

Optimising subjective grading of corneal staining in Sjögren's syndrome dry eye disease

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19 **Abstract:**

20 **Aim:** To assess whether smaller increment and regionalised subjective grading improves the  
21 repeatability of corneal fluorescein staining, and to determine the neurological approach adopted  
22 for subjective grading by practitioners.

23 **Methods:** Experienced eye-care practitioners (n=28, aged  $45 \pm 12$  years), graded 20 full corneal  
24 staining images of patients with mild to severe Sjögren's syndrome with the Oxford grading  
25 scheme (both in 0.5 and 1.0 increments, globally and in 5 regions), expanded National Eye  
26 Institute (NEI) and SICCA Ocular Staining Score (OSS) grading scales in randomised order. This  
27 was repeated after 7-10 days. The digital images were also analysed using ImageJ for  
28 comparison.

29 **Results:** The Oxford grading scheme was similar with whole and half unit grading  
30 ( $2.77$  vs  $2.81$ ,  $p=0.145$ ), but the variability was reduced ( $0.14$  vs  $0.12$ ,  $p<0.001$ ). Regional grade was  
31 lower ( $p<0.001$ ) and more variable ( $p<0.001$ ) than global image grading ( $1.86 \pm 0.44$  for whole  
32 increment grading and  $1.90 \pm 0.39$  for half unit increments). The correlation with global grading  
33 was high for both whole ( $r=0.928$ ,  $p<0.001$ ) and half increment ( $r=0.934$ ,  $p<0.001$ ) grading.  
34 Average grading across participants was associated with particle number and vertical position,  
35 with 74.4-80.4% of the linear variance accounted for by the digital image analysis.

36 **Conclusions:** Using half unit increments with the Oxford grading scheme improve its sensitivity  
37 and repeatability in recording corneal staining. Regional grading doesn't give a comparable score  
38 and increased variability. The key neurally extracted features in assigning a subjective staining  
39 grade by clinicians were the number of discrete staining locations (particles) and how close to  
40 the vertical centre was their spread, across all three scales.

41

42 **Keywords:** corneal staining; subjective grading; objective grading; Sjögren's syndrome; dry  
43 eye disease.

44

**45 Background:**

46 Corneal staining with fluorescein dye has been long recognised as a biomarker of ocular surface  
47 disease [1, 2]. The Tear Film and Ocular Surface Society (TFOS) Dry Eye Workshops (DEWS)  
48 included ocular surface staining as a marker of a loss of homeostasis of the tear film, which  
49 together with symptomology, constitutes one of the criteria for the diagnosis of dry eye disease  
50 [3]. The ODISSEY European Consensus Group agreed that following diagnosis, symptom-based  
51 assessment and corneal fluorescein staining are sufficient to determine the severity of dry eye  
52 disease in the majority of patients [4]. The Asia Dry Eye Society's stated definition of dry eye:  
53 "Dry eye is a multifactorial disease characterized by unstable tear film causing a variety of  
54 symptoms and/or visual impairment, potentially accompanied by ocular surface damage" also  
55 emphasises the importance of fluorescein staining [5]. Additionally, both the American–  
56 European Consensus Group (AECG) criteria [6] and the 2016 American College of  
57 Rheumatology/European League Against Rheumatism (ACR–EULAR) criteria[7], that are the  
58 most widely accepted classification criteria for primary Sjögren's syndrome, include fluorescein  
59 staining assessment.

60  
61 It is important for follow-on care that damage to the ocular surface is accurately assessed and  
62 recorded. Grading scales, with broad increments, were developed for ocular conditions such as  
63 corneal damage in the 1990's to provide reference images against which observed damage could  
64 be recorded in a easy and straight forward way. These scales, such as the Oxford grading scheme  
65 [8], are well accepted in clinical practice and have been used by some international eye-care  
66 specialists for over 30 years. It has been proposed, following modelling, that the sensitivity of  
67 grading can be improved by interpolating to 0.1 unit steps between grade images, rather than  
68 reporting 1 unit steps [9]; however, sub-unit grading is rarely adopted by practitioners [10]. It has  
69 recently been demonstrated for the grading of ocular redness, that half unit sub-increments can  
70 increase sensitivitiy at least as much as using 0.1 unit steps [11], but this approach has not been  
71 investigated for corneal staining.

