# An ultrasensitive and universal surface plasmonic biosensor for detection of micropollutants in aquatic environments

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#### 14 ABSTRACT

Simple and yet ultrasensitive and accurate quantifying a variety of analytical targets by 15 virtue of a universal sensing device holds promise to revolutionize environmental 16 monitoring, medical diagnostics, and food safety. Here, we propose a novel optical 17 surface plasmon resonance (SPR) system in which the frequency-shifted light of 18 different polarization returned the laser cavity to stimulating laser heterodyne feedback 19 interferometry (LHFI), hence amplifying the reflectivity change caused by the refractive 20 index (RI) variations on the gold-coated SPR chip surface. In addition, the s-polarized 21 light further used as the reference to compensate the noise of the LHFI-amplified SPR 22 23 system, resulting in nearly 3 orders of magnitude enhancement of RI resolution  $(5.9 \times 10^{-1})$ <sup>8</sup> RIU) over the original SPR system ( $2.0 \times 10^{-5}$  RIU). By exploiting nucleic acids, 24 25 antibodies, and receptors as recognition materials, a variety of micropollutants are detected with ultra-low detection limits, ranging from a toxic metal ion (Hg<sup>2+</sup>, 70 ng/L), 26 to a group of commonly occurring biotoxin (microcystins, 3.9 ng microcystin-LR/L), 27 and to a class of environmental endocrine disruptors (estrogens, 0.7 ng  $17\beta$ -estradiol/L). 28 This sensing platform exhibits several distinct characteristics, including dual 29 improvement of sensitivity and stability, and common-path optical construction without 30 needing optical alignment, demonstrating a promising avenue towards environmental 31 monitoring. 32

#### 33 **KEYWORDS**

Environmental monitoring; Surface plasmon resonance; Laser heterodyne feedback;
Polarized light compensation; Biosensor; Ultrasensitive detection

# 36 SYNOPSIS

- 37 An OLFM-compensated and LHFI-amplified SPR biosensor for ultrasensitive detection
- 38 of a variety of analytical targets with universality.



For TOC

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#### 42 INTRODUCTION

Precise and reliable monitoring micropollutants of widespread concern in aquatic 43 environment, is of great significance for the risk assessments of public safety and 44 ecological health. Traditional analytical devices, such as high-performance liquid 45 chromatography (HPLC), and gas chromatography-mass spectrometry (GC-MS), are 46 greatly limited in sensitivity and universality, especially compounds that have not been 47 previously recognized. Therefore, developing a universal biosensing platform with 48 excellent sensitivity and stability, to quantify and assess a broad range of analytical 49 targets is in high demand for environmental monitoring. 50

51 Significant advances have been witnessed in the use of various biological recognition material-based schemes to detect analytical targets, such as antibodies,<sup>1</sup> functional 52 nucleic acids,<sup>2</sup> and receptors<sup>3</sup> recent years. Among these, one of the most successful and 53 commonly used schemes is refractive index (RI)-based label-free optical biosensing and 54 55 the biosensors developed therefrom have been exploited for a broad range of applications due to their excellent performance, such as easy-to-operation and showing 56 enormous potential for miniaturization and for simultaneous quantification of 57 multiplexed analytical targets.<sup>4</sup> Surface plasmons are electromagnetic excitations that 58 59 propagate along an interface between metal and dielectric medium, consisting of an interface-bound evanescent wave in the dielectric and medium charge density 60 oscillations in the metal.<sup>5</sup> As a typical RI-based label-free optical biosensor, 61 conventional intensity-modulated surface plasmon resonance (SPR) system based on 62 prism coupling has been widely reported, generally exhibiting a RI resolution of  $10^{-5}$ 63

64 RIU.<sup>6</sup>

Although various sensitivity enhancement schemes have been exploited building on the 65 66 basic structure of SPR, such as optimizing the SPR-coated layer,<sup>7</sup> utilizing phase or angular modulation,<sup>8</sup> and adding a nanodielectric layer,<sup>9</sup> they have trade-offs among 67 sensitivity, simplicity, stability, and cost. As an alternative, the laser heterodyne 68 69 feedback interferometry (LHFI) makes the feedback weak optical signal participate in the stimulated radiation of the laser for spontaneous amplification, that can be leveraged 70 for intensity-modulated SPR sensitivity enhancement. More specifically, the frequency-71 shifted incident light senses, and then partially returns to the laser cavity, in turn 72 73 resonating with the relaxation oscillation and inducing the intensity modulation of the laser; as a consequence, the modulated output of the laser can be enhanced even up to 74 10<sup>6</sup>-fold compared with the feedback one.<sup>10, 11</sup> In this scheme, the laser source acts as an 75 emitter, responder, and intrinsic amplifier simultaneously, which does rarely need 76 optical alignment and reduces optical components required. Because of its compactness, 77 self-collimation, and sensitivity, the LHFI has been widely explored for displacement 78 sensing,<sup>12</sup> particle detection,<sup>13</sup> confocal tomography<sup>14</sup> and so on. Despite of its promise, 79 enhanced sensitivity to SPR via the LHFI is rarely reported so far. Besides, the inherent 80 81 noise caused by the air disturbance and the laser is an unavoidable problem in the labelfree SPR sensing. 82

