Presbyopia and the aging eye: existing refractive approaches and their potential impact on dry eye signs and symptoms.

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1 ABSTRACT

2 Every part of the human body is subject to aging, including the eye. An increased 3 prevalence of dry eye disease with age is widely acknowledged. Aging threatens 4 ocular surface homeostasis, altering the normal functioning of the lacrimal 5 functional unit and potentially leading to signs and symptoms of dry eye. 6 Additional age-related processes take place within the crystalline lens, leading to 7 presbyopia and cataractogenesis. Correction strategies for presbyopia and cataracts may directly or indirectly challenge the ocular surface. Contact lenses 8 9 disturb the normal structure of the tear film and can interact negatively with the 10 ocular surface, further deteriorating an already unbalanced tear film in 11 presbyopes, however, newer contact lens designs can overcome some of these 12 issues. Moreover, cataract and corneal refractive surgeries sever corneal nerves 13 and disrupt the corneal epithelium and ocular surface, which can influence 14 surgical outcomes and aggravate dryness symptoms in older age groups. This 15 review summarises the current understanding of how the invasive nature of 16 contact lens wear and cataract and refractive surgery influence signs and 17 symptoms of ocular dryness in an aging population.

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19 1. INTRODUCTION

The ability of the ocular surface to respond adequately to environmental challenges depends on the appropriate detection of sensations; this involves the transmission of the stimulated signal to the brain and the generation of a response, that modulates secretory function¹ and local immunity^{1,2}. Any disturbance to one of the three steps of this closed loop could trigger an inappropriate response and alter the compensatory mechanisms taking place at the ocular surface.

The lacrimal functional unit (LFU) is a set of anatomical structures, whose 27 28 harmonious functioning maintains tear film (TF) osmolarity within narrow limits². 29 The LFU is composed of: the lacrimal glands (LG), meibomian glands (MGs), the 30 ocular surface (cornea and conjunctiva) and the nerves that connect them³. Likewise, the precorneal TF behaves as a single dynamic functional unit with 31 32 different compartments. Tear dysfunction, more common with ageing, results 33 from degenerative or pathologic processes of one or more components of the 34 LFU, potentially leading to signs and symptoms of dry eye disease (DED).⁴

Every part of the human body is subject to aging and the LFU is no exception: 35 LG, the eyelid area, MGs and conjunctiva are affected in terms of their structure 36 and function over the life span⁵⁻⁹. Increasing age challenges ocular surface 37 38 homeostasis by inducing drastic changes to the LFU: the LG undergoes 39 histologic changes leading to pathological processes (for example a decrease in mass, atrophy of lacrimal ducts and acini, lymphocyte infiltration) and to a 40 41 diminution in lacrimal secretion^{5,6}. Furthermore, eyelids also undergo age-related 42 changes that could promote signs and symptoms of dryness among which are: 43 increased lid laxity⁷ and MGs atrophy⁸. Conjunctivochalasis, another age-related

disorder, is characterized by the presence of folds on the conjunctiva⁹ which are
known to impact tear meniscus distribution along the eyelid and thus tear
meniscus parameters¹⁰, and could play a role in DED onset and perpetuation.

According to the Report of the Tear Film and Ocular Surface (TFOS)¹¹, an increased prevalence of DED with age is widely acknowledged^{12,13}. Based on estimates of the number of people over 60 years of age (2 billion people by the year 2050)¹⁴ and an approximate prevalence of 25% for the disease, 500 million people will suffer from dry eye globally just in this age group¹⁵. Hence the burden to society will be immense.

53 Over and above the age-related changes already mentioned taking place in the 54 LFU, two additional visual impairing processes take place within the eye's 55 crystalline lens, leading to presbyopia and cataractogenesis respectively.

56 With age, the crystalline lens progressively loses its ability to change shape, and the eye's focusing range reaches a point were near vision is insufficient to satisfy 57 58 an individual's requirements¹⁶. Symptoms of presbyopia appear around 45 years of age¹⁷, although other elements may influence its onset and progression (such 59 as pupil size, disease, medications and trauma)¹⁸. Specifically, presbyopia 60 affected 1.3 billion people worldwide in 2011¹⁹, and up to 2 billion people in 61 2012²⁰. In this regard, with increasing life expectancies, this trend is expected to 62 63 keep on rising²¹.

Additionally, according to the World Health Organization (WHO), cataract is the leading cause of blindness²² and the consequent loss of useful vision is expected to affect 16 million people worldwide²³. Cataractogenesis encompasses a broad spectrum of changes regarding biochemical processes taking place in the

crystalline lens leading to an alteration in water balance, proteins, vitamins and
enzymes, being responsible for a progressive loss of lens transparency²⁴. In this
respect, aging is by far the major risk factor for its onset^{22,23}.

Nowadays, various refractive means exist to correct presbyopia. In this context, contact lenses (CLs) with different optical profiles (monovision, alternating images, simultaneous images) can be used for the purpose. However, once inserted onto the ocular surface, CLs disturb the normal structure of the TF. Refractive surgery is another option available, but due to its potential to sever corneal nerves and disrupt the corneal epithelium, tends to disrupt the ocular surface and worsen or induce signs and symptoms of dryness.

CLs and corneal refractive strategies along with cataract surgery directly or indirectly interact with the ocular surface, threatening its homeostasis. These interactions are particularly relevant for the aging eye, when degenerative processes occurring in the LFU may potentially lead to tear dysfunction. In this regard, it is relevant for the clinician to understand the potential ocular surface and dryness-related outcomes of each refractive correction or procedure in older adults.

Accordingly, this review summarises the current understanding of how the
invasive nature of contact lens wear and cataract and refractive surgery influence
signs and symptoms of ocular dryness in an aging population.

