Nonlinearities in the binocular combination of luminance and contrast

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Abstract
We studied the rules by which visual responses to luminous targets are combined across the two eyes. Previous work has found very different forms of binocular combination for targets defined by increments and by decrements of luminance, with decrement data implying a severe nonlinearity before binocular combination. We ask whether this difference is due to the luminance of the target, the luminance of the background, or the sign of the luminance excursion. We estimated the pre-binocular nonlinearity (power exponent) by fitting a computational model to ocular equibrightness matches. The severity of the nonlinearity had a monotonic dependence on the signed difference between target and background luminance. For dual targets, in which there was both a luminance increment and a luminance decrement (e.g. contrast), perception was governed largely by the decrement. The asymmetry in the nonlinearities derived from the subjective matching data made a clear prediction for visual performance: there should be more binocular summation for detecting luminance increments than for detecting luminance decrements. This prediction was confirmed by the results of a subsequent experiment. We discuss the relation between these results and luminance nonlinearities such as a logarithmic transform, as well as the involvement of contemporary model architectures of binocular vision.

Keywords: binocular vision, luminance, contrast, matching, binocular summation

1 Introduction

Binocular combination of luminance has been studied for over 150 years (e.g. Fechner, 1860). A typical experimental paradigm involves matching the brightness (i.e. the perceptual experience of luminance) of a standard binocular stimulus—with the same luminance in each eye—to a matching stimulus with different luminances in each eye. By varying the interocular ratio of luminances in the matching stimulus, an equibrightness contour can be constructed, on which each point represents a stimulus combination (L, R) with equivalent brightness to the standard (B) (see Levelt, 1965; Engel, 1970; Anstis & Ho, 1998).

An example of such a contour is shown in Figure 1a, normalized and replotted from Engel (1970). Also shown are three canonical curves representing linear summation of left and right luminance excursions (B = L + R), quadratic summation (B^2 = L^2 + R^2) and a winner-take-all operation (B = MAX(L, R)). The data fall close to the linear contour over most of the range, but fold back to lower luminance excursions close to each axis. The fold back is related to Fechner’s paradox—the observation that the appearance of unequal luminances in the two eyes can seem dimmer than the brighter luminance viewed monocularly (Fechner, 1860, Curtis & Rule, 1980).
This finding is typical when the target region involves luminance increments against a dark background (Levelt, 1965; Engel, 1970; Anstis & Ho, 1998). However, very different results have been reported when the target luminances are lower than their background (Anstis & Ho, 1998). For this arrangement, the results are much closer to the winner-take-all prediction, implying that the eye viewing the darker target (i.e. the greater luminance excursion relative to the background) determines perceived brightness (see Figure 1b).

What is the critical factor for obtaining these different types of results (Fig. 1)? There are three possibilities: the absolute luminance of the target, the absolute luminance of the background, and the polarity (increment or decrement) of the target relative to the background. To answer this question and to better understand the rules of binocular combination, we performed a series of binocular luminance matching experiments for a stimulus set that included both increments and decrements in luminance and combinations of the two (i.e. changes in contrast). Our results are described by a simple equation and discussed in relation to other results in the literature, similarly (re-)analysed. We also discuss more elaborate models, such as a contemporary binocular gain control model, and consider contrast metrics that might be applied to increment and decrement stimuli.

2 Methods

2.1 Apparatus & Stimuli

All stimuli were presented on a Clinton Monoray monitor using a ViSaGe stimulus generator (Cambridge Research Systems, Kent, UK) controlled by a PC. Ferro-electric shutter goggles (CRS, FE-1) allowed presentation of different stimuli to the left and right eyes with negligible crosstalk. The monitor was gamma corrected using a four-parameter function that accounted for the true luminance output at an input level of 0 (i.e. the ‘black level’). This ensured that a dark background was as close to 0 luminance as possible. We measured the luminance range using a photometer (Minolta LS-110) as having a minimum of <0.01 cd/m² and a maximum of 160 cd/m². All luminances were subject to a further eightfold attenuation (0.9 log units) by the frame-interleaving shutter goggles, which are equivalent to a neutral density filter. All luminances reported below are those at the eye, following this attenuation.