To address the challenge, we exploited a polarized light-compensated SPR system with
laser heterodyne feedback for ultrasensitive detection of a various of analytical targets.
In this platform, the LHFI was adopted to remarkably amplify the intensity change

caused by the molecular/biomolecular binding-induced RI variations when the SPR was 86 excited on the surface. Inspired by the common-path compensation reports via 87 frequency multiplexing<sup>15</sup> or polarized light,<sup>16</sup> we further proposed a common-path 88 compensation approach combining the orthogonally-polarized light with frequency 89 multiplexing (OLFM) to minimize the impact of mechanical, thermal, and laser noise, 90 91 which was compatible with the SPR system and significantly improved the stability of this system. Subsequently, the strategy to anchor functional groups/molecules on the 92 SPR chip was realized by forming self-assembled monolayers (SAMs) spontaneously 93 on gold film via the Au-S bond. As proof-of-concept demonstrations, we adopted this 94 technique for specifically detecting mercury ions (II) (Hg<sup>2+</sup>), broad-spectrum 95 immunoassay of microcystins (MCs), and screening of estrogenic binding activity in 96 97 environmental samples and achieved ultralow detection limits.

# 98 MATERIALS AND METHODS

# 99 Experimental Procedures

Detailed information on materials and reagents were provided in the Supporting
Information (Note S1).

Experimental setup. The OLFM-compensated and LHFI-amplified SPR system was excited by using a home-made 1064 nm solid-state microchip laser. The incident angle was controlled via an electric turntable (Micronix Inc., PR-50-11300) attached to the sensing unit with a resolution of 5 microdegrees and a scanning range from 0 to 360 degrees. The sensing unit of this system was composed of a microfluidic channel ( $9 \times 6$ mm in area and 1 mm in depth) made of polydimethylsiloxane (PDMS) and a SPR chip

on the prism (Daheng Optics Inc., GCL-030102A). The SPR chip was made of a BK7 108 109 glass slide ( $20 \times 20$  mm in area and 1 mm in depth) coated with 2 nm chromium adhesion 110 layer and 52 nm gold film by using an electron-beam evaporator (Canon-Anelva Inc., L-400EK). The *p*- and *s*-polarized beams were frequency shifted by three AOMs 111 (Castech Inc., CAFS-70-3-020-TEC-1064-AF). The modulated light was acquired by 112 113 using a PD (Thorlabs Inc., PDA20CS2) with a lock-in amplifier (Zurich Instrument Inc., HF2LI). Different samples were injected in the microfluidic channel at a constant flow 114 velocity of 100 µL/min by a syringe pump (Longer Inc., LSP01-2A). The SPR signal 115 responses during the detection process were ultimately acquired by using a PD with a 116 lock-in amplifier and recorded online and in real-time by LabView software. 117

Statistical Information. Statistical analysis was performed using Origin 2022. The four-parameter logistic model with unfixed lower and upper boundaries was adopted for curve-fitting. Error bars in all figures represent the standard deviations of three individual experiments in parallel and the blue dotted lines represent the 95% confidence band.

#### 123 RSULTS AND DISCUSSION

OLFM-compensated and LHFI-amplified SPR Detection Principle. To achieve the sensitivity and stability enhancement to SPR, we designed a novel optical structure in which the frequency-shifted light of different polarization returned to the laser cavity to stimulate LHFI and the *s*-polarized light used as the reference to compensate the noise of the LHFI-amplified SPR system (**Figure 1a** and **Figure S1**). More specifically, the output light of the laser was separated into two parts by a non-polarized beam splitter

(NPBS). The transmitted beam was adjusted to circularly polarized light by a quarter-130 wave plate (QWP) and then incident on the gold film of the SPR chip. After passing 131 132 through the sensing unit, the circularly polarized light was divided into p- and spolarized light by a polarized beam splitter (PBS). Both of them were frequency shifted 133 134 differently and reflected by two mirrors ( $M_1$  and  $M_2$ ), respectively. The feedback beams returned to the laser cavity along the original path, hence inducing the intensity 135 modulation of the laser. The modulated laser power output caused by the two feedback 136 beams can be described as:<sup>17, 18</sup> 137

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$$\frac{\Delta I_p(\Omega_p)}{I} = \kappa_p G(\Omega_p) \cos\left(2\pi\Omega_p t - \phi_{fp} + \phi_{ep}\right)$$