72  
73 Scales with a limited number of steps typically have good repeatability, but lack sensitivity [12].  
74 Dividing the ocular surface into regions could aid in relating the staining to clinical impact such  
75 as symptomology [13]; however no study to date has explored how a global score relate to

76 regional grading beyond anecdotal reporting of differences between three clinicians [14]. It has  
77 also been suggested that zonal grading can help in the differential diagnosis of ocular surface  
78 disease, with more temporal conjunctival staining found in Sjögren's syndrome than other forms  
79 of keratoconjunctivitis sicca [15].

80

81 The lack of a single, widely accepted, “gold standard” staining scale [13], has an important  
82 impact on the endpoints of clinical trials of ocular surface treatments. Of the most commonly  
83 adopted scales, the National Eye Institute (NEI)/Industry scale [16] adopts the approach of  
84 grading 5 corneal zones and scoring the zones by the density of stained dots on a 0–3 scale. The  
85 Oxford grading scheme [8] also grades the density of stained dots within the cornea and nasal  
86 and temporal conjunctiva, but introduced the concept of log unit increases in the number of  
87 stained dots between grades. The Sjögren's International Collaborative Clinical Alliance  
88 (SICCA) Ocular Staining Score (OSS) scale [17] includes this feature of coalescence by adding a  
89 single grade point for each of the following features present on the cornea: confluent staining,  
90 filaments or staining in the pupillary area. The OSS also advocated using fluorescein dye to stain  
91 the cornea and lissamine green to stain the conjunctiva, with the scores from each equally  
92 weighted in the overall score, although no scientific evidence was provided to justify this  
93 approach [17] and interobserver consistency was poor [18].

94

95 The aim of this study was to determine whether subjective grading to smaller increments and  
96 regionalised grading with established scales improves the sensitivity and repeatability of corneal  
97 staining recording. The study also compared grading between the expanded National Eye  
98 Institute / Industry Workshop Corneal Fluorescein Staining scale (expanded NEI), Oxford  
99 grading scheme and corneal part of the SICCA Ocular Staining Score (SICCA OSS) to  
100 investigate their comparability and repeatability. Finally, the approach adopted for subjective  
101 grading by practitioners was identified by correlating investigator ratings with objective image  
102 analysis of staining dot counting, staining area, intensity and location.

103

104

**105 Method**

106 The study was given a favourable opinion by the Aston University Research Ethics Committee  
107 and followed the tenets of the Declaration of Helsinki. Participants were experienced eye-care  
108 practitioners (n=28, aged  $45 \pm 12$  years, 6 female, qualified for  $19 \pm 11$  years, 15  
109 ophthalmologists and 13 optometrists, examining  $237 \pm 360$  ocular surface patients a month  
110 [median 95, range 15 to 1,600]), involved in corneal staining as part of their practice, recruited  
111 from professional body lists (Tear Film and Ocular Surface Society and European Dry Eye  
112 Society), who gave written informed consent after the nature and risks of the study had been  
113 explained to them. Training was provided in the form of sample images to grade using the  
114 electronic format followed by discussion on how they differed from a group of five experienced  
115 graders (non-participants in the study), repeated with a second set of images. They were provided  
116 with an electronic file with a series of 20 randomly sequenced full corneal images of patients  
117 with mild to severe dry eye disease owing to Sjögren syndrome with positive fluorescein corneal  
118 staining imaged with blue light and a yellow observation filter. They were asked to view them  
119 for around 30s each and to grade them with the Oxford grading scheme, expanded NEI and  
120 SICCA OSS scales in randomised order. For the Oxford grading scheme they were required to  
121 report the image with the nearest whole number increment from the grading scale reference  
122 images to the global amount of staining, and in central, superior, inferior, nasal and temporal  
123 regions (see Figure 1a). They also graded the resequenced images again (altered in a Latin square  
124 approach) with the Oxford grading scheme to the nearest half unit increment, in a randomized  
125 sequence (Figure 1b). The eye care practitioners then repeated the complete exercise a second  
126 time 7-10 days later in the opposite questionnaire order, but with the image sequence again  
127 randomized. One image of the 20 was repeated to allow intrasession repeatability to be assessed;  
128 reviewing of previous scores was not permitted.

