1 **Mutations in DCC cause isolated Agenesis of the Corpus Callosum with incomplete** 2 **penetrance**

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94 **ABSTRACT**

95 Brain malformations involving the corpus callosum are common in children with developmental 96 disabilities. We report that *DCC* mutations cause isolated agenesis of the corpus callosum (ACC), 97 without intellectual disability, in four families and five sporadic individuals. *DCC* mutations 98 result in variable dominant phenotypes with reduced penetrance including mirror movements and 99 ACC associated with a favorable developmental prognosis. Possible phenotype modifiers include 100 the type and location of mutation and sex of the individual.

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102 **MAIN TEXT**

103 The corpus callosum (CC) is the main cerebral commissure in placental mammals with a key role 104 in communication between the brain hemispheres¹. Formation of the CC is a complex process 105 involving ligands such as those in the Netrin, Ephrin, Semaphorin and Slit families and their 106 receptors². Agenesis of the corpus callosum (ACC) is the complete or partial absence of the CC. 107 This frequent brain malformation affects ~1/4,000 newborns and 3-5% of children with 108 intellectual disability $(ID)^{3,4}$ and is a common cause of late pregnancy termination⁵. Mutations in 109 many genes cause syndromes with ID and ACC, whereas the genetics of isolated ACC remain 110 poorly understood^{3,6,7}. The Netrin receptor Dcc plays a critical role in CC development in mice 111 by guiding callosal axons at the midline⁸. While mutations in *DCC* have been associated with 112 congenital mirror movements (MM) in humans⁹, they have not been described in individuals with 113 ACC.

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115 We investigated four multigenerational families with individuals presenting with ACC, MM or 116 both phenotypes segregating as autosomal dominant traits (Fig. 1a). Neuroimaging and clinical 117 studies confirmed that complete or partial ACC was isolated in most cases (Fig. 1b, Fig. S1) and 118 associated with a range of intellectual abilities (normal-borderline impaired); additionally, 119 specific cognitive impairments, including language delay or visuospatial deficits, were 120 documented (Table S1). Diffusion MRI tractography using probabilistic constrained spherical 121 deconvolution identified reduced crossing of descending corticospinal tract projections at the 122 pyramidal decussation in all affected individuals in families 2 and 4 with either ACC and MM 123 (n=5) or MM only (n=2) (Fig. 1c, Fig. S2). The tractography results for other commissural fibers, 124 including the decussation of the superior cerebellar peduncles, anterior commissure, posterior 125 commissure and optic chiasm, were comparable between the affected individuals and controls.

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127 Linkage analysis and exome sequencing of three affected individuals in family 1 identified two 128 shared candidate variants in the 16 linkage regions (Fig. S3, Table S2) including a truncating 129 mutation in *DCC* (NM_005215.3:c.925delA, p.(Thr309ProfsTer26)). For family 2, 48 candidate 130 variants were identified in 28 linkage regions (Fig. S4, Table S3), including a missense variant 131 (c.2378T>G, p.(Val793Gly)) in *DCC.* The previously-reported nonsense mutation (c.823C>T, 132 p.(Arg275Ter)) in *DCC* segregated with MM in five individuals of family 3^{10} . Further 133 investigation revealed two additional female mutation carriers with ACC and a male carrier with 134 MM who had a thin rostrum. Direct screening in family 4 identified a heterozygous *DCC* 135 missense variant (c.2414G>A, p.(Gly805Glu)). All four *DCC* mutations were absent from public 136 databases, including 1000 Genomes and ExAC, and segregated with ACC and/or MM in all 137 available individuals tested (Fig. 1a). In addition, we sequenced *DCC* in 70 unrelated individuals 138 with ACC including 46 with normal cognitive development. Five individuals, all with isolated 139 complete ACC, had at least one heterozygous missense variant altering a conserved amino acid of 140 *DCC* (Fig. 1d, Fig. S1 and S5, Table 1). Analysis of all available imaging in mutation-positive 141 individuals with complete ACC also showed absence of the hippocampal commissure and 142 cingulate gyri, and dysmorphic lateral ventricles (usually colpocephaly) as would be expected 143 (detailed in Online methods). Apart from this, no consistent additional brain malformations were 144 seen.