139 
$$\frac{\Delta I_s(\Omega_s)}{I} = \kappa_s G(\Omega_s) \cos\left(2\pi\Omega_s t - \phi_{fs} + \phi_{es}\right)$$

where  $\Delta I_p$  and  $\Delta I_s$  are the feedback light-induced intensity modulation of *p*-polarized 140 beam and s-polarized beam, respectively;  $\Omega_p$  and  $\Omega_s$  are the shift frequencies of the two 141 beams; I denotes the stationary laser intensity;  $\kappa_p$  and  $\kappa_s$  are the coefficients of the 142 feedback strength of the two beams;  $\phi_{fp}$  and  $\phi_{fs}$  are the fixed phases of the two beams; 143  $\phi_{ep}$  and  $\phi_{es}$  are the external phases of the two beams;  $G(\Omega_p)$  and  $G(\Omega_s)$  are the frequency-144 dependent gain factors. To compensate the intensity drift induced by air disturbance, 145 laser and other inherent mechanical and thermal noise, we defined  $\Delta I = \Delta I_p - \Delta I_s$  as the 146 corrected intensity change corresponding to the RI variations. The light intensity was 147 ultimately acquired by using a photodiode (PD) with a lock-in amplifier and recorded 148 in the form of voltage by a computer. 149

150 To obtain a larger gain factor  $G(\Omega_i)$  and demodulate the *p*- and *s*-polarized beams

151 separately, three acousto-optic modulators (AOMs) were employed, in which AOM<sub>2</sub> 152 and AOM<sub>3</sub> were set with different working frequencies (**Figure 1b**). The frequency-153 dependent gain factor  $G(\Omega_i)$  can be expressed as:<sup>18, 19</sup>

154 
$$G(\Omega_i) = 2\gamma_c \frac{(\eta^2 \gamma^2 + 4\pi^2 {\Omega_i}^2)^{1/2}}{\left[4\eta^2 \gamma^2 \pi^2 {\Omega_i}^2 + (4\pi^2 f_r^2 - 4\pi^2 {\Omega_i}^2)^2\right]^{1/2}}$$

where  $\gamma_c$  is the photon decay rate inside the laser cavity,  $\gamma$  is the population inversion 155 decay rate,  $f_r$  denotes the frequency of relaxation oscillation,  $\eta$  is the normalized 156 pumping rate,  $\Omega_i$  (*i=p* or *s*) is the shift frequency of *p*- or *s*-polarized beam, respectively. 157 Simulated by MATLAB, we found that the closer to the frequency of relaxation 158 oscillation  $f_r$  in our system the shift frequency  $\Omega_p$  and  $\Omega_s$  are, the larger the frequency-159 dependent gain factors  $G(\Omega_p)$  and  $G(\Omega_s)$  are (blue line in Figure 1c). However, when 160 the shift frequency ( $\Omega_p$  or  $\Omega_s$ ) and frequency of relaxation oscillation  $f_r$  were too close, 161 162 it would cause a state of chaos in the spectrum due to the strong nonlinear effect, which is not conducive to the extraction and demodulation of the two feedback beams. Based 163 on the above considerations, the shift frequency  $\Omega_p$  and  $\Omega_s$  were set to be 2 MHz and 3 164 MHz, respectively, enabling the gain factor  $G(\Omega_n)$  and  $G(\Omega_s)$  of our system reaching 165 10<sup>4</sup>. Considering that the working frequency of one single AOM was not able to be as 166 167 low as a few megahertz to meet the conditions set above; thus, we employed two AOMs to acquire a low shift frequency for one optical beam. Specifically speaking (Figure 168 **1b**), the light with frequency  $f_0$  occurred the positive first order diffraction by AOM<sub>1</sub>, 169 resulting that the frequency changed to  $f_0+\omega_1$  ( $\omega_1=70$  MHz was AOM<sub>1</sub>'s working 170

frequency). After split by PBS, the frequency  $f_0 + \omega_1$  of the *p*-polarized light converted 171 to  $f_0 + \omega_1 - \omega_2$  by the negative first order diffraction under the modulation of AOM<sub>2</sub> ( $\omega_2$ =71 172 MHz was AOM<sub>2</sub>'s working frequency). Finally, the *p*-polarized light returned to the 173 laser cavity by a mirror  $(M_1)$  along the original path, accompanying that the frequency 174 changed to  $f_0 + \omega_1 - \omega_2 - \omega_2 + \omega_1 = f_0 + \Omega_p = f_0 + 2MHz$  by the combined diffractions of AOM<sub>2</sub>. 175 and AOM<sub>1</sub>. As similar as the *p*-polarized light, the *s*-polarized light split by PBS shared 176 the differential frequency shift process by setting the working frequency of AOM<sub>3</sub> ( $\omega_3$ ) 177 to be 71.5 MHz, indicating that the s-polarized light had a frequency of  $f_0+\omega_1-\omega_3$ -178  $\omega_3 + \omega_1 = f_0 + \Omega_s = f_0 + 3$  MHz. To verify the accuracy of the simulations, we measured the 179 power spectrum of the system and found the  $\Omega_p$  and  $\Omega_s$  to be 2 MHz and 3 MHz, 180 respectively, which were absolutely in accordance with simulations (red line in Figure 181 182 1c).