The main stimulus was a disc 1° in diameter, displayed in the centre of a square background region 18.5° wide. The luminance of the disc and that of the background were manipulated in the experiments (with 14-bit resolution). We also ran a condition in which the target was a bipartite field, the upper half of which was an increment relative to the background and the lower half a decrement of equal magnitude. Examples of all
stimuli are shown in Figure 2. The luminances of the standard were 1, 2, 4 and 8 cd/m² for the increment on a dark background. For the mid-grey background, standard luminance excursions for increments and decrements were ±0.5, 1, 2 and 4 cd/m². For the bipartite field, the standard contrasts were 5, 10, 20 and 40%, where contrast is percent Michelson contrast \( \left( =\frac{100*(L_{\text{max}}-L_{\text{min}})}{L_{\text{max}}+L_{\text{min}}} \right) \), where \( L \) is luminance.

A two-interval matching procedure was used to estimate the point of subjective equality at which the standard and matching stimuli appeared equal in luminance or contrast. The standard always had the same luminance or contrast in each eye, the magnitude of which was varied experimentally. The matching stimulus had a fixed ratio of luminance (or contrast) across the eyes, the absolute magnitude of which was controlled by a pair of 1-up, 1-down staircases (Meese, 1995) moving in logarithmic steps of luminance (or contrast). The ratios of left:right eye magnitude were 0, 0.16, 0.32, 0.51, 0.73 and 1, with equivalent values for the right:left eye ratios. Stimuli were presented for 200ms, with an interstimulus interval of 400ms. The staircase data were fit with a cumulative log-normal function using Probit analysis (Finney, 1971) to estimate the point of subjective equality, which was plotted as a function of left- and right-eye intensity (see Figures 3–6). Note that for data gathered this way, the error bars lie on radial lines that converge at the origin.

2.3 Observers

Two of the authors served as observers (DHB & SAW). Both were psychophysically experienced and had normal stereopsis, no abnormalities of binocular vision and no need for optical correction.

3 Results

Results for luminance increments on a dark background are shown in Figure 3. These are consistent with typical findings in the literature (Levelt, 1965; Engel, 1970; Anstis & Ho, 1998), showing near-linear behaviour for much of the function but folding back near to each axis. The linear portion of the functions extend over a greater range at higher standard luminances (stated in each panel), particularly for DHB.

For increments on a lighter (mid-grey) background, the results were markedly different (Figure 4), with fewer points falling near the linear predictions shown by the oblique dotted lines. These results imply a stronger nonlinearity underlying binocular combination on a light background than on a dark background, although the nonlinearity is not as severe as winner-take-all behaviour (dashed lines).

This change in character could be caused by either the higher background luminance or the smaller difference between background and target luminances in this condition. Legge & Rubin (1981) reported similar functions for full-field luminance increments on a background (pedestal) luminance of 10cd/m².

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1 A data point lying on an axis had a left:right eye luminance excursion ratio of 1:0 (i.e., it was monocular), so the error bar is constrained to lie only along the axis. For a ratio of 1:1, the luminances, and hence the errors, are equal in both \( x \) and \( y \) directions, so the error bar is at 45°. Intermediate ratios produce error bars at angles between these extremes.
Figure 3: Results for luminance increments against a dark background. Data are normalized to the appropriate standard luminance and shown for two observers in different panels. The standard luminance is given in the upper right corner of each plot and the background luminance was always <0.01cd/m². The error bars (showing ±1SE) are radial because the matching luminances for the left and right eyes were constrained to be a fixed ratio for each point (see the Procedure section). In most cases these are smaller than the symbols. The dotted and dashed lines show predictions of linear and winner-take-all combination rules respectively (see Figure 1). Curves are the best fit of an equation described in the text, which had one free parameter.

Figure 4: Results for luminance increments on a mid-grey background (10cd/m²), plotted in the same format as Figure 3.
Figure 5 shows results for a condition in which the background lumina (10 cd/m²) was the same as in the previous experiment but the central target luminance was lower than this (i.e. it was a luminance decrement). The red curves fall increasingly close to the winner-take-all predictions as the standard decrement becomes larger. This result is consistent with the findings of Anstis & Ho (1998) and is most profound for the greatest decrements, as we demonstrate and discuss in the modeling section below.