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89 2. CORNEAL INNERVATION AND PHYSIOLOGICAL ROLE

Nerve fibers enter the cornea in the middle third of the stroma and then coursethrough the superior layers forming a plexus in the sub-Bowman's layer that

densely innervates central cornea²⁵. Corneal nerves terminate in the wing cell 92 layer of the epithelium after penetrating Bowman's layer and losing their myelin 93 sheath. These nerves are key to ocular surface homeostasis, constantly adapting 94 the ocular surface response to environmental challenges. Free nerve endings, 95 more precisely the intra epithelial sensory terminals, are excited in response to 96 different stimuli (mechanical forces, cooling and increased osmolarity)²⁶ giving 97 rise to afferent impulses that travel along the ophthalmic branch of the trigeminal 98 99 nerve to the central nervous system²⁷. These allow for the detection of potentially 100 damaging stimuli and the induction of defensive reflexes¹¹ provided by the efferent pathways such as lacrimation, blinking and regulation of different LG 101 102 secretions¹¹.

Furthermore, nerve bundles play an important trophic role for the corneal
epithelium (involved in nutrition processes) and modulate immune responses and
wound healing processes²⁸. The different surgical procedures described later on,
all impact upon corneal tissue (as an entry porthole or as part of the refractive
correction). As such, corneal integrity may be jeopardized, leading to alterations
of the closed loop described above and to DED.

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110 3. EYE SURGERY AND DRY EYE

111 3.1 CATARACT SURGERY

Cataract surgery is the most commonly performed elective surgery with an
estimated 19 million procedures performed worldwide in 2013 - 2014^{29,30}. The
WHO has forecast a significant increase of this surgery by the year 2020

(estimated 32 million procedures a year) as the number of people over 65 is
expected to increase significantly³¹.

Firstly, before any surgical treatment, biometric measurements are required in order to calculate the power of the intraocular lens (IOL) to be implanted. The accuracy of these measurements, and hence the post-surgical refractive outcomes, are influenced by TF quality and stability^{32,33}.

121 Risk factors for dry eye following cataract surgery regardless of the technique 122 used are well known, but the mechanisms through which they induce dry eye are 123 yet to be established. The following risk factors could be related to the disruption 124 of corneal nerves and harm to the epithelium through the surgical procedure: 125 evedrops containing active agents/preservatives affecting the epithelium pre-, peri- and post-surgery^{34,35}; forced opening of the eyelid with the blepharostat 126 prevents normal blinking, thus an even distribution of the TF across the ocular 127 128 surface³⁶; long microscopic light exposure times, which may lead to thermal damage³⁴; repeated irrigation of the ocular surface may impact goblet cell density 129 130 and further impact TF stability³⁴⁻³⁷; and incision location and accuracy, that will 131 be discussed later on in this manuscript. Consistently, studies agree that the 132 surgical procedure increases signs and symptoms of ocular dryness^{34,36}, with 133 neurogenic inflammation and epithelial (corneal and conjunctival) damage 134 induced by the surgery, being the principal factors acting as DED triggers³⁸. 135 Additionally, surgery-induced corneal nerve damage impairs corneal sensitivity¹¹. 136 This further affects blink rate and reflex-induced lacrimal secretion³⁹, which

eventually leads to TF instability and increased osmolarity ³⁹. Tear
hyperosmolarity induces epithelial cell hyperosmolarity leading to the liberation

of pro-inflammatory CKs, inducing cellular apoptosis and corresponding ocularsurface staining.

Previous studies have investigated the pathophysiology of dry eye after cataract surgery^{36,38,40-45} (Table 1). These have demonstrated a significant increase in dry eye signs and symptoms, including worse Ocular Surface Disease Index (OSDI) questionnaire scores^{36,38}, Tear Breakup Time (TBUT)^{36,38,40,43,44}, Schirmer test^{36,38,40}, corneal and conjunctival staining^{38,40}, Tear Meniscus Height (TMH)³⁶, and corneal sensitivity^{41,42,45} until about 2-3 months postoperatively.

147 Nowadays, a newer technique, called Femtosecond Laser Assisted Cataract
148 Surgery (FLACS) can be used⁴⁶ to create the required corneal incisions,

capsulotomy and fragmentation of the lens prior to phacoemulsification. This
technique is far more accurate than mechanical devices and improved safety and
clinical outcomes are expected⁴⁶⁻⁴⁹. One drawback of this technique, however, is
the pressure to which the peri-limbic conjunctiva is subjected by the suction ring,
which has been shown to reduce goblet cell density post-surgery^{50,51}.

154 On the contrary, a former cataract surgery technique, extracapsular cataract 155 extraction, requires a larger incision and is expected to induce more corneal sensitivity loss⁵² and thus induce more signs and symptoms of dryness post-156 surgery⁵³. Similarly, certain types of IOLs such as accommodative⁵⁴ designs 157 158 require a larger incision for insertion. In this sense, reduced incisions lead to a 159 faster corneal sensitivity recovery (within 1-3 months) compared to larger incisions, and only to a focal diminution of corneal sensation.^{44,42} In the same 160 161 way, micro incisional procedures such as phacoemulsification or the insertion of 162 foldable IOLs are expected to induce less hypoesthesia than conventional

techniques^{43,44,52,55}. Additionally, incision shape, depth and regularity clearly
impact post-surgery healing⁴²⁻⁴⁴.

Finally, potential toxicity of antiseptic agents used during the surgical procedure as well as topical multi-dose eyedrops with preservatives seem to play a role in the onset of dry eye signs and symptoms. Benzalkonium chloride (BAK), is one of the most commonly used preservatives in ocular topical drugs and is recognized to induce, apart from goblet cells apoptosis, conjunctival squamous metaplasia, disruption of the corneal epithelium barrier and TF instability amongst others^{35,56}.

172 3.2 CORNEAL REFRACTIVE SURGERY

173 3.2.1 LASER IN-SITU KERATOMILEUSIS

174 Laser in-situ keratomileusis (LASIK) is a surgical procedure in which a corneal flap (around 120-160
m) is created and then reclined (lifted) in order to proceed 175 176 to the stromal ablation. Flap creation was initially performed using blades 177 (microkeratome), but the emergence of newer technologies such as femtosecond 178 lasers⁴⁸ are less invasive, reducing the signs of induced dry eye.³⁴ Once the flap 179 is reclined, ablation is performed and destroys mid stromal nerves. Consequently, 180 LASIK induces damage to the cornea during both the flap creation where the 181 subbasal nerves are cut, and the excimer laser stromal ablation where stromal nerve trunks are destroyed by the laser⁵⁷. Specifically, it is estimated that there 182 is a 90% reduction of central nerve fiber density in the first month following 183 184 surgery⁵⁸ and some studies report that corneal sensitivity does not return to 185 baseline levels until 2-5 years post-surgery⁵⁹.

