Looking into surface plasmon polaritons guided by the acoustic metamaterials

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10 Abstract: Acoustic metamaterials are introduced as the structures with the alternating elements 11 possessing effective properties that can be tuned seeking for the dramatic control on wave 12 propagation. Homogenization of the structure under consideration is needed aiming to calculate 13 permittivity of metamaterial. We present theoretical outcomes studying an acoustic composite 14 possessing negative effective parameters in the acoustic frequency range. An acoustic metamaterial 15 with an the alternating nanowires arranged in a building block and embedded in a host material 16 was investigated. Propagation of surface plasmon polaritons at the metamaterial interface was 17 predicted.

- 18 **Keywords:** surface plasmon polaritons; acoustic; metamaterial
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20 1. Introduction

21 Acoustic metamaterials give rise to novel properties of the propagation of mechanical waves. 22 The former are impossible in case of conventional materials. Recently, acoustic artificial structured 23 materials possessing properties not found previously and opening the wide avenues for the diverse 24 potential applications with remarkable functionalities [1-4] have been widely studied. Aiming to 25 have a deeper insight into the properties of the structure under investigation the geometric 26 parameters along with the effective permittivity can be tailored according to the elastic features of 27 the scatterers. These might include either the medium preference [5, 6], or an some external factors, 28 i.e. either electric field [7] or temperature [8].

Aiming to achieve propagation of acoustic waves in metamaterials, both density and stiffness should be negative. Recently, scientists made several suggestions and experiments aiming to attain negative effective parameters for acoustic metamaterials. To do so, different procedures, for instance, implanting soft inclusions in fluids [9], using Helmholtz resonators [10] and pipe-membrane compounds [11] have been employed.

34 The goal of this study is to demonstrate possibilities to construct a metamaterial exhibiting 35 negative effective parameters and show that acoustic waves can be characterized by unusual 36 behavior. Aiming to achieve this goal we have chosen the structures that are composites of a 37 hexagonal array of metal nanowires in a dielectric medium. An indefinite medium with a metallic 38 nanowire array embedded in a dielectric matrix is not affected by the magnetic resonance and 39 operates over a broad range of frequency with much lower material loss [12]. Such an anisotropic 40 material possesses a negative electric permittivity along the nanowires and a positive permittivity 41 perpendicular to the wires, i.e. indefinite permittivity, resulting in a hyperbolic dispersion. A great 42 number of existing effective-medium theories [13] are limited to the optical response of nanowires 43 that are isotropically distributed in the host material. The predicted response of these systems is not 44 influenced by nanowire distribution and is characterized by the nanowire concentration only. These 45 existing techniques are therefore not applicable for practical composites where the geometry is 46 anisotropic due to fabrication process or as a result of a controlled mechanical deformation. Herein, 47 we deal with a practical homogenized acoustic metamaterial for surface plasmon polariton guiding.

48 It should be mentioned, that the hyperbolic structures under investigation have already attracted 49 interest before [14, 15]. Similar approaches have already been conducted for the optical frequency 50 ranges [16-18]. However, to the best of our knowledge the enhanced structure based on the 51 hexagonal distribution of the nanowires has not been used for acoustic SPP propagation before.

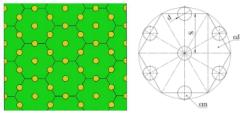
52 2. Homogenization of periodic phononic crystals

53 2.1 Mathematical model

54 A metamaterial structure under investigation is comprised of the building blocks composed of 55 periodically arranged metallic cylinders made either of silver or gold implanted in a dielectric. These 56 metals are chosen as the metallic nanowire materials for their lowest loss at the investigated 57 frequency range. Figure 1 is as schematical illustration of the nanowire metamaterial. Figure 1

58 presents the anticipated geometrical drawing of the nanowire structures. Nanowires are implanted

59 in a host material.



- Fig. 1. Schematic illustration of the nanowire composite (a); metamaterial unit cell (b)
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Nanowire filling fraction is described as

$$f = \frac{nanowire \ area}{unit \ cell \ area} \tag{1}$$

65 The nanowire filling ratio is calculated on the basis of the evaluation of the nanowire diameter 66 (d) and spacing (S). It is assumed that the structure under consideration possesses a perfect hexagonal distribution. Doing so, we employ the equation as follows [18]: 67

 $f = \frac{\pi d^2}{2\sqrt{3}S^2}$ 68 (2)

69 By proceeding further with this assumption one may obtain a dispersion equation to 70 characterize the modes propagating at the acoustic metamaterial interface.