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146 We analyzed the phenotype of individuals with *DCC* mutations reported in the literature and in 147 this study (Table S4) to assess the penetrance of MM and ACC. Of the 88 individuals with *DCC* 148 mutations identified to date, 50 had MM; among the 39 who had brain imaging, 19 exhibited 149 ACC. Excluding the index individuals from the analysis, the penetrance of MM was estimated to 150 be 42% and the penetrance of ACC to be 26% (Table S5). Overall, males (n=31) exhibited MM 151 more frequently than females (n=19, male:female ratio=1.8, p=0.0027, Fisher's Exact test; Table 152 S5) while, in individuals with truncating *DCC* variants, ACC was more often present in females 153 (n=7) than males (n=1, male:female ratio=0.2). Sex differences in CC anatomy have been 154 associated with testosterone levels during prenatal brain development¹¹⁻¹³; therefore, we tested 155 the effect of androgens on *DCC* expression. Independent analysis by RNAseq and RT-qPCR 156 demonstrated a significant dose-dependent increase in *DCC* expression in human neural stem 157 cells treated with 10 nM or 100 nM testosterone (Fig. S6). Since variants introducing a premature 158 stop codon generally result in haploinsufficiency due to nonsense mediated decay of the mutant 159 mRNA, it is possible that ACC may occur when the amount of *DCC* mRNA/protein falls below a 160 threshold level during CC development, which would occur more frequently in females. 161 However, given the incomplete penetrance observed in both sexes, the phenotypic outcome must 162 also be influenced by additional genetic, epigenetic and/or environmental factors. Interestingly, 163 families 1 and 3, in which a majority of females display ACC, are both of North African 164 background, supporting the hypothesis of genetic modifiers.

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166 Contrary to truncating variants, missense mutant proteins are usually present in the cell and can 167 interfere with the function of the wildtype protein, potentially resulting in differing phenotypes 168 compared to haploinsufficiency for the same protein. Binding of Netrin-1 to DCC results in 169 intracellular homodimerization or heterodimerization with UNC5, another axon guidance 170 receptor, and is critical for both the chemoattractive and chemorepulsive properties of the 171 signaling complexes^{15,16}. The Netrin-1 binding region involves the 4th, 5th and 6th fibronectin 172 type III-like domains of $DCC^{14,15}$, therefore amino acid substitutions in this binding region may 173 compromise DCC function. Five of the eight *DCC* missense variants identified in individuals 174 with ACC are located in the Netrin-1 binding region (Fig. 1d), which represents a considerable 175 enrichment compared to missense variants located in this domain in ExAC (5/74, 6.7% *versus* 176 519/~60000, 0.86%; p=5x10⁻⁴ (all rare variants) or 284/~60000, 0.47%; p=3x10⁻⁵ (rare variants 177 predicted to be damaging by SIFT), Fisher's exact test (Table S6)). Given the reduced penetrance 178 and mild phenotype of *DCC*-related ACC, it is possible that some individuals described in ExAC 179 have pathogenic *DCC* mutations and undiagnosed ACC.

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181 Modelling of DCC missense variants revealed that the amino acid substitutions in families 2 and 182 4, both located within the DCC/Netrin-1 binding interface, are predicted to be most disruptive. 183 The p.(Val793Gly) substitution abolishes a hydrophobic interaction with Thr147 of Netrin-1 184 while p.(Gly805Glu) introduces a highly unfavorable charged moiety within a hydrophobic 185 pocket, disrupting interaction with Leu113 of Netrin-1 (Fig. S7-8). The predicted effects of the 186 three substitutions within the Netrin-1 binding region but outside the binding interface (Fig. S9- 187 12) are consistent with *in vitro* studies demonstrating that even conservative mutations to residues 188 in this binding region can disrupt DCC dimerization, Netrin-1 binding and axon guidance¹⁴.

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190 In addition to the effect of sex hormones and the type and location of *DCC* mutations, 191 developmental differences between the CC and corticospinal tract may also contribute to the 192 variable ACC/MM phenotypes. Callosal and sub-cerebrally projecting pyramidal neurons of the 193 cortex are specified at early stages of development and the molecular identity of each population 194 directly affects its axonal connectivity¹⁶. While corticospinal axons utilise DCC/Netrin-1 195 signalling to reach the midline, callosal axons use DCC/Netrin-1 chemoattraction to attenuate 196 ROBO1/SLIT-2-mediated chemorepulsion to approach and cross the midline¹⁷. Therefore, a 197 *DCC* mutation may differentially affect commissural versus subcerebral axon trajectories, leading 198 to ACC, MM or both. MM were consistently associated with reduced crossing of descending 199 corticospinal tract projections at the pyramidal decussation in this study as well as in individuals 200 with *RAD51*-related MM¹⁸, suggesting that *DCC*-mediated MM are primarily the result of 201 corticospinal tract decussation abnormalities.