Consequently, DED is one, if not the most, common adverse effect of
LASIK^{34,60,61}. When performed on DED patients, the LASIK procedure worsens
numerous tear metrics (tear volume⁶², tear stability⁶³⁻⁶⁵, osmolarity^{66,67}) and
staining⁶³. (Table 2). In parallel, ocular symptoms of dryness tend to reach a peak
between one week and three months after surgery, regardless of preexistent dry
eve ^{48,59,64,65,68,70-80}.

192 LASIK monovision is a valuable option for the presbyopic population⁸¹⁻⁸³ and 193 other new multifocal LASIK techniques, such as presbyLASIK, for which the 194 excimer laser produces a multifocal corneal ablation profile, have also been 195 developed⁸⁴. Nonetheless, corneal monovision currently offers the highest 196 'success' rate (reaching 90 % success)⁸⁵.

197 Shoja and Besharati, found a statistically significant effect of age on corneal 198 sensitivity after LASIK⁶⁵; patients developing dry eye after LASIK were 199 significantly more likely to be older in comparison to patients who did not develop 200 dry eye. Kanellopoulus also noted a significant association between age and 201 clinically significant dry eye following LASIK⁸⁶. Similarly, Price et al. in a 202 multivariate model that controlled for dry symptoms at baseline, reported older 203 age as one of the main factors associated with dry eye symptoms 3 years after 204 LASIK⁸⁷. On the contrary, many recent studies discard age as an important risk 205 factor for post-LASIK tear dysfunction or dry eye. For example, Golas and 206 Manche found no statistically significant effect of age on dry eye scores obtained 207 in 51 patients after LASIK⁸⁸. In addition, De Paiva et al. studied 35 adults, aged 24 to 54 years, and found no association between older age and the risk for 208 209 developing postoperative dry eye⁶⁹.

210 Given the major improvements in safety and efficacy of corneal refractive surgery 211 in recent years, the demand for this type of procedure has considerably increased 212 among the presbyopic population.⁸³ Albeit LASIK has shown to be successful in correcting refractive errors in presbyopic patients, studies evaluating outcomes 213 214 of the surgery are still limited and present contradictory results. Nevertheless, the 215 clinician must take into account that given the invasiveness of this technique, due to the flap creation, post-LASIK dry eye will remain a common complication. 216 217 Given that preoperative tear function is thought to play an important role in long-218 term ocular surface integrity after LASIK⁸⁹, tear function should be assessed in detail for older patients considering this refractive surgery. 219

220 3.2.2 PHOTOREFRACTIVE KERATECTOMY

Photorefractive Keratectomy (PRK) is based on removal of the corneal epithelium using an alcohol solution following topical anesthesia (the corneal epithelium is discarded)⁹⁰. The underlying corneal tissue is then reshaped using the excimer laser [more anterior in comparison to LASIK or Laser Assisted Subepithelial Keratectomy (LASEK) procedures]. No flap is created for this procedure.

Recovery takes longer than the LASIK technique, since it takes around a week for epithelial cells to regrow⁹⁰. PRK induces a temporary decrease in subbasal corneal nerve density for up to a year, and complete recovery might take as long as two years⁹¹. In addition, studies report diminished tear secretion⁹²⁻⁹⁴, tear stability^{94,95}, and corneal sensitivity^{96,97} in patients 3 to 6 months post-surgery. (see Table 3).

As for LASIK, PRK may be performed as a presbyopia correction strategy by inducing monovision. In this regard, while part of the recent literature suggests no effects of age on patient-reported dry eye after PRK⁹⁸, other studies advise

that the higher prevalence of DED along with corneal changes seen with
 advancing age may possibly hinder the healing process⁹⁹, affecting the final

237 outcome of the surgery¹⁰⁰.

More studies regarding dry eye after corneal refractive surgery in late adulthood are required. Meanwhile, clinicians should pay particular attention to dry eye signs and symptoms before undertaking PRK in older age groups, as the deteriorating effect of the surgery on the ocular surface may worsen an already unbalanced ocular environment.

243 3.2.3 LASER ASSISTED SUBEPITHELIAL KERATECTOMY

244 The main difference between LASEK and PRK is that the peeled corneal 245 epithelium, called an epithelial flap (which is discarded in the PRK technique), is 246 repositioned after photoablation (the LASIK procedure uses a stromal flap¹⁰¹). 247 Alcohol is used to weaken adhesions between the stroma and epithelium¹⁰². Factors such as alcohol concentration (usually between 18-25%) and exposure 248 249 time play a key role in postoperative healing¹⁰³. Autrata et al. compared 184 eyes of 92 patients between PRK and LASEK with 2 years follow-up.¹⁰⁴ The authors 250 251 concluded that LASEK provided significantly guicker recovery and reduced pain and haze level compared to conventional PRK.¹⁰⁴ (See Table 4). 252

Similar to LASIK and PRK, LASEK may be applied in older age groups to treat
presbyopia using monovision. Increasing age can considerably influence LASEK
postoperative outcomes. For example, age has shown to increase the prevalence
of postoperative complications¹⁰⁸, reduce predictability¹⁰⁹ and increase healing
time¹¹⁰ after LASEK.

To date, no studies have evaluated the effects of LASEK surgery on TF in late adulthood. Based on the results of studies obtained from the general population, lower postoperative dry eye signs and symptoms compared to other corneal ablation techniques are also expected in prebyopes and elderly patients. Besides this, considering the afore mentioned, older age groups may be more susceptible to post-LASEK dry eye related complications.