In a fourth condition, we manipulated target contrast using a bipartite field as the stimulus. The curves measured in this condition (Figure 6) resemble those for decrements on a mid-grey background (Figure 5). We also found similar results for one observer (DHB) using a 1c/deg Gabor patch as a target (not shown).

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Figure 5: Results for luminance decrements on a mid-grey background (10cd/m²), plotted in the same format as Figure 3. Note that here the luminance excursion was a reduction in luminance relative to the background.

4 Computational modeling

4.1 A descriptive model

Several computational models have been proposed to describe binocular luminance and contrast matching results (see Grossberg & Kelly, 1999 for a review). One of the earliest and most general is the equation proposed by the physicist Erwin Schrödinger (1926; see MacLeod (1972) for details). This is defined as,

\[ B = \frac{L^{2\gamma} + R^{2\gamma}}{L^{\gamma} + R^{\gamma}}, \]

where \( L \) and \( R \) are the left and right eye absolute luminance deviations (e.g. \( L = \text{abs}(L_{\text{centre}} - L_{\text{surround}}) \)) or, for the bipartite fields, target contrasts, and \( \gamma \) is the only free parameter. Varying \( \gamma \) produces a family of equibrightness contours of differing curvature, as shown in Figure 7a. Note that the denominator term influences the overall nonlinearity, so that even when \( 2\gamma=1 \), the model is not equivalent to the simple linear model discussed in the Introduction. The other effect of the denominator is to produce the fold back close to the axes, often observed empirically but not a property of the more simplistic binocular combination schemes described above.
Equation 1 provides a good description of the family of curves obtained in binocular luminance and contrast matching experiments. The value of the single parameter ($\gamma$) provides a quantitative index of the nonlinearity implied by an equibrightness contour. We exploit this property in order to simplify the presentation of our results and to address the relationship between the effects of target and background luminance in binocular combination.

Each set of equibrightness data was normalized by expressing it as a percentage of the luminance (or contrast) of the appropriate standard. We then used a simplex algorithm (in Matlab) to find the value of $\gamma$ that minimised the root mean square (RMS) error between model and data in logarithmic (dB) units and in the radial direction. An example fit is shown in Figure 7b and model curves for each condition are plotted in Figures 3-6. Fits produced a mean RMS error across the data set of 0.83dB ($N=32$ equibrightness contours) with the poorest fit in the set having an RMS error of 1.32dB (lower right panel of Figure 3a).
As might be expected from examining the raw data, increments tend to produce lower exponent values (i.e. less nonlinear behaviour) than decrements. Figure 8a shows the fitted exponents plotted against the luminance difference between target and background (negative differences indicate decrements). The relationship between luminance difference and exponent is monotonic and approximately linear when plotted with a logarithmic ordinate (as here). Also included are exponent values for the bipartite contrast condition (data from Figure 6). These are plotted against the luminance difference between the background and the decrement portion of the bipartite field. This provides a good correspondence with the exponents from the other conditions, whereas plotting the exponent against the difference between the background and the increment portion does not (see inset to Figure 8a). This provides a powerful demonstration that the decrement region of the bipartite field determines the character of binocular combination (when inputs are expressed in linear units – see below).

Figure 8b shows exponent values derived from our fits to previous results in the literature. (Data were scanned in from the relevant figures and then fitted in the same way as those from the present study. See figure legend for the origin of each data point.) These results follow a similar trend to our own (Figure 8a). Note that the range of luminance values within the meta analysis (Figure 8b) is considerably greater than was available to us in our own

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study. Neither plot (Figure 8a or 8b) appears well disposed to delivering a precise relation between luminance difference and exponent. However, the clear message from both analyses is the general trend that the exponent increases as the luminance difference decreases. Luminance increments tend to produce quasi-linear binocular summation (low exponents, ∗ ∗ ∗ ∗ ) while luminance decrements promote winner-take-all (high exponents, ∗ ∗ ∗ ∗).