129

130 **Figure 1:** Grading report form examples.

131

132 Image Analysis was performed using ImageJ (v1.53t <http://imagej.nih.gov/ij>). Pixel to millimeter  
133 calibration was achieved by imaging a ruler with the same slit lamp and settings as the image  
134 was captured with. Color thresholding was applied to sample the green pixels in HSB color space  
135 and Huang thresholding was applied, with a saturation and brightness in the range 20-80% found

136 to best highlight the area of observed staining. The cornea was manually segmented and particle  
137 analysis applied to identify the number of particles, the average size ( $\text{mm}^2$ ), the proportion  
138  $>0.1\text{mm}^2$ , the proportion of total staining area consisting of particles  $>0.1\text{mm}^2$ , the proportion of  
139 corneal area covered by staining, the average intensity (8-bit green percentage), average  
140 horizontal position of the centroid of staining (with 100% being on the inferior limbus) and  
141 distribution (the average distance between particles).

142

#### 143 Data Analysis

144 Based on a 0.4 SD for subjective grading [19], a sample size of 24 clinicians was required to  
145 allow the detection of a 0.25 difference in mean with 80% power ( $p<0.01$  significance level)  
146 (G\*Power, National Institute for Health) [20]. As corneal staining subjective grading scales are  
147 ordinal in nature, non-parametric related-sample Wilcoxon signed rank test and Spearman's rank  
148 correlations were conducted with  $p<0.05$  taken as significant. Multivariate analysis was  
149 conducted to determine the contribution of objectively extracted staining features to subjective  
150 grading using stepwise and enter methods (SPSS Statistics v29.01, IBM, USA). Spearman rank  
151 correlations were also performed for an individual grader between each of the grading scales.

## 152 **Results**

153 Despite initial training, one experienced grader used a 4 or 5 for all images with the Oxford  
154 grading scheme except one at both visits, resulting in an average score 20% higher than the next  
155 highest grader and therefore their results were excluded from the analysis.

156

### 157 Grading Increment

158 The average grade with the Oxford grading scheme was similar with whole and half unit grading  
159 (2.77 vs. 2.81,  $p=0.145$ ), but the variability with the former was reduced (average standard  
160 deviation 0.14 vs. 0.12,  $p<0.001$ ). When the grading was repeated 7-10 days later, the average  
161 staining grade was 0.08 grade units lower with a 95% confidence interval of 0.19 when grading  
162 to whole units, whereas the second repeat was almost identical (0.01 higher) with a 95%  
163 confidence interval of 0.17 when grading to 0.5 increments, with a significant difference between  
164 them ( $p=0.007$ , Figure 2). The intrasession repeatability was  $-0.09 \pm 0.05$  (mean  $\pm$  95%  
165 confidence interval) for whole unit grading ( $p=0.006$ ), but reduced to  $0.02 \pm 0.01$  for half unit  
166 grading ( $p=0.824$ ).

167

168 **Figure 2:** Bland-Altman plot of mean versus difference in repeated grading with 0.5  
169 of 1.0 increment units of 20 corneal staining images of patient with Sjogren's syndrome.

170

### 171 Regional grading

172 The average regional grade was lower ( $p<0.001$ ) and the variability higher ( $p<0.001$ ) than global  
173 image grading ( $1.86 \pm 0.44$  for whole increment grading and  $1.90 \pm 0.39$  for half unit  
174 increments). The correlation with global grading was high for both whole unit ( $r=0.928$ ,  
175  $p<0.001$ ) and half increment ( $r=0.934$ ,  $p<0.001$ ) grading. Regional grading (1.0 increments)  
176 increased the intersession repeatability to  $\pm 1.06$  units (95% confidence interval), which was  
177 larger as a proportion of the scale, to global grading (5.3% versus 3.9%).

