefore, finding length effects in these conditions support the view that length effects are a crucial indicator of capacity limitations and not just linked to rehearsal.

241 If lexical activation is capacity limited as ISR, single word 242 production should show length effects similar to those 243 present in ISR and non-word repetition. Lexical activation 244 may depend on word frequency and grammatical class. 245 However, if activation is capacity limited, it will have to spread 246 out among more units in the case of longer words. This will 247 mean that phonemes belonging to a longer word will receive 248 proportionally less activation. This is, for example, a feature of 249 the activation gradient in Glasspool and Houghton's (2005) 250 spelling model and contributes to the word length effects 251 produced by the lesioned version of the model. Alternatively, 252 if the activation used in short-term retention and in lexical access are different, then, the amount of activation a word 253 node sends out may not be limited, but proportional to the 254 number of phonemes. This hypothesis predicts that, although 255 length effects may be indirectly caused by positional effects 256 (longer words have more late positions), error rates on a given 257 position should be the same for words of different lengths (see 258 the Experimental Investigation for a more complete discus-259 sion of this point). 260

2.2. Positional effects

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Short-term recall of phonological representations - whether they are series of words, series of non-words or single nonwords - is strongly associated with a bow-shaped serial position curve (see Gupta et al., 2005; Romani et al., 2008). Serial position effects have been extensively assessed in patients with orthographic impairments.1 The majority of cases have shown bow-shaped positional effects (for a symmetrical bow-shaped curve see: Caramazza et al., 1987; Posteraro et al., 1988; Trojano and Chiacchio, 1994; for a rightskewed bow-shaped curve see Aliminosa et al., 1993; De Partz, 1995; Jonsdottir et al., 1996). Competitive queuing models also show a bow-shaped serial position function when noise is added to the queue which is assumed to have the function of a buffer (e.g., Glasspool and Houghton, 2005). Other patients, however, have shown linearly increasing error functions and these have been given different interpretations. Some accounts have hypothesized that, the activation supplied by lexical representations to the phonemes follows an activation gradient to help with maintenance of phoneme order. The

linear error functions seen in the patients, therefore, will be caused by a reduction in *lexical activation* (e.g., Ward and Romani, 1998; Cipollotti et al., 2004; Glasspool et al., 2006). Other accounts, however, have attributed these linear functions to buffer impairment. Phonemes at the end of the word spend more time in the buffer and, therefore, will be subject to more decay (e.g., Katz, 1991; Schiller et al., 2001). What is important, for the moment, is that capacity limitations clearly predict positional effects. Typically, bow-shaped serial position effects have been associated with a memory function. However, position effects could be linearly increasing if decay rather than interference is the crucial factor affecting later positions.

With this theoretical framework in mind we will now examine existing evidence for the involvement of a phonological buffer in word production.

3. Evidence for a phonological buffer in production

That a phonological output buffer is needed in connected speech is uncontroversial. To convey the right meaning, the duration, intonation and stress assigned to words must be adjusted according to the values of surrounding words (e.g., compare: 'THAT cat caught my bird' and 'That cat caught my BIRD'). Similarly, re-syllabifications across boundaries require several words to be simultaneously available (e.g., slip away \rightarrow sli.pa.way). Speech errors which span word boundaries demonstrated that this is the case (e.g., "The doat is at the dock"; Fromkin, 1973; Berg, 2005) and since the errors accommodate to their new phonological context, the buffered representations must be phonological rather than articulatory.

An output buffer, is also likely to be involved in ISR. There is evidence of selective impairments to a phonological output buffer (as opposed to an input buffer) affecting ISR of word lists (Romani, 1992; Howard and Franklin, 1993; Nickels et al., 1997; Martin et al., 1999; Howard and Nickels, 2005; Jacquemot and Scott, 2006) and recent evidence suggests that the same output buffer is used in ISR and connected speech. Similar errors made in ISR and spontaneous speech (Ellis, 1980) and in ISR and paced reading of word lists (Page et al., 2007). Finally, similar serial position curves are obtained when positions correspond to words, in ISR, or to individual phonemes, in non-word repetition (Gupta, 2005; Gupta et al., 2005; Archibald and Gathercole, 2007). These results link together connected speech, ISR and non-word repetition. What is lacking is evidence that phonological buffer is involved in single word production. The neuropsychological literature so far as only provided weak evidence.

3.1. Production of single words and non-words

In their seminal paper, Caramazza et al. (1986) described a patient, IGR, with poor digit span (3 items) and list recall, but with mostly fluent speech and very good repetition and reading of single words. IGR, instead, made phonological errors when repeating, reading and spelling single *non-words*. Here, the majority of errors were segmental (did not result in

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¹ They have not been assessed in patients with a *phonological* buffer impairment, with the exception of LT who was reported to make more errors on the second syllable of bi-syllabic words and non-words (Shallice et al., 2000).

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real words) and consisted of individual phoneme substitu-343 344 tions, deletions, insertions or transpositions. The similarity of errors across tasks was used to argue for damage to a single 345 component corresponding to a phonological output buffer. 346 This buffer would retain phonological representations before 347 they were converted into articulatory representations (in the 348 case of repetition and reading) or allographic representations 349 (in the case of spelling). 350

Caramazza et al. (1986) drew a connection between a short-351 term store and effects of length and serial position. This 352 connection was strengthened by the report of another patient, 353 LB, who made errors that resembled those of IGR, but this time 354 in spelling (Caramazza et al., 1987). There was a long list of 355 similarities between the two patients: The majority of errors 356 were segmental changes resulting in non-words; the most 357 common type of error was a substitution and the least 358 common was a transposition; among the substitutions, 359 consonants were substituted for consonants and vowels for 360 vowels; both patients showed length effects. Therefore, the 361 two patients were assumed to have damaged analogous buffer 362 components in the phonological and orthographic domain. 363 Serial position effects, which were more systematically 364 investigated in LB, showed a bow-shaped function with more 365 errors in the middle than at either the beginnings or ends of words. This function was explicitly associated with a deficit to 366 a memory component and with Wing and Baddeley's (1980) 367 assumption that items in the middle of a series are subject to 368 more interference. 369

There was also, however, an important difference between 370 IGR and LB. LB and other dysgraphic patients studied subse-371 quently were impaired at spelling both words and non-words 372 (although words were less severely affected; see summary in 373 Sage and Ellis, 2004). Instead, IGR and further patients with 374 damage attributed to a phonological output buffer were 375 impaired only with non-words (see English patient MV, Bub 376 et al., 1987; Italian patient RR, Bisiacchi et al., 1989; and 377 Spanish patient CSR, Garcia-Orza and León-Carrión, 2005).

378 Caramazza et al. (1987) argued that an orthographic buffer 379 is used for both words and non-words because both kinds of 380 stimuli require that orthographic representations are kept in 381 memory while being converted, one by one, into allographic 382 patterns. Spoken words may avoid serial conversion by 383 accessing pre-packaged articulatory representations. The 384 hypothesis of articulatory lexical representations is prob-385 lematic, as already mentioned, but preserved performance 386 with words may also be explained by assuming that infor-387 mation can be refreshed (or redintegrated) using stored 388 phonological representations (see Bub et al., 1987; Bisiacchi 389 et al., 1989; Hanley et al., 2002; for studies of ISR with control participants, see also Hulme et al., 1995, 1997). If words benefit 390 from a different (lexical) activation than non-words, then, an 391 impaired buffer may have no impact on word production or it 392 may have an impact only when the buffer deficit is very 393 394 Q6 severe. Shallice et al. (2002) opted for the second possibility. They described an Italian patient, LT, who shared a number of 395 characteristics with the other patients with alleged buffer 396 impairments, but who had difficulties with both words and 397 non-words. Since LT was more severely impaired, Shallice 398 et al. argued that previous patients were unimpaired on words 399 only because of milder deficits.