In the SPR system, a higher sensitivity corresponds to a larger reflectivity change for a 183 certain RI variation, in which the reflectivity change is affected substantially by the 184 incident angle and the gold film thickness of the SPR chip.<sup>20</sup> Calculated by the transfer 185 matrix method (Note S2), we found that the reflectivity change reached the maximum 186 0.237 under the optimal incident angle of 64.01° and the gold film thickness of 52 nm 187 188 (Figure 1d). To further verify the accuracy of the simulations, we set the thickness of gold film at 52 nm and measured the reflectivity curves in pure water, phosphate 189 190 buffered saline solution and Tris-HCl buffer solution at the incident angles varying from 63° to 67°. As expected, the measured reflectivity curves in three types of solutions were 191 192 in good agreement with the simulated results (Figure 1e). Therefore, to achieve the

maximum sensitivity, the incident angle and gold film thickness were set to be 64.01°
and 52 nm, respectively.





Figure 1 Detection principle. (a) Experimental setup of the OLFM-compensated and LHFI-amplified SPR biosensing platform. L: laser; CL: collimating lens; NPBS: nonpolarized beam splitter;  $AOM_i$  (*i*=1, 2, 3): acousto-optic modulator; QWP: quarter-wave plate; PBS: polarized beam splitter;  $M_i$  (*i*=1, 2): mirror; PD: photodiode; (b) Schematic three AOMs-based differential frequency shift module diagram; (c) Simulated gain factor varying with different shift frequency (blue line) and experimentally measured

power spectrum of the system (red line); (d) Simulated reflectivity changes with gold film thickness and incident angle under the condition of RI variation of 0.002; (e) Relationship between reflectivity and incident angle under the condition of gold film thickness of 52 nm. Type 1 referred to water (n=1.3242), Type 2 referred to phosphate buffered saline solution (n=1.3272), and Type 3 referred to Tris-HCl buffer solution (n=1.3357).

Performance Evaluation for RI Sensitivity. To confirm the stability of the LHFI-208 amplified SPR system with OLFM compensation approach, we monitored the voltage 209 210 drift of the measurement channel of *p*-polarized light and the compensation channel of 211 s-polarized light, separately (Figure 2a and Movie 1). We found that the baseline 212 fluctuations responding to water in the *p*-polarized and *s*-polarized light path were 213 relatively large in the 3-hour testing, however, the corrected signal  $(U=U_p-U_s)$  was significantly improved with stability than that of the measurement channel  $(U_n)$  and 214 compensation channel  $(U_s)$ , indicating the reliability of OLFM compensation approach 215 in minimizing the impact of inherent noise of LHFI-amplified SPR system. 216

To demonstrate the capability of OLFM-compensated and LHFI-amplified SPR in RI sensing, the sodium chloride (NaCl) solutions at different concentrations were prepared and measured. The real-time signal responses showed significant concentrationdependent changes (**Figure 2b**). The average voltage signals at different RIs corresponding to the solutions of various NaCl concentrations (0.01%–0.1%) were extracted and plotted (**Figure 2c**). Resolution and sensitivity are calculated by the following equation:

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$$S = \frac{\Delta U}{\Delta n}$$

225 
$$Res = \frac{U_{\text{noise}}}{S}$$

where *S* and *Res* are the sensitivity and the resolution of RI sensing, respectively. *n* is the RI of NaCl solution.  $U_{noise}$  is the noise value defined as three times the standard deviation of the voltage corresponding to the baseline ( $6.0 \times 10^{-5}$  V, **Inset of Figure 2b**). The resolution and sensitivity of OLFM-compensated and LHFI-amplified SPR system were calculated to be  $5.9 \times 10^{-8}$  RIU and  $1.0 \times 10^{3}$  V/RIU, respectively. Compared with the resolution of traditional intensity-modulated SPR system ( $2.0 \times 10^{-5}$  RIU, **Figure S2**), that of our SPR system exhibited nearly 3 orders of magnitude enhancement of RI

233 sensing.



Figure 2 Performance evaluation of RI sensing. (a) The 3-hour test results of pure water; (b) Real-time signal responses with different NaCl concentrations ranging from 0.01% to 0.1%. Inset: the signal fluctuation corresponding to pure water; (c) The linear fitting curve of the voltage and the RI of NaCl solution.