264 3.2.4 SMALL INCISION LENTICULE EXTRACTION

The advent of lasers in the ophthalmic field to perform corneal refractive surgery has led to the concept of lenticule extraction. Recently, Small Incision Lenticule Extraction (SMILE) has been developed to perform corneal reshaping¹¹¹. This refractive procedure uses a femtosecond laser to create a corneal lenticule that is extracted through a small incision.¹¹¹

270 SMILE no longer requires excimer laser ablation or the creation of a flap, making 271 this technique less invasive than LASIK. The absence of a flap, reduces corneal 272 inflammation and keratocyte damage¹¹² and resulting in less iatrogenic dry 273 eye¹¹³, compared to other corneal refractive strategies, such as LASIK. Denoyer 274 et al. found that 80% of SMILE patients did not use any eye drops 6 months postsurgery compared to 57% in the LASIK group, with 20% of the LASIK group 275 276 requiring daily and frequent use of tear substitutes or even gels⁵⁸. Higher tear 277 osmolarity and lower TBUT, Schirmer score and corneal sensitivity were also 278 observed in the LASIK group (see Table 5). Moreover, according to Li et al., SMILE patients reported less DED symptoms and had higher subbasal nerve 279 280 density three months after surgery in comparison with LASIK patients¹¹⁴.

281 SMILE monovision represents an additional corneal refractive surgical technique 282 for presbyopia correction. This technique has shown to be a safe and effective 283 option, yielding predictable outcomes for treating patients with presbyopia¹¹⁵. 284 While more studies based on late adulthood are needed, the advantages of this 285 technique in relation to tear function, found in the general population, are also 286 expected to benefit older individual's.

Importantly, older patients tend to have a greater stromal response to SMILE and more unpredictable refractive outcomes¹¹⁸. Older age has been identified as a risk factor for residual refractive error following SMILE that requires enhancement procedures (PRK)¹¹⁶, speculated to result from wound healing and biomechanical characteristics in older corneas¹¹⁷. Consequently, as in the previous strategies, the clinician must consider the potentially increased effects of SMILE on the TF and ocular dryness with increasing age.

294 3.2.5 CORNEAL ONLAYS/INLAYS

295 The main advantage of corneal onlays/inlays over the previously described techniques is that no tissue removal is needed¹¹⁹. Corneal onlays/inlays are 296 297 optical devices designed to change corneal curvature or modify its optical properties, either by altering the refractive index to induce bifocal optics or by 298 299 using small aperture optics in order to increase depth of focus¹²⁰. Nowadays, 300 femtosecond laser is widely used as it provides a more dependable flap than a microkeratome¹²¹ and allows for the creation of stromal pockets, improving the 301 accuracy of implantation depth and inlay centration¹²². 302

303 Dry eye after corneal inlay implantation is mainly due to the flap creation which is 304 basically the same technique as for LASIK surgery¹²³. However, since no laser

ablation is applied to the corneal stroma, less deep nerve damage is expected to
occur in comparison with LASIK. In addition, the stromal pocket technique is less
invasive than the flap technique and as such, a reduced incidence of dry eye
post-surgery is expected as well as a shorter recovery period^{124,125}.

Tomita et al. examined the postoperative outcomes of 277 patients after LASIK and small-aperture corneal inlay implantation for hyperopic presbyopia¹²⁶. The authors found no significant effect of age on the rates or severity of subjective symptoms, including dryness. Nevertheless, they underlined that taking age into account might help achieve optimum postoperative outcomes and improved patient satisfaction.¹²⁶

To conclude, the ocular surface should be carefully evaluated, and treated when required, before and after inlay implantation. As pre-existing dry eye is common in the presbyopic population it will likely be exacerbated by the creation of a pocket or a flap. Further studies are needed to assess the long-term outcomes of the lamellar cut and tunnel incision performed for the refractive inlay and small aperture optics implants¹²⁷ on dry eye signs and symptoms.

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322 4. CONTACT LENSES

Various CL options for presbyopic correction are available on the market: including single vision (combination of distance correction CLs and reading glasses), monovision, bifocal designs and multifocal designs¹²⁸. However, not every CL wearer is able to achieve acceptable comfort and vision during CL wear and this can eventually lead to discontinuation and dropout; CL Discomfort (CLD) (24%) and dryness (20%) being the primary reasons of discontinuation¹²⁹⁻¹³¹. In

this regard, the TFOS International Workshop on CLD has extensively reviewed
the problem of CLD and associated dryness.¹³² According to recent findings, the
mechanisms involved in CLD seem to share common pathways with DED¹³³⁻¹³⁵,
initiating a closed loop of inflammation as described by Baudouin et al.¹³⁶

333 When a CL is fitted on a patient's eye, TF is disturbed leading to an increase in 334 evaporation rate and dewetting¹³⁷ and possibly impacting the function of the MGs^{129,131,137}. Specifically, DED in CL wearers is associated with a reduction in 335 336 wearing time¹³⁸, increased risk of desiccation¹²² (and raised osmolarity)¹³⁹ and thus higher rates of infection¹⁴⁰. Furthermore, CL water content has been 337 338 associated with CL related dry eye. In this regard, it is thought that high water content CL alters the lipid layer structure of the TF, possibly due to the 339 340 affinity of the polar components of the lipid layer to the CL surface, causing 341 disruption of the prelens TF and thus increasing evaporation and/or 342 dewetting^{130,133,141}. Modifying the fit, changing the CL material and wearing 343 schedule, or even prescribing eyedrops are the main solutions available to 344 alleviate drvness signs^{141,142}.

In addition, discomfort symptoms related to asthenopic eye strain (burning,

irritation, ocular dryness and tearing) have been noted to be closely related to
symptoms of dry eye¹⁴³ and CLD¹³². Consequently, DED-like symptoms may be
partially explained by suboptimally corrected refractive error or binocular vision
disorders in many CL wearers, particularly in older patients without near vision
correction¹⁴⁴.