178

### 179 Comparison between scales

180 The Oxford grading scheme (1.0 increments) average grade for all the participants for each  
181 image was strongly associated with that of the OSS ( $r=0.802$ ,  $p<0.001$ ) and NEI ( $r=0.912$ ,  
182  $p<0.001$ ). The OSS and NEI were also strongly correlated ( $r=0.888$ ,  $p<0.001$ ). However, for an

183 individual grader, the correlations between scales was much more variable (Oxford vs NEI:  
184  $r=0.070$  to  $0.668$ ; Oxford vs OSS:  $r=0.050$  to  $0.546$ ; NEI vs OSS:  $r=0.019$  to  $0.726$ ). The  
185 repeatability as a percentage of the scale range was greatest for the OSS (16.6%) which was  
186 higher than the NEI scale (13.4%; 1.0 increments;  $p=0.022$ ) and lowest with the NEI (9.4%,  
187  $p<0.001$ ). The 0.5 increment Oxford grading scheme (11.9%) was also more variable than the  
188 NEI ( $p=0.015$ ).

189 The intrasession repeatability was  $-0.09 \pm 0.05$  units (mean  $\pm$  95% confidence interval) for the  
190 Oxford grading scheme (1.0 increments),  $-0.07 \pm 0.59$  units for the OSS and  $-0.04 \pm 1.56$  units  
191 for the NEI scale. The intersession repeatability was 0.19 units (95% confidence interval) for the  
192 Oxford grading scheme (1.0 increments), 0.37 units for the OSS and 0.74 units for the NEI scale.

193

#### 194 Effect of Experience

195 The years of qualification was generally negatively associated with absolute mean difference  
196 from the mean with each image for the Oxford scale (1.0 increments:  $r=-0.382$ ,  $p=0.049$ ; 0.5  
197 increments:  $r=-0.476$ ,  $p=0.012$ ), NEI scale ( $r=-0.262$ ,  $p=0.186$ ) and OSS ( $r=-0.354$ ,  $p=0.070$ ).

198 However, the number of gradings performed per month was not associated with absolute mean  
199 difference from the mean with each image for the Oxford scale (1.0 increments:  $r=-0.230$ ,  
200  $p=0.248$ ; 0.5 increments:  $r=-0.143$ ,  $p=0.477$ ), NEI scale ( $r=-0.202$ ,  $p=0.311$ ) and OSS ( $r=-0.057$ ,  
201  $p=0.777$ ).

202

#### 203 Features associated with subjective grading

204 The correlation between each of the objective staining metrics and mean subjective grading score  
205 (average of both completions) are presented in table 1. Average grading across participants was  
206 associated with particle number (accounting for 47.1/48.9% of the variance) and vertical position  
207 (accounting for a further 17.2/16.2%) with a total of 75.4/78.7% of the linear variance accounted  
208 for by the digital image analysis for the Oxford (0.5/1.0 increments) grading scheme. Average  
209 grading across participants was associated with vertical position (accounting for 45.0% of the  
210 variance) and particle number (accounting for a further 13.3%) with a total of 74.4% of the linear  
211 variance accounted for by the digital image analysis for the OSS scale. Average grading across  
212 participants was associated with particle number (accounting for 49.0% of the variance) and

213 vertical position (accounting for a further 14.3%) with a total of 80.4% of the linear variance  
214 accounted for by the digital image analysis for the OSS scale.

215

216 **Table 1:** Means and correlations of objectively analysed features influencing eye  
217 care practitioner subjective grading of corneal staining images Note vertical position scaled  
218 from 0 (superior limbus) to 100 (inferior limbus). \*  $p<0.05$ ; \*\*  $p<0.01$ , \*\*\*  $p<0.001$

219

Journal Pre-proof

**220 Discussion**

221 Water-soluble dyes are excluded from the normal epithelium by tight junctions, the plasma  
222 membranes and the surface glycocalyx. Shed cells, or those with a compromised glycocalyx  
223 barrier, have been hypothesized to 'stain' through transcellular entry and diffusion across  
224 defective tight junctions [21]. Due to its low molecular weight compared to other ocular dyes,  
225 fluorescein can spread from initial sites of punctate staining initially by a paracellular  
226 route and then by transcellular diffusion [21]. This can be minimised by reducing the amount of  
227 fluorescein applied [22]. Fluorescein staining is best visualised following the minimum  
228 application of dye, illuminated with a blue light with a peak around 495nm, observed through a  
229 yellow filter with a sharp cut off around 500nm, between 20-160s after instillation [2].

