

Micro particle surface layering through dry coating: impact of moisture content and process parameters on the properties of orally disintegrating tablets

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1 **Abstract:**

2

3 **Objectives** The aim of this study was to investigate the influence of processing parameters in
4 dry coating on particle and dosage form properties upon varying the surface adsorbed
5 moisture of microcrystalline cellulose (MCC), a model filler/binder for orally disintegrating
6 tablets (ODTs).

7 **Methods** The moisture content of MCC was optimized using the spray water method and
8 analysed using thermogravimetric analysis. Micro/macro property assessment was
9 determined using atomic force microscopy, nano indentation, scanning electron microscopy,
10 tablet hardness and disintegration testing.

11 **Key findings** The results showed that MCC demonstrated its best flowability at a moisture
12 content of 11.2%w/w when compared to control, comprising of 3.9%w/w moisture. The use of
13 the composite powder coating process (without air) resulted in up to 80% increase in tablet
14 hardness, when compared to the control. The study also demonstrated that surface adsorbed
15 moisture can be displaced upon addition of excipients during dry processing circumventing
16 the need for particle drying prior to tableting.

17 **Conclusions** It was concluded that MCC with a moisture content of 11%w/w provides a good
18 balance between powder flowability and favourable ODT characteristics.

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20 **Keywords**

21 Composite; nanoindentation; disintegration; flowability; hardness

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30 **Introduction**

31 In recent years, paediatric drug development has come to the forefront of research due to the
32 incentives offered by regulatory bodies in the US and within the EU, including financial rewards
33 and patent extensions for drug formulations^[1]. In the past, big Pharma companies were more
34 focused on developing adult friendly dosage forms due to the high profit margins and
35 perceived lower risk of development. Children are a unique entity in the fact that they develop
36 at a vast rate, from the day of birth to becoming adults, with the first 18 years of their lives sub
37 classified in to several groups: Premature new-borns (<38 weeks gestational age); Term new-
38 borns (>38 weeks gestational age); Neonate (0-30 days); Infant (1month-2 years); Young
39 Child (2-6 years); Child (6-12 years) and Adolescents (12-18 years)^[2]. This presents various
40 formulation challenges, primarily pharmacokinetic and pharmacodynamic, as absorption,
41 distribution, metabolism and excretion are highly varied throughout these years, and the dose
42 for administration needs to be tailored throughout the paediatric age range^[3].

43

44 For paediatric dosage forms to be acceptable there are a number of practical aspects that also
45 need to be considered such as, risk of choking for solid dosage forms, elegance, palatability
46 and acceptance of the dosage form by the child^[4]. Historically oral liquid dosage forms, such
47 as syrups, have been the dosage form of choice for many paediatric patients due to their ease
48 of administration and dose flexibility. Nonetheless, oral liquid dosage forms have many
49 disadvantages such as: poor taste of bitter drugs; drug stability, with many antibiotic
50 formulations having 7-14 day expiry after reconstitution; storage conditions, with many being
51 items that need to be kept in the fridge and transportability issues, with liquid bottles occupying
52 large space. Consequently, the WHO recently stated that young children may be treated with
53 oral solid dosage forms, such as orally disintegrating tablets (ODTs) and as such there is a
54 concerted effort in understanding and developing technologies to formulate these dosage
55 forms ^[5].

56 ODTs are a dosage form designed to disperse on the tongue when it comes in to contact with
57 saliva, thereby reducing the need for tablets to be swallowed whole without water, making
58 them ideal dosage forms for paediatric populations. The standards for a dosage form to be
59 classed as an ODT is that 'it must disintegrate rapidly in the oral cavity, with an in-vitro
60 disintegration time of approximately 30 seconds or less', and in general have a weight of no
61 more than 500mg^[6]. ODTs combine the advantages of solid and liquid dosage forms with
62 some novel ODT technologies allowing high drug loading whilst offering pleasant mouth feel
63 with an acceptable taste.

64

65 Although ODTs present many advantages over other paediatric formulations, there are
66 several challenges associated with these types of tablets. There are two common methods of
67 manufacture; freeze drying, that produces rapidly disintegrating tablets which are often
68 mechanically weak and require specialised packaging and equipment, and direct
69 compression^[7]. Direct compression utilises traditional tableting equipment and requires no
70 specialised processing techniques to form robust and fast disintegrating ODTs. Due to the
71 simplicity of the method, excipient and bulk powder characteristics need to be considered.
72 Flowability of the bulk powder is of particular importance as the powder needs to be able to
73 flow in to the dies at a consistent rate to form uniform tablets that have a consistent weight
74 and drug content. As the tablets disintegrate within the oral cavity, taste is a key factor that
75 needs to be evaluated, as poor palatability of the dosage form would lead to poor medication
76 adherence. This can often be solved using flavourings and sweeteners, with more complex
77 systems such as film coating of granules and microencapsulation also used, which can often
78 increase development costs and also expose active pharmaceutical ingredients (APIs) to
79 unfavourable conditions. One of the simplest ways to address this issue is the use of mannitol,
80 a polyol isomer of sorbitol, which has a very sweet taste and cooling effect in the mouth and
81 can often provide a palatable dosage form ^[8]. It has dual functionality in that it is also a popular
82 binder/filler used in ODTs due to its advantages in producing acceptable dosage forms. Other
83 considerations specifically for ODTs include disintegration time, as this needs to be optimised