Additionally, the physiological changes of advancing age on the ocular surface and TF might decrease the tolerance for CLs and increase the risks of complications¹³⁰. In fact, age has shown to be the main factor influencing CL

retention rate¹⁴⁵. Patel et al. suggest that the presbyopic population might be 354 355 more susceptible to dryness-related comfort problems¹⁴⁶, mainly because of 356 decreased TF stability, eventually leading to CLD and dropout. However, du Toit 357 et al. found no differences in the TF, ocular surfaces and symptoms between younger and older presbyopic patients, after 6 months of CL wear, except for a 358 359 shorter TBUT in the older group¹⁴⁷. The authors pointed out that the dry eye signs and ratings obtained were comparable with figures previously reported for all age 360 361 groups of CL wearers. Hence, they reflected that presbyopes should not be 362 excluded from consideration for CL fitting and that the usual patient care tenets 363 apply. Overall, evidence suggests that using a low rigidity CL on a daily disposable modality, especially hydrogel daily disposable CLs, could be 364 beneficial when fitting patients with presbyopia^{148,149}. 365

366 In addition, over the past decade there has been a resurgence of interest in scleral CLs (SCLs). SCLs are large-diameter rigid gas permeable CLs that vault 367 368 the cornea and limbus and are supported by the sclera. These characteristics 369 avoid direct mechanical stress to the cornea and enable the protection and 370 continuous sealed hydration of the ocular surface¹⁵². Consequently, SCLs are 371 considered a good therapeutic approach for the treatment of patients with moderate to severe dry eye¹⁵²⁻¹⁵⁴. In particular, small diameter SCLs, also known 372 373 as corneo-scleral or mini-scleral lenses, have been reported especially suitable 374 for this population¹⁵⁵.

As mentioned previously, fitting CLs in a presbyopic population is more challenging in comparison with a younger cohort. However, presbyopic patients could benefit from wearing SCLs; multifocal designs present great advantages such as excellent centration and stability along with better optical quality,

379 compared to conventional multifocal CLs¹⁵³. In this sense, SCLs present dual 380 advantages for this population as they can provide a stable optical platform for 381 correcting presbyopia and protect the ocular surface by vaulting the cornea, 382 reducing their impact on the TF.

Moreover, SCLs are considered a suitable option for aiding patients with corneal ectasia, irregularity, and dry eye after PRK and LASIK surgery^{156,157}. In this regard, postoperative optical complications following laser surgery have been observed, particularly procedures conducted in the 1990's, when the importance of sufficient residual bed thickness and exclusion of both form fruste and manifest keratoconus were perhaps not appreciated¹⁵⁸. Thirty years later many of these patients are now presbyopic and may benefit from treatment with SCL's.

390 5. CONCLUSIONS

391 Aging processes challenge the ocular surface directly by inducing drastic changes to the LFU. Additionally, ocular surface integrity can be jeopardized 392 393 through surgical interventions involving the cornea and CL fitting, potentially 394 initiating a closed loop of inflammation leading to DED (Table 6). Given that 395 preoperative tear function is thought to play an important role in long-term ocular surface integrity after surgical procedures, the clinician must consider the 396 397 potentially greater adverse effects of surgery on the TF and ocular dryness with 398 increasing age. More than in any other age group, postoperative ocular dryness 399 is highly dependent on the invasiveness of the surgical technique, mostly related to corneal nerve damage. Similarly, CLD and dryness CL wearing presbyopes 400 401 may be influenced to some extent by the lens material and wearing schedule. 402 Newer CL designs including SCLs may be particularly useful for presbyopes with 403 DED since they provide a stable optical platform and protection and constant

- 404 hydration of the ocular surface. Further studies are still needed to assess long-
- 405 term outcomes of recent advances in refractive surgeries and CL designs on dry
- 406 eye signs and symptoms in older adults.

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Authors	Sample	Objectives	Surgical Procedure	Tests performed	Results
[Kasetsuwan et	92	Evaluate the incidence and	Phacoemulsification	-OSDI	DED incidence 7 days postoperatively:
al. 2013] ³⁸		severity of dry eye after	with 2.75 mm incision	-Oxford Staining	-OSDI: 9.8%
		phacoemulsification.	and foldable IOL		
			implantation.	-Schirmer I	-Oxford Staining: 58.7%
				-TBUT	-Schirmer I: 11.9%
					-TBUT: 68.4%
[Li et al. 2007] ⁴⁰	37 (50 eyes)	Evaluate the pathogenic	Phacoemulsification	-NEI-VFQ25	-NEI-VFQ25: Improvement in functional indices and ocular pain
		factors relevant to the	with small incision (size	-OSDI	aggravated before/after surgery.
		occurrence of dry eye after	not specified).	-TBUT	-OSDI did not show any changes.
		cataract surgery.		-Schirmer I	-TBUT significantly worse (P<0.01).

				-Fluorescein staining	-Fluorescein staining: Increase of staining at one-month post-
				(Oxford and van	surgery.
				Bijsterveld)	-OSDI did not show difference before/after surgery.
				-Impression Cytology	-TMH diminished significantly 70% >0.3mm pre-surgery and 70%
				-TMH with fluorescein	post-surgery maintained at 1 month and 3 months after surgery.
	00 (05	Further the sector man of	Dhaaaamadalfiaatian		
[Ram et al.	23(25 eyes)	Evaluate the outcomes of	Phacoemulsification	-Schirmer test with	-The mean preoperative Schirmer score was 4.80 mm \pm 2.01 (SD)
2002] ⁴³		phacoemulsification in	with 3.4 to 3.8 mm	anesthesia	and the mean postoperative score, 3.80 ± 2.40 mm.
		patients with dry eye.	corneal incision and	-TBUT	-The mean preoperative TBUT was 4.00 ± 1.87 s (range 0 to 9 s)
			foldable IOL		and the mean score at the last follow-up, 3.40 ± 1.60 s.
			implantation.		