Biosensing Mechanism with Universality and Versatility. In order to make the 239 240 developed SPR device to be a universal biosensing platform capable of detecting a variety of analytical targets, we adopted the most common biological recognition 241 materials and simple biosensing strategies to meet the personalized detection 242 requirement of the target in specific scenarios. As illustrated in Figure 1a, nucleic acids, 243 244 antibodies, and receptors acted as recognition materials to specifically interact with their targets. The presence of analytical targets triggered the surface-based binding between 245 the target and its recognition material via direct binding or indirect competitive 246 strategies, hence changing the RI very close to the gold film of the SPR chip, which had 247 a quantification relationship with the target concentration. The analytical performance 248 of RI-based biosensor is greatly reliant on the approach to anchor functional 249 groups/molecules on the chip surface, i.e., the high-quality surface functionalization to 250 minimum non-specific interferences, providing high target specificity. A well-251 established gold surface chemistry method was proposed based on the use of thiol-252 terminated compounds, forming SAMs on gold-coated chip via the Au-S bond (Note 253 254 **S5**). In addition, in order to fully expose functional groups/molecules out of solid surface, spacer arms with different lengths are needed to design and construct by using a series 255 of chemical reactions (Note S3 and S4). We adopt a rationally-designed signal readout 256

257 method (selected signal after buffer washing, Note S6) to avoid the signal disturbance
258 caused by the difference of solution RI between environmental samples.

Quantification of Hg<sup>2+</sup> via Using Nucleic Acid. To test the capability of our SPR 259 system for detection of a broad range of analytical targets by adopting different 260 recognition materials, we first displayed the detection of Hg<sup>2+</sup> using a Hg<sup>2+</sup>-specific 261 nucleic acid sequence based on the direct binding strategy. Hg<sup>2+</sup> is a well-known toxic 262 heavy metal, the detection of which is crucial for human health and ecological safety 263 protection. Ono and Togashi first reported that Hg<sup>2+</sup> ions can specifically and sensitively 264 interact with two thymines for formation of a stable T-Hg<sup>2+</sup>-T structure,<sup>21</sup> which has 265 been widely exploited to construct various Hg<sup>2+</sup>-specific biosensing techniques. As 266 shown in Figure 3a, the Hg<sup>2+</sup>-specific nucleic acid sequence introducing sulfydryl 267 group on the 5'-end with six methyl groups as the spacer arm (SH-C<sub>6</sub>-CCC CCC TTC 268 TTT CTT CCC CCC CCT TGT TTG TT) was immobilized on the SPR chip by 269 the introduction of Au-S bond (Note S5). The presence of  $Hg^{2+}$  caused the T-T 270 mismatch, promoting the conformation change of the sequence to form the hairpin 271 structure. The Hg<sup>2+</sup>-dependent hairpin structure changed the RI very close to the gold 272 film, which was accurately captured by our SPR system. The real-time SPR signal 273 responses upon the addition of the target Hg<sup>2+</sup> at different concentrations showed that 274 the signal gradually increased and reached the platform with the increased Hg<sup>2+</sup> 275 concentration (Figure 3b). We fitted the calibration curve of signal response versus 276 target concentration by using a four-parameter logistic model (Figure 3c). The relative 277 278 standard deviations (RSDs) of triple measurement in parallel ranged from 4.7% to 9.6%,

showing satisfactory stability. The linear region, defined as 20%–80% inhibition, was 279 observed in the range of  $2.0 \times 10^3$  to  $6.1 \times 10^7$  ng/L. According to the rule of 90% 280 inhibition, the limit of detection (LOD) for Hg<sup>2+</sup> approached 70 ng/L with an RSD of 281 6.9% (n=3). To further demonstrate the analytical performance of our device, we 282 compared it with most previously reported Hg<sup>2+</sup> biosensor based on the similar T-T 283 mismatch strategy (Table S1). Our SPR system outperforms other biosensors in terms 284 of LOD and linear range, confirming the enhanced sensitivity of intensity-modulated 285 SPR sensing by using common-path laser heterodyne feedback. 286

To assess the selectivity of this method, the interference effects of seven metal ions 287 commonly coexisted in matrix, including Ca<sup>2+</sup>, Cd<sup>2+</sup>, Cu<sup>2+</sup>, Zn<sup>2+</sup>, Pb<sup>2+</sup>, Mg<sup>2+</sup>, Ni<sup>2+</sup> and 288 their mixture on the signal response of this Hg<sup>2+</sup>-specific nucleic acid-based biosensor 289 290 were investigated under the same condition (Figure 3d). In these experiments, all metal ions were spiked at a final concentration of  $1.5 \times 10^3$  nM, i.e., EC<sub>50</sub>, approximately the 291 inflection of standard curve reflecting approximately 50% of the maximum possible 292 response for the target (Figure 3c). Except for Hg<sup>2+</sup>, we observed that the signals of 293 other eight interfering ions were indistinguishable from the blank group, indicating that 294 the good selectivity of the SPR biosensor. On the contrary, the signals in response to 295 Hg<sup>2+</sup> at both concentrations of  $1.0 \times 10^5$  and  $1.0 \times 10^6$  ng/L were negligible if the SPR chip 296 was not functionalized with Hg<sup>2+</sup>-specific nucleic acid sequences (Figure S3). 297

In addition, the intra-assays (five groups synthesized in the same batch) and inter-assays (synthesized in five batches) of the as-prepared Hg<sup>2+</sup>-specific nucleic acid functionalized SPR chip were evaluated at the Hg<sup>2+</sup> concentration of  $1.5 \times 10^3$  nM. The RSDs were 5.45% and 8.08% for intra- and inter-assays, respectively (Figure 3e),
indicating the good reproducibility and batch-to-batch precision of the gold surface
chemistry for nucleic acid functionalization.