Shallice et al.'s (2000) interpretation implies that all phonological segmental errors – whether they occur in producing sentences, words or non-words – can be attributed to a reduction in activation that they equate with a buffer function (see Buchwald and Rapp, 2006 for a similar interpretation of orthographic segmental errors). If this hypothesis is correct, however, similar limitation of capacity will characterize performance across tasks tapping STM (like recall of series of words or repetition single non-words) and tasks tapping lexical access such as repetition and reading of single words. Alternatively, phonological errors could stem a weakening of the connections between a word lexical unit and its associated phonemes (see Dell et al., 1997a, 1997b; Foygel and Dell, 2000) even in patients with no problems with sustained activation. This is investigated by our study. 400

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4. Plan of study

Our study will address two related questions. The first is whether lexical activation is the same or different from a buffer function. If it is the same, then, we should find evidence of capacity limitations in the errors of all patients with a phonological impairment. Error rates per phoneme should increase with word length and there should be either bow_shaped or linearly increasing serial position effects. Moreover, these effects should occur whether words or nonwords are the targets. Instead, if lexical activation is different, at least some patients should show no evidence of capacity limitations.

The second question depends on the first. Even if phoneme selection and short-term retention are *distinguishable processes* one may ask whether damage to the buffer ever limits word production. Finding even a single patient where the errors can be convincingly related to capacity limitations will provide positive evidence. However, lack of evidence will be more difficult to interpret since a new patient who shows the characteristics of capacity limitations could always be described at a later point. Keeping these limitations in mind, if no patient in our series shows evidence consistent with a buffer impairment, the most parsimonious (preliminary) explanation would be that the phonological errors made in word production are mainly selection errors and buffer limitation have no effect.

To address these questions, we will report results from a series of aphasic patients who make phonological errors in single word production but do not have articulatory difficulties. Our experimental investigation is subdivided into three parts. In the first section, we show that our patients make similar errors in reading and repetition and that the errors resemble those of previous patients reported to have phonological buffer impairments. In the second section, we assess whether the rate of error per phoneme increases with word length for any of our patients and we determine whether length effects are present after word frequency is taken into account. In the third section, we assess whether effects of length are associated with a particular form of serial position curve. Finally, we focus on the one patient who is the best candidate for a buffer impairment and contrast the patterns of errors he makes in word and non-word repetition.

5. Experimental investigation

5.1. Participants

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461 The speech of the four reported buffer patients previously 462 described in the literature has been described as "mostly 463 fluent without articulatory or prosodic difficulty (occasionally 464 there were pauses and false starts at word beginnings)" (IGR), 465 "fluent without any articulatory disturbance" (RR), "hesitant 466 and circumlocutory with verbal paraphasias and marked 467 word finding difficulty" (MV) and "fluent, but paraphasic" 468 (LT). To match these characteristics, we selected patients who 469 make phonological errors in speech production, who have 470 good or only mildly impaired phonological discrimination and 471 who have fluent speech without clear signs of articulatory 472 difficulties.

473 We report six Italian aphasic patients (AC, GM, MC, MP, RM 474 and TC) who were part of a larger group of thirteen patients studied by Romani and Galluzzi (2005). They were referred to 475 us by the Speech Rehabilitation Unit at Fondazione Santa 476 Lucia and, with one exception, they all suffered from a left 477 CVA (GM - right parietal). In our original study, they were 478 classified as 'phonological' rather than 'apraxic' because of 479 their low rate of phonetic errors in single word repetition 480 (phonetic errors are errors where a phoneme is produced in 481 a slurred and/or imprecise way). Five patients made fewer 482 than 1.8% phonetic errors in word repetition. One patient, MC, 483 made 4.1% phonetic errors, but this rate was still much lower 484 than the rate made by the patients classified as apraxic (range 485 12.3-24.0%). Similarly, the phonological patients spoke more 486 slowly than controls, but were much less affected than the 487 apraxic patients. The exception was, again, patient MC, who 488 showed very slow production, with many false starts. These 489 characteristics suggest a probable overlap of deficits in MC. 490

5.2. Method

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We will compare performance in two tasks: repetition and reading of single words. Both require spoken production, but they rely on different inputs. Thus, similar performance across tasks can be taken to imply damage to an output component. Additional background neuropsychological assessment can be found in Romani and Galluzzi (2005).

499 The same words were given in the two tasks to facilitate 500 comparisons (N = 773 for each task). They came from five 501 controlled lists assessing effects of imageability, grammatical 502 class, phonological length, and complexity. Lengths ranged 503 from 4 to 13 phonemes and the average length was 7.4 phonemes (SD = 2). Average syllable length was 3 (SD = .9). 504 Average word frequency was 85 (SD = 257, range 0-4440), 505 corresponding to an average log frequency of 3 (SD = 1.6, range 506 0-8.4). On a concreteness scale from 0 to 2 with steps of .25 the 507 average concreteness was .9 (SD = .8). In sum, the stimuli 508 contained a large variety of words representative of the Italian 509 language. 510

511 512 5.2.1. Procedure

512 5.2.1. Procedure
513 All patients were individually tested by the second author in a quiet room at the clinic. Testing was carried out over several sessions, each lasting approximately 1 <u>h</u>. In the repetition task, the examiner said a word aloud and the patient had to repeat it in her own time. In the reading task, written words were presented, one at a time, on a piece of paper.

5.2.2. Scoring

Tasks were taped to allow rechecking. For scoring purposes, we used the first response given by the patients. False starts and fragments were considered errors, even if followed by a correct response. For the purpose of the following analyses, words that were articulated slowly, with effort, syllable by syllable or contained phonemes which were pronounced in an imprecise or slurred way but were clearly recognizable as the target were considered correct.

5.3. General characteristics of the errors

Leaving aside length and positional effects, the following characteristics have been shared by previously reported phonological buffer patients: 1)_the majority of errors were non-lexical phonological errors. 2) Non-lexical errors, for the most part, involved single phoneme transformations. Substitutions were the most common error, and deletions, insertions and transpositions occurred more rarely (although at a rate higher than chance see Shallice et al., 2000). 3) Segmental errors were phonologically motivated. Among the substitutions, most errors involved consonants and there was substantial overlap between target and error in terms of distinctive features or manner of articulation. 4) Similar error patterns occurred in reading and repetition. We will now examine all of these characteristics in our patients.

5.3.1. Type of errors

For all our patients, the great majority of errors resulted in non-words in both repetition and reading. Percentages of nonword errors ranged between 67% and 92% in repetition and between 66% and 85% in reading. Table 1 shows a break-down of the different types of non-word errors made in the two tasks. They were classified into four categories:

- 1) Individual errors. These are errors that involve up to three individual phonemic transformations in a single word. By phonemic transformations, we mean errors where a single phoneme is substituted, deleted, inserted or transposed (e.g., one error: /sfortso/>/sportso/; two errors: /krot efisso/> /frot ifisso/; three errors: /settimana/>/nettiklana/).
- Sequence errors. These are errors that involve two or more adjacent phonemes. Like individual errors, sequence errors may involve substitutions, deletions, insertions or transpositions of sequences (e.g., /klausola/>/klauso/; /esperyentsa/> /esteperyentsa/; /turbavano/>/turbavero/; /dimostrava/>/dimostrale/).
- 3) Multiple errors. These are errors with more complex phonemic transformations affecting more than three nonadjacent phonemes (e.g., /indossava/>/inkwostala/; /filosofia/> /filagosiera/; /t inismo/>/t ilennyo/).
- 4) Fragments. These are errors where only the beginning part of the word is produced (less than half) or an upward intonation indicates that production of the word has not been completed (e.g., /sovente/>/some/..; /pregyera>/

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		Individual _]	phon. (1–3)	1–3) Multiple (>3)		Fragment		Sequence		Stress		Total
		N	%	N	%	N	%	N	%	N	%	N
AC	Rep	128	82	9	6	10	6	10	6	0	0	157
	Read	104	79	1	1	16	12	3	2	8	6	132
GM	Rep	120	85	4	3	10	7	8	6	0	0	142
	Read	152	63	30	12	48	20	9	4	2	1	241
MC	Rep	649	76	139	16	41	5	20	2	0	0	849
	Read	303	72	39	9	52	12	23	6	1	0	418
MP	Rep	118	74	20	13	7	4	14	9	0	0	159
	Read	57	60	5	5	12	13	4	4	17	18	95
RM	Rep	46	35	1	1	81	61	4	3	0	0	132
	Read	35	49	0	0	21	29	2	3	14	19	72
TC	Rep	105	61	4	2	41	24	23	13	0	0	173
	Read	57	39	1	1	77	53	1	1	9	6	145

pregre/...; /spada/>/spa/...). Fragments may be completely correct up until the end of the fragment or they may contain errors.