4.2 Metrics for luminance and contrast

One of the central aims of this study was to attempt to understand binocular matching for luminance increments, luminance decrements and contrast changes within a single framework. To do this it is necessary to derive an appropriate metric that can describe all three conditions. Plotting results as luminance and contrast changes within a single stimulus) excursions (e.g. a sinusoidal or bipartite linear fashion than decrements, they should luminance increments are processed in a more luminance metric successfully removed the dependency of γ on signed luminance difference for our data (Figure 8c), with the caveats that Lbackground = 1 for a dark background to avoid division by zero, and that for contrast stimuli Ltarget was the luminance of the dark part of the stimulus (see Figure 8a). The slope of the best fit regression line reduced to near zero, and the (geometric) mean exponent value was γ=1.11. Using the log luminance difference did not increase the number of free parameters (this remained at one per curve), and did not affect the goodness of fit (mean RMS error was 0.83dB using both methods).

We confirmed that the log luminance ratio removed the effect of luminance sign on the exponent in equation 1 by calculating the Pearson correlation between luminance difference and exponent. For the present data, the correlation was highly significant (R²=0.61, p<<0.01) for linear scaling (Figure 8a), but not significant (R²=0.0002, p=0.94) for the log luminance metric (Figure 8c). For the data from previous studies, the correlation was greatly reduced (from R² = 0.55 to R² = 0.13) by the use of the log luminance ratio. Although both correlations were significant at p<0.05, the latter correlation (Figure 8d) was strongly influenced by the outlier sitting on the x-axis of this panel. Removing this outlier further reduced the correlation, below the level of significance (R² = 0.10, p>0.06). These analyses demonstrate that the log luminance ratio successfully accounted for the apparent change in nonlinearity as a function of luminance difference between target and background.

4.3 Binocular summation

Binocular summation is the improvement in sensitivity for two eyes compared with one. If luminance increments are processed in a more linear fashion than decrements, they should also produce higher binocular summation ratios (BSRs). This is because the amount of binocular summation is controlled by the nonlinearities placed before binocular combination, as illustrated by the curve in Figure 9b (see also Meese et al., 2006; Baker, Meese & Summers, 2007). In this section we
assume that the binocular response can be approximated as \( \text{resp} = L^m + R^m \), where \( L \) and \( R \) are the input contrast or luminance values for the left and right eyes, and \( m \) is an exponent. The value of \( m \) is assumed to encompass all nonlinearities occurring prior to binocular combination. It is thus the net nonlinearity (x-axis of Figure 9b), and so is not equivalent to the \( g \) parameter of equation 1.

A linear system (\( m = 1 \)) produces linear summation (BSR = 2), because to reach a criterion (threshold) response, a single eye must be given twice the input required by two eyes (assuming late additive noise). A system which squares its monocular inputs (\( m = 2 \)) before binocular combination (e.g. the quadratic summation model of Legge, 1984b) will produce weaker summation (BSR = \( \sqrt{2} \)) because a single eye requires less than twice the input given to two eyes in order to produce the same response (since \( 2^2 > (1^2 + 1^2) \)). Further nonlinearities after binocular combination do not affect summation (BSR), since equal responses at combination will remain equal thereafter, regardless of which eye(s) produced the response.

A consequence of the above exposition is that we should expect stimuli processed with a weak nonlinearity (i.e. increments) to show substantial binocular summation. Those processed with a strong nonlinearity (i.e. decrements) should show less summation. Despite the large number of studies reporting binocular summation for contrast (see Meese et al., 2006 for a review), we are not aware of any work that has investigated both increments and decrements in isolation. Part of the reason for this may be that experiments with increments are typically performed on a dark background, measuring the smallest detectable luminance. This requires that observers dark adapt for an extended period (>30 minutes) before reaching a stable detection threshold (e.g. Thorn & Boynton, 1974). Dark adaptation shifts the adaptive state of the retina into a very different dynamic range, making comparison with decrements problematic.

These issues can be sidestepped by performing summation experiments on a pedestal. As we have demonstrated previously (Meese et al., 2006), binocular summation can be measured by comparing discrimination thresholds for one or both eyes, with a pedestal present in both eyes in all conditions. This avoids confounding the number of eyes tested with the number of eyes seeing the pedestal (e.g. Legge, 1984a), allowing the summation process to be measured without the potentially interfering effects of counter-suppression between the eyes (Meese et al, 2006; Meese & Baker, 2011).