[Khanal	et a	al.	18	Identify changes in corneal	Phacoemulsification	-Corneal sensitivity	-A significant decrease was seen postoperatively in central corneal
2008]42				sensitivity and tear	with 4.1 corneal	(NCCA)	sensitivity at 3 days (p<0.001), 2 weeks (p<0.001), 1 month
				physiology after	incision and foldable	-Osmolarity (freezing	(p=0.003) and 3 months (p=0.009).
				phacoemulsification.	IOL implantation	point depression)	-Osmolarity significantly rises 3 days after surgery but decreases
						- TTR (automated	across the 3 months post-surgery (no statistical differences with
						scanning	preoperative values).
						fluorophotometer)	-Significant increase in evaporation at 3 days and 2 weeks post-
							surgery.
							-Significant reduction in TTR at 3 days until two weeks post-
							surgery.
[Park	et a	al.	34 (8 eyes)	Evaluate changes of	Phacoemulsification	-Ocular symptoms	-Significantly worse symptoms at 1 day, 1 month, 2 months post-
2016] ⁴⁵				lacrimal tears and ocular	with2.85mmcorneal	-TBUT	surgery for the dry eye group compared to the no dry eye group.
				surface parameters and	incision.		
				tear inflammatory		-Schirmer I	

mediators following		-Corneal fluorescein	-TBUT was more significantly worsened in the dry eye group			
cataract surgery. Patie	nts	staining (NEI scale)	compared to the no dry eye group and recovery was significantly			
were divided into 2 grou	ıps	-Corneal sensitivity	slower.			
with those who had		(Cochet-Bonnet	-No statistically significant differences in recovery for Schirmer I in			
preexisting dry-eye bef	bre	aesthesiometer)	both groups.			
cataract surgery and the	ose	-Multiplex	- Corneal staining more significantly worsened in the dry eye			
who did not.		immunoassay kits	groups compared to the no dry eye group.			
			-Corneal sensitivity threshold was more slowly recovered in the dry			
			eye group than in the no dry eye group.			
			-Significant increase in CKs levels at 1 month/2 months in contrast			
			with day 1 post-surgery in both groups.			
IOL, Intraocular Lens; OSDI, Ocular Surface Disease Index; TBUT, Tear Breakup Time; NEI VFQ-25, National Eye Institute Visual Function Questionnaire; TMH. Tear Meniscus Height;						
NCCA, Non-Contact Corneal Aesthesiometer; TTR, Tear Evaporation Turnover Rate; CKs, Cytokines.						

Authors	Sample	Objectives	Tests performed	Results
[Vroman et al.	94 eyes from	Evaluate the effects of a	-Corneal sensitivity (Cochet-Bonnet)	For both hinge locations:
2005]64	47 patients	superior or nasal hinge	-Schirmer with anaesthesia	-Central corneal sensitivity significantly diminished at 1 week/1 month/ 3
		location on corneal	-TBUT	months/ 6 months (p<0.001).
		sensation and dry eye after		Sobirmor values were significantly reduced only at 1 week past surgery
		LASIK	-Ocular surface staining (NEI scale)	-Schirmer values were significantly reduced only at 1 week post-surgery
			-OSDI	(p<0.05).
				-TBUT significantly reduced at 3 months post-surgery (p<0.01).
				-No difference in ocular surface staining.
				-Significant increase in OSDI score at 1 week/1 month/3months/6
				months (p<0.01).
[Mion at al	66 avos from	Determine whether his se	Corpool consitiuity (Coshot Dornat)	Cignificant reduction in corneal constituity of 1 work/1 month /2
[Mian et al.	-	Determine whether hinge	-Corneal sensitivity (Cochet-Bonnet)	-Significant reduction in corneal sensitivity at 1 week/1 month/3
2009]68	33 patients	position (superior vs		months/ 6months/ 12 months (p<0.0001).

		temporal) has an effect on	-Schirmer test with anaesthesia	-Increase in Corneal fluorescein at 1 week post-surgery (p=0.01).
		corneal sensation and dry-	-TBUT	-No difference of corneal sensation between superior-hinged and
		eye symptoms after myopic LASIK.	-Corneal fluorescein staining	temporal-hinged flaps at any time.
			-Lissamine green staining with Oxford	-TBUT/Schirmer test and conjunctival staining did not show significant
			scale	changes after surgery.
			-OSDI	-Increase in OSDI score at 1 week and one month (p<0.0001) that
				stabilized at 3months.
[Shoja et	190 eyes	Determine the incidence	-TBUT	-Significant decrease of Schirmer and TBUT at 1 month/ 3 months/ 6
Besharati		and risk factors of dry eye	-Schirmer I	months (p<0.05).
2007] ⁶⁵		after LASIK.	-Corneal fluorescein staining	-Corneal sensitivity reduced at 1 month and 3 months but returned back
			-Central corneal sensitivity	to preoperative values at 6 months.
			-Symptomatology	-There was a statistically significant effect of age, sex and mean
				spherical equivalent refraction on corneal sensitivity after LASIK.

[Battat et al.	48 eyes	Evaluate components of the	-Questionnaire evaluating character and	-Symptom severity scores were significantly increased at 1 week/12
2001]66		ocular surface and the LFU	severity of ocular irritation symptoms	months/ and 16 months postoperatively (p<0.007).
		before and after LASIK.	-Snellen visual acuity	-Corneal and conjunctival sensitivity significantly decreased at 1 week/1
			-Tear Fluorescein Clearance	month/ 12 months/ 16 months postoperatively.
			-Schirmer I	-Schirmer I test scores decreased from 24 \pm 14 mm preoperatively to 18 \pm
			-Corneal/conjunctival sensibility	14 mm 1 month postoperatively.
			-Corneal surface regularity	
[De Paiva CS	35 eyes	Determine the incidence of	-Corneal fluorescein staining	-No differences obtained in corneal staining, TBUT, Schirmer or HOA
et al. 2006] ⁶⁹		dryeyeanditsriskfactors	-Aberrometry	RMS
		after myopic LASIK	-TBUT	-Symptomatology significantly increased at 1 week and 1 month post-
			-Corneal sensitivity (Belmonte non-	LASIK
			contact esthesiometer)	-Degree of preoperative myopia and depth of laser treatment were
				significantly correlated with dry eye risk.

			-Schirmer I	- Age showed no significant correlation with postoperative dry eye.		
			-Symptomatology			
TBUT, Tear Breakup Time; OSDI, Ocular Surface Disease Index; LFU, Lacrimal Functional Unit.						

Table 3. Dry eye and ocular surface-related signs and symptoms after PRK.