230  
231 The first aim of this study was to determine whether subjective grading to smaller increments  
232 and regionalised grading with established scales improves the repeatability of corneal staining  
233 recording. While the average grade with the Oxford grading scheme was similar with whole and  
234 half unit grading, allowing studies that use either approach to be directly compared, the  
235 variability among observers within a visit and across two visits was statistically reduced with  
236 half unit grading. This supports a previous study on other types of ocular physiological feature  
237 grading, that grading to half increments is more repeatable than whole unit grading [11]. While  
238 the difference may not be considered clinically significant, the overall benefits of half increment  
239 grading outweigh any disadvantages. Dividing the ocular surface into regions has been adopted  
240 by many clinical studies as a potentially more accurate way to grade ocular physiological  
241 features such as staining [13]; the present study was unique in systematically assessing how a  
242 global score relates to regional grading. Interestingly, the diameter of the central zone has only  
243 been specified (beyond stating zones should be of similar size [23, 24]) by Woods and colleagues  
244 [12], who stated the central zone was to have a diameter of half that of the cornea. The assigned  
245 average regional grade was lower than the global image approach for both whole and half unit  
246 grading. This would suggest a tendency for clinicians to base their overall grade on the intensity  
247 of staining in a localized area, rather than as a percentage of the whole ocular surface. The  
248 correlation between global and regional grading was strong, accounting for around 86% of the  
249 variance for both whole and half unit grading. However, the 95% confidence interval was  
250 statistically higher for regional grading, even when scaled for the higher range of scores

251 generated, which will require a larger sample size to be powered to detect differences between  
252 groups by adopting regionalized grading. Hence while the differences may not be considered  
253 clinically significant, the disadvantages of this approach seem to outweigh any advantages.  
254

255 The present study also compared three commonly used staining grading scales. Grading scales  
256 for ocular surface staining adopt different approaches to what defines severity. The expanded  
257 NEI [16] and SICCA OSS [17] scale grades increase with the number of dots and the actual  
258 numbers for each grade are stipulated in the SICCA OSS Scale. The authors of the Oxford  
259 grading scheme [8] do not recommend counting punctate staining dots, but the number of dots in  
260 each grade increase in a logarithmic nature as the grade increases; drawings depict the increasing  
261 density of dots with each grade, unevenly distributed within each zone, clustering and eventually  
262 coalescing (Grade IV) around the limbus across the interpalpebral zone. Coalescent rather than  
263 punctate staining is seen in DED with more conjunctival damage and with lower reflex tear  
264 volume as found in Sjogren syndrome patients [25]. Mucus plaques (containing mucus, epithelial  
265 cell and proteinaceous and lipoidal material) of varying size and shape, attached to the corneal  
266 epithelium, which stain with fluorescein dye have been described in patients with accompanying  
267 system disease such as Sjogren's Syndrome. This sign is more common when filaments are  
268 present [26]. The possible mechanisms responsible for the manifestation of coalescent patches of  
269 staining are the increase in MUC16 concentration in tears due to inflammation induced increased  
270 shedding, the accumulation of mucins due to delayed tear clearance, the reduction in repulsive  
271 forces from the corneal surface due to both of these factors and the increased friction due to  
272 reflex tear deficiency [25]. The terms "confluence" or "coalescence" of stained dots are included  
273 in several scales. In the CCLRU scale [23], coalescence is a category of stain, while in the  
274 SICCA OSS Scale [17], a point is added for confluent staining of the cornea. Therefore, it is  
275 clear that the local density of staining, which may be so dense as to be coalesced or confluent, is  
276 considered an important aspect of grading scales for dry eye and other ocular surface conditions  
277 [17, 23, 27].

278  
279 The expanded NEI scale is not linear as grades 0.5 to 1.5 are attributed to a non-linear increase in  
280 micropunctate staining spots, 2.0 and 2.5 to moderate macropunctate area, 3.0 and 3.5 to  
281 clumped macropunctate area and 4.0 to diffuse macropunctate stain. A pharmaceutical company