84 to allow the dosage form to disintegrate within specified timeframes. This can often involve
85 the use of superdisintegrants in the powder blend, such as crospovidone, which uses capillary
86 action to induce water uptake in to the tablet through wicking mechanisms, resulting in a rapid
87 volume expansion of the tablet and subsequent break-up of the tablet structure^[9]. Inclusion
88 of superdisintegrants in to ODTs can increase moisture sensitivity in ODTs. High levels of
89 moisture in the final dosage form can present difficulties particularly in ODTs, due to their
90 ability to uptake moisture from the surroundings as well as their fast disintegrating properties^[10]
91 Including mannitol can often aid in reducing the hygroscopic nature of the ODT, due to its non-
92 hygroscopic nature^[8]. Alongside this, powder deformation processes need to be evaluated to
93 minimise the elastic deformation properties of the powder, which could lead to capping and
94 lamination of the tablet ^[11]. MCC is a common excipient employed in ODTs as it has very high
95 compactability due to its plastic behaviour, leading to robust dosage form manufacture^[12].

96

97 The objective of this study was to study the effects of moisture content on MCC, which is a
98 model filler/binder for ODTs, in order to optimise the moisture levels to produce the most
99 advantageous powder/tablets. A novel composite coater developed in our laboratory was used
100 to investigate the effect of process parameters on the moisture content, as well as studying
101 the effect of excipient addition on the resultant moisture. It was hypothesised that the powder
102 coater could be used as a novel tool to optimise moisture levels within MCC to a desirable
103 quantity, producing not only a favourable pre-processed material with good flowability and
104 compaction properties, but also a suitable tableting excipient to formulate robust ODTs without
105 a resultant compromise in disintegration time.

106 **Materials and Methods**

107 **Materials**

108 D-mannitol, magnesium stearate and sodium chloride salt (NaCl) were purchased from
109 Sigma-Aldrich (Pool, UK), while microcrystalline cellulose (MCC) (Avicel PH-200) was

110 obtained from FMC BioPolymer Europe (Brussels, Belgium). Crospovidone (CrosPVP,
111 Polyplasdone® XL-10) was obtained from Ashland (Wilmington, USA). All the ingredients
112 were of pharmaceutical grade.

113 **Methods**

114 **Optimisation of Moisture Content**

115 The first step of the moisture process began with weighing a precise amount of the original
116 MCC powder (20g) (MCC1) which was spread evenly on a tray. In the next step, increments
117 of distilled water were added at approximately 30 second intervals without any shaking. The
118 moisture content was tested at intermittent durations until the desired moisture contents 11.2%
119 (MCC 2) and 40% (MCC 3) were obtained. The amount of added water was approximately 5-
120 10 ml providing moisture content between 10% and 40% for the MCC powder. The moist
121 powders were transferred into a small airtight container and sealed using para film.

122 **Sieving process, interactive and composite powder coating technique**

123 The two key excipients studied included microcrystalline cellulose (MCC) and mannitol.
124 Selected particle sizes of both D-mannitol and MCC were obtained by sieving. MCC was
125 passed through sieve with mesh size of 355µm and the sample retained at sieves with pores
126 size of 250µm was used. D-mannitol was sieved using 38µm sieve and particles retained on
127 the 20µm sieve were used. The composite mixing process was carried out considering several
128 critical operating parameters; speed of the mixer, mixing time and the use of air flow. As for
129 the materials used, the parameters considered were pertinent to the guest loading percentage,
130 measured in weight per weight, and the type of carrier material in terms of particle size and
131 shape. Samples were tested alongside interactive mixtures with the same content, but mixed
132 at low speeds (300rpm) and a shorter time (10 minutes). The formulation and the processing
133 parameters are listed in **Table 1** below.

134 **Characterising interactive and powder coating**

135 **Measurement of powder moisture content using TGA**

136 A thermogravimetric analyzer, Pyris 1 TGA from Perkin Elmer (Massachusetts, USA) was
137 used to measure the moisture content of all powders. 2-5 mg of each sample was loaded onto
138 the TGA pan and heated between 30-300°C at a scanning rate of 30°C/min and held for 5
139 minutes at 100°C under a nitrogen stream. Pyris Manager Software (version 5.00.02) was
140 used for analysing the obtained thermograms. Moisture content was obtained by calculating
141 Δy for each run between 70°C and 130°C. All samples were analyzed in triplicate.

142 **Assessment of powder flow properties by measurement of angle of repose**

143 The angle of repose measurement was performed using the recommended British
144 Pharmacopeia procedure^[13]. Approximately 10 g of powder was poured through a funnel into
145 a base free from vibration to form a pile. The funnel was positioned 2 - 5 cm from the top of
146 the powder pile as it was forming. Angle of repose was determined by measuring the height
147 of the pile (h) and diameter of the base (d); then angle of repose (α) was calculated from the
148 equation:

$$149 \quad \tan\alpha = h \div (0.5 \times d)$$

150 **Scanning electron microscopy (SEM)**

151 The morphology of MCC at different moisture contents, D-mannitol, the mixture and the coated
152 powder particles were examined using a Stereoscan 90 from Cambridge Instruments
153 (Crawley, UK) scanning electron microscope (SEM). Approximately 1-2 mg of each material
154 was placed onto a double-sided adhesive strip on an aluminium stub. The specimen stub was
155 coated with a thin layer of gold using a Polaron SC500 sputter coater from Polaron Equipment
156 Ltd. (Watford, UK) at 20 mA for 3 min followed by sample examination using SEM. The
157 acceleration voltage (kV) and the magnification can be seen on each micrograph. Various
158 magnifications were applied to identify characteristics of the powders.