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203 In conclusion, our results provide compelling evidence that *DCC* mutations cause isolated ACC 204 in humans, in addition to the previously-reported MM phenotype. The factors determining the 205 phenotypic variability are complex and likely include the hormonal context during development, 206 the type and location of *DCC* mutation, and the genetic background of the individual. Although 207 the full spectrum of phenotypes associated with *DCC* mutations remains to be fully characterized, 208 individuals described in this study have an intellectual quotient within the normal/borderline 209 range. Heterozygous mutations in *DCC* therefore appear to result in isolated ACC with a mild 210 phenotype and favorable cognitive outcomes, contrasting with the unfavourable developmental 211 outcomes associated with syndromic ACC. Given the high frequency of *DCC* mutations detected 212 in our cohorts, this observation has prenatal diagnostic and parental counselling implications for 213 fetuses with ACC as the condition currently has unclear prognostication. Our data suggest that

- 214 the prenatal detection of isolated ACC related to a pathogenic *DCC* mutation indicates a lower
- 215 risk of an abnormal neurodevelopmental outcome.

216 **URLs.** 1000 Genomes Project, http://www.1000genomes.org/; Exome Variant Server, 217 http://exac.broadinstitute.org/; SIFT, http://sift.jcvi.org/; PolyPhen-2, 218 http://genetics.bwh.harvard.edu/pph2/; dbSNP, https://www.ncbi.nlm.nih.gov/SNP/; PyMOL, 219 https://www.pymol.org/.

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221 **Data Availability.**

222 Families included in this study have not consented to have Next Generation Sequence data 223 publicly released. Variants identified in this study have been deposited into ClinVar for 224 immediate release (SUB2184411) and accession numbers are pending final processing.

225

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246

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251 Delphine Héron - contributions to experimental conception and design, interpretation of data and 252 revising the article critically for important intellectual content

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331 **COMPETING FINANCIAL INTERESTS**

332 The authors declare no competing financial interests.

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355 **Figure legend**

356 **Figure 1** *DCC* mutations cause isolated ACC and/or MM associated with significantly reduced 357 crossing of descending corticospinal tract projections at the pyramidal decussation.

358 a. All available family samples were analysed; m=mutation; black dot=mutation carrier; 359 blue=partial ACC; black=complete ACC and grey=MM. * indicates neuroimaging data for 360 mutation carrier or individual with MM.

361 b. Midsagittal MRI of control and family proband/representative individual (1-2=complete ACC; 362 3=near complete ACC with thin rostrum and genu remaining and 4=partial ACC with absence of 363 the rostrum and genu).

364 c. Group-wise comparison of laterality coefficient in both families (family 2, n=4; family 4, n=3) 365 were compared to controls (n=6). For each individual, a laterality coefficient for the corticospinal 366 tract was calculated as the ratio of the difference between the numbers of crossed and uncrossed 367 streamlines to the total number of streamlines. Right and left coefficients were averaged to find 368 the laterality coefficient of each individual. Greater positive values indicate more crossed and 369 negative values more uncrossed streamlines (mean +/- S.D, * p=0.0238 ; ** p=0.0095; two-tailed 370 Mann-Whitney U-test).

371 d. Protein domain structure depicting the location of the DCC truncation (red square and triangle) 372 and missense mutations (colored dots). The Netrin-1 binding region is indicated, IgC2, 373 immunoglobulin-like type C2 domain; FN3, fibronectin type III-like domain; TM, 374 transmembrane domain; P1-3, conserved motifs.

- 375 **Table 1**: Summary of DCC mutations identified in individuals with ACC (+/-MM) in this study. cACC, complete isolated agenesis of
- 376 the corpus callosum; pACC, partial isolated agenesis of the corpus callosum; MM, mirror movements; IgC2, immunoglobulin-like type
- 377 C2 domain; FN3, fibronectin type III-like domain; ExAC, Exome Aggregation Consortium; dbSNP, dbSNP reference SNP
- 378 identification number. Reference sequences used are NM_005215.3 and NP_005206.2.