We evaluated the analytical performance of biosensor for  $Hg^{2+}$  in different matrix, including tap and bottled water. The average recoveries were in the range of 95% to 112% with the acceptable RSDs within 10% in three concentration levels-spiked samples (**Table S2**), suggesting that this technique exhibited satisfactory accuracy for Hg<sup>2+</sup> detection in water matrix.





Figure 3 Performance evaluation of  $Hg^{2+}$ -specific nucleic acid-based biosensor. (a) Schematic diagram of sensing mechanism for  $Hg^{2+}$ ; (b) Signal responses in real-time with various  $Hg^{2+}$  concentrations from 10<sup>1</sup> to 10<sup>11</sup> ng/L (adding the  $Hg^{2+}$  from low to high concentrations); (c) Standard curve for  $Hg^{2+}$  detection fitted with a four-parameter logistic model. Relative voltage means the ratio of the voltage of different  $Hg^{2+}$ concentrations to the fitted maximum voltage in the four-parameter logistic model; (d)

Selectivity of  $Hg^{2+}$  detection against other interfering metal ions at the same concentrations of  $1.5 \times 10^3$  nM. The blank group was the biosensor signal responding to phosphate buffered saline solution; (e) Batch-to-batch intra-assays precision and interassays reproducibility of this biosensor.

Broad-spectrum Immunoassay for Microcystins. We further tested the capability of 320 321 our SPR system to variant-independently detect MCs based on a self-produced groupspecific monoclonal antibody presenting both broad-spectrum recognition capabilities 322 and high affinities against MCs.<sup>22</sup> MCs are a group of cyclic heptapeptide cyanotoxins 323 with more than 150 variants, being of high toxicity and broad distribution due to the 324 325 intensified algal blooming events in lakes, and their common routes of exposure include ingestion of contaminated drinking water, food, and algal feed supplements. 326 Considering that the low-molecular-weight MCs targets are hard with different epitopes 327 for the commonly used sandwich immunoassay, we adopted the indirect competitive 328 329 mode for MCs detection (Figure 4a), in which we prepared the BSA-microcystin-LR (BSA-MC-LR) conjugates (Note S3) and formed SAMs on the SPR surface via the Au-330 S bond by introducing a bifunctional crosslinker 11-MUA (Note S5). The samples 331 containing MCs were preincubated with the MCs-specific antibody solution to generate 332 the antibody-antigen interaction, and then fed into the sensing unit, so that the unreacted 333 antibody interacted with the immobilized MC-LR on the chip surface, resulting in the 334 changes of RI at the interface. The higher concentrations of MCs in samples would 335 induce the smaller RI change, hence exhibiting a typical "turn-off" sensing mode. 336

337 The antibody concentration is a critical factor affecting the analytical performance of

indirect competitive immunoassay. We tested the effect of various concentrations of 338 339 antibody in the range of 0.01 to 10  $\mu$ g/mL on the signal response and found that the signals increased with the increased antibody concentrations (Figure S4). The signals 340 at different antibody concentrations fitted by the four-parameter logistic model 341 demonstrated that the antibody concentrations ranging from 1 to 10 µg/mL represented 342 a sensitive linear region with proportional signal change. To save testing cost,  $1.0 \,\mu\text{g/mL}$ 343 antibody was selected as the optimal one for use. As a proof-of-concept, the real-time 344 gradient signal responses towards MC-LR, one variant of MCs, at various 345 concentrations ranging from  $10^{-1}$  to  $10^{5}$  ng/L were recorded (Figure 4b), and the 346 347 corresponding standard curve was fitted by the logistic model (Figure 4c), achieving a LOD of 3.9 ng/L with a linear region from 13 to 1010 ng/L. The ultralow limit detection 348 is nearly 3 orders of magnitude lower than the defined maximal total MCs in drinking 349 water, i.e., 1000 ng/L set by the World Health Organization (WHO).<sup>23</sup> In addition, our 350 biosensor was nearly 2 orders of magnitude sensitive than some commercialized 351 detection techniques such as ENZO MCs enzyme-linked immunosorbent assay (ELISA) 352 kit (LOD up to  $100 \text{ ng/L})^{24}$  with simple and time-saving operating procedures. 353