282 has created another modified version of the NEI scale in 0.5 grade increments with a linear  
283 increase in punctate dots up to grade 3, but still with coalesced areas a requirement of grades 3.5  
284 and 4.0; however, the reliability and repeatability was no better than the previous expanded NEI  
285 scale [28]. The CORE scale [12] aimed to generate continuous data to facilitate parametric  
286 analysis, but still attributed a type of staining (micropunctate, macropunctate, coalescent and  
287 patch staining) as anchors to point values; staining type (1-100), extent (1-100) and depth (1-4,  
288 based on the timing and extent of stromal glow) are graded and multiplied together (max  
289 40,000). This is repeated in 5 zones to create Zone Staining Scores. However, the 15 separate  
290 grades are time consuming to score and is likely to decrease inter-grader concordance. In  
291 practice, the modified Oxford grading scheme has been shown to be subjective and observer  
292 dependent, besides being susceptible to poor reproducibility and high inter-observer and intra-  
293 observer variability in contrast to computer-assisted, objective digital analysis [29-31].

294  
295 Due to these differences in scoring range and approach, staining grading scales cannot be directly  
296 compared. However, the average grading score correlation between the group of clinicians was  
297 strong (ranging from  $r^2 = 0.65$  to  $0.83$ ). However, for an individual grader, the correlations  
298 between scales was much more variable (from  $r^2 = 0.01$  to  $0.53$ ), which would be statistically  
299 significant (80% power) with the number of graders involved [32]. This could, in part, have been  
300 due to differing amounts of grader experience with the individual scales, although consistently  
301 those with more years of experience were closer to the mean score for each image with each  
302 scale. In addition, this result was calculated after one clinician's grades were removed due to  
303 their very different approach, thus highlighting that individual clinician's can interpret grading  
304 scoring guidance very differently even after training. A limitation of the study was the time the  
305 clinician took to make their grading decision was not monitored. When assessing repeatability as  
306 a percentage of the scale range, the NEI was the most repeatable and the OSS the least  
307 repeatable. If the NEI reflects the findings with the Oxford grading scheme, its regional grading  
308 approach will have reduced the average score and hence the variability between measures would  
309 be expected to be lower (although this was unexpectedly not the case with the Oxford grading  
310 scheme analysis). The additional grades that can be added to the OSS on the presence of certain

311 features being noted (excluding filaments in this study due to the static nature of the images  
312 being graded) is likely to have resulted in the poorer repeatability, as proposed previously [18].  
313

314 Finally, the approach adopted for subjective grading by practitioners was identified by  
315 correlating investigator ratings with objective image analysis of staining. Techniques for  
316 objective analysis of corneal staining have been developed and tested using: edge detection and  
317 color extraction [33, 34]; an observer-dependent thresholding technique [35]; luminance  
318 correction across the image [36]; green channel isolation and thresholding, along with size  
319 thresholds for particles [37]; intensity green thresholding [30]; green channel isolation and  
320 automated contrast enhancement, convoluted background subtraction, auto-threshold “triangle-  
321 white” following manual corneal selection with size and circularity thresholds for particles  
322 identified applied by an ImageJ macro [31]; and a combination of the difference of Gaussians  
323 (DoG), edge detection for morphologic properties of corneal erosions, and the red-green-blue  
324 (RGB) systems and hue-saturation-value (HSV) color model for detection of colour [38]. The  
325 effect of prior image enhancement with a median filter, Otsu thresholding, and a contrast-limited  
326 adaptive histogram equalization has been investigated [38], but the correlation to subjective  
327 grading using a number of different scales remained strong ( $r=0.85$  to  $0.92$ ). The expanded NEI  
328 scale correlated slightly more strongly with objective measurement ( $r=0.90$ ) than the Oxford  
329 grading scheme ( $r=0.85$ ), but the subjective grading of the two scales was not compared directly  
330 [38]. The corneal staining index (the ratio between the staining and total corneal area) has been  
331 found to be strongly correlated with the expanded NEI and Oxford (accounting for 60 and 68%  
332 of the variance) and showed good interobserver reliability; the circularity and roundness of  
333 staining spots (manually traced and quantified objectively) were significantly higher in patients  
334 with ocular graft versus host disease compared to those diagnosed with Sjogren’s Syndrome,  
335 with a distinguishing sensitivity and specificity of 65% and 60% respectively for circularity and  
336 80% and 70% for roundness [29]. However, while objective grading of staining has advantages,  
337 it relies on high quality image capture which can be influenced by practitioner skill,  
338 instrumentation as well as the iris colour and features.