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72 2.2 Effective constitutive parameters

73 Herein, we study a composite containing rods with a perfect hexagonal distribution embedded 74 in a fluid host. Nanowires are characterized by a round cylindrical shape and are made of elastic 75 materials. Host material supports propagation of acoustic waves. It is worthwhile mentioning, that 76 in this case wave number is perpendicular to the generatrix of the rods. A composite under 77 consideration is quasi-isotropic. Doing so, geometrical dimensions of the inclusions needed to form 78 the building blocks [19] are much smaller than the wavelength. We have made an assumption that 79 all cylinders are identical. Moreover, they are distributed in a random order. It is worthwhile 80 mentioning that distance between them is approximately equal. Our goal is to calculate the values of 81 the effective parameters of a composite at a frequency range under consideration. Dispersion of the 82 supported acoustic wave is also of particular interest.

83 Sonic or phononic crystals have provided a fertile ground for dealing with the composites for 84 guiding acoustic or elastic waves. It is worthwhile noting, that in the low-frequency limit, an 85 anisotropic compound exhibits behavior of a homogeneous composite described by outstanding 86 effective features. The plane wave expansion method stands for as an alternative approach to 87 multiple scattering aiming to perform homogenization of highly anisotropic medium consisting of 88 periodic building blocks. The former methodology was for the first time considered by Krokhin [20] 89 for sonic crystals and generalized for non-local phononic crystals in [21].

90 The system can be efficiently treated as a homogeneous uniaxial anisotropic material with a

density parallel to wires (ρ_{\parallel}) and a density vertical to wires (ρ_{\perp}) [22, 23] if the wavelength of 91 92 supported wave is much longer than the period of the array containing distributed nanorods. The

93 derived expressions can be used in the low-frequency limit to get the effective parameters as follows

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$$\rho_{\parallel} = (1-f)\rho_d + f\rho_m$$
 (3)
 $\rho_{\perp} = \rho_d \left[\frac{\rho_m (1+f) + \rho_d (1-f)}{\rho_m (1-f) + \rho_d (1+f)} \right]$

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96 In a conventional case of a two-dimensional distribution of nanowire enclosures (parameters 97 labeled with "m") in a fluid background (parameters labeled "d"), f is the filling ratio of the crystal 98 calculated by dividing area of the enclosures by the area of the unit cells. Aiming to modify the 99 frequency range under consideration, one may apply the effective-medium theory designed for the 100 specific frequency range [24].

(4)

(7)

101 It is possible to drastically alter the parameters under investigation by the dispersive 102 corrections. For instance, the resonant Lorentz-type dispersion of the density [25] might be 103 considered:

 $\rho(\omega) = \rho_0 \omega_0^2 / \left(\omega_0^2 - \omega^2\right)$ 104 (5)

105 Herein, $\rho_0 > 0$ is a constant, and ω_0 is the resonant frequency of microresonators in the 106 compound.

107 Some important relationship between the permittivity and the bulk density of the air-particle 108 mixture is needed if the dielectric features, of granular or powdered solid materials are taken into 109 consideration. Fundamentally, linearly dependent functions of the real and imaginary parts of the 110 complex permittivity characterizing the specific materials such as pulverized coal, wheat, and whole-wheat flour and their bulk densities has already been found before [26, 27]. The former 111 formalism is based on earlier studies. The linearity of $\sqrt{\varepsilon'}$ was observed by Klein in [28]. Quadratic 112 nature of ε' and ε'' was found by Kent in [29] as follows: 113

- $\varepsilon' = a\rho^2 + b\rho + 1$ 114 (6)
- $\varepsilon'' = c\rho^2 + d\rho$ 115

Herein, ρ characterizes the density of the air-particle mixture, *a*, *b*, *c* and *d* are constant values 116 for a given particular material. It is worthwhile mentioning, that ε' and ε'' have values of 1 and 0, 117 respectively, for air alone ($\rho = 0$). 118

119 3. Surface acoustic wave

120 It is worthwhile mentioning, that surface waves can not propagate at the boundary between 121 two ideal fluids. The main reason lies in the fact of impossibility to satisfy boundary conditions in 122 this case. Boundary states can be excited [30] if one of the materials possesses a parameter with 123 negative values. The former provides a fertile ground for a direct analogy to surface plasmon states 124 in plasma with $\varepsilon < 0$ [31].

125 Herein, we investigate a wave supported at the boundary separating a pure host fluid and a 126 fluid containing nanowires implanted in a host material. The dispersion relation aiming to have a 127 deeper insight into the properties of a surface wave is calculated as follows:

$$\beta = k \left(\frac{\left(1 - \varepsilon_{\parallel}\right) \varepsilon_{\perp}}{1 - \varepsilon_{\perp} \varepsilon_{\parallel}} \right)^{1/2}, \qquad (8)$$

128

129 where k – is the wavenumber.