To assess the broad-spectrum specificity of this biosensor towards MCs, we tested the signal responses of five MC variants, including MC-LR, microcystin-YR (MC-YR), microcystin-LY (MC-LY), microcystin-WR (MC-WR), and microcystin-LA (MC-LA) at 0.1 nM, i.e.,  $EC_{50}$  in **Figure 4c**, to see the slightest signal difference in the most sensitive range. Besides, considering that the Adda residue of MCs containing benzene ring is the likely antigenic epitope, hence contributing greatly to the orientation in the

binding interaction with the group-specific antibody,<sup>22</sup> we also tested the selectivity of 360 this biosensor toward 2,4-dichlorophenoxyacetic acid (2,4-D) and bisphenol A (BPA), 361 both of that contain benzene rings. As expected, all five MC variants showed lower 362 signal responses than that of the blank group due to the "turn-off" sensing mode (Figure 363 4d). However, the presence of neither BPA nor 2,4-D produced obvious signal change 364 compared with the blank group, indicating that our biosensor was highly selective for 365 MCs. Besides, the gold surface chemistry for protein functionalization also exhibited 366 good reproducibility and batch-to-batch precision within 6.2% of RSDs (Figure S5). 367 We measured the lake water samples spiked with  $1.0 \times 10^3$ ,  $1.0 \times 10^4$  and  $1.0 \times 10^5$  ng/L of 368 MC-LR, respectively, by using the biosensor and the ELISA method recommended by 369 the U.S. Environmental Protection Agency. We observed that the average recoveries 370 ranged from 89% to 118% with acceptable RSDs less than 10% (n=5) measured by the 371 biosensor (Table S3), and both results showed the positive correlation with a strong 372 linear relationship ( $r^2=0.997$ ) (Figure 4e). 373



Figure 4 Performance evaluation of MCs-specific antibody-based biosensor. (a) 375 Schematic diagram of sensing mechanism for MCs; (b) Signal responses in real-time 376 with various MCs concentrations from 10<sup>-1</sup> to 10<sup>5</sup> ng/L (adding the MCs from high to 377 low concentrations); (c) Standard curve for MC-LR detection fitted by a four-parameter 378 logistic model as a proof-of-concept. Relative voltage means the ratio of the voltage 379 380 with different MC-LR concentrations to the voltage without the addition of MC-LR; (d) Signal responses towards MC variants and other compounds at the same concentrations 381 of 0.1 nM. The signal response to phosphate buffered saline solution was defined as the 382 blank group; (e) Correlation analysis of the detection results between our biosensor and 383 384 the standard ELISA method for the spiked MC-LR in lake waters.

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385 Screening of Estrogenic Binding Activity in WWTP. Lastly, we evaluated the 386 capability of our SPR system for screening natural and environmental estrogens by 387 using a nuclear hormone receptor. Natural estrogens have long been known to be the 388 major sex steroid hormones regulating the development and function of reproductive

organs as well as other functions. Environmental estrogens can mimick the action of the 389 390 natural estrogens or disturb the signal transduction pathways of estrogens, hence producing the deformity of reproductive system. The affinity interaction between 391 estrogens and nuclear estrogen receptors (nERs) is recognized as one of the molecular 392 initiative events of estrogen signal transduction pathways.<sup>3, 25</sup> Inspired by this affinity 393 interaction, we designed an indirect competitive-binding assay for screening of 394 estrogenic binding activity (Figure 5a). As similar as in Figure 4a, we prepared the 395 BSA-17 $\beta$ -estradiol (BSA-E<sub>2</sub>) conjugates (Note S4) and functionalized the conjugates 396 on the chip surface (Note S5). The sample containing estrogenic binding activity was 397 398 preincubated with the recombined human estrogen receptor  $\alpha$  ligand binding domain 399 (hERα-LBD), which was described in our previous work.<sup>26</sup> The unbound hERα-LBD fed into the sensing unit was then interacted with the immobilized  $E_2$  on the chip surface. 400 And the hERα-LBD induced RI change on the chip surface was accurately captured by 401 the SPR system in a "turn-off" sensing mode. 402

403 After optimizing the concentrations of hERa-LBD which are critical factors influencing 404 the analytical performance (Figure S6), 2.5 µg/mL hERa-LBD were selected considering both sensitivity and testing cost. We took  $E_2$  as the representative estrogen 405 and recorded the real-time SPR signal responses at various concentrations ranging from 406  $10^{-1}$  to  $10^{5}$  ng/L (Figure 5b), and fitted the standard curve (Figure 5c). The LOD for E<sub>2</sub> 407 reached 0.7 ng/L with a linear range from 4 to 967 ng/L. We compared the LOD of this 408 method with that of a variety of estrogen receptor-based techniques applied for 409 410 screening of estrogenic binding activity (Figure 5d). Our biosensor outperformed others

using different transducers such as piezoelectric,<sup>27</sup> fluorescent,<sup>28</sup> nonisotopic,<sup>29</sup> SPR,<sup>30</sup> 411 the standard ELISA method,<sup>31</sup> and commercial estrogenic binding activities kit.<sup>32</sup> 412 Compared with many cell-based in vitro assays based on the same binding mechanism 413 between estrogens and nERs, such as ER-CALUX<sup>®</sup>, <sup>33</sup> E-Screen assay, <sup>34</sup> and the Yeast 414 Estrogen Screen (YES) assay,<sup>35</sup> our estrogen receptor-based SPR biosensor not only has 415 obvious sensitivity advantage, but also is cell-free and unaffected by the cytotoxic 416 substances in matrices, especially in environmental samples, hence endowing with 417 satisfactory accuracy and stability. 418