The great majority of the non-word errors made by our patients were individual phonemic transformations. RM and TC, however, also made a large proportion of fragment errors. All patients showed clear similarities between the error patterns in repetition and reading. The only difference between tasks is the presence of errors involving stress assignment in reading (e.g., AC: /férmano/> /fermáno/; RM:/ árgine/>/argíne/; TC: /t ivíle/> /t ívile/). These errors show the contribution of a sub-lexical route. The Italian orthography is very transparent. Stress, however, is a lexical property of words and reading non-lexically will result in stress errors.

5.3.2. Severity of impairment

Since Shallice et al. (2000) suggested that a buffer deficit will affect words, but only when it is severe enough, it is important to compare the severity of our patients to that of other patients from the literature. To do so, errors have been subdivided into single errors involving only one phoneme transformation per word, double errors (involving two non-adjacent trans-formations) and complex errors involving three or more non-adjacent transformations. In addition, errors were categorized as fragments, omissions and errors involving sequences of phonemes (two or more adjacent phonemes). Single errors contributed between 35% and 55% of the total in repetition and between 35% and 67% of the total in reading. The proportion of single versus more complex errors in previously reported buffer patients ranged from 44% to 95% in repetition (LT: 44%, IGR: 61%, MV: 69%, RR: 95%) and from 59% and 83% in reading (LT: 59%, MV: 71%, IGR: 83%, RR 83%). Clearly, there is overlap in the range of severity of our patients and previously reported buffer patients. More detailed results are presented in the Appendix A.

626 5.3.3. Type of segmental errors

Table 2 shows the relative proportion of substitutions, deletions, insertions and transpositions made by our patients and by patients with attributed buffer damage. Only errors where a single transformation was made were included to allow comparison with previously reported patients. Our patients compare very closely with previous patients. Most errors

Table 2 – Percentage of different types of segmental errors for our patients and patients with attributed buffer damaged from the literature (in italics). Only single errors (errors involving a single phoneme change per word) are included.

included.				
	Sub %	Del %	Inser %	Transp %
Word repet	tition			
AC	69	17	11	3
GM	82	9	4	6
MC	84	5	9	2
MP	84	12	4	0
RM	70	12	16	2
TC	81	9	9	1
Total	79	10	9	2
LT	71	10	12	6
Non-word	repetition			
IGR	81	3	11	5
MV	80	12	8	0
RR	80	13	0	7
LT	75	7	8	9
Word readi	ing			
AC	88	5	2	5
GM	78	8	7	7
MC	80	5	11	4
MP	77	8	15	0
RM	79	8	11	3
TC	92	3	3	1
Total	83	6	8	4
LT	88	5	4	1
Jon-word	reading			
IGR	81	6	13	0
MV	62	23	12	3
RR	74	21	6	0
LT	79	10	7	4

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involve substitutions, with many fewer errors in the othercategories. In most patients, the category with fewest errors istranspositions.

689 5.3.4. Phonological similarity in the substitution errors

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The substitutions made by our patients are the focus of 690 a separate paper (Galluzzi and Romani, in preparation), and 691 here we only summarize relevant data. A selective impair-692 ment of either consonants or vowels can be taken as an 693 indication that errors are phonologically motivated. Previous 694 patients IGR and LT made a majority of substitutions on 695 consonants, although the proportion was much lower in LT 696 (for repetition, IGR: 81%; LT: 62%; for reading, IGR: 81%; LT: 697 57%). As a group, our patients made more errors on conso-698 nants, but there was substantial variation. Rates ranged from 699 49% to 99% in repetition and from 29% to 88% in reading. TC 700 and MP made more vowel substitutions. What is perhaps 701 more important (as also argued by Shallice et al., 2000), is that 702 each patient showed a close correspondence between error 703 types across tasks.

704 All our patients made consonant substitutions that 705 preserved manner of articulation and shared distinctive 706 features. Consistency in manner of articulation was assessed 707 by categorizing target phonemes and errors into one of five classes: affricates, fricatives, liquids, nasals and obstruents. 708 Errors stayed within class in 59% and 55% of substitutions in 709 repetition and reading, respectively. The average number of 710 distinctive features changed by a substitution was 3.4 in 711 repetition and 2.8 in reading. These values are significantly 712 lower than chance values (4.4 and 4.5) estimated using 713 corpora of pseudo substitutions obtained by randomly 714 recombining targets and error phonemes 1000 times. 715

5.3.5. Discussion

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Our patients covered a range of severity, but, like LT (Shallice et al., 2000), they were toward the severe end of the spectrum. Like previously reported patients, they made mostly nonword errors and the transformations were mostly individual phoneme substitutions which were related to the target by manner of articulation, and involved a small number of feature changes. The same patterns were found in repetition and reading. In the following section, we will show that in the majority of patients, performance was significantly affected by word frequency.

727 It is clear that the errors made by our patients on words 728 share a number of characteristics with the errors made by 729 previous buffer patients on either non-words (IGR, RR, and 730 MV) or both non-words and words (LT). However, by them-731 selves, these characteristics are not enough to identify a buffer impairment since they could also be produced by 732 impairments affecting the selection rather than short-term 733 retention of phonemes. We will now examine whether length 734 and positional effects can better discriminate between deficits 735 of selection and deficits of temporary storage. 736

5.4. Effects of length and frequency

The strongest prediction made by the hypothesis of a buffer
impairment is that word length should affect performance.
Retaining more units (phonemes) should stretch the capacity

of the buffer and result in more errors. Note, however, that the length effects predicted by a buffer impairment are more specific than those commonly reported in the literature. A buffer deficit predicts that error rates *per phoneme* will be higher for longer words, what we will call a *by-phoneme* length effect. The number of errors should *not* remain proportional to the number of phonemes in a word, but should increase disproportionally with word length, reflecting capacity limits. Instead, any phonological impairment will produce what we have called *a by-word* length effect, that is, fewer completely correct responses on longer words. This is because longer words simply offer more opportunity for errors, even if error probably per phoneme is constant (see, for example, Nickels and Howard, 1995, 2004; Ziegler, 2005; Olson et al., 2007).

Although length effects have been considered one of the principle hallmarks of a buffer impairment, they have been analyzed only by word and even these results have not always been strong and consistent across tasks. LT (Shallice et al., 2000) showed significant length effects in non-word repetition and word repetition and reading. IGR (Caramazza et al., 1986) showed a significant length effect in repetition, but not in reading. Bub et al. (1987) did not assess length effects in their patient, MV, and Bisiacchi et al. (1989) reported no significant length effect for their patient, RR.

Frequency effects, but not concreteness effects, have also been shown by some alleged buffer patients. IGR, LT, and MV all showed frequency effects (for MV frequency was only assessed in reading). Non-significant differences were reported for RR, but this could have been the result of ceiling effects. The influence of frequency is not surprising, whether phonological errors result from a selection impairment or a reduced buffer. More familiar/frequent words will have both more activation to guarantee phoneme selection and more activation to better support buffered representations. Concreteness effects may be seen more rarely because semantic representations are one step further removed from the phoneme level.

In our analyses, we will assess frequency and length effects first by word and then by phoneme.