159 **Particle size analysis**

160 Particle size of the powders was measured by the laser diffraction technique using HELOS/BR
161 particles size analyzer equipped with a RODOS dry disperser with VIBRI/L vibrating feeder,
162 from Sympatec (Clausthal-Zellerfeld, Germany). The measuring range of the lens was 0 -
163 175 μ m. About 1 g of each powder was placed in the feeder tray and the run started at trigger
164 condition of 2% Copt (optical concentration) for 10 sec with a powder dispensing pressure of
165 2bar. Volume mean diameter (VMD) was recorded for the powders and all the measurements
166 were examined in triplicate.

167 **Atomic Force Microscopy (AFM)**

168 Acquisition of topographical data was performed using a NanoWizard II AFM (JPK, UK)
169 operating in force scan mapping mode under ambient conditions (18°C, 50% relative
170 humidity). This involved the use of a scanner with a maximum lateral range of 100 \times 100 μ m
171 and a maximum vertical range of 15 μ m. Data acquisition was performed using rectangular Si
172 cantilevers (HQ:CSC17/noAl, MikroMasch, Estonia) having pyramidal tips with 10nm nominal
173 radii of curvature. Cantilever spring constants were on the order 0.3N/m, calibrated according
174 to the method reported by ^[14]. Topography was assessed over a 2 μ m x 2 μ m area using a grid
175 of 128 x 128 pixels. Data was acquired by driving the fixed end of the cantilever at a velocity
176 of 50 μ m/s towards the sample surface, whilst monitoring the deflection of the free end of the
177 cantilever using a laser beam. Upon making contact with a surface feature, the height of the
178 contact point was recorded, representing one pixel in the image, which was converted into a
179 map of surface topography. A maximum compressive load of 10nN was applied to the surface
180 during data acquisition.

181 **Nanoindentation**

182 The hardness and Young's modulus of the powder wafers was measured using a
183 Nanoindenter XP (MTS, USA) employing a diamond-coated Berkovich indenter. 36
184 indentations were performed perpendicular to the wafer surface, each in a different

185 unperturbed area. Samples were indented at a strain rate of 0.05s^{-1} to a maximum depth of
 186 500nm. The hardness and Young's modulus were calculated from analysis of the load-
 187 displacement data, fitting a second order polynomial to the unloading curve (**Figure 1**) ^[15]. The
 188 Poisson's ratio of the powder was assumed to be 0.3. In this approach the total penetration
 189 depth is assumed by the sum of the plastic depth (contact depth), δ_c , and the elastic depth, δ_e ,
 190 which represents the elastic flexure of the surface during loading. Thus the total penetration
 191 depth, δ , is given by

$$\delta = \delta_c + \delta_e$$

194 and

$$\delta_e = \varepsilon (P \div S_u)$$

196 Where S_u is the slope of the unloading curve at maximum load (see fig 3), P is the indenter
 197 load and ε is a constant which depends on indenter geometry. So the hardness, H , is then
 198 given by equation

$$H = P \div A_c$$

200 Where A_c is an ideal Berkovich indenter constant. Young's modulus can be determined from
 201 the slope of the unloading curve using a modified form of Sneddon's flat punch equation where

$$S_u = \gamma\beta \frac{2}{\sqrt{\pi}} Er\sqrt{Ac}$$

203 Where γ is the correction factor, β is the cone to pyramid indenter conversion factor and Er is
 204 the contact modulus which can be derived from Young's modulus ϵ and Poisson's ratio (ν) of
 205 the indenter and the test material via

$$\frac{1}{Er} = \frac{1 - \nu m^2}{Em} + \frac{1 - \nu i^2}{Ei}$$

207

208 Where the m and i refer to the test material and indenter, respectively

209 **Calculation of surface coverage**

210 Surface coverage was calculated using the equation and method described in^[16]. The amount
211 of guest material in weight percentage (Gwt%) required to achieve 100% coverage within the
212 given parameters was as follows:

213

214

$$215 \quad Gwt\% = \frac{Nd^3 \rho d}{(D^3 \rho D) + (Nd^3 \rho d)} \times 100$$

216

217 Where N is:

218

$$219 \quad N = \frac{4(D + d)^2}{d^2}$$

220 Where d is the diameter of guest particle, D is the diameter of the host particle, ρd is the
221 density of the guest particle and ρD is the density of the host particle.

222 **Tablet Preparation and Characterization**

223 Ternary mixture tablets were prepared comprising of the excipients at fixed quantities: 30%
224 w/w of MCC, 5% w/w crospovidone, and 64.5% w/w mannitol and 0.5 % w/w magnesium
225 stearate (lubricant). Powders were processed as interactive/composite mixes and compacted
226 into 500 mg tablets under compression force of 10 KN, with a dwell time of 6s before
227 compression force was released. The tablet press utilized for preparing the tablets was a
228 bench-top semi-automatic hydraulic press from Specac Ltd. (Slough, UK) equipped with flat
229 faced dies of 13 mm diameter. Tablets were characterized for porosity, hardness,
230 disintegration time and friability. All tests were carried out in triplicate (n=3).