Sample	Objectives	Tests performed	Results
17 eyes from	Evaluate corneal sensation in	-Corneal sensitivity with two group of	-Superior corneal sensation loss in the deep ablation group
myopic subjects	different regions of the	patients:	with no recovery within one month of the surgery.
	cornea following PRK at	-Shallow photoablation (0 to 30 μm)	-Corneal fluctuations in sensations present up to 6 months
	varying depths.	-Deep photoablation (31 to 70 µm)	post-surgery in thisgroup
32 (64 eyes)	Investigatethechangesin	-Schirmer test	-Significant decrease in Schirmer/TBUT values post-surgery
	tear flow and tear film	-TBUT	in comparison with the fellow eye (control) (p=0.0001) 6
	stability after PRK for myopia.		weeks after the surgical procedure.
18	Evaluate the recovery of	-Corneal sensitivity (Cochet-Bonnet	-Return to preoperative values at 3 months for central
	corneal sensitivity after PRK	aesthesiometer): Central zone and 2 mm from	cornea and 1 month for the other corneal areas evaluated
	for low myopia.	that central zone (nasal, inferior, temporal,	(p>0.05).
		and superior)	
-	17 eyes from myopic subjects 32 (64 eyes)	17 eyes fromEvaluate corneal sensation inmyopic subjectsdifferent regions of the cornea following PRK at varying depths.32 (64 eyes)Investigate the changes in tear flow and tear film stability after PRK for myopia.18Evaluate the recovery of corneal sensitivity after PRK	17 eyes from myopic subjectsEvaluate corneal sensation in different regions of the cornea following PRK at varying depthsCorneal sensitivity with two group of patients: -Shallow photoablation (0 to 30 μm) -Deep photoablation (31 to 70 μm)32 (64 eyes)Investigatethechangesin tear flow and tear film stability after PRK for myopiaSchirmer test -TBUT18Evaluate the recovery of for low myopiaCorneal sensitivity (Cochet-Bonnet aesthesiometer): Central zone and 2 mm from that central zone (nasal, inferior, temporal,

[Lee et al.	21 (36 eyes) eyes	Evaluate tear secretion and	-Schirmer with anesthesia/TBUT	-Significant decrease in Schirmer values at 3 months
2000] ⁹²	from 21 patients	tear film stability after PRK.		(p=0.0011) which tend to come back to normal values at 6
				months (p=0.3080) and TBUT at 3 (p<0.01) and 6 months
				(p=0.07).
PRK, Photorefra	active Keratectomy;	TBUT, Tear Breakup Time.		

Table 4. Dry eye and ocular surface-related signs and symptoms after LASEK.

Authors	Sample	Objectives	Tests performed	Results
[Herrmann et	20 eyes from	Evaluate tear film function,	-Schirmer with anaesthesia	-Schirmer test with anesthesia was reduced at 3 months post-surgery
al. 2005] ¹⁰⁵	10 patients	corneal sensation and subjective	-Schirmer I (without anesthesia)	(p<0.05).
		symptoms of dry eye in the early	-TBUT	-Schirmer test without anesthesia was reduced at 2 and 3 months after
		postoperative period after LASEK	-Fluorescein staining of the cornea	surgery (p<0.05).
		for the correction of myopia	-Corneal aesthesiometry (Cochet-	-TBUT was reduced at 1 week and 1 month after surgery (p<0.05).
			Bonnet)	-Corneal staining was increased at 3 days and one week after surgery
			-Symptomatology	(p<0.05).
				-Symptomatology was increased after surgery (p<0.05) excepted at 3
				months.
[Dooley et al.	35 eyes	Evaluate the effects of LASEK on	-OSDI	-OSDI values did not change during the follow-up period.
2012] ¹⁰⁶		dry eye disease markers	-Schirmer test with anesthesia	-Schirmer values changed significantly at 12 months.
			-Osmolarity (TearLab)	-Osmolarity did not change across the follow-up period.

[Horwath-	37 eyes from	To investigate the changes in	-Symptoms	-No statistical difference in symptomatology was found.
Winter et al.	21 patients	corneal sensation, ocular surface	-Corneal sensitivity (Cochet-	-Corneal sensation reduced up to one month after the surgical procedure
2004] ¹⁰⁷		integrity, and tear-film function	Bonnet)	(p<0.05).
		after LASEK	-TBUT	-TBUT was significantly reduced at 1 week and 1 month (p< 0.05
			-Schirmer I	respectively).
			-Fluorescein staining of the cornea	-No changes in Schirmer results.
				-Significant increase in corneal staining at one week (p<0.05).
				-No changes in lissamine green staining.
TBUT, Tear Breakup Time; OSDI, Ocular Surface Disease Index.				

Table 5. Comparison of dry eye and ocular surface-related signs and symptoms after SMILE and other corne	neal refractive surgeries.
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Authors	Sample	Objectives	Tests performed	Results
[Denoyer et al.	30 (60 eyes SMILE)	Compare SMILE vs LASIK	-OSDI	-OSDILASIK>OSDISMILE at 1/6 months (P<0.09 and 0.01 respectively).
2015] ⁵⁸	30 (60 eyes LASIK)	post-refractive DED.	-Schirmer I	-Schirmer I LASIK< Schirmer I SMILE but no significant (P>0.05).
			-TBUT	-TBUT LASIK< TBUT SMILE significant at 6 months (p=0.01).
			-Oxford Staining	-No significant differences for staining between techniques (P>0.05).
			-Osmolarity (TearLab)	-Osmolarity LASIK> Osmolarity SMILE at 1/6 months (P<0.01).
			-Corneal esthesiometry (Cochet-	-LASIK eyes showed lower sensibility at 1 month (P<0.05).
			Bonnet)	-Nerve density significantly superior for SMILE eyes at 1/6 months
			-Subbasal nerve imaging using in	(p<0.05 and P<0.01 respectively).
			vivo confocal microscopy	