5.4.1. Frequency and length by word

Table 3 shows performance for words of high and low frequency ranging from two to four syllables. For high frequency words, frequency ranged between 26 and 4440 with an average of 187 (mean log freq = 4.6). For low frequency words, frequency ranged between 0 and 25 with an average of 9 (mean log freq = 1.8). Frequency was taken from the Barcellona Corpus (1989), which contains 1,500,000 words and incorporates Bortolini et al. (1972). To match the analysis in Shallice et al. (2000), we used log-linear analysis, with categorical variables for frequency and length. In addition, we looked at frequency and length using logistic regression, where frequency and length were continuous variables and length was measured using number of phonemes to allow comparison with subsequent analyses. These regression analyses are powerful, since they are carried out on individual observations (773 different words given in each task). Therefore, it is important to focus on the size of the effect more than on the level of significance. Technical details regarding how the size of the contribution of each variable was assessed are

Table 3 – Rates of correctly produced words by frequency and syllable length in repetition and reading. G^2 is the likelihood ratio χ^2 used in log-linear analyses.

Ν	High	frequency w	vords	Low	frequency v	vords	Length effect		Frequency effect	
	2 syll	3 syll	4 syll	2 syll	3 syll	4 syll				
	123	136	66	69	168	159	G ²	р	G ²	р
Repetitio	'n									
ĀC	86	85	85	80	75	66	4.4	n.s.	18.1	<.001
GM	22	7	7.6	16	6	4.4	22	<.001	2.8	n.s.
MC	93	90	79	87	86	74	14	<.001	5.9	.02
MP	4	35	17	22	24	13	0	n.s.	18.1	<.001
RM	85	81	80	65	69	69	3.7	n.s.	27.8	<.001
TC	91	92	86	84	79	74	27	<.001	15.1	<.001
Reading										
AC	86	88	86	85	77	77	.9	n.s.	9.6	<.001
GM	78	75	59	52	58	53	4.5	n.s.	26.7	<.001
MC	73	64	49	62	48	38	21	<.001	12.5	<.001
MP	9	85	96	88	8	80	3.2	n.s.	8.3	<.001
RM	97	94	96	91	90	82	4.8	n.s.	16.0	<.001
TC	88	85	82	87	74	68	8.9	.01	8.7	<.001

provided in Appendix B. Results are presented in Table 4. To assess the effects of other possibly confounding variables we also carried out a series of binary regression including syllabic complexity (measured as number of complex structures as complex onsets, coda etc.), morphological complexity and concreteness in combination with length and frequency. Including these variables only resulted in marginal changes in the results, thus, we are reported any significant effect only in the text.

Several patients showed effects of length by word with both analyses. The only patient who never showed any effect was MP (with neither analyses and in neither task). The patients who showed most consistent effects were MC, RM

Table 4 – Results of logistic regression analyses assessing the contribution of length and frequency to the percentage of words correct. R^2 is the proportion of total variance explained by the full model using summarized data. The percentage of deviance is the amount of deviance explained by a factor over the total deviance explained by the full model (see Appendix B for details).

841	exp	olained by th	ne full m	odel (se	e Appe	ndix	B for details).			
842 843		Full model	Length intera		Len	gth	(Log) frequency			
844		R ²	% dev	р	% dev	р	% dev	р		
845	AC	.69	1	.5	18	.02	49	<.001		
846	GM	.62	0	1	27	.01	36	.004		
847	MC	.46	2	.7	56	.02	13	.28		
848	MP	.84	6	.2	7	.61	93	<.001		
849	RM	.68	1	.9	17	.02	49	<.001		
850	TC	.89	2	.7	36	<.001	28	<.001		
851	AC	.42	6	.6	13	.55	65	.08		
852	GM	.89	3	.3	4	.9	89	<.001		
853	MC	.90	1	.4	30	<.001	36	<.001		
	MP	.75	3	.6	10	.5	66	.03		
854	RM	.59	5	.28	21	.05	52	<.001		
855	TC	.76	0	.7	19	.02	47	<.001		

and TC (but for RM the effect was not significant in repetition with the log-linear analysis). AC and GM showed effects in repetition, but not in reading. Moreover, AC length effect in repetition disappeared when concreteness was included in the model.

As expected, most patients also showed effects of frequency. MP showed effect of morphological complexity as well as frequency. Among the patients with the most consistent effects of length, RM and TC, but not MC, showed an effect of frequency. TC was the only patient to show any significant effect of syllabic complexity, but only in repetition.

5.4.2. Frequency and length by phoneme

Analyses of length using percentage of words correct and percentage of phonemes correct are not guaranteed to produce the same results. Let's suppose, for example, that a patient makes one error every five phonemes, on average, independent of length. This means that many four-phoneme words will be produced completely correctly and many ninephoneme words incorrectly. Therefore, there will be a length effect by word even if the rate of error per phoneme is constant. The hypothesis of a buffer impairment predicts, more specifically, that the rate of errors per phoneme should increase with word length.

In order to measure length effects by phoneme, individual phonemes that have been produced correctly and incorrectly must be identified, but errors do not always allow an unambiguous classification. To be systematic, we used an algorithm which identified the longest common subsequence in the target and error. *Longest common subsequence* is a computer science term for the largest number of letters that appear in the same relative order in two strings (e.g., *abcde* and *aebdc* have two equally plausible longest common subsequences of 3 letters each, *abc* and *abd*). Formal methods using dynamic programming are guaranteed to find all possible sets with the largest number of phonemes in the correct order (Gusfield, 1997). The fact that more than one set is sometimes identified is not a problem here since we are only interested in the

number of preserved phonemes, not in exactly which ones have been preserved.

5.4.2.1. RESULTS. The average percentage of phonemes correct for words of length four to nine are plotted in Fig. 1 which also reports, for comparison, data for percentage of words totally correct. As predicted, effects of length are more pronounced when calculated in terms of words correct than phonemes correct. This impression is confirmed by a logistic regression analysis using word length and frequency to predict the rate of phonemes correct (see Table 5). Significant effects of length are shown only by RM and TC across tasks and by AC in repetition.

MC, who showed strong effects of length by word, does not show any significant effect by phonemes. This indicates that MC makes more errors on longer words because they offer more chances for errors, but, in fact, his error rate per phoneme is fairly constant. MC was the most severe patient in our group. Note that for an effect to be present by word, but not by phoneme, the rate of errors per phoneme must be at the right level. A rate that is too high will result in all incorrect words; a rate that is too low will result in most words being completely correct.

5.5. Effects of serial position and length

5.5.1. Effects of position only

In this section, we follow the methodology of previous studies and report error rates by position only, collapsing across different lengths. The positions of words of different lengths (5-9 phonemes) will be normalized to nine standardized

Table 5 – Results of logistic regression analyses assessing the contribution of length and frequency to the percentage of phonemes correct. R^2 is the proportion of total variance explained by the full model using summarized data. The percentage of deviance is the amount of deviance explained by a factor over the total deviance explained by the full model (see Appendix B for details).

	Full model	Length/freq interaction		Len	gth	(Log) frequency		
	R ²	% dev	р	% dev	р	% dev	р	
AC	.62	0	.94	9	.05	63	<.001	
GM	.34	5	.35	14	.17	61	<.001	
MC	.01	19	.56	98	.24	24	.77	
MP	.35	0	.92	11	.16	100	<.001	
RM	.54	1	.35	29	<.001	37	<.001	
TC	.74	2	.33	23	<.001	45	<.001	
AC	.67	0	.91	13	.13	100	<.001	
GM	.75	3	.19	5	.27	75	<.001	
MC	.73	6	.18	9	.39	76	<.001	
MP	.17	2	.80	2	.92	83	.10	
RM	.20	4	.45	44	.02	26	.07	
TC	.81	18	.01	50	<.001	39	<.001	

positions following the algorithm used by Machtynger and Shallice (2009; also used by Olson, 1995). Using a larger number of positions prevents possible distortions of patterns present in longer words which may occur when positions are accumulated, as with the Wing and Baddeley' algorithm (1980) which standardizes length across five positions only.