To test the prediction that there is greater summation for luminance increments, we performed a binocular summation experiment for both polarities of luminance target using the equipment and stimuli described above. Increments were on a black background (<0.01cd/m\(^2\)) with a pedestal of 8cd/m\(^2\). Decrements were relative to a bright background (20cd/m\(^2\)) with a (decrement) pedestal of -8cd/m\(^2\). An illustration of the conditions is shown in Figure 9a. Both observers (DHB and SAW) completed four repetitions of a 2IFC luminance discrimination task in which the increments and decrements were presented either monocularly or binocularly against a binocular pedestal. We pooled the data across all four repetitions for each condition and estimated thresholds (75% correct) using Probit analysis. Binocular summation was calculated as the ratio of the mean monocular threshold to the binocular threshold.

The results of this experiment are shown in Figure 9b and are very clear. Summation was strong for increments, around 6dB (a ratio of 2; white filled symbols) for each observer. For decrements, we found much weaker summation, around 3dB (a ratio of \( \sqrt{2} \); black filled symbols). The data are plotted at the appropriate points on the curve to permit estimation of the total effective monocular exponent implied by each summation value (e.g. the net nonlinearity before binocular summation, assumed to be a power function). For increments, the exponent is essentially linear, whereas for decrements it is around 2. This is qualitatively consistent with the results from our matching experiments showing that decrements are governed by a stronger nonlinearity than increments. Also plotted for comparison are summation ratios for 1c/deg gratings from Meese et al. (2006, values given on pages 1227 and 1235), both at and above detection threshold. These sit between the points for increments and decrements, implying an intermediate nonlinearity (~1.3).
Figure 9. Details and results of a binocular summation experiment. (a) The conditions for a final experiment measuring binocular summation for increments and decrements. Large rectangles represent the pedestal luminances, and small ones the target increment or decrement. Each pair of bars indicates the stimulus to the left and right eyes. (b) Results from the luminance summation experiment, along with those from Meese et al. (2006) using sinusoidal grating stimuli. The curve is the level of summation expected for a range of monocular exponents, defined as $\text{BSR} = 2^{1/m}$, where $m$ is the combination of all exponents prior to binocular combination (assumed to approximate a power function). Note that the curve is not a fit to the data. Rather, the data are superimposed onto the curve at $x$-values that permit estimation of the implied exponent from the empirical summation ratios.

5 Discussion

The purpose of this study was to investigate how target and background luminance affect the nonlinearity underlying binocular brightness perception. Our principal finding is that although this nonlinearity appears much stronger for decrements (Anstis & Ho, 1998) than for increments (Levelt, 1965; Engel, 1970; Anstis & Ho, 1998), a logarithmic transform of the ratio of target and background luminances removes this difference. We also demonstrate that a measure of binocular performance—the binocular summation ratio for luminance excursions—is similarly affected by the nonlinearities that we have observed.

5.1 Alternative models

Equation 1 is a simple construct and provides a useful description of our data. It must be noted, however, that many alternative models have been proposed to account for luminance matching results (e.g. Engel, 1969; deWeert & Levelt, 1974; Lehky, 1983; Anderson & Movshon, 1989; Grossberg & Kelly, 1999). Our aim was not to compare all of these models exhaustively, since this has been attempted elsewhere (Grossberg & Kelly, 1999). However, we note that many such models are elaborations of equation 1, often incorporating alternative weights or additional parameters into the same basic form. The influential model of Ding & Sperling (2006, 2007) is essentially identical to equation 1 for matching tasks at high contrast (see equation 15.27 of Ding & Sperling, 2007).

5.2 The two-stage contrast gain control model

One alternative model is the first stage of the two-stage binocular contrast gain control model of Meese, Georgeson & Baker (2006). (The second stage is an output nonlinearity, which is irrelevant to the matching paradigm.) That model was designed to explain data from contrast detection and discrimination experiments containing various ocular arrangements of pedestal and target (see also, Baker, Meese & Georgeson, 2007). The equivalent expression is,

$$B = \frac{L^m + R^m}{S + L + R},$$

which has obvious parallels with equation 1. For contrast tasks, the numerator exponent, $m$, takes on a value of around 1.3, and the denominator exponent is implicitly set to unity. However, it is plausible that one or both of these exponent values might differ for light and dark disks in the manner described above (see Figure 8). As might be expected from these formal similarities, the two-stage model successfully describes some of the contrast matching results from Legge & Rubin (1981) (see Figure 6D of Meese et al., 2006). With the addition of the second stage, the model also
Using Ganzfeld (i.e. full field where there is no obvious background region?) binocular combination behave in situations background luminance is important, how does the difference between target and Luminance matching without contours