339

340 Chun and colleagues acknowledged that despite a strong correlation between their objective  
341 punctate staining count and the subjective grading by two experienced ophthalmologists, their

342 objective strategy “could not account for the human eye’s detailed perception of corneal staining  
343 morphology characteristics, such as coalescence and dispersion”[38]. Therefore the objective  
344 analysis conducted in this study chose to analyse not only the number of particles detected, but  
345 also their average size, intensity of fluorescence, the covered area (in relation to the corneal  
346 area), the proportion and relative area covered by coalescence (defined as a detected area of  
347 staining greater than  $0.1\text{mm}^2$ , based on the average punctate dot being  $15\text{-}27\mu\text{m}$  [39]), vertical  
348 centration of the staining within the cornea and spread across the cornea. With all of the  
349 subjective scales, the average clinical subjective grade related principally on the number of  
350 particles (accounting for 43.5 to 74.8% of the variance), vertical centration (accounting for 40.1  
351 to 63.2% of the variance), fluorescent intensity (accounting for 39.7 to 46.9% of the variance)  
352 and corneal coverage (accounting for 22.5 to 43.2% of the variance). However, these metrics are  
353 inter-related, such as more particles and greater coalescence will be related to the corneal area  
354 covered by staining, and as the staining is more centred within the cornea the distribution is  
355 likely to increase. Hence linear multivariate analysis identified that the main neurally extracted  
356 features in assigning a subjective staining grade were the number of discrete staining locations  
357 (particles) and how close to the vertical centre was their spread, across all three scales. As the  
358 images had a wide range of punctate and coalescent staining between them, this might suggest  
359 that separate scoring criteria for coalescence may not be required, allowing the scale grade  
360 descriptions to be more linear. The overall variance accounted for was similar in this study to that  
361 reported by Chun and colleagues for the Oxford grading scheme (75.4% versus 72.3%) and NEI  
362 scale (80.4% versus 81.5%, both finding the NEI subjective grading to be slightly more strongly  
363 associated with objective staining analysis [38].

364  
365 In conclusion, using half unit increments with the Oxford grading scheme improves its  
366 repeatability in recording corneal staining, whereas regional grading increased variability. The  
367 three commonly used staining grading scales (the Oxford grading scheme, SICCA OSS and  
368 expanded NEI) have different scale ranges, so their mean scores are not comparable; however,  
369 the mean score of a group of clinicians with each of the scales are strongly correlated. Individual  
370 clinician approaches to grading with each of the scales are quite variable and therefore it is  
371 important to use multiple subjective graders in clinical trials. Finally, despite the limitations of  
372 applying objective image analysis to complex staining patterns, the correlation with subjective

373 grading is strong and demonstrates that the key features extracted in assigning a subjective  
374 staining grade by clinicians were the number of discrete staining locations and how close to the  
375 vertical centre was their spread; this novel finding may inform more linear grading scale design  
376 in the future.