231 **Tablet hardness**

232 A tablet hardness tester from Schleuniger (Thun, Switzerland) was used to examine the
233 hardness of three tablets of each formulation. Hardness is the force required to break up the

234 tablet from its original structure and was measured in Newtons (N) for this study. All
235 measurements were carried out in triplicate and the values reported as mean ± standard
236 deviation.

237 **Tablet disintegration**

238 The disintegration time was obtained using the standard USP moving basket apparatus (USP
239 Convention, 2005). A ZT3 disintegration tester from Erweka (Heusenstamm, Germany) was
240 used. A tablet was placed in the disintegration basket (without using a disk) which was raised
241 and lowered at a constant frequency of 30 cycles/min in the disintegration medium. Distilled
242 water (800 mL) maintained at 37°C was used as the disintegration medium while disintegration
243 time was recorded for one tablet at a time to improve accuracy of recording. Time of
244 disintegration was recorded when all the disintegrated fractions of tablet passed through the
245 mesh at the base of the disintegration basket.

246 **Tablet friability**

247 The ability of the tablets to withstand mechanical stress, known as friability was measured
248 using a Roche friabilator from J. Engelsmann AG (Ludwigshafen, Germany). 10 tablets were
249 rotated at 25 rpm for 100 revolutions. Tablets were de-dusted before and after the test, and
250 friability expressed as the percentage loss in weight. The percentages loss in weight (%
251 Friability) was calculated using the following equation.

252

$$253 \quad \% \text{ Friability} = \frac{\text{Initial Weight} - \text{Final weight}}{\text{Initial weight}} \times 100$$

254 **Tablet porosity**

255 Tablet porosity was measured using a helium multipycnometer from Quantachrome
256 Instruments (Syosset, USA). One tablet was placed in a micro sample cell of the instrument
257 and the true volume V_t was obtained using the equation:

258

259
$$V_t = V_C - V_R \left(\frac{P_1}{P_2 - 1} \right)$$

260

261 Where V_t is true volume of the sample, V_C is volume of the sample cell, V_R is the known
262 reference volume, P_1 is atmospheric pressure and P_2 is pressure change during
263 determination. V_t was used to calculate the true density of the tablet by weighing the tablet
264 and substituting the values into:

265
$$\text{True Density} = \frac{\text{Tablet Weight}}{\text{True Volume}}$$

266 Porosity (ϵ) was calculated using the equation:

267
$$\epsilon = 1 - \left(\frac{\text{Bulk Density}}{\text{True Density}} \right)$$

268 Bulk density was calculated from:

269
$$\text{Bulk Density} = \frac{\text{Tablet Weight}}{\text{Bulk Volume}}$$

270

271 Bulk volume was acquired by measuring the radius (r) and thickness (h) of the tablet using a
272 digital calliper and substituting in the equation for volume of a flat-faced tablet:

273

274
$$V = \pi \times r^2 \times h$$

275 **Statistical analysis**

276 One way ANOVA followed by Tukey post-hoc test or student t-test were performed according
277 to the obtained results, using GraphPad Prism 6.02 software (California, USA). Statistical
278 significance was considered at a p value <0.05. Where applicable, all results are presented
279 as mean \pm SD for triplicate measurements to account for the noise encountered within the
280 experiments.

281 **Results and Discussion**

282 The work presented in this study provides a systematic investigation on the impact of moisture
283 content of MCC on powder and tablet performance. Moisture content of the pre and post
284 processed materials; MCC, D-mannitol, crospovidone, magnesium stearate and the ternary
285 mixtures were analysed using TGA for loss on drying. These excipients were selected based
286 on their role as binders, fillers, disintegrants or dual functional binder/disintegrant systems
287 within ODTs. The majority of the work on moisture content was conducted with MCC as it is a
288 hygroscopic excipient that is commonly employed within ODTs as a binder/filler^[17].

289 **Moisture content of the investigated excipients**

290 **Figure 2** (a) shows the levels of moisture obtained from each of the studied excipients through
291 TGA analysis. It was seen that D-mannitol had the lowest moisture content, at about 0.5%
292 w/w compared to MCC, which had a moisture content of 3.8% w/w. This was in line with the
293 literature findings where the moisture content of MCC was reported to be around 3-4% w/w^[18].
294 with D-mannitol expected to have low moisture content due to its non-hygroscopic nature^[8].

295

296 In this study it was hypothesised that the levels of moisture within MCC influenced the physio-
297 mechanical properties of the particles, including their hardness/tensile strength, flow and their
298 compaction behaviour. In order to achieve different levels of moisture within MCC, the micro-
299 spray method was used to increase levels of adsorbed water in the MCC to two different levels
300 compared to the control MCC (4%) (MCC 1), which had not been subjected to moisture
301 addition. The moisture contents investigated were 11% w/w (MCC 2) and 40% w/w (MCC 3).
302 The three MCC powders were then subjected to a range of investigations to ascertain the
303 effect that the moisture had during processing, addition of further excipients and on the tablet
304 properties of the ODTs.