The main departure from equation 1 is the inclusion of an extra parameter, termed S. This is typically small (S=1 for contrasts scaled to the range 0:100), and performs a similar function to the semisaturation constant in the Naka-Rushton equation (Naka & Rushton, 1966), influencing the sensitivity of the model at low inputs. For high input levels, S has a negligible impact, and matching curves are similar to those shown in Figure 7a (see black and red curves in Figure 10). At lower input levels (where L=R=S), the equibrightness contours do not fold back as much when approaching either axis. This produces a summation effect at low input levels (e.g. bin > mon, see orange and green curves in Figure 10), which has been reported for previous contrast matching experiments (Legge & Rubin, 1981; Baker et al., 2007a) and is evident in some of the results here (Figures 3-5, particularly for smaller standard increments and decrements).

The logarithmic transform could be compared (Gilchrist et al., 1999). Considered from a biological perspective, the luminance ratio might represent a cell with a centre-surround arrangement, such as a retinal ganglion cell. The logarithmic transform could represent local light adaptation or saturation in the retina, which can also be approximated by the Naka-Rushton function (Shapley & Enroth-Cugell, 1981) and decrements).

5.3 Luminance matching without contours

If the difference between target and background luminance is important, how does binocular combination behave in situations where there is no obvious background region? Using Ganzfeld (i.e. full field luminance) stimuli, Engel (1970) found that matching behaviour was very noisy and did not produce a reliable curve. This could be due to the lack of an ‘anchor point’ (a region of fixed luminance) to which the target luminances could be compared (Gilchrist et al. 1999). However, Bolanowski (1989) reported linear summation of Ganzfeld brightness using a rating scale method, so obtaining successful binocular brightness judgements from Ganzfelds may be task dependent. Legge & Rubin (1981) performed matching experiments using full-field stimuli but not Ganzfelds, so other regions of the image (e.g. the edge of the monitor, or other objects in the room) might have been used to anchor luminance judgements in their study.

5.4 Separate processes for light and dark bars

In matching experiments with gratings and bipartite fields, we found that the overall nonlinearity (γ) appears to depend on the dark region of the target more than the light region (Figure 8a and inset). Based on experiments in which target gratings were deconstructed into their light and dark bars, McIlhagga & Peterson (2006) concluded that observers behave as though the light and dark parts of gratings are subject to their own luminance nonlinearities – the decrement nonlinearity being the more severe – before optimal combination. If that were the case here, then the more expansive decrement nonlinearity would be expected to dominate at high contrasts, where its contribution outweighs that from the increment. This should result in a greater overall nonlinearity for contrast stimuli relative to increment-only stimuli, just as we found. Overall then, dark bars dominate over light bars for the experimental situations studied here.

5.6 Interpreting luminance nonlinearities

The benefit of the log luminance ratio is that it removes the effect of (background and target) luminance on the value of the monocular nonlinearity for the stimulus conditions here. However, as McIlhagga & Peterson (2006) point out, a realistic contrast metric should be local rather than global (though see Gilchrist et al., 1999). Considered from a biological perspective, the luminance ratio might represent a cell with a centre-surround arrangement, such as a retinal ganglion cell. The logarithmic transform could represent local light adaptation or saturation in the retina, which can also be approximated by the Naka-Rushton function (Shapley & Enroth-Cugell, 1981).
1984). Indeed, a logarithmic transform may not be the only possibility - in principle, any function that is more expansive for decrements (see Figure 14 of Kingdom & Whittle, 1996) than for increments might serve our present purposes equally well. It is important to note that any nonlinearities revealed by our study must occur at a pre-binocular locus, placing them at or before primary visual cortex.

6 Conclusions

We have demonstrated that the difference between target and background luminance determines the effective nonlinearity governing binocular brightness perception. This allows experimental results for increments and decrements, which appear very different, to be understood within a single framework. We also find that the perception of binocular luminance contrast is controlled primarily by the decrement region of the stimulus. It remains to be seen whether this result is limited to binocular combination, or if it might extend to contrast perception in general.

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8 References


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