377

378

379 **Disclosures**

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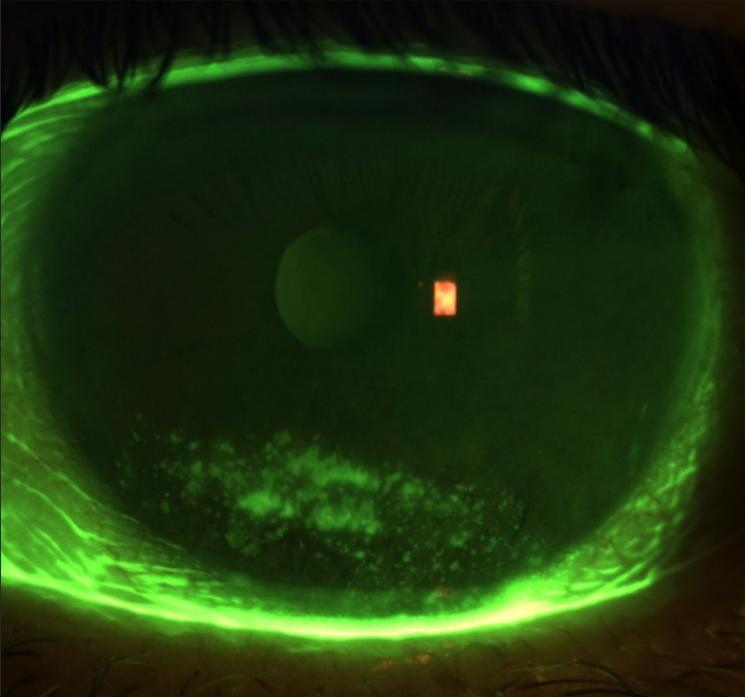
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Metric	Range across images	Association with Oxford grading scheme (0.5 increments)	Association with Oxford grading scheme (1.0 increments)	Association with NEI scale	Association with OSS scale
N° of particles,	8-4232	.865***	.851***	.652**	.765***
Average size of particles (mm <sup>2</sup> )	0.01-0.16	-.094	-.020	.146	.022
Proportion >0.1mm <sup>2</sup> (%)	0.0-91.7	-.213	-.187	.057	-.007
Proportion coalesced (%)	0.0-98.7	.176	.160	.350	.362
Corneal coverage (%)	0.1-37.1	.657**	.657**	.475*	.587**
Average intensity (%)	8.1-26.4	-.647**	-.685***	-.630**	-.636**
Vertical position	31.7-88.9	-.795***	-.775***	-.640**	-.714***
Distribution (mm)	0.1-0.4	.435	.507*	.430	.553*

484  
 485 **Table 1:** Means and correlations of objectively analysed features influencing eye  
 486 care practitioner subjective grading of corneal staining images Note vertical position scaled  
 487 from 0 (superior limbus) to 100 (inferior limbus). \* p<0.05; \*\* p<0.01, \*\*\* p<0.001

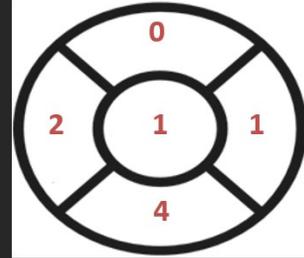
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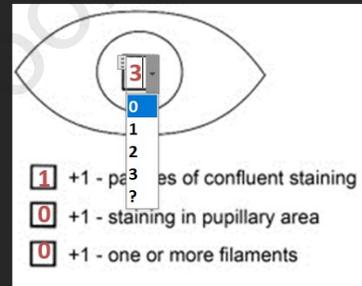
Modified Oxford - global

3

Modified Oxford - regional

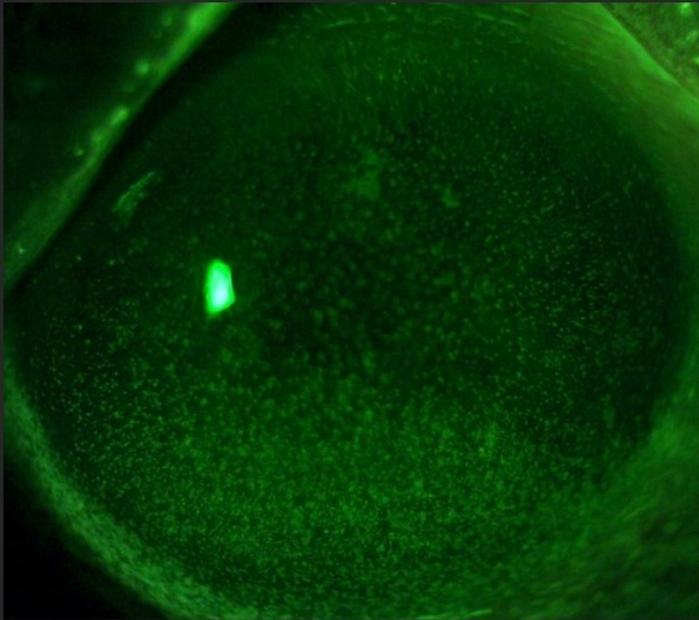


SICCA Ocular Staining Score (OSS)



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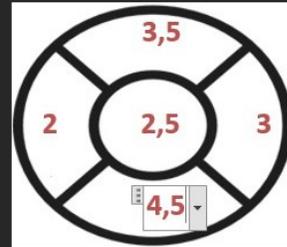
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Modified Oxford – global 0,5

4

Modified Oxford – regional 0,5 increments



Extended NEI