305 **Effect of moisture content on morphology and flow of MCC**

306 Good flow properties are a requirement for the successful manufacturing of tablets as it affects
307 mixing, content uniformity, tablet compression and scale-up operations^[19]. Flow properties of
308 the materials tested were primarily affected by the size and shape of the particles within the
309 powder, which in turn affected the cohesivity and the mechanical interlocking between the
310 particles^[20]. Flow properties were evaluated before mixing/tableting was carried out for the
311 different MCC powders. Powder flow properties of the different MCC powders were assessed
312 by measuring the angle of repose. The results showed significant differences (ANOVA,
313 $p < 0.05$) between the angle of repose of the powders, with MCC 2, at 11%w/w moisture
314 content, demonstrating the best flowability with a low angle of repose at $29.60 \pm 0.86^\circ$, as shown
315 in **Figure 2(b)** when compared to the control MCC, which had a fair flow, with the angle of
316 repose of $38.52 \pm 0.67^\circ$. However at high moisture content of 40%w/w (MCC 3), poor flow was
317 observed, with the angle of repose at $52 \pm 0.61^\circ$, indicating that high levels of moisture
318 significantly worsened the flow properties of the powder^[21],

319

320 At low moisture levels, water on the particle surface acted as a lubricant by decreasing friction
321 and increasing the flowability of the powder thereby allowing the particles to move more easily
322 over each other. For MCC2 it can be hypothesised that the moisture was able to act as a
323 lubricant and increased the distance between the particles which also had the dual effect of
324 reducing the effect of the van der Waals forces and reducing the cohesive forces. Once
325 monolayer coverage was achieved, additional water did not significantly contribute to the
326 lubricating and spacing effect and therefore further enhancements in flowability were
327 minimal^[22],

328 On the other hand, MCC showed a sharp decrease in flowability with increasing moisture
329 content up to 40% W/W. This was attributed to the increased cohesion from the stronger liquid
330 bridges formed from the condensed water on the surface of the particles. At higher moisture
331 levels, the water possibly increased cohesion through stronger liquid bridges thereby reducing

332 flowability. Furthermore, water could primarily affect cohesion by increasing capillary forces
333 through strengthening liquid bridges between the particles^[23, 24]. When the angle of repose test
334 was carried out, it was also observed that MCC adhered to the funnel, (**Figure 2(e)**),
335 demonstrating that not only did the powder become more cohesive in nature, it also became
336 more adhesive to external surfaces, indicating a worsening flow.

337

338 Analysis of SEM images after curing of MCC powder showed a slight enlargement in size with
339 MCC 2 (at 11% moisture content), as shown in **Figure 2 (g)** which possibly was an additional
340 factor for improved flowability, as the larger particle size results in a reduction in cohesivity of
341 the particles due to lower electrostatic forces, thereby enhancing the flow of particles ^[25]. It
342 could also be said that the fine particles contained within the powder were also able to
343 agglomerate/coat the larger particles, resulting in an increased particle size, due to the
344 increased cohesivity, which reduced the overall cohesiveness of the blend and synergistically
345 worked with the lubricating effect of the surface adsorbed water to improve the flow of MCC.

346

347 **The effect of process parameters on MCC moisture content**

348 To assess the effects of processing parameters on the moisture content of the MCC powders,
349 three different parameters were used with each of the powders of MCC to analyse the effect
350 on the resultant moisture content.

351

352 In this study a novel composite coater designed and built in our laboratory was used as the
353 mixer of choice, and the effect of processing parameters within this device were assessed
354 (**Table 1**). The first parameter was to mix the powder at a low speed of 300rpm for 10 minutes
355 to achieve interactive mixture (10 minutes was chosen as previous work in the group had
356 shown that this duration produced a homogenous interactive mix). The second processing
357 parameter included the composite coater at a speed of 1500rpm for 60 minutes, which would
358 be used to form composite dry coated particles due to the high shear forces generated by the

359 device. The third parameter had the device at the same speed and time as the second
360 parameter (1500rpm for 60 minutes) but with the inclusion of air to increase the
361 deagglomerating and shear forces during mixing and to aid and increase the dry coating
362 capabilities of the excipients used in the mix. The resultant moisture content of the three MCC
363 powders after undergoing the different processing parameters are displayed in **Table 2**.

364

365 The interactively mixed powders at 300rpm are shown in **Figure 3(b)**. The results showed no
366 significant difference (ANOVA $p>0.05$) between the moisture content over time, indicating the
367 mixing method had little effect on the moisture. Similarly, **Figure 3 (b)** shows that no significant
368 difference in moisture content was observed using composite mixing without including air
369 pressure (ANOVA $p>0.05$) in all three powders.

370

371 Results of the moisture content over time using air in the mixing process are shown in **Figure**
372 **3 (c)** and demonstrated that the use of air at a mixing speed of 1500 rpm resulted in a
373 significant decrease in the moisture content of MCC ($p<0.05$). This could possibly be attributed
374 to the formation of vortexes/whirlpools within the system upon fluidisation of powder bed,
375 which was demonstrated by computational fluid dynamics (CFD) (data not shown). This vortex
376 was responsible for the fluid environment in the chamber resulting in the enhancement of the
377 drying of the powder; hence there was a large reduction in moisture content of the powders
378 when air was introduced during mixing. This led to the hypothesis that use of air in the
379 processing of high moisture excipients could therefore be used to optimise levels of moisture
380 within the excipient to the user's desired levels, with processing times altered according to the
381 required final moisture content.