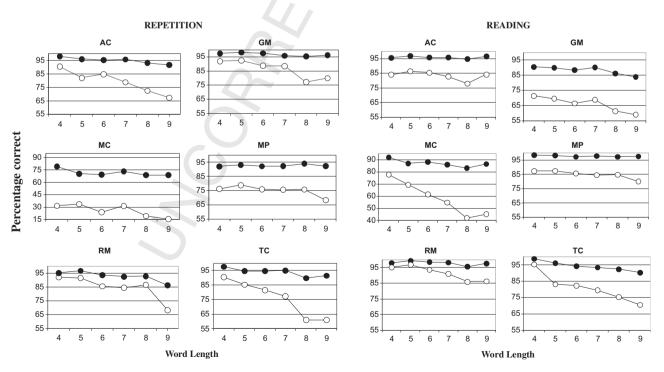


Fig. 1 – Average percentage of words and phonemes correct for words with four to nine phonemes. Closed circles are % phonemes correct; open circles are % words correct. Note that the scale of the y axes is different for MC since he makes more errors than the other patients.

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Results are shown in Fig. 2. Only MC's repetition showed some indication of a bow₁shaped curve. However, MC makes practically no errors on vowels across positions (99% of errors on consonants). Thus, better performance on the last position is not surprising and there is no bow-shape considering the other positions. All the other curves showed an increasing upward trend (shown most strongly and consistently by RM and TC) with the exception of AC who showed some sign of a trend in the opposite direction.

1037 5.5.2. Effects of length and position

Effects of length and position are not independent of each other. A pure position effect (where error rates increase with position, independent of word length) will produce a length effect because longer words have more late positions. A pure length effect (where error rates differ with word length, but are the same across positions) will produce a position effect because only longer words have late positions. What is important for our argument is effects of length independent of position. For any given position, the percentage of errors should increase with word length. Thus, serial position curves should be vertically displaced upward for longer words (for an example in ISR see Romani et al., 2008; in the control condi-tions, if one excludes the first and last positions where performance is close to ceiling, recall for any given position is progressively worse for lists of increasing lengths).

5.5.2.1. METHOD. To see which phonemes were correct or incorrect for each position, we used again the algorithm for

longest common subsequences. This time it was important to identify exactly which positions were preserved. Thus, for ambiguous errors (e.g., in $pizza \rightarrow piza$ one could consider the preserved positions to be either 1, 2, 3, 5 or 1, 2, 4, 5), we randomly chose one of the possible scorings (there was no change of pattern when only unambiguous scorings were considered).

5.5.2.2. RESULTS. As shown in Fig. 3, no patient showed a clear separation between the curves for different word lengths, indicating no effects of length independent of position.

Given the different rate of errors on consonants and vowels, we also checked whether different curves were obtained when these errors were analyzed separately. In these analyses, we have also controlled for effects of syllabic position by considering only consonants in simple onsets and only vowels that are flanked by consonants or at word beginning. In spite of noisier data because of the reduced number of observations, results were very much the same as those obtained in the overall analyses. RM and TC continued to show a very systematic increase in errors across positions with both consonants and vowels. MP, who made a large number of errors on vowels, showed a clear upward trend with vowels across tasks.

Qualitative patterns were evaluated statistically using logistic regression. Length and position, but also frequency, were used to predict phonemes correct. Results for the analyses using all errors are reported in Table 6 which also reports results for TC's non-word repetition (to be discussed later).

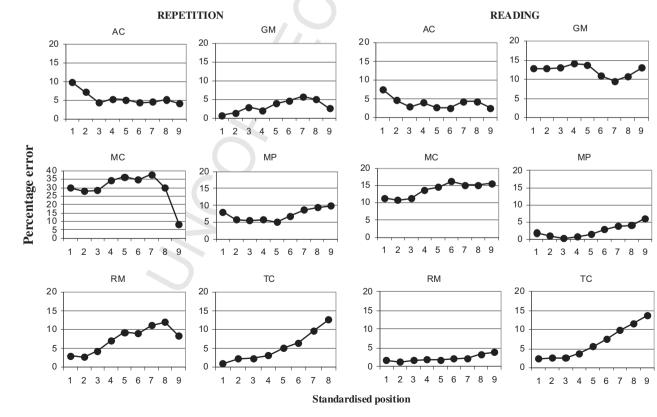


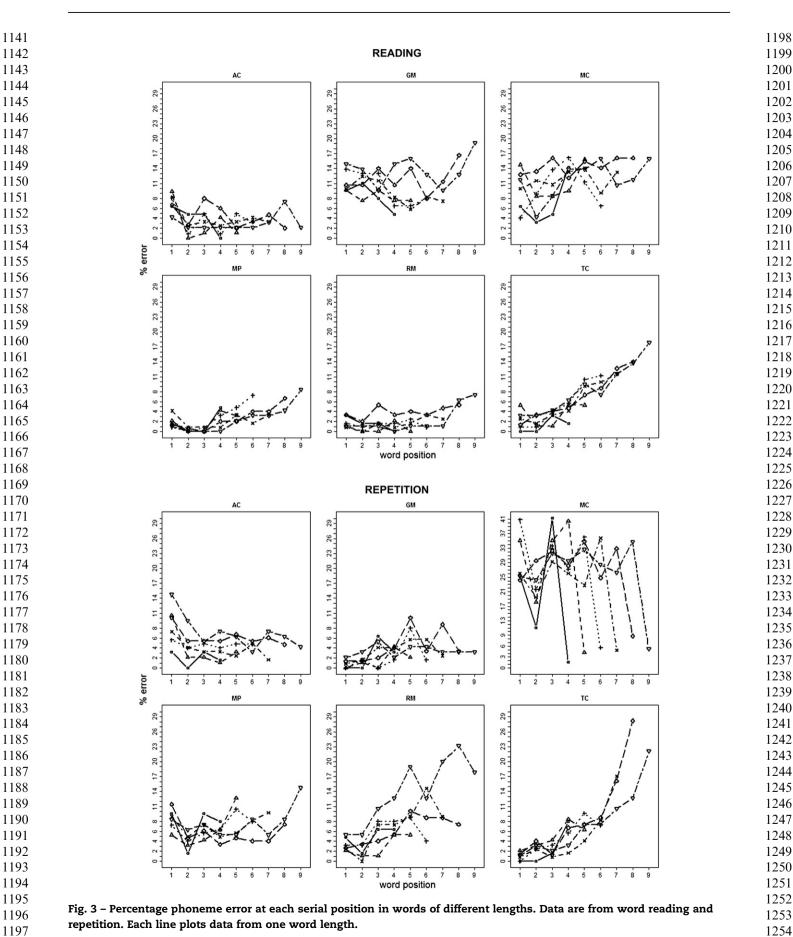
Fig. 2 – Average percentage of phoneme errors by position in the word. Word positions are normalized across nine standard positions and the data are collapsed across words of different length (see text).

Please cite this article in press as: Romani C, et al., Phonological-lexical activation: A lexical component or an output buffer? Evidence from aphasic errors, Cortex (2010), doi:10.1016/j.cortex.2009.11.004

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Table 6 - Results of logistic regression analyses assessing the contribution of length, frequency and position to the percentage of phonemes correct. R² is the proportion of total variance explained by the full model using summarized data. The percentage of deviance is the amount of deviance explained by a factor over the total deviance explained by the full model (see Appendix B for details).