130 **4. Results**

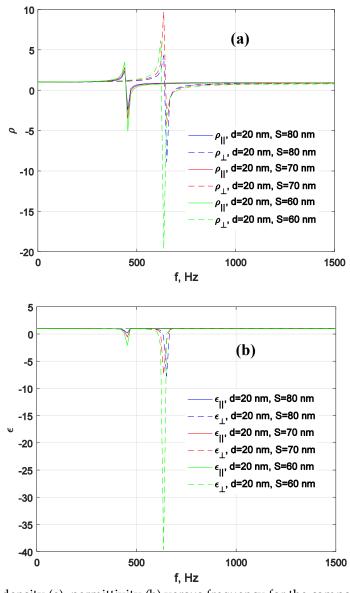
131 In the model employed for calculations the nanowires are considered to be conventional 132 cylinders. Host material is air. Calculations in case of a bulk wave in the composite are presented in 133 Figure 2. At low frequencies effective constitutive parameters have a tendency to approach "classic" 134 values for composites [32]. However, at frequencies close to resonances inside the inclusions the 135 values of dynamical effective parameters are significantly altered.

Fig. 2(a) demonstrates frequency dependence of the dynamical effective density. Because of the complex shear and longitudinal field distribution inside inclusion, resonances of different nature related to shear waves and longitudinal waves might be observed. Thus, there is a significant amount of the frequency ranges where the real part of the dynamical effective density is negative. In

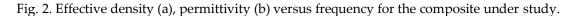
140 Fig. 2(b) permittivity versus frequency is depicted. It is worthwhile mentioning, that dimensions of

141 the nanowires along with the distances between them have been chosen in order to meet the

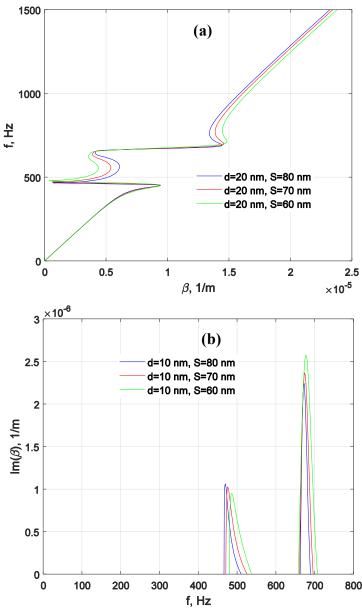
142 manufacturing requirements [33].







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Fig. 3. The dispersion pattern of modes of surface plasmon polaritons supported by a structure under consideration demonstrated in Fig. 1: (a) $Re(\beta)$; (b) $Im(\beta)$.

In what follows (Fig. 3), we have studied acoustic surface waves of the Rayleigh type, i. e. surface waves supported by the surface of a semi-infinite elastic metamaterial in vacuum. It is worthwhile mentioning, that the frequency for SPP propagation is determined by negative permittivity. As expected, there exists a common surface waves gap; in addition, when approaching asymptotic frequency from the low frequency direction, all the dispersion curves become very flat and asymptotically reach infinity, exhibiting behavior very similar to that of EM surface plasmon polaritons.

157 5. Conclusions

Herein, effective dynamic density of composite consisting of nanowires embedded in a host material in terms of coherent potential approximations is calculated. It is demonstrated that there are frequency regions in which dynamic constitutive parameters are instantaneously negative. In this relation, the wave under consideration becomes backward.

162 Dispersion pattern of the acoustic wave propagating at the boundary separating metamaterial 163 and conventional medium is studied. It is demonstrated that there are frequency ranges in which the

- 164 surface states are bounded to the interface. Moreover, the exotic behavior of surface plasmon 165 polaritons has been investigated.
- 166 In summary, we presented modelling of the acoustic metamaterial. The wide spectral width of 167 the present research for acoustic double negative metamaterials is expected. Moreover, anticipated 168 applications including acoustic superlensing and cloaking [34-37] might be possible.
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- 174 Availability of data and material: The data that support the findings of this study are available from
- 175 the corresponding author upon reasonable request.
- 176 **Code availability:** All simulation parameters of this study are included in this manuscript.
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- 178 formal analysis, software, visualization, writing-original draft preparation, writing-review and
- 179 editing, T.I.; T.G.; E.R.; supervision and revision of the manuscript, validation, T.G.; E.R. All authors
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