382

383 **Mechanistic investigation of adding excipients and its effect on the**
384 **moisture content of MCC**

385 To assess the effects of excipient addition on moisture content, mannitol and crospovidone
386 were added to the different MCC powders. For interactive mixing, all three materials were
387 added together and mixed for 10 minutes. For composite coating, excipients were added in a
388 two-step process. Firstly to optimise the amount of mannitol added to form a full surface
389 coverage around the MCC particles, surface coverage was calculated using equations by
390 Yang et al (2005) with the following parameters; true density of MCC being 1.94g/cm³ and D-
391 mannitol 1.67 g/cm³; particle size of MCC being 2 50µm and D-mannitol 25.9µm, resulting in
392 the percentage per weight of mannitol to achieve complete coverage calculated at 30.28%.
393 This amount of guest particle (mannitol) was in agreement with the results stated in ^[16] as with
394 a volume ratio of 5 the average coverage was around 56%. The value for surface coverage
395 would be significantly reduced upon the reduction in particle size of mannitol or increase in
396 particle size of MCC. The second step involved the addition of the remaining portion of the
397 mannitol, alongside the addition of the crospovidone which was mixed for a further 30 minutes
398 to form the final mixture.

399

400 **Figure 4(a-c)** show the moisture content profiles of the interactive against compositely mixed
401 powders. All graphs indicated a reduction in the moisture content when the materials, in
402 particular mannitol, were added to MCC, compared to MCC alone (ANOVA, p<0.05). With the
403 interactive mix there was a large drop in the MCC moisture content for all three of the powders
404 tested when the excipients were added to the powder blend and mixed over the 10 minute
405 time period. In terms of the composite blends, SEM images, in **Figure 4(e&f)**, showed that the
406 mannitol was attached to the surface of MCC 2 particles and formed a coat around the MCC.
407 **Figure 4(b&c)** showed the moisture loss of the two composite coating processes, without air
408 and with air respectively, and both indicated very large drops in moisture content after 60
409 minutes, due to the addition of the excipients. With the mixing that included air, as shown in

410 **Figure 4(c)**, the moisture content was expected to reduce more dramatically as the air within
411 the chamber aided in the drying of the MCC powder. Alongside the use of air, the addition of
412 excipient resulted in around 35% of moisture being lost in the first 10 minutes for MCC 3. In
413 comparison to the use of air alone **Figure 3(c)** where the moisture loss after 10 minutes was
414 around 25%, it showed that the addition of excipients was a key factor in the loss of moisture
415 from the MCC particles. Comparing air and excipients, it was seen that the moisture loss of
416 the MCC at 1500 rpm with air was very similar to when the mannitol was added to the MCC
417 without air at a 1500 rpm mixing speed, with the moisture content of MCC 3 dropping to around
418 15% in both cases.

419

420 It was hypothesised that the water particles acted as a guest molecule and surrounded MCC
421 during the introduction of external moisture. However, once the mannitol was added to the
422 mix, it attaches itself to the surface of the MCC during the coating process, to replace water
423 molecules, as there was a difference in the densities between mannitol and water, with water
424 having a relative density of 1g/cm^3 and mannitol density being 1.67g/cm^3 . Therefore, it was
425 assumed that water droplets were knocked out from the surface of MCC by mannitol, which
426 resulted in the reduction in the moisture content observed in **Figure 4(d)**. Of particular interest
427 was the composite mix without air, shown in **Figure 4(b)**, where there was a large loss of
428 moisture observed upon the addition of the first portion of mannitol, with around 25% moisture
429 loss within 10 minutes of mixing followed by a plateau of moisture loss up until 30 minutes.
430 However upon the second stage of excipient addition at 30 minutes, there was a further large
431 drop in moisture content between 30-40 minutes by around 10%, which again plateaued. This
432 indicated that the addition of other solid materials in to the powder blend clearly resulted in a
433 loss in moisture as increased amounts of water were displaced from the surface of the MCC
434 particles during the addition of further solid material. This supported the theory that water was
435 substituted on the surface of MCC particles, as shown in **Figure 4(d)**, as the addition of the
436 excipients in two stages resulted in further loss of water at each stage of excipient addition.

437 To further understand these differences and to substantiate the above hypothesis, micro and
438 macro properties of the materials were studied using a range of different techniques.

439

440 **Investigation of the Micro and Macro properties of Ternary mixed powder** 441 **blends**

442 **Micro Property assessment using AFM, Nano indentation and SEM**

443

444 Nanonindentation was used to assess the micro-mechanical properties of the different MCC
445 particles, with penetration resistance and hardness being two key features assessed. Wafers
446 were prepared to give a uniform flat surface, as nanoindentation only tested local to the sample
447 surface on to which the indents were performed. Wafers with the three different moisture
448 contents of MCC and the interactive/compositely mixed powders were prepared and were
449 subjected to the nanoindentation test, to examine viscoelastic behaviour and their elastic
450 modulus and hardness. Modulus and hardness of the wafers prepared from the three MCC
451 moisture contents and powders compositely mixed at 1500 rpm with and without air were
452 obtained and displayed in **Figure 5(a,b&c)** respectively. With regards to the pre-processing
453 materials, MCC 1, MCC 2 and MCC 3 pellets were subjected to the nanoindentation test and
454 the load penetration graph is shown in **Figure 5(d)**. The penetration of the nanoindenter on
455 the surface of the pellet was governed by many features, for example the degree of
456 compaction of the particles in the pellet and the structure and porosity of the particles^[26]. MCC
457 1 and MCC 2 showed similar profiles, indicating approximately the same absorption of energy
458 during the loading/unloading cycle. In MCC 3 penetration was much less and the deformation
459 predominantly showed an elastic profile. MCC 3 was found to have the lowest modulus at
460 around 3.34 GPa and hardness around 17 Vickers, which could have been due to high
461 moisture content and wide particle size distribution, giving rise to porous aggregates, which
462 were subsequently confirmed by visual and SEM analysis in (shown in section 3.1). The

463 results of the modulus and hardness of the different MCC powders showed a significant
464 difference (ANOVA, $p < 0.05$).