[WeietWang.	27 (54 eyes) FS-	Compare the effect on	-Cochet-Bonnet esthesiometry at 1	-A higher corneal sensitivity after ReLEx SMILE surgery was observed in
2013]77	LASIK	corneal sensitivity	week 1 and 3 months after surgery.	every quadrant at 1 week and 1 and 3 months compared with FS-LASIK
	32 (61 eyes) SMILE	between FS-LASIK and		surgery (P<0.01).
		ReLEx smile surgery.		-SMILE group did not show statistical differences in the superior and
				temporal quadrants at 1 month postoperatively compared with
				preoperatively (p=0.198 and p=0.330 respectively) and no significant
				differences in any quadrant at 3 months.
				-FS-LASIK group showed significant decrease in central corneal sensitivity
				in every quadrant at 1 week and 1 and 3 months postoperatively
				compared with preoperatively ($P < 0.05$).
[Xu et Yang.	176 (338 eyes)	Compare the effects of	-McMonnies questionnaire	-The mean McMonnies score in the SMILE group was better than other
2014] ⁷⁸		SMILE and LASIK with	-Schirmer I	groups.
		either femtosecond laser	-TBUT	-LASIK group was significantly lower than SMILE other group at 3 and 6
				months.

		or mechanical	-Preoperatively and at 1, 3, and 6	-TBUT decreased significantly after surgery and did not return to
		microkeratome on dry eye	months postoperatively.	preoperative levels within 6 months; the SMILE group presented
				significantly longer TBUT than the LASIK group at 1 month.
OSDI, Ocular Su	rface Disease Index; TE	BUT, Tear Breakup Time; LASIK	, Laser Assisted In Situ Keratomileusis; D	ED, Dry Eye Disease; ReLex, Refractive Lenticule Extraction; SMILE, Small
Incision Lenticule Extraction; FS-LASIK, Femtosecond Laser In Situ Keratomileusis; FLEX, Femtosecond Lenticule Extraction; AS-OCT, Anterior Segment Optical Coherence Tomography.				

Table 6. Summary of the dry eye-related main outcomes up to date in an ageing population after the different procedures addressed in this review.

Procedure	Main Outcomes and Important Considerations			
	- Worsen tear film metrics $^{34-36,38,40,43,44}$, reduce corneal sensitivity 41,42,45 and decrease goblet cell density $^{34-37}$ up to 3 months post-surgery.			
	- Larger corneal incisions for lens insertion are expected to induce more nerve damage and thus, sign and symptoms of dryness post-surgery 42,44 .			
	- FLACS offers a more accurate cutting edge, better safety and improved clinical outcomes $^{46-49}$. However conjunctival pressure by the suction ring reduces			
Cataract surgery	goblet cell density and contributes to postoperative DED ^{50,51} .			
	- Dry eye risk factors after the surgery are related to disruption of corneal nerves and harm to the epithelia through the surgical procedure ³⁴⁻³⁸ . Incision shape,			
	depth and regularity clearly impact post-surgery healing ⁴²⁻⁴⁴ .			
	-Toxicity of antiseptic agents used during the surgical procedure and topical multi-dose eyedrops with preservatives seem to play a role in the onset of dry eye			
	signs and symptoms ^{35.56} .			
	- Successful in correcting refractive errors in presbyopic patients ⁸¹⁻⁸³ .			
LASIK	- No consensus that older age impacts post-LASIK dry eye.			

	- The LASIK surgical process induces double damage to the cornea; during the flap creation and during the excimer laser stromal ablation, increasing the
	probability of postoperative dry eye ^{34,60,61} .
	- Detailed assessment of tear film and ocular surface should be carried out before performing this surgery in older age groups.
	-Given the probability of post-LASIK dry eye, LASIK should only be applied in presbyopic patients with a good quality ocular surface and tear film.
	- Recent studies report no effects of age on patient-reported dry eye after PRK ⁹⁸ .
PRK	- Older age, however, may possibly hinder the healing process affecting the outcome of the surgery ⁹⁹ .
	- More studies regarding dry eye after PRK in the late adulthood are required.
	- Particular attention should be taken in older age groups before undertaking PRK as the surgery may worsen an already unbalanced ocular surface
	environment.
	- Age increases the prevalence of postoperative complications ¹⁰⁸ , reduces predictability ¹⁰⁹ and increases healing time ¹¹⁰ after LASEK.
LASEK	- To date no studies have evaluated the effects of LASEK surgery on tear film in late adulthood.
	- Quicker recovery, reduced pain and less postoperative dry eye compared to other corneal refractive surgeries in the general population ¹⁰⁴ .

- Lower postoperative dry eye signs and symptoms compared to other corneal ablation techniques are expected in the elderly as well.
- A safe and effective option, yielding predictable outcomes for treating patients with presbyopia ¹¹⁵ .
- Reduced corneal inflammation and keratocyte damage ¹¹² and less iatrogenic dry eye ¹¹³ , compared to other corneal refractive strategies, mainly due to the
absence of a flap.
-Older age is thought to increase the risk of enhancement ¹¹⁶ .
-Older patients tend to have more stromal response and unpredictable outcomes ¹¹⁸ .
- Dry eye symptoms after inlay implantation in presbyopes are mostly mild to moderate ¹²⁴⁻¹²⁶ .
- Less deep nerve damage is expected to occur in comparison with LASIK due to the absence of corneal ablation.
- Stromal pocket may offer reduced dry eye symptomatology in comparison to corneal flap ^{124,125} .
-No significant differences on symptom severity has been obtained between groups of different ages ¹²⁶ .
- Age has shown to be the main factor influencing CL retention rate ¹⁴⁵ .
- Presbyopic population might be more susceptible to dryness-related comfort problems, eventually leading to CLD and dropout 146 .

	- Using a low rigidity CL on a daily disposable modality seems to be the most beneficial option for this group of patients ^{148,149} .
	- SCLs can be a good optical platform for multifocality and a protection mechanism for the ocular surface, with reduced impact on the tear film $^{150-153}$.
FLACS, Femtose	cond Laser Assisted Cataract Surgery; DED, Dry Eye Disease; LASIK, Laser in Situ Keratomileusis; PRK, Photorefractive Keratectomy; LASEK, Laser Assisted
Subepithelial Ke	eratectomy; SMILE, Small Incision Lenticule Extraction; CLs, Contact Lenses.