		Full model	Length/pos int	eraction	Length	L	Position	n	(Log) frequ	ency
		R ²	% deviance	р	% deviance	р	% deviance	р	% deviance	р
Repetition										
Words	AC	.53	0	.69	14	.01	22	<.001	49	<.001
	GM	.27	22	.00	0	.98	44	<.001	34	<.001
	MC	.13	18	.01	25	.01	95	<.001	0	.77
	MP	.06	3	.43	15	.08	8	.20	92	<.001
	RM	.64	0	.44	4	.04	39	<.001	23	<.001
	TC	.87	10	.14	3	.01	82	<.001	9	<.001
Non-words	TC	.65		<.001	30	<.001	51	<.001	-	-
Reading										
Words	AC	.26	15	.04	3	.45	26	.02	74	<.001
	GM	.50	12	.01	3	.20	1	.42	74	<.001
	MC	.28	2	.44	0	.92	16	.02	62	<.001
	MP	.60	0	.68	14	.01	93	<.001	6	.10
	RM	.45	5	.27	6	.23	42	<.001	14	.07
	TC	.90	3	.04	0	.88	73	<.001	7	<.001

With the exception of GM, all other patients showed a significant effect of length in repetition. However, the size of the effect is more important than the level of significance and in most patients this contribution is minimal (exceptions are AC in 1280 repetition and MP in reading). Position made a much stronger contribution. In two patients - RM and TC - this contribution was consistent across tasks. TC showed particularly strong effects with position accounting for 70% and 63% of variance in repeti-1284 tion and reading respectively. The qualitative characteristics of these position patterns have already been described above. 1286

1287 5.5.2.3. DISCUSSION. Effects of length by phoneme, possibly the 1288 main indicator of a short-term memory problem, are not 1289 strong or consistent in this series of patients. In the previous 1290 section, we reported strong effects in several patients (e.g., in 1291 AC repetition and in RM and TC repetition and reading). Here, 1292 however, we show that these effects are almost totally medi-1293 ated by serial position effects. RM and TC make more errors on 1294 longer words, but only because these have late positions which 1295 are more error prone. We do not have a good explanation for 1296 AC's length effects, but we would be reluctant to attribute them 1297 to a buffer component given that: a) they occur together with 1298 position effects that are in the opposite direction from what is 1299 predicted by a buffer deficit; b) they account for a small amount 1300 of variation in repetition; and c) they are not present in reading. MC, who showed some indication of a bow-shaped effect, also 1301 showed very small and task-inconsistent length effects. 1302

Given these results, one could decide to consider serially 1303 increasing positional effects, and not length effects, to be the 1304 hallmark of a buffer impairment. This is, after all, what is pre-1305 dicted by gradient models like Page and Norris (1998; see also 1306 Miller and Ellis, 1987; Schiller et al., 2001), and more generally by 1307 the hypothesis that phoneme activation decays while some 1308 serial operation is carried out. RM, but especially TC, showed 1309 strong and consistent effects of this type. In the next section, we 1310 examine whether TC's serial position effects can be attributed 1311 to a buffer impairment. RM was not available for further testing.

Linearly increasing positional effects in TC: do they 5.6. are arise from a buffer impairment?

It is important to assess whether TC's serial position effects hold across different error types or whether they are the consequence of high rates of fragments and morphological errors which would affect the last word positions. If TC's errors result mainly from a buffer impairment, results should not change when these errors are removed from the analyses. Moreover, the same results should be obtained with words and non-words, although non-words may be more affected. Instead, if TC suffers from different impairments - a lexical impairment affecting mainly production of words and a buffer impairment affecting mainly the production of non-words results may be different. With words, linearly increasing effects may be caused by a reduced lexical input which penalizes later positions, but with non-words, bow-shaped effects may be caused by difficulties in temporary activation. As indication of performance being affected by buffer limitations, non-words may also show position-independent length effects and higher rates of transposition errors.

TC was given 225 non-words to repeat. Seventy-five were monosyllabic, 75 bisyllabic and 75 trisyllabic. The monosyllabic non-words consisted of 12 simple CV syllables, 47 CCV syllables and 16 CCCV syllables. The bi-syllabic and tri-syllabic non-words had the same initial syllables as the monosyllabic stimuli with the addition of one or two simple CV syllables. The added syllables always consisted of the consonants /p/, /t/ , /k/ combined with the vowels /a/, /o/, /i/ and /e/.

5.6.1. Results

Serial position curves obtained with non-words and with words after removing fragments and morphological errors are shown in Fig. 4. With words, TC continues to show the linear, serially increasing pattern that we have shown all along. With non-words, instead, the serial position curve is clearly different. It is not simply shifted downwards, but it is bow

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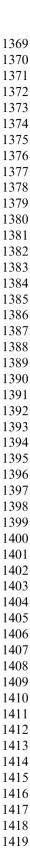
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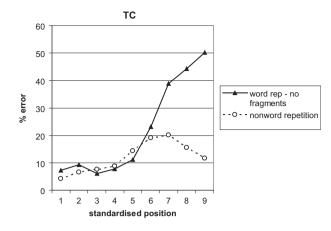


Fig. 4 – TC's percentage of phoneme error as a function of position. Word repetition, excluding fragments and morphological errors, is compared to non-word repetition.

shaped with recency effects for the last positions. A quadratic component is significant with non-words (analysis of deviance $\chi^2 = 31.5$, p < .001), but absent with words (analysis of deviance $\chi^2 = 1.4$, p = .49; fragments excluded). Any indication of curvature is in the wrong direction.

With non-words, TC also shows much stronger length effects. He made 12%, 29% and 80% errors on the mono-, biand tri-syllabic non-words, respectively. Table 6 (above) shows that length contributes to TC's non-word repetition much more than it contributes to word repetition in any other patient and this effect is independent of position. Phoneme error rates are higher in longer non-words even for the same position. Finally, more transposition errors are made by TC in non-word repetition than in word repetition [1/98 = 1% vs 10/ $107 = 9.3\%; \chi^2(1) = 6.98, p = .008]_1$

5.6.2. Discussion

With words, TC consistently showed linearly increasing serial position effects. This pattern was present across tasks (reading and repetition), across consonants and vowels, and across type of errors (i.e., it persisted when fragments and morphological errors where eliminated from the analysis). However, the same pattern was not shown with non-words which, instead, showed the bow-shaped function associated with a buffer deficit. These different serial position curves make it unlikely that the word and non-word patterns result from a single impaired process. Instead, they suggest that TC has two problems: (1) a buffer impairment that affects nonwords; and (2) a problem with phoneme selection that affects words (see Ward and Romani, 1998; Glasspool et al., 2006). This second problem could be similar to that affecting patient BA in the orthographic domain (Ward and Romani, 1998).

1418BA's spelling errors also increased with serial position, but1419a buffer impairment was ruled out by a number of inconsistent1420results (a different bow-shaped serial position curve in delayed1421copy; a difficulty with word endings even in backwards writing;1422strong lexical-semantic effects). Like BA, TC could also suffer1423from a deficit in activating segments from lexical representa-1424uos. If letters and phonemes are selected using an activation1425gradient, weaker activation levels for later positions willproduce the serial position effects observed in the patients.

This is the same account that has been suggested for what have been called Type-B buffer patients (Cipollotti et al., 2004; Glasspool et al., 2006; see General Discussion for more details). TC's results, instead, contrast with those of Schiller et al. (2001). They described two patients, TH and PB, who made segmental errors in writing words and non-words and in both tasks showed linearly increasing positional effects. This led the authors to hypothesize a buffer impairment affecting both words and non-words. Schiller et al. supported their interpretation by showing that both patients performed very well with end letters in a fill-in-the-blank task indicating intact lexical knowledge. In contrast, Schiller et al. suggest that more traditional bow-shaped functions could arise at the lexical level. Our results are in direct contrast with this hypothesis, since TC shows a linearly increasing function with words and a bow-shaped function with non-words. This suggests that the bow-shaped effects should be linked to a damaged buffer, consistent with a large literature showing this type of effect in ISR and, more recently, in single non-word repetition (Gupta et al., 2005).

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6. General discussion

Our study aimed to answer two related questions. The first was whether one could characterize the phonological activation needed during single word production as a buffer. This would equate the activation needed in single word production with that needed in non-word repetition, serial recall and connected speech. A strong version of this hypothesis, in fact, would imply that there is only one type of phonological activation and all phonological errors have the same source.