465

466 Data from AFM also showed that MCC 3 was composed primarily of smooth surface
467 topography particles with the lowest average roughness Ra of approximately 35nm, as shown
468 in **Figure 6(a)**. This was possibly due to the high levels of adsorbed moisture on the surface
469 on the particles, which resulted in a smoother surface^[27]. The highest modulus and hardness
470 was observed with MCC 2, and these values correlate to the AFM readings whereby particle
471 roughness was highest.

472

473 A major change in hardness and modulus was observed in compositely mixed blends shown
474 in **Figure 5(b&c)** compared to pre-processing materials. This experiment provided evidence
475 that MCC was coated by mannitol as a sharp decrease in hardness and modulus of the
476 particles was observed. The decrease in mechanical properties indicated that the surface of
477 MCC was coated with mannitol. Mannitol has lower compactability when used in tablet
478 formulation, giving tablets of a lower mechanical strength; hence, mannitol had undergone
479 fragmentation under pressure, resulting in the formation of weak wafers^[28].

480 In addition, previous research from our group has stated that the needle shape of the particles
481 of mannitol results in its low compactability^[20]. To further support the fragmentation pattern,
482 AFM topographical analysis was performed which showed a considerable number of
483 asperities that were liable to damage when slight force was applied using the AFM cantilever.
484 Additionally, morphological studies using SEM showed columnar/longitudinal particles for pure
485 mannitol in comparison to MCC which was primarily composed of irregularly shaped particles
486 with microfibrillar structure^[20]. Using one way ANOVA, results of modulus and hardness
487 demonstrated no significance difference between composite mix with/without air flow ($p > 0.05$).
488 Furthermore, AFM confirmed the smooth surface of particles when no air was included (**Figure**
489 **6(e)**), whereas, the composite mixing with air presented a very high roughness (Ra was 534
490 approaching approximately five times that of composite mixing without air) (**Figure 6 (a)**).

491 **Macro properties of ternary mixed powder blends**

492 In this section tablet properties of the different ternary mixtures of powders containing the
493 different MCC moisture content powders were investigated. Disintegration time, hardness and
494 porosity were both affected by the increase in moisture content possibly as a result of the
495 different densification mechanisms of the powder bed and particulate deformation due to the
496 fragmentation of mannitol and plastic deformation of MCC^[29].

497

498 **Investigation of the effect of moisture content on mechanical properties of ODTs**

499 The results of tablets made from ternary mixtures comprising of 64.5% w/w mannitol, 30%
500 w/w MCC (different moisture contents), 5% w/w crospovidone and 0.5% w/w magnesium
501 stearate showing the relationship between moisture content and hardness/friability, are
502 depicted in **Figure 7**(a-c). With regards to the interactive mixture, using MCC 2 where the final
503 moisture content of the powder came to approximately 2.7% w/w, provided tablets with
504 increased compact strength whereas at higher moisture contents, using MCC 3 (>4% w/w final
505 moisture content) a dramatic reduction in tablets hardness was obtained as shown in **Figure**
506 **7** (a&b). The initial increase in crushing strength of tablet compacts with increasing moisture
507 content up to 2.7% w/w was possibly due to the hydrodynamic lubrication effect of moisture,
508 which allowed a greater fraction of the applied force to be diffused through the compact on to
509 the lower punch. Meanwhile, an initial increase in moisture content resulted in a higher
510 crushing strength, due to increased particle-particle interaction. Consequently the increased
511 moisture possibly improved plastic deformation^[30].

512

513 With regards to the composite blend without the inclusion of air, it was clear that increased
514 moisture content up to 2% w/w resulted in an improvement of the tablet hardness. For
515 example, the MCC 2 formulation (2.1% w/w moisture content) had a hardness of 52N, whereas
516 the hardness of tablets with MCC 1 (1.8% w/w moisture content) was 29N. It is possible that
517 the increased amount of moisture contributed to an increase in the initial consolidation rate as

518 well as the final granule consolidation during compaction as the moisture acted as a low
519 viscous binder^[31].

520

521 The use of the composite dry powder coating process without air to form a final 2.1% w/w
522 moisture content (MCC 2) resulted in enhancement of the hardness profile of the tablets, up
523 to 80%, when compared to 1.8% w/w moisture content powder (using MCC 1), as shown in
524 **Figure 7 (b)**. This was attributed to the strong adherence of the fine mannitol particles to the
525 surface of MCC. Furthermore, the increase in hardness due to the moisture content and
526 coating may have been due to the formation of a mono molecular layer of moisture around the
527 powder particles. This film of moisture could enable the formation of interparticle hydrogen
528 bonding and/or increased the van der Waals' forces, therefore smoothing out the surface micro
529 irregularities and dropping interparticle separation^[32].

530

531 The presence of excessive moisture decreased the compact strength, by reducing the
532 hydrodynamic resistance and therefore increasing elastic recovery after ejection^[33]. A high
533 compaction force and high moisture content may have also led to a significant moisture
534 squeeze out onto the particle surface, thus reducing interparticle bonding and thereby
535 increasing elastic recovery resulting in a reduction of the crushing strength^[30]. A previous study
536 found that sodium chloride compacts containing higher moisture content had lower strength^[18].
537 Another possible explanation for a decrease in hardness at high moisture content was the
538 formation of multilayers of water at the particle surface. These layers may have disturbed or
539 decreased inter molecular attraction forces and thus reduced tablet strength^[34].