In order to validate the practicability of the developed SPR biosensor, the wastewater 419 420 samples collected from a WWTP in Tsinghua Campus along treatment processes were tested (Figure S7). The wastewater samples, including influent samples and effluent 421 samples, was divided into two groups. One group was directly measured by the 422 developed biosensor after simple filtration, and the other group was enriched by solid-423 phase extraction (SPE) method and then were diluted to the initial concentration with 424 binding buffer before detection. The estrogenic binding activity of wastewater samples 425 was characterized using  $E_2$ -binding activity equivalent ( $E_2$ -BAE). As shown in Figure 426 5e, the influent and effluent samples were 35-128 and 0-9.8 ng/L E<sub>2</sub>-BAE, respectively, 427 which was similar to the previously reported results from WWTPs in China, European, 428 and America.<sup>36-38</sup> The estrogenic binding activities of influent samples were 429 significantly lower than that of effluent samples, which could be attributed to the high 430 removal efficiency of estrogen-active compounds during biodegradation process, such 431 as 89% E<sub>2</sub>.<sup>39</sup> In addition, the estrogenic binding activities of wastewater samples without 432

SPE were slightly higher than those with SPE, which was easy to understand that there 433 may be some loss during the extraction and elution process. And the two results 434 435 exhibited a good linear relationship (broken lines in Figure 5e), illustrating that the developed SPR biosensor could accurately and reliably detect estrogenic binding 436 437 activity in complex wastewater samples without the need for enrichment. We attribute this great advantage to the ultrasensitivity and the rationally-designed signal readout 438 method of our SPR system, which can well resist the interference of matrix effect. 439 Therefore, the SPR biosensor has satisfactory performance for screening of estrogenic 440 binding activities in real samples. 441



442

Figure 5 Performance evaluation of estrogen-specific receptor-based biosensor. (a) Schematic diagram of sensing mechanism for estrogens; (b) Signal responses in realtime with various concentrations of  $E_2$  from  $10^{-1}$  to  $10^5$  ng/L (adding the  $E_2$  from high to low concentrations); (c) Standard curve for estrogenic binding activity detection fitted by a four-parameter logistic model by taking  $E_2$  as a proof. Relative voltage means the ratio of the voltage with different  $E_2$  concentrations to the voltage without the addition

of  $E_2$ ; (d) Comparison of LOD of our SPR biosensor with other estrogen receptor-based biosensors. The numbers represent the corresponding references in the article; (e) Estrogenic binding activities assay of wastewater samples from the WWTP with and without SPE detected by the biosensor. 1–7 represent samples for 7 days within a week. The  $E_2$ -BAE of the influent samples corresponding to the blue scale (left) and that of the effluent samples corresponding to the red scale (right).

# 455 ENVIRONMENTAL IMPLICATIONS

This work proposed a polarized light-compensated SPR system with laser heterodyne 456 feedback, resulting in nearly 3 orders of magnitude enhancement of sensitivity over the 457 458 original SPR system. It utilizes the laser source acting as the emitter, responder, and intrinsic amplifier simultaneously, rarely need optical alignment, and reduces the optical 459 components required, providing a solid research foundation for the development of 460 portable and efficient optical detection devices. In addition, a common-path 461 compensation approach combines the orthogonally-polarized light with frequency 462 multiplexing to resist the interference from environmental noise, which is expected to 463 meet the requirements of on-site inspection. 464

Based on different recognition materials, the SPR system could achieve the ultrasensitive detection of a variety of analytical targets, from a single small molecule, to a group of chemicals with general structure and their isomers, and to a class of effecttargeting endocrine disrupting activity. Compared with our previous evanescent wave fluorescence biosensor,<sup>28</sup> the ultrasensitivity and rationally-designed signal readout method of this SPR system can effectively avoid the interference of matrix effect in 471 complex environmental samples, thus greatly reducing or even avoiding the time 472 consumed by sample concentration, extraction, and enrichment to achieve rapid 473 detection. As a stable and reliable SPR biosensor with ultrasensitivity and universality, 474 it holds promise to revolutionize environmental monitoring, medical diagnostics, and 475 food safety, and provides methodological support for safeguarding environmental and 476 public health.

## 477 ASSOCIATED CONTENT

# 478 Supporting Information

479 The Supporting Information is available free of charge at
480 https://pubs.acs.org/doi/10.1021/acs.est.xxxxxx.

481 Detailed information on materials and reagents, transfer matrix method, synthesis 482 procedures of BSA–MC-LR and BSA– $E_2$  conjugates, gold surface chemistry, 483 quantification procedures, and sample collection and pretreatment are provided in the 484 **Supporting Information**.

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