Our results provide evidence against this hypothesis. Our patients made phonological errors with the same general characteristics previously associated with a buffer, but none of them showed the length and positional effects expected from a buffer impairment. No patient showed consistent effects of length by phoneme across word reading and repetition and no patient showed clear bow-shaped serial position curves in either word repetition or word reading. TC, who showed strong linearly increasing serial position effects across tasks, did not show the same effects in non-word repetition. These results indicate that the phonological errors made in single word production do not derive from a buffer impairment. On the contrary, they could stem from deficits in phoneme selection rather than phoneme maintenance.

Although we found no evidence of buffer limitations with words, we did find evidence with non-words. Only TC was tested with non-words, but with these he showed both a bowshaped effect of position and a position-independent effect of length on phoneme error rates. This result strengthens other results from the literature which highlight similarities between non-word repetition and ISR (see Gupta et al., 2005).

These results make it difficult to maintain that there is
a single kind of phonological activation. Instead, they support
a distinction between phonological short-term memory
resources, which are involved in the temporary retention of
a novel sequence of units, and phonological lexical resources,
which are involved in retaining a sequence of phonemes in
a more permanent way. This means endorsing a more general1477
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1483distinction between resources involved in representing and1484retrieving information and memory resources involved in1485their temporary activation (see also Martin and Breedin, 1992;1486Oakhill and Kyle, 2000; Schwartz et al., 2004; Romani et al.,14872008). Following this view, the term 'buffer' should be reserved1488for the sustained activation of novel sequences and not used1489in lexical retrieval.

The second question addressed by our study was whether 1490 one could still find evidence that a buffer was implicated in 1491 single word production, once lexical and buffer activations 1492 were distinguished. Patients could show characteristics 1493 consistent with either a deficit of phoneme selection or a buffer 1494 deficit. However, we found no patients with the second set of 1495 characteristics. Since we have only tested a limited number of 1496 patients, it is possible that such a patient will be described in 1497 the future. The most parsimonious conclusion at this point, 1498 however, is that the lexicon maintains phoneme activation for 1499 words and this makes a buffer redundant. Fig. 5 shows the 1500 different effect that eliminating or reducing buffer resources 1501 would have on word and non-word production following this 1502 view (the same buffer will be involved in retaining multiple 1503 words, although this is not shown for simplicity).

1504 With words, lexical activation guarantees that phonemes 1505 remain active for the time necessary to complete articulatory planning, even in the absence of buffer resources. This is 1506 accomplished though connections between word nodes and 1507 phoneme nodes and through a strong representation of syllable 1508 structure which maintains phoneme order (see Romani et al., 1509 submitted for publication). With non-words, instead, lexical 1510 representations are only temporary. In the absence of a buffer, 1511 they will decay quickly, resulting in phonological errors. Note 1512 that according to this model, word production does not 'bypass' 1513 a phonological buffer. Rather these resources are not needed in 1514 the context of lexical activation. Since we have assumed that 1515 lexical representations are impaired in our patients, one may 1516 wonder why we do not see more of a role of a phonological 1517 buffer in our patients. However, as the errors of the patients 1518 demonstrate, damage to the lexical representations is only 1519 slight. The syllabic structure of the words is very well preserved 1520 with errors involving mostly individual phoneme substitutions 1521 (see also Romani and Galluzzi, submitted for publication). 1522 Lexical damage will have to be much more severe to see buffer 1523 resources to come into play even for single word production.

1524 Our lack of evidence for a phonological buffer in word 1525 production also contrasts with the more positive evidence for 1526 a graphemic buffer. Patients with alleged orthographic buffer 1527 impairments have shown bow-shaped serial position curves 1528 and much steeper length effects even with words. For example, 1529 the difference in percentage correct between 4 and 8 letters words was 71.3% for LB (94.4-23.1%; Caramazza and Miceli, 1530 1990) and 66.4% for AS: (81.3–14.9%; Jonsdottir et al., 1996). In our 1531 patients, differences between 4 and 8 phoneme words ranged 1532 between 29.4% (TC) and .4% (MP) in repetition and between 1533 35.8% (MC) and 9.2% (RM) in reading. Orthographic buffer 1534 patients also displayed much higher rates of order errors: LB and 1535 AS made 21% and 22% transpositions respectively (see Glass-1536 pool et al., 2006), while our patients made a maximum of 6% and 1537 7% transpositions in repetition and reading respectively. 1538

Why should a buffer be involved in producing written words, but not spoken words? One possibility is that writing is

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normally much more time-consuming than articulation. Patients with fast decay of lexical activation in the orthographic domain may end up needing to support words with buffer resources and be affected when these are impaired. Speech will not be equally affected because representations have to be retained for much less time. This will predict that one could see evidence of capacity limitations in word production in patients where articulatory planning is very slow and effortful. Moreover, one could see effects of capacity limitation in spoken word production in patients with semantic dementia where some words have lost their semantic and/or lexical specification and became functionally equivalent to non-words (see Knott et al., 1997; Jefferies et al., 2006). Finding effects of capacity limitations in these conditions will show that the lack of these effects in normal circumstances is theoretically important and not due to methodological difficulties.

6.1. Computational models

The number of computational models investigating the issue of memory for serial order is large enough to prevent a detailed consideration of each of them. The general implication of our results, however, is that different serial ordering mechanisms are involved in maintaining phoneme order in the long term (as in lexical representations) and in the short term (as in non-word repetition, serial recall and spontaneous speech). We can consider how existing computational models represent this difference.

The only model that has explicitly addressed the relation between different production tasks is that of Gupta (see Gupta, 1996; Gupta and MacWhinney, 1997; Martin and Gupta, 2004). This model distinguishes the long-term representation of order in known words - the weights of lexical, sub-lexical connections - from a temporary representation of order in novel words - a sequencing mechanism with the same function of our phonological buffer. Thus, Gupta allows word and non-word production to be differently affected by braindamage. This model, however, does not directly address the issue of how words and non-words may be affected in qualitatively different ways (it is more interested in the similarities between non-word repetition, ISR and word learning). This question, instead, is addressed by the model of Glasspool and Houghton (Glasspool and Houghton, 2005; and Glasspool et al., 2006; from now on G&H model) which has simulated different error patterns possibly arising from lexical or buffer damage.

The G&H model is part of a class of models which are known as competitive queuing models because simultaneously activated units compete for selection at the output level. A competitive filter picks, at consecutive points in time, the most activated unit and then inhibits it, so that the next unit can be selected. An activation gradient (with levels of activation progressively decreasing from the beginning to the end of the word) ensures that letters (or phonemes) are picked in the right order. These models have been applied mostly to the orthographic domain, but they are relevant here because they can be damaged in ways which produce different patterns of segmental errors (see Cipollotti et al., 2004). The more traditional buffer pattern (displayed by what Glasspool et al., 2006, called Type-A buffer patients) can be obtained by