540

541 Overall, a proportional relationship between the tablet hardness and friability was seen; as
542 hardness increased the friability was improved in all approaches. For example, hardness in
543 **Figure 7(a)** showed that at 7.7% w/w moisture content, the tablets had the lowest hardness
544 value at $13.57 \pm 3.32\text{N}$ and the highest friability percentage at 7.6%. While, the highest

545 hardness of $51.9\pm 2.35\text{N}$ with lowest friability of 2.38%, was found with 2.1% w/w moisture
546 content as shown in **Figure 7** (b).

547

548 It was also observed that post friability test, capping of prepared tablets increased with the
549 increased moisture content ($>4\%$ using MCC 3) as shown in **Figure 7** (g). The tendency to
550 cap may have increased due to the weakening of the interparticle bonds as a result of the
551 disruption of molecular forces and greater separation of the MCC particles by excess moisture
552 ^[30].

553 **Effect of moisture content on disintegration time and tablet porosity**

554 **Figure 8** shows the effect of moisture content on tablet disintegration time and porosity. For
555 example, at 7.7% w/w moisture content (with MCC 3) using interactive mixing at low speed
556 (300 rpm), the tablets had a disintegration time of $7\pm 1\text{s}$ whereas those prepared from 1.2%
557 moisture powders (using MCC 1) had a longer disintegration time of $39\pm 2\text{s}$ ($P<0.05$), **Figure**
558 **8(a)**.

559

560 The porosity results during interactive mixing, shown in **Figure 8** (a), were consistent with
561 disintegration results as the increase in moisture content caused a significant increase in
562 porosity and a sharp decrease in disintegration time (ANOVA, $p<0.05$). This suggested that
563 the high amount of moisture content may have led to creating a freely moving environment of
564 the particle that contributed to finding the most suitable compact configuration; while
565 disintegration time was prolonged at low moisture content as the reduction of pores reduced
566 the ability for water to penetrate and break up the tablet. Although tablets retained high
567 porosity, which is important to enhance water penetration and disintegration of tablets, their
568 hardness was insufficient at $14\pm 3.3\text{ N}$ (**Figure 7** (a)). Additionally, increasing particle size
569 range may have led to larger void spaces, which yielded a growth in porosity. Interestingly,
570 when scanning electron microscopy (SEM) tests were carried out, it was recognized that a

571 small increase in particle size of the MCC 2 moisture content particles was observed
572 compared to MCC 1.

573

574 These increases in average particle size of the MCC 2 powders could be referred to as the
575 coalescence process, at which the particles combined to form big clusters. Therefore, it is
576 possible that the increased non-viscous binder (water) led to improved hardness, friability,
577 disintegration time and porosity of tablets as the increased moisture created free movement
578 for particles, increasing the consolidation process and decreasing the coalescence
579 processes^[31].

580 **Conclusion**

581 Manufacturing powders with differing levels of moisture content resulted in an alteration in the
582 powder morphology as observed from SEM and AFM studies. This study showed that the
583 amount of moisture content within MCC affected the mechanical properties of the subsequent
584 powders and it was concluded that inclusion of 11% MCC moisture content resulted in the
585 most flowable powder with favourable ODT characteristics, as tablets displayed increased
586 hardness when formed using direct compression. Extreme moisture contents in pre-
587 processing materials could be reduced using varying process parameters using composite dry
588 coating, as well as mixing of the powders with excipients designed to dry coat the surface of
589 the high moisture content carrier particles. The understanding of tableting performance of
590 excipients at the particle level (nanoindentation study) would facilitate the rational design of
591 ODT formulations through consideration of the main factors that contribute to high hardness
592 and fast disintegration which in turn would considerably accelerate product development.

593

594 **Conflict of interest**

595 The authors confirm that this article content has no conflicts of interest.

596

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681
682
683

684 **Table 1:** Formulation content and processing parameters of MCC (carrier) and D-mannitol (guest)
 685 (mannitol particle size <38 µm) used for composite and interactive mix.

Formulation	Mannitol (%,w/w)	MCC (%,w/w)	Crospovi- done (%,w/w)	Mg stearate (%,w/w)	Mixing Technique	Duration (min)	Speed (rpm)	Air Pressure (PSI)	Batch size (g)
F1	64.5	30	5	0.5	Interactive	10	300	NO	10
F2	64.5	30	5	0.5	Composite	60	1500	NO	10
F3	64.5	30	5	0.5	Composite	60	1500	YES	10

686

687

688 **Table 2:** Initial and final moisture contents for MCC at different processing parameters using powder
 689 coater (rpm: revolutions per minute)

Initial MCC Powder moisture content %	Process Parameter	Final Moisture Content % Mean ± SD (n=3)
MCC1 (4%)	300rpm	3.7 ± 0.53
MCC 2 (11%)		9.16 ± 0.84
MCC 3 (40%)		37.7 ± 3.74
MCC1 (4%)	1500rpm	3.41 ± 0.02
MCC 2 (11%)		7.33 ± 0.93
MCC 3 (40%)		35.31 ± 0.93
MCC1 (4%)	1500rpm + air flow	1.28 ± 0.14
MCC 2 (11%)		2.96 ± 0.22
MCC 3 (40%)		8.38 ± 0.622

690