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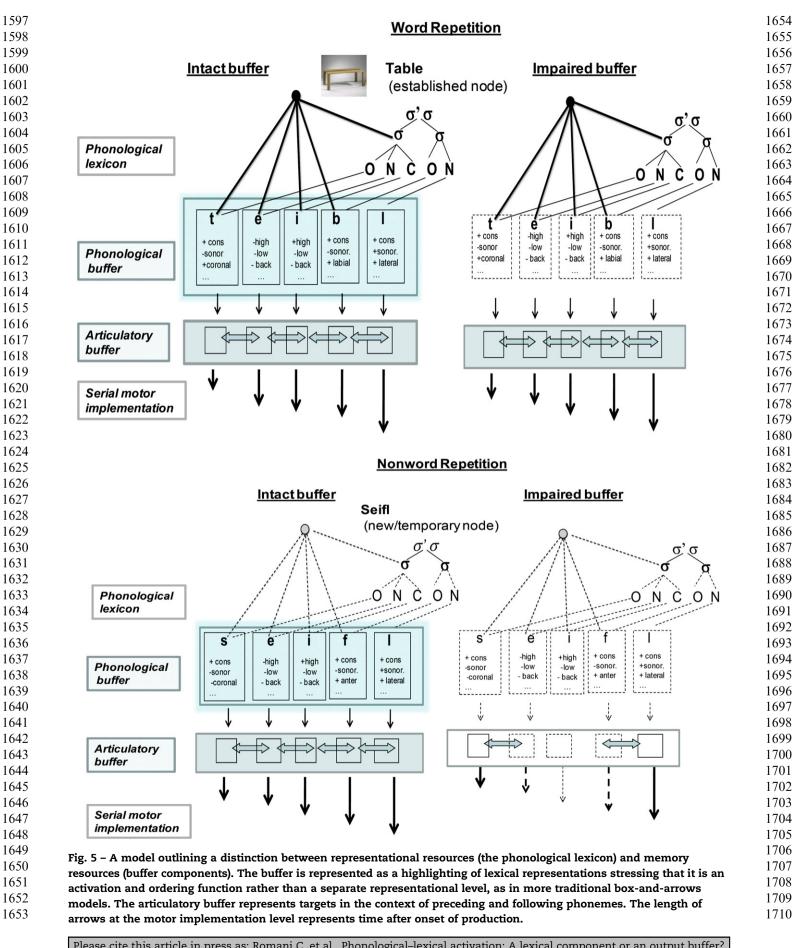
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adding noise at the level of the competitive filter which, like 1711 1712 a buffer, encodes positional information for a limited set of units. This manipulation results in: 1) errors that are mostly 1713 segmental, with a predominance of substitutions; 2) strong 1714 effects of word length; 3) bow-shaped serial position effects; 4) 1715 the same patterns of errors across words and non-words, 1716 although words are less affected. A more central lexical 1717 impairment (displayed by what they called Type-B buffer 1718 patients) can be simulated, instead, by reducing the activation 1719 gradients supplied by lexical nodes to the corresponding 1720 segments. This results in 1) linearly increasing positional 1721 effects; 2) stronger effects of lexical variables, and 3) a preva-1722 lence of deletions and/or fragment errors and very few 1723 transpositions.

1724 Could the G&H model explain the patterns of phonological 1725 errors seen in our patients? TC and, to a lesser extent, RM are 1726 well explained by a lexical impairment caused by an overall 1727 depression of the activation gradient. They show linearly 1728 increasing error functions. A reduction in lexical activation 1729 would have a more dramatic influence on final positions since 1730 these receive less activation to start with. Moreover, reduced 1731 lexical activation would produce more failures to activate 1732 letters and, therefore, more deletion and fragment errors 1733 which are common in these patients. One could further argue that the bow-shaped serial position curve obtained by TC in 1734 non-word repetition is caused by a superimposed buffer 1735 impairment (noise in the competitive filter). The challenge, 1736 however, is to demonstrate that this second impairment has 1737 different consequences for words and non-words. It will have 1738 to be shown that a lexical input - because of a strong repre-1739 sentation of serial order - has the ability to minimize the 1740 interference effects responsible for a bow-shaped curve so 1741 that only the effects of a linear gradient are visible. 1742

In the G&H model, the buffer is equated with a selection 1743 mechanism and the representation of order at the lexical level 1744 with an activation gradient. In other models, the buffer is 1745 equated with the activation gradient itself. Examples are the 1746 Primacy model of Page and Norris (1998, see also Page et al., 1747 2007) and the start-end model by Henson (1998). Still in 1748 another model, by Botvinick and Plaut (2006) serial order is 1749 retained by very different mechanism at the lexical level and 1750 in the short term. Botvinick and Plaut have argued for 1751 a distinction between activation models and context models. 1752 Activation models - like the Primacy model of Page and Norris 1753 and their own recursive network model - represent serial 1754 order though patterns of activation which conjointly involve 1755 positional and identity information. In contrast, context models 1756 represent serial order by linking identity information to a separate representation of the context in which the items 1757 have been presented (Houghton, 1990; Burgess and Hitch, 1758 1992; Henson, 1998; Brown et al., 2000). Botvinick and Plaut 1759 (2006) argue that activation models are best suited to repre-1760 sent patterns of regularity as, for example, phonotactic 1761 constraints which are a characteristic of stored representa-1762 tions. Context models, instead, are best suited to represent 1763 temporary activation. A possibility, therefore, is to envision 1764 hybrid models where a more *permanent* representation of the 1765 order of phonemes is accomplished through activation 1766 patterns while a temporary representation (as in non-words) is 1767 accomplished though context vectors.

6.2. Conclusion

Our results show the advantage of using detailed statistical modelling of phonological errors to refine models of speech production. Our main result is that phonological segmental errors do not necessarily arise because of capacity limitations. Evidence of capacity limitations are shown with non-words, but not with words. It is possible that evidence for buffer involvement in single word production will be provided at a later point. However, the most parsimonious model at present is one where short-term memory resources are only involved in the retention of novel sequences (such as non-word repetition, serial recall, and spontaneous speech). Our results offer a challenge to existing computational models. Models should include different resources for word and non-word processing so that only non-words are affected by capacity limitations (see also Hanley and Kay, 1997 and Hanley et al., 2002 for dissociations between word and non-word repetition in aphasic patients). They should explain why different positional effect (linearly increasing and bow shaped, but also flat, as in AC) occur for different stimuli and different patients.

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Appendix A.

Level of severity measured as relative proportion of errors involving <u>single</u> phoneme transformations (per word) versus double transformations and <u>more complex</u> transformations (for comparison with buffer patients). Errors involving two adjacent segments are not included among the double errors. It is unclear whether this applies to the analyses carried out in previous studies. Complex errors include errors affecting three or more phonemes, sequences, fragments and no responses.

	Single	errors	Double	errors	More co	mplex	
	One phoneme		Two pho	onemes	Total		
	N	%	N	%	N	%	
Repetiti	on						
AC	72	43	58	35	36	22	
GM	82	55	41	28	25	17	
MC	166	19	284	33	419	48	
MP	57	35	51	32	53	33	
RM	93	48	12	6	88	46	
TC	98	49	36	18	68	34	
Total	568	33	482	28	689	40	

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Single errors Double errors	More complex	
One phoneme Two phoneme		
	s Total	Agr S Alin
N % N %	N %	
		Arc
Reading		
AC 85 59 32 22	26 18	
GM 120 43 48 17	108 39	Bad
MC 164 35 143 31	156 34	Bar
MP 62 67 10 11	21 23	
RM 38 51 13 18	23 31	
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Appendix B.

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Calculating contribution of different variables in the regression analyses

1848 In the regression analyses, the influence of each term was 1849 assessed by comparing models with and without the term 1850 included. For example, the influence of the frequency by 1851 length interaction was assessed by comparing the variance 1852 accounted for by models with and without the interaction 1853 term. The influence of length was assessed by comparing 1854 a model with frequency entered first and length second (but 1855 with no interaction) to a model with frequency only. In other 1856 words, critical terms were always entered last, measuring 1857 the variability accounted for after the other terms had been 1858 included. The contribution of individual factors was quanti-1859 fied using deviance (the maximum likelihood equivalent to 1860 variance) expressed as a percentage of the deviance 1861 accounted for by the full model (i.e., null deviance - full 1862 model deviance; Agresti, 2002). The fit of a full model (e.g., $length + frequency + length \times frequency$) model was 1863 measured by correlating predicted and observed values for 1864 summarized data. That is, the model was used to predict the 1865 probability correct for individual words. Observed and pre-1866 dicted means were then calculated for each word length in 1867 high and low frequency categories, and the measure of fit 1868 was the correlation between observed and predicted means 1869 (full model R^2). This baseline measure tells us how well the 1870 best of the models accounts for the observed data. 1871 Percentage deviances for individual factors were converted 1872 to R² terms for familiarity by multiplying the percentage 1873 deviance by the full model R². Because we measure the 1874 influence of variables entered last and there is usually some 1875 overlap in variance accounted for by different terms, the 1876 individual R² values do not usually sum up to the full model 1877 R^2 . Because relationships between variables can be complex, 1878 however, the contributions of individual length, frequency or 1879 interaction terms could, on some occasions, sum to more 1880 than the full model R^2 . 